

## Information and Instructions

Est. Avg. Burden

Per Response:

.5 to 1.20 Hrs

**FCC Wireless Telecommunications Bureau  
New Tower (“NT”) Submission Packet****NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT OF 1974 AND  
THE PAPERWORK REDUCTION ACT OF 1995**

We have estimated that each response to this collection of information will take on average 5 – 20 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Washington, DC 20554, Paperwork Reduction Project (3060-1039). We will also accept your comments via the Internet if you send them to PRA@fcc.gov. *Please do not send completed application forms to this address.*

You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection unless it displays a currently valid OMB control number with this notice. This collection has been assigned OMB control number 3060-1039.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or potential violation of a statute, FCC regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party to a proceeding before the body or has an interest in the proceeding.

All parties and entities doing business with the Commission must obtain a unique identifying number called the FCC Registration Number (FRN) and supply it when doing business with the Commission. Failure to provide the FRN may delay the processing of the application. This requirement is to facilitate compliance with the Debt Collection Improvement Act of 1996 (DCIA). The FRN can be obtained electronically from the FCC's website at <http://www.fcc.gov> or by manually submitting FCC Form 160. FCC Form 160 is available from the FCC's web site at <http://www.fcc.gov/formpage.html>, by calling the FCC's Forms Distribution Center at (800) 418-FORM (3676), or from the FCC's Fax Information System by dialing (202) 418-0177.

This notice is required by the Privacy Act of 1974, Public Law 93-579, December 31, 1974, 5 U.S.C. Section 552a (e)(3), and the Paperwork Reduction Act of 1995, Public Law 104-13, October 1, 1995, 44 U.S.C. 3507.



## **General Instructions**

### **Purpose of Form**

FCC Form 620 is the **NT Submission Packet** to be completed by or on behalf of Applicants to construct new antenna support structures by or for the use of applicants, tower owners, and licensees of the Federal Communications Commission ("FCC"). The Packet (including Form 620 and attachments) is to be submitted to the State Historic Preservation Office ("SHPO") or to the Tribal Historic Preservation Office ("THPO"), as appropriate, before any construction or other installation activities on the site begin. Failure to provide the Submission Packet and complete the review process under Section 106 of the National Historic Preservation Act ("NHPA")<sup>1</sup> prior to beginning construction may violate Section 110(k) of the NHPA and the Commission's rules. The instructions below should be read in conjunction with, and not as a substitute for, the "Nationwide Programmatic Agreement for Review of Effects on Historic Properties for Certain Undertakings Approved by the Federal Communications Commission," dated September 2004, ("Nationwide Agreement") and the relevant rules of the FCC (47 C.F.R. §§ 1.1301-1.1319) and the Advisory Council on Historic Preservation ("ACHP") (36 C.F.R. Part 800).<sup>1</sup>

### **Exclusions and Scope of Use**

The NT Submission Packet should not be submitted for undertakings that are excluded from Section 106 Review. The categories of new tower construction that are excluded from historic preservation review under Section 106 of the NHPA are described in Section III of the Nationwide Programmatic Agreement. Where an undertaking is to be completed but no submission will be made to a SHPO or THPO due to the applicability of one or more exclusions, the Applicant should retain in its files documentation of the basis for each exclusion should a question arise as to the Applicant's compliance with Section 106.

The NT Submission Packet is to be used only for the construction of new antenna support structures. Antenna collocations that are subject to Section 106 review should be submitted using the Collocation ("CO") Submission Packet (FCC Form 621).

### **Electronic Filers**

Information about online filing of Form 620 is available from the Wireless Telecommunications Bureau website at [http://wireless.fcc.gov/outreach/index.htm?job=tower\\_notification](http://wireless.fcc.gov/outreach/index.htm?job=tower_notification).

Applicants filing electronically should follow procedures contained in online help files. For technical assistance with filing electronically, contact the FCC at (877) 480-3201.

**In instances where the Applicant files electronically and needs to include an exhibit(s) with the application and cannot transmit that exhibit(s) to the FCC electronically, the Applicant may mail exhibits to the following address:**

Federal Communications Commission  
Application Exhibit  
1270 Fairfield Road  
Gettysburg, PA 17325-7245

Hand-deliveries and messenger-deliveries should be delivered to Federal Communications Commission, 1280 Fairfield Road, Gettysburg, PA 17325.

Each exhibit should be clearly labeled with the Applicant's name, the 10-digit file number assigned to the application at the time of submission, and the type of exhibit (e.g., resumes/vitae, maps, photographs, etc.).

### **Filing Locations**

Paper applications should be submitted to the State Historic Preservation Office ("SHPO") or to the Tribal Historic Preservation Office ("THPO"), as appropriate, before any construction or other installation activities on the site begin. Failure to provide the Submission Packet and complete the review process under Section 106 of the National Historic Preservation Act ("NHPA") (16 U.S.C. § 470{f}) prior to beginning construction may violate Section 110(k) of the NHPA and the Commission's rules.

### **Exhibits**

Each document required to be filed as an exhibit should be current as of the date of filing. Each page of every exhibit must be identified with the number or letter of the exhibit, the number of the page of the exhibit, and the total number of pages of the exhibit. If material is to be incorporated by reference, see the instruction on incorporation by reference.

Note: Some Commission rules require Applicants to attach one or more exhibits to an application in addition to the information requested in the application form.

### **Incorporation by Reference**

You may incorporate by reference documents, exhibits, or other lengthy showings already on file with the FCC, SHPO or THPO only if the information previously filed is more than one 8 1/2" by 11" page in length, all information therein is current and accurate in all significant respects, and the reference states specifically where the previously filed information can be found including exhibit and page references. Items that call for numbers or that can be answered "Y" or "N" or with other short answers must be answered directly without reference to a previous filing.

### **Assistance with Completing this Form**

For assistance with this form, you may visit the FCC's webpage at <http://esupport.fcc.gov> or call (877) 480-3201 (TTY (717) 338-2824). To provide quality service and ensure security, all telephone calls are recorded.

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1 Section II.A.9. of the Nationwide Programmatic Agreement defines a "historic property" as: "Any prehistoric or historic district, site, building, structure, or object included in, or eligible for inclusion in, the National Register maintained by the Secretary of the Interior. This term includes artifacts, records, and remains that are related to and located within such properties. The term includes properties of traditional religious and cultural importance to an Indian tribe or Native Hawaiian Organization that meet the National Register criteria."

# Instructions for FCC Form 620

## General Information

Item 1 Indicate the purpose for which the application is being filed by inserting the appropriate two-letter abbreviation from the following list. Only one purpose may be specified.

Item 2 If the application is a request for an Update or Withdrawal of a previously-filed currently pending application, provide the file number of the original application. This information can be obtained by contacting the FCC (877) 480-3201 (TTY 717-338-2824).

## Applicant/Contact Information

### Applicant/Contact Information

Item 3 Enter the ten-digit FRN assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <http://wireless.fcc.gov/uls> (Select FCC Registration Number (FRN) Commission Registration System (CORES)) or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from , by calling the FCC's Forms Distribution Center at (800) 418-3676, or from the FCC's Fax Information System by dialing (202) 418-0177.

Items 4-17 Enter the name of applicant in Item 4. Enter the individual to contact in Items 5 through 8 as well as the title in Item 9. You may enter a post office box number in Item 10 or a street address in Item 11, or enter information for both items. Enter the city, state, and zip code in Items 12, 13, and 14. Refer to Appendix I, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 15. Enter the fax number (including area code) and e-mail address, if desired and available, in items 16 and 17.

## Consultant Information

### Consultant/Principal Investigator/Principal Investigator Contact Information

Item 18 Enter the ten-digit FRN assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <http://wireless.fcc.gov/uls> (Select FCC Registration Number (FRN) Commission Registration System (CORES)) or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from , by calling the FCC's Forms Distribution Center at (800) 418-3676, or from the FCC's Fax Information System by dialing (202) 418-0177.

Items 19-32 Enter the name of consultant in Item 19. Enter the Principal Investigator in Items 20 through 23 as well as the title in Item 24. You may enter a post office box number in Item 25 or a street address in Item 26, or enter information for both items. Enter the city, state, and zip code in Items 27, 28, and 29. Refer to Appendix I, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 30. Enter the fax number (including area code) and e-mail address, if desired and available, in items 31 and 32.

### Consultant Information Attachments

You are required to provide a current copy of the resume or curriculum vitae for the Principal Investigator and any researcher or other person who contributed to, reviewed, or provided significant input into the research, analysis, writing or conclusions presented in this filing.

### Professional Qualification

Items 33 & 34 Check Yes or No in Item 33 if the Principal Investigator satisfies the Secretary of the Interior's Professional Qualification Standards and select the area(s) of professional qualification in Item 34.

**NOTE:** The Professional Qualification Standards are available on the cultural resources webpage of the National Park Service, Department of the Interior: <[http://www.cr.nps.gov/local-law/arch\\_stnds\\_9.htm](http://www.cr.nps.gov/local-law/arch_stnds_9.htm)>. The Nationwide Programmatic Agreement requires use of Secretary-qualified professionals for identification and evaluation of historic properties within the APE for direct effects, and for assessment of effects. The Nationwide Programmatic Agreement encourages, but does not require, use of Secretary-qualified professionals to identify historic properties within the APE for indirect effects. See Nationwide Programmatic Agreement, §§ VI.D.1.d, VI.D.1.e, VI.D.2.b, VI.E.5.

### Additional Staff

Items 35 through 41 Check Yes or No in Item 35 if there are other staff involved who meet the Professional Qualification Standards of the Secretary of the Interior. If "Yes" is checked, enter the name of the additional staff and select the appropriate area(s) of professional qualification. Items 37, 39 & 40 are optional. This section may be copied to include other additional staff.

**NOTE:** The Professional Qualification Standards are available on the cultural resources webpage of the National Park Service, Department of the Interior: <[http://www.cr.nps.gov/local-law/arch\\_stnds\\_9.htm](http://www.cr.nps.gov/local-law/arch_stnds_9.htm)>. The Nationwide Programmatic Agreement requires use of Secretary-qualified professionals for identification and evaluation of historic properties within the APE for direct effects, and for assessment of effects. The Nationwide Programmatic Agreement encourages, but does not require, use of Secretary-qualified professionals to identify historic properties within the APE for indirect effects. See Nationwide Programmatic Agreement, §§ VI.D.1.d, VI.D.1.e, VI.D.2.b, VI.E.5.

## Site Information

### TCNS/Site Information

Items 1 through 79 Enter the TCNS notification number in Item 1 (optional). Indicate whether or not this is a Positive Train Control (PTC) Filing Subject to Expedited Treatment Under Program Comment by entering a 'Y' or 'N' in Item 2. Enter the site name, address, detailed description of project, nearest city, state, zip code and county/borough/parish in Items 23 through 97 respectively. The Detailed Description of Project, Item 5 should include the number of wayside poles when submitting a PTC batch filing. Item 79 must be completed with the County/Borough/Parish or equivalent entity in which the tower is located unless any of the following pertain to the Fixed Location:

- The City entered in Item 64 is an Independent City that is not affiliated with a County/Borough/Parish (e.g., Baltimore, MD)
- The State code entered in Item 57 does not have Counties/Boroughs/Parishes, enter the equivalent entity (e.g., Puerto Rico, Virgin Islands)
- The State code entered in Item 75 is GM, Gulf of Mexico

**NOTE:** If the nearest City, County/Borough/Parish or equivalent entity and State are located in a bordering County/Borough/Parish or equivalent entity and/or State, enter a complete description of the location in Item 43 as shown in the example. Complete Item 64 if a

City, Town or Village within the tower's County/Borough/Parish or equivalent entity is nearby, and complete Items 57, 68 and 79 with the State, Zip Code and County/Borough/Parish or equivalent entity in which the coordinates are actually located.

Example: 34) (Site Address) Summit Mtn near Palo Verde, Imperial County, CA  
46) (City) Palo Verde  
75) (State) AZ  
97) (County/Borough/Parish) La Paz

Item 810 Enter the nearest crossroads to the tower site.

Item 911 Enter the latitude using the format DD-MM-SS.S, where the degrees (DD) term can have a value in the range of 0 to 72, minutes (MM) can range from 0 to 59, and seconds (SS.S) can range from 0 to 59.9. The seconds should be rounded to the nearest tenth of a second (in which case, use the format DD-MM-SS.S). Specify the direction as either N for North or S for South.

Item 120 Enter the longitude using the format DDD-MM-SS, where the degrees (DDD) term can have a value in the range of 64 to 180, minutes (MM) can range from 0 to 59, and seconds (SS.S) can range from 0 to 59.9. The seconds should be rounded to the nearest tenth of a second (in which case, use the format DDD-MM-SS.S). Specify the direction as either E for East or W for West.

**NOTE:** All coordinates must be referenced to the North American Datum of 1983 (NAD83). This information can be determined in many ways, including using a GPS receiver, or a 7.5-minute topographical quadrangle map of the area, or you may consult the city or county/borough/parish surveyor in your area. Topographical maps may be purchased from the U.S. Geological Survey, Washington, DC 20242 or from its office in Denver, Colorado 80225.

### **Site Information Attachments**

You are required to provide photographs and maps as part of this filing. Additional site information can be provided in an optional attachment.

#### Photograph Requirements:

Except in cases where no Historic Properties were identified within the Areas of Potential Effects, submit photographs as described below. Photographs should be in color, marked so as to identify the project, keyed to the relevant map or text, and dated; the focal length of the lens and the height of the camera should be noted. The source of any photograph included but not taken by the Applicant or its consultant (including copies of historic images) should be identified on the photograph.

- a. Photographs taken from the tower site should show views from the proposed location in all directions. The direction (e.g., north, south, etc.) should be indicated on each photograph, and, as a group, the photographs should present a complete (360 degree) view of the area around the communications tower or non-tower structure.
- b. Photographs of all listed and eligible properties within the Areas of Potential Effects.
- c. If any listed or eligible properties are visible from the proposed tower site, photographs looking at the site from each historic property. The approximate distance in feet (meters) between the site and the historic property should be included. If any listed or eligible properties are within the APE, photos looking at each historic property should be included.

Include aerial photos of the APE for visual effects, if available. There are a variety of publicly available websites that provide aerial photographs.

#### Map Requirements:

Include one or more 7.5-minute quad USGS topographical maps that:

- a. Identify the Areas of Potential Effects for both Direct and Visual Effects. If a map is copied from the original, include a key with name of quad and date.
- b. Show the location of the proposed tower site and any new access roads or other easements including excavations.
- c. Show the locations of each property listed.
- d. Include keys for any symbols, colors, or other identifiers.
- e. Submit color maps whenever possible.

#### Additional Site Information Recommendations:

Describe any additional structures, access roads, utility lines, fences, easements, or other construction planned for the site in conjunction with the proposed tower and related facilities. Use this attachment to provide additional details needed to provide a full and accurate description of any structural alterations, additions, or other construction activities that will take place to complete the tower.

### **Tower Information**

Item 113 Enter the tower height above ground (including top-mounted attachments such as lightning rods). Indicate whether you provided the height in feet or meters.

Item 124 Select the type of tower (only select one choice). If selecting "Other", describe the structure.

### **Project Status**

#### **Current Project Status**

Item 135 Select the appropriate category of the status of the project. When selecting "Construction has commenced, but is not completed", enter the date the construction commenced. When selecting "Construction has been completed", enter the date the construction commenced and the date the construction was completed.

**NOTE:** Failure to submit this form and complete the review process under Section 106 of the NHPA prior to beginning construction may violate Section 110(k) of the NHPA and the Commission's rules. See Section X of the Nationwide Agreement.

### **Determination of Effect**

## **Direct Effects**

**Item 146** Select the appropriate direct effect. Choose only one option.

**NOTE:** Pursuant to Section VI.D.2.a. of the Nationwide Programmatic Agreement, Applicants shall make a reasonable and good faith effort to identify above ground and archeological historic properties, including buildings, structures, sites, objects and historic districts, that lie within the APE for direct effects. Such reasonable and good faith efforts may include a field survey where appropriate.

**ADDITIONAL NOTE:** Under Section VI.D.2.d. of the Nationwide Programmatic Agreement, an archeological field survey is required if an Indian tribe or NHO provides evidence that supports a high probability of the presence of intact archeological Historic Properties within the APE for direct effects.

## **Visual Effects**

**Item 157** Select the appropriate visual effect. Choose only one option.

**NOTE:** Section VI.D.1.a. of the Nationwide Programmatic Agreement requires the Applicant to review publicly available records to identify historic properties within the APE for visual effects: i) properties listed on the National Register; ii) properties formally determined eligible for listing by the Keeper of the National Register; iii) properties that the SHPO/THPO certifies are in the process of being nominated to the National Register; iv) properties previously determined eligible as part of a consensus determination of eligibility between the SHPO/THPO and a Federal Agency or local government representing the Department of Housing and Urban Development (HUD); and, v) properties listed in the SHPO/THPO Inventory that the SHPO/THPO has previously evaluated and found to meet the National Register criteria, and that are identified accordingly in the SHPO/THPO Inventory. Section VI.D.1.a also requires the Applicant to identify historic properties that have religious or cultural significance to Tribes and Native Hawaiian Organizations.

## **Determination of Effect Attachments**

You are required to provide two attachments regarding the Determination of Effect: Areas of Potential Effect and Mitigation of Effect (if applicable).

### **Areas of Potential Effect Guidelines:**

- a. Describe the APE for direct effects and explain how this APE was determined.
- b. Describe the APE for visual effects and explain how this APE was determined.

### **Mitigation of Effect Guidelines:**

In the case where an Adverse Visual Effect or Adverse Direct Effect has been determined you must provide the following:

- a. Copies of any correspondence and summaries of any oral communications with the SHPO/THPO and any consulting parties. Describe any alternatives that have been considered that might avoid, minimize, or mitigate any adverse effects. Explain the Applicant's conclusion regarding the feasibility of each alternative.

## **Tribal/NHO Involvement**

**(NOTE: This page may be copied for additional Tribes/NHOs contacted)**

**Item 1** Check Yes or No if Indian Tribes or Native Hawaiian Organizations (NHOs) have been identified that may attach religious and cultural significance to historic properties which may be affected by the undertaking within the APEs for direct and visual effects.

**Items 2a & 2b** Enter the TCNS Notification Number and the number of Tribes/NHOs contacted electronically or through an alternate system.

**Item 3** If available, enter the ten-digit FRN assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <http://wireless.fcc.gov/uls> (Select FCC Registration Number (FRN) Commission Registration System (CORES)) or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from , by calling the FCC's Forms Distribution Center at (800) 418-3676, or the FCC's Fax Information System by dialing (202) 418-0177

**Item 4** Enter the Tribe/NHO name.

**Items 5 through 9** Enter the name and title of the individual to contact in Items 5 through 9.

**Items 10 & 11** Enter the date contacted (MM/DD/YYYY) and date replied (MM/DD/YYYY) and select the option that corresponds to the contact method and the response.

## **Tribal/NHO Involvement Attachments**

You may be required to provide a Tribal/NHO Involvement attachment(s) (if applicable).

### **Tribal/NHO Involvement Attachment Guidelines**

At an early stage in the planning process, the Nationwide Agreement requires the Applicant to gather information from appropriate Indian Tribes or Native Hawaiian Organizations ("NHOs") to assist in the identification of historic properties of religious and cultural significance to them. Describe measures taken to identify Indian Tribes and NHOs that may attach religious and cultural significance to historic properties that may be affected by the tower project within the Areas of Potential Effects ("APEs") **for direct and visual effects**. If such Indian tribes or NHOs were identified, list them and provide a summary of contacts by the FCC, the Applicant, or the Applicant's representative. Provide copies of relevant documents, including correspondence. If no such Indian Tribes or NHOs were identified, please explain.

## **Other Tribes/NHOs Contacted**

**(NOTE: This page may be copied for additional Tribal/NHOs)**

## **Tribal/NHO Information/Contact Information**



Item 1 If available, enter the ten-digit FRN assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <http://wireless.fcc.gov/uls> (Select FCC Registration Number (FRN) Commission Registration System (CORES)) or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from , by calling the FCC's Forms Distribution Center at (800) 418-3676, or from the FCC's Fax Information System by dialing (202) 418-0177.

Items 2-15 Enter the name of the Tribe/NHO in Item 2. Enter the name and title of the individual to contact in Items 3 through 7. You may enter a post office box number in Item 8 or a street address in Item 9, or enter information for both items. Enter the city, state, and zip code in Items 10, 11, and 12. Refer to Appendix I, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 13. Enter the fax number (including area code) and e-mail address, if desired and available, in items 14 and 15.

Item 16 Select the preferred means of communication.

### **Dates & Response**

Items 17 & 18 Enter the date contacted (MM/DD/YYYY) and date replied (MM/DD/YYYY) and select the option that corresponds to the contact method and the response.

## **Historic Properties**

**(NOTE: This page may be copied for additional historic properties)**

### **Properties Identified**

Item 1 Check Yes or No if any historic properties have been identified within the APEs for direct and visual effect.

**NOTE:** Section II.A.9. of the Nationwide Programmatic Agreement defines a "historic property" as: "Any prehistoric or historic district, site, building, structure, or object included in, or eligible for inclusion on, the National Register maintained by the Secretary of the Interior. This term includes artifacts, records, and remains that are related to and located within such properties. The term includes properties of traditional religious and cultural importance to an Indian tribe or Native Hawaiian Organization that meet the National Register criteria."

Please note that historic units of the National Parks system are listed on the National Register, and that discontinuous units of national parks may be in different jurisdictions.

Item 2 Check Yes or No if the identification process located archaeological materials that would be directly affected, or sites that are of cultural or religious significance to Tribes/NHOs.

Item 3 Check Yes or No if there are more than 10 historic properties with the APEs for direct and visual effect. If "Yes", you are required attach a Cultural Resources Report instead of completing items 4 through 10 of this section.

### **Historic Property**

Items 4 & 5 Enter the property name and the SHPO site number (if any).

### **Property Address**

Items 6 through 10 Enter a street address in Item 6. Enter the city, state, zip code and county/borough/parish in Items 7, 8, 9 and 10 respectively. Refer to Appendix I, for a list of valid state, jurisdiction, and area abbreviations.

### **Status & Eligibility**

Item 11 Check Yes or No if the property is listed on the National Register and provide the source.

Item 12 Check Yes or No if the property is eligible for listing on the National Register and provide the source.

Item 13 Check Yes or No if the property is a National Historical Landmark.

### **Direct Effects**

Item 14 Select the appropriate direct effect on the historic property. Choose only one option.

**NOTE:** Pursuant to Section VI.D.2.a. of the Nationwide Programmatic Agreement, Applicants shall make a reasonable and good faith effort to identify above ground and archeological historic properties, including buildings, structures, sites, objects and historic districts, that lie within the APE for direct effects. Such reasonable and good faith efforts may include a field survey where appropriate.

**ADDITIONAL NOTE:** Under Section VI.D.2.d. of the Nationwide Programmatic Agreement, an archeological field survey is required if an Indian Tribe or NHO provides evidence that supports a high probability of the presence of intact archeological Historic Properties within the APE for direct effects.

### **Visual Effects**

Item 15 Select the appropriate visual effect on the historic property. Choose only one option.

**NOTE:** Section VI.D.1.a. of the Nationwide Programmatic Agreement requires the Applicant to review publicly available records to identify within the APE for visual effects: i) properties listed on the National Register; ii) properties formally determined eligible for listing by the Keeper of the National Register; iii) properties that the SHPO/THPO certifies are in the process of being nominated to the National Register; iv) properties previously determined eligible as part of a consensus determination of eligibility between the SHPO/THPO and a Federal Agency or local government representing the Department of Housing and Urban Development (HUD); and, v) properties listed in the SHPO/THPO Inventory that the SHPO/THPO has previously evaluated and found to meet the National Register criteria, and that are identified accordingly in the SHPO/THPO Inventory. Section VI.D.1.a also requires the Applicant to identify historic properties that have religious or cultural significance to Tribes and Native Hawaiian Organizations.

### **Historic Properties Attachments**

## Historic Properties Identified for Direct Effects Guidelines

- a. List all properties identified within the APE for direct effects.
- b. Provide the name and address (including U.S. Postal Service ZIP Code) of each property in the APE for direct effects, not listed in part "a", that the Applicant considers to be eligible for listing in the National Register as a result of the Applicant's research. For each such property, describe how it satisfies the criteria of eligibility (36 C.F.R. Part 63). For each property that was specifically considered and determined not to be eligible, describe why it does not satisfy the criteria of eligibility.
- c. Describe the techniques and the methodology, including any field survey, used to identify historic properties within the APE for direct effects.<sup>2</sup> If no archeological field survey was performed, provide a report substantiating that: i) the depth of previous disturbance exceeds the proposed construction depth (excluding footings and other anchoring mechanisms) by at least 2 feet; or, ii) geomorphological evidence indicates that cultural resource-bearing soils do not occur within the project area or may occur but at depths that exceed 2 feet below the proposed construction depth.

## Historic Properties Identified for Visual Effects Guidelines

- a. Provide the name and address (including U.S. Postal Service ZIP Code) of each property in the APE for visual effects that is listed in the National Register, has been formally determined eligible for listing by the Keeper of the National Register, or is identified as considered eligible for listing in the records of the SHPO/THPO, pursuant to Section VI.D.1.a. of the Nationwide Agreement.<sup>3</sup>
- b. Provide the name and address (including U.S. Postal Service ZIP Code) of each Historic Property in the APE for visual effects, not listed in part "a", identified through the comments of Indian Tribes, NHOs, local governments, or members of the public. Identify each individual or group whose comments led to the inclusion of a Historic Property in this attachment. For each such property, describe how it satisfies the criteria of eligibility (36 C.F.R. Part 63).
- b. For any properties listed in part "a", that the Applicant considers no longer eligible for inclusion in the National Register, explain the basis for this recommendation.

## Local Government Involvement

(NOTE: This page may be copied for additional local government agencies)

### Local Government Agency/Contact Information

Item 1 If available, enter the ten-digit FRN assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <http://wireless.fcc.gov/uls> (Select FCC Registration Number (FRN) Commission Registration System (CORES)) or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from , by calling the FCC's Forms Distribution Center at (800) 418-3676, or from the FCC's Fax Information System by dialing (202) 418-0177.

Items 2-15 Enter the name of the local government agency in Item 2. Enter the name and title of the individual to contact in Items 3 through 7. You may enter a post office box number in Item 8 or a street address in Item 9, or enter information for both items. Enter the city, state, and zip code in Items 10, 11, and 12. Refer to Appendix I, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 13. Enter the fax number (including area code) and e-mail address, if desired and available, in items 14 and 15.

Item 16 Select the preferred means of communication.

### Dates & Response

Items 17 & 18 Enter the date contacted (MM/DD/YYYY) and date replied (MM/DD/YYYY) and select the option which corresponds to the contact method and the response.

### Additional Information

Item 19 Enter any information on local government's role or interest. (Optional)

### Local Government Involvement Attachment(s)

You may be required to provide a Local Government attachment(s).

#### Local Government Attachment Guidelines

- a. If any local government has been contacted and invited to become a consulting party pursuant to Section V.A. of the Nationwide Agreement, list the local government agencies contacted. Provide a summary of contacts and copies of any relevant documents (e.g., correspondence or notices).
- b. If a local government agency will be contacted but has not been to date, explain why and when such contact will take place.

## Other Consulting Parties

(NOTE: This page may be copied for additional consulting parties)

### Other Consulting Parties Contacted

Item 1 Check Yes or No if any other agency has been contacted and invited to become a consulting party. If "Yes", complete items 2 through 20.

<sup>2</sup> Pursuant to Section VI.D.2.a. of the Nationwide Agreement, Applicants shall make a reasonable and good faith effort to identify above ground and archeological historic properties, including buildings, structures, and historic districts, that lie within the APE for direct effects. Such reasonable and good faith efforts may include a field survey where appropriate.

<sup>3</sup> Section VI.D.1.a. of the Nationwide Agreement requires the Applicant to review publicly available records to identify within the APE for visual effects: i) properties listed in the National Register; ii) properties formally determined eligible for listing by the Keeper of the National Register; iii) properties that the SHPO/THPO certifies are in the process of being nominated to the National Register; iv) properties previously determined eligible as part of a consensus determination of eligibility between the SHPO/THPO and a Federal Agency or local government representing the Department of Housing and Urban Development (HUD); and, v) properties listed in the SHPO/THPO Inventory that the SHPO/THPO has previously evaluated and found to meet the National Register criteria, and that are identified accordingly in the SHPO/THPO Inventory.

### **Consulting Party/Contact Information**

**Item 2** If available, enter the ten-digit FRN assigned by the Commission Registration System (CORES). The FRN is a unique entity identifier for everyone doing business with the Commission. The FRN can be obtained electronically through the FCC webpage at <http://wireless.fcc.gov/uls> (Select FCC Registration Number (FRN) Commission Registration System (CORES)) or by manually submitting FCC Form 160. FCC Form 160 is available for downloading from , by calling the FCC's Forms Distribution Center at (800) 418-3676, or from the FCC's Fax Information System by dialing (202) 418-0177.

**Items 3-16** Enter the name of the consulting party in Item 3. Enter the name and title of the individual to contact in Items 4 through 8. You may enter a post office box number in Item 9 or a street address in Item 10, or enter information for both items. Enter the city, state, and zip code in Items 11, 12, and 13. Refer to Appendix I, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 14. Enter the fax number (including area code) and e-mail address, if desired and available, in items 15 and 16.

**Item 17** Select the preferred means of communication.

### **Dates & Response**

**Items 18 & 19** Enter the date contacted (MM/DD/YYYY) and date replied (MM/DD/YYYY) and select the option which corresponds to the contact method and the response.

### **Additional Information**

**Item 20** Enter any information on consulting parties' role or interest. (Optional)

### **Consulting Parties Attachment**

You are required to provide a Public Notice attachment.

### **Public Notice Guidelines:**

Provide copies of any relevant correspondence or other documentation used to invite public involvement in this project (e.g., legal notices, letters, or public meetings).

## **Designation of SHPO/THPO**

**Item 1** Based on the site of the tower, designate the Lead State Historic Preservation Officer (SHPO) or Tribal Historic Preservation Officer (THPO) to review this filing. A list of the SHPOs can be found at: <http://www.ncshpo.org/>.

**Item 2** If the SHPO/THPO requires sending this filing to a regional office, enter the appropriate person/office as an alternate SHPO/THPO. You may also designate other SHPOs/THPOs as additional recipients if either or both of the APEs include other jurisdictions. In addition, use this item to list the National and State/Provincial Historic Preservation Agencies when either of the APEs includes another country.

### **Designation of SHPO/THPO Attachments**

You may be required to provide State Specific Forms as additional attachments.

### **SHPO/THPO Specific Forms:**

The lead SHPO/THPO reviewing this filing may require additional forms specific to its Section 106 review requirements.

## **Certification**

By signing this form, the applicant certifies that the statements listed in this section are true, complete, correct, and made in good faith.

This section must be completed. To be acceptable for filing, applications must be signed in accordance with Part 1 of the FCC rules. The signor must be a person authorized to sign the application. Paper originals of applications must bear an original signature. On paper originals, neither rubber-stamped nor photocopied signatures are acceptable. For filers filing electronically, the electronic signature shall consist of the name of the person authorized to sign typed on the application as a signature.

## **Appendix I**

### **STATE TABLE**

#### **Abbreviations for States, Jurisdictions, and Areas**



AL	Alabama	ND	North Dakota
AK	Alaska	OH	Ohio
AZ	Arizona	OK	Oklahoma
AR	Arkansas	OR	Oregon
CA	California	PA	Pennsylvania
CO	Colorado	RI	Rhode Island
CT	Connecticut	SC	South Carolina
DE	Delaware	SD	South Dakota
DC	District of Columbia	TN	Tennessee
FL	Florida	TX	Texas
GA	Georgia	UT	Utah
GM	Gulf of Mexico	VT	Vermont
HI	Hawaii	VA	Virginia
ID	Idaho	WA	Washington
IL	Illinois	WV	West Virginia
IN	Indiana	WI	Wisconsin
IA	Iowa	WY	Wyoming
KS	Kansas		
KY	Kentucky	AS	American Samoa
LA	Louisiana	CN	Canada
ME	Maine	GU	Guam
MD	Maryland	MP	Northern Mariana Islands
MA	Massachusetts	MX	Mexico
MI	Michigan	PR	Puerto Rico
MN	Minnesota	UM	U.S. Territories: (Baker Island, Howland Island, Jarvis Island, Johnston Atoll, Kingman Reef, Midway Island, Navassa Island, Palmyra Atoll and Wake Island)
MS	Mississippi		
MO	Missouri	VI	Virgin Islands
MT	Montana		
NE	Nebraska	AA	Armed Forces-Americas (excluding Canada)
NV	Nevada	AE	Armed Forces-(Europe, Middle East, Africa, Canada)
NH	New Hampshire	AP	Armed Forces-Pacific
NJ	New Jersey		
NM	New Mexico		
NY	New York		
NC	North Carolina		

General Information

1) (Select only one) ( ) <b>NE</b> – New <b>UA</b> – Update of Application <b>WD</b> – Withdrawal of Application	
2) If this application is for an Update or Withdrawal, enter the file number of the pending application currently on file.	File Number:

Applicant Information

3) FCC Registration Number (FRN):
4) Name:

Contact Name

5) First Name:	6) MI:	7) Last Name:	8) Suffix:
9) Title:			

Contact Information

10) P.O. Box:	<b>And /Or</b>	11) Street Address:	
12) City:		13) State:	14) Zip Code:
15) Telephone Number: ( )		16) Fax Number: ( )	
17) E-mail Address:			

Consultant Information

18) FCC Registration Number (FRN):
19) Name:

Principal Investigator

20) First Name:	21) MI:	22) Last Name:	23) Suffix:
24) Title:			

Principal Investigator Contact Information

25) P.O. Box:	<b>And /Or</b>	26) Street Address:	
27) City:		28) State:	29) Zip Code:
30) Telephone Number: ( )		31) Fax Number: ( )	
32) E-mail Address:			

Professional Qualification

33) Does the Principal Investigator satisfy the Secretary of the Interior's Professional Qualification Standards?	( ) <u>Y</u> es ( ) <u>N</u> o
34) Areas of Professional Qualification: <input type="checkbox"/> Archaeologist <input type="checkbox"/> Architectural Historian <input type="checkbox"/> Historian <input type="checkbox"/> Architect <input type="checkbox"/> Other (Specify) _____	

### Additional Staff

35) Are there other staff involved who meet the Professional Qualification Standards of the Secretary of the Interior?	( ) <u>Y</u> es ( ) <u>N</u> o
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If "Yes", complete the following:

36) First Name:	37) MI:	38) Last Name:	39) Suffix:
40) Title:			
41) Areas of Professional Qualification: <input type="checkbox"/> Archaeologist <input type="checkbox"/> Architectural Historian <input type="checkbox"/> Historian <input type="checkbox"/> Architect <input type="checkbox"/> Other (Specify) _____			

**This page may be copied to include additional staff.**

**Consultant Information Attachments required – See instructions for details.**

## Site Information

### Tower Construction Notification System

1) TCNS Notification Number:

### Site Information

2) Site Name Positive Train Control Filing Subject to Expedited Treatment Under Program Comment: ( ) Yes ( ) No

3) Site Name:

43) Site Address:

5) Detailed Description of Project:

64) City:

75) State:

86) Zip Code:

97) County/Borough/Parish:

108) Nearest Crossroads:

119) NAD 83 Latitude (DD-MM-SS.S):

( ) **N** or ( ) **S**

1210) NAD 83 Longitude (DD-MM-SS.S):

( ) **E** or ( ) **W**

### Tower Information

1311) Tower height above ground level (include top-mounted attachments such as lightning rods): \_\_\_\_\_ ( ) Feet ( ) Meters

1412) Tower Type (Select One):

( ) Guyed lattice tower

( ) Self-supporting lattice

( ) Monopole

( ) Other (Describe):

### Project Status

1513) Current Project Status (Select One):

( ) Construction has not yet commenced

( ) Construction has commenced, but is not completed

Construction commenced on: \_\_\_\_/\_\_\_\_/\_\_\_\_

( ) Construction has been completed

Construction commenced on: \_\_\_\_/\_\_\_\_/\_\_\_\_

Construction completed on: \_\_\_\_/\_\_\_\_/\_\_\_\_

Site Information Attachments required – See instructions for details.

## Determination of Effect

[1614](#) Direct Effects (Select One):

- No Historic Properties in Area of Potential Effects (APE)
- No Effect on Historic Properties in APE
- No Adverse Effect on Historic Properties in APE
- Adverse Effect on one or more Historic Properties in APE

[1715](#) Visual Effects (Select One):

- No Historic Properties in Area of Potential Effects (APE)
- No Effect on Historic Properties in APE
- No Adverse Effect on Historic Properties in APE
- Adverse Effect on one or more Historic Properties in APE

**Determination of Effect Attachments required – See instructions for details.**



### Tribal/NHO Involvement

1) Have Indian Tribes or Native Hawaiian Organizations (NHOs) been identified that may attach religious and cultural significance to historic properties which may be affected by the undertaking within the APEs for direct and visual effects?	( ) <u>Yes</u> ( ) <u>No</u>
2a) Tribes/NHOs contacted through TCNS Notification Number: _____	Number of Tribes/NHOs: _____
2b) Tribes/NHOs contacted through an alternate system: _____	Number of Tribes/NHOs: _____

#### Tribe/NHO Contacted Through TCNS

3) Tribe/NHO FRN: _____
4) Tribe/NHO Name: _____

#### Contact Name

5) First Name: _____	6) MI: _____	7) Last Name: _____	8) Suffix: _____
9) Title: _____			

#### Dates & Response

10) Date Contacted ____/____/____	11) Date Replied ____/____/____
( ) No Reply	
( ) Replied/No Interest	
( ) Replied/Have Interest	
( ) Replied/Other _____	

**This page may be copied to include additional Tribes/NHOs contacted.**  
**Tribal/NHO Involvement Attachments may be required – See instructions for details.**

## Other Tribes/NHOs Contacted

### Tribe/NHO Information

1) FCC Registration Number (FRN):
2) Name:

### Contact Name

3) First Name:	4) MI:	5) Last Name:	6) Suffix:
7) Title:			

### Contact Information

8) P.O. Box:	<b>And /Or</b>	9) Street Address:	
10) City:		11) State:	12) Zip Code:
13) Telephone Number: (    )		14) Fax Number: (    )	
15) E-mail Address:			
16) Preferred means of communication:  (    ) E-mail (    ) Letter (    ) Both			

### Dates & Response

17) Date Contacted ____ / ____ / ____	18) Date Replied ____ / ____ / ____
(    ) No Reply (    ) Replied/No Interest (    ) Replied/Have Interest (    ) Replied/Other _____	

**This page may be copied to include additional Tribes/NHOs.**

## Historic Properties

### Properties Identified

1) Have any historic properties been identified within the APEs for direct and visual effect?	(    ) <u>Y</u> es (    ) <u>N</u> o
2) Has the identification process located archaeological materials that would be directly affected, or sites that are of cultural or religious significance to Tribes/NHOs?	(    ) <u>Y</u> es (    ) <u>N</u> o
3) Are there more than 10 historic properties within the APEs for direct and visual effect? If "Yes", you are required to attach a Cultural Resources Report in lieu of adding the Historic Property below.	(    ) <u>Y</u> es (    ) <u>N</u> o

### Historic Property

4) Property Name:
5) SHPO Site Number:

### Property Address

6) Street Address:		
7) City:	8) State:	9) Zip Code:
10) County/Borough/Parish:		

### Status & Eligibility

11) Is this property listed on the National Register?  Source: _____	(    ) <u>Y</u> es (    ) <u>N</u> o
12) Is this property eligible for listing on the National Register?  Source: _____	(    ) <u>Y</u> es (    ) <u>N</u> o
13) Is this property a National Historic Landmark?	(    ) <u>Y</u> es (    ) <u>N</u> o

14) Direct Effects (Select One):  <input type="checkbox"/> No Effect on this Historic Property in APE <input type="checkbox"/> No Adverse Effect on this Historic Property in APE <input type="checkbox"/> Adverse Effect on this Historic Property in APE
15) Visual Effects (Select One):  <input type="checkbox"/> No Effect on this Historic Property in APE <input type="checkbox"/> No Adverse Effect on this Historic Property in APE <input type="checkbox"/> Adverse Effect on this Historic Property in APE

**This page may be copied to include additional Historic Properties.  
Historic Property Attachments required – See instructions for details.**

## Local Government Involvement

### Local Government Agency

1) FCC Registration Number (FRN):
2) Name:

### Contact Name

3) First Name:	4) MI:	5) Last Name:	6) Suffix:
7) Title:			

### Contact Information

8) P.O. Box:	<b>And /Or</b>	9) Street Address:		
10) City:		11) State:	12) Zip Code:	
13) Telephone Number: (    )		14) Fax Number: (    )		
15) E-mail Address:				
16) Preferred means of communication:  (    ) E-mail (    ) Letter (    ) Both				

### Dates & Response

17) Date Contacted ____ / ____ / ____	18) Date Replied ____ / ____ / ____
(    ) No Reply	
(    ) Replied/No Interest	
(    ) Replied/Have Interest	
(    ) Replied/Other _____	

### Additional Information

19) Information on local government's role or interest (optional):
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**This page may be copied to include additional local government agencies.  
Local Government Attachments required – See instructions for details.**

## Other Consulting Parties

### Other Consulting Parties Contacted

1) Has any other agency been contacted and invited to become a consulting party?	(    ) <u>Yes</u> (    ) <u>No</u>
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### Consulting Party

2) FCC Registration Number (FRN):
3) Name:

### Contact Name

4) First Name:	5) MI:	6) Last Name:	7) Suffix:
8) Title:			

### Contact Information

9) P.O. Box:	<b>And /Or</b>	10) Street Address:		
11) City:		12) State:	13) Zip Code:	
14) Telephone Number: (    )		15) Fax Number: (    )		
16) E-mail Address:				
17) Preferred means of communication:  (    ) E-mail (    ) Letter (    ) Both				

### Dates & Response

18) Date Contacted ____ / ____ / ____	19) Date Replied ____ / ____ / ____
(    ) No Reply (    ) Replied/No Interest (    ) Replied/Have Interest (    ) Replied/Other _____	

### Additional Information

20) Information on other consulting parties' role or interest (optional):
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**This page may be copied to include additional consulting parties.  
Consulting Parties Attachments required – See instructions for details.**

## Designation of SHPO/THPO

1) Designate the Lead State Historic Preservation Officer (SHPO) or Tribal Historic Preservation Officer (THPO) based on the location of the tower.

### SHPO/THPO

Name: \_\_\_\_\_

2) You may also designate up to three additional SHPOs/THPOs if the APEs include multiple states. If the APEs include other countries, enter the name of the National Historic Preservation Agency and any state and provincial Historic Preservation Agency.

SHPO/THPO Name: \_\_\_\_\_

SHPO/THPO Name: \_\_\_\_\_

SHPO/THPO Name: \_\_\_\_\_

**Designation of SHPO/THPO Attachments may be required – See instructions for details.**

### Certification

I certify that all representations on this FCC Form 620 Submission Packet and the accompanying attachments are true, correct, and complete.

#### Party Authorized to Sign

First Name:

MI:

Last Name:

Suffix:

Signature:

Date:

\_\_\_\_/\_\_\_\_/\_\_\_\_

**FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID.**

**WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).**