

# FAPIIS and SAM System Screen Shots

Reporting and Use of Information  
Concerning Integrity and Performance of  
Recipients of Grants and Cooperative  
Agreements (ICR 3090-0293)

# Paperwork Reduction Act Statement


- OMB Control No: 3090-0293
- Expires: 01/31/2015
  
- Public reporting burden of this collection of information is estimated to average 60 minutes per response, including the time for reviewing instructions searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Federal Acquisition Service, General Services Administration, 1800 F Street, NW, Washington, DC 20405.

# Access to FAPIIS


- **Government Users:** FAPIIS is a distinct application that federal acquisition professionals accessed through the Past Performance Information System (PPIRS) for their use in award and responsibility determinations. Access to FAPIIS is granted when approved for access to PPIRS.
- **Government Awardees:** Access to FAPIIS is part of your current PPIRS access.
- **Public Access:** In accordance with the passing of the Supplemental Appropriations Act of 2010 (Pub. L. 111-112) public access to the data within FAPIIS was made publicly available for records entered into FAPIIS on or after April 15th 2011.

# FAPIIS Public Access Home Page

<https://www.fapiis.gov/fapiis/index.jsp>



**Federal Awardee Performance and Integrity Information System**



[Home](#) | [Data Reports](#) | [Search](#) | [Help](#) | [Policy](#)

### Highlights

 **User Resources**

- [Definitions](#)
- [Navigation](#)

Use [Adobe Acrobat Reader](#) to view files in pdf format.

---

### Downloads

To view or download the full government-entered or vendor-entered lists, click [here](#) or click the Data Reports tab.

---

**Government Awardees:** Access to FAPIIS is part of your current PPIRS access.

### Search FAPIIS Records

Search Instructions: Search by DUNS, CAGE, or by selecting a filter from the dropdown box. NOTE: If you enter multiple search criteria, the DUNS code will take precedence.

CAGE or DUNS/DUNSPUS4 searches must be exact. CAGE must be 5 characters; DUNS must be either 9 or 13 characters.

Special Search Note: It is possible in the FAPIIS application that a contractor may not have a CAGE CODE. If you search by CAGE and do not get the contractor you are looking for, try again using the 'Name' search option. Note some of the Extended Systems do not require DUNS. If your search of the FAPIIS system does not produce a Performance Information section of SAM record, you can go directly to the System for Award Management (SAM) <https://www.sam.gov/> and use the 'Advanced Search' option to locate the entity of interest.

To search for an individual by name, search using the 'Contains' option and specify *only* the person's last name.

DUNS/Plus4:

CAGE

Name

# Public Search

<https://www.fapiis.gov/fapiis/govt/search.jsp>



The banner features the FAPIIS logo on the left, the full name of the system in the center, and the IAE logo on the right. Below the banner is a navigation menu with five items: Home, Data Reports, Search (highlighted in green), Help, and Policy. Below the menu is a red bar with the text "Search FAPIIS Records".

Search Instructions: Search by DUNS, CAGE, or by selecting a filter from the dropdown box.  
NOTE: If you enter multiple search criteria, the DUNS code will take precedence.

CAGE or DUNS/DUNSPPLUS4 searches must be exact. CAGE must be 5 characters; DUNS must be either 9 or 13 characters.

Special Search Note: It is possible in the FAPIIS application that a contractor may not have a CAGE CODE. If you search by CAGE and do not get the contractor you are looking for, try again using the 'Name' search option. Note some of the Extended Systems do not require DUNS. If your search of the FAPIIS system does not produce a Performance Information section of SAM record, you can go directly to the System for Award Management (SAM) <https://www.sam.gov/> and use the 'Advanced Search' option to locate the entity of interest.

To search for an individual by name, search using the 'Contains' option and specify *only* the person's last name.

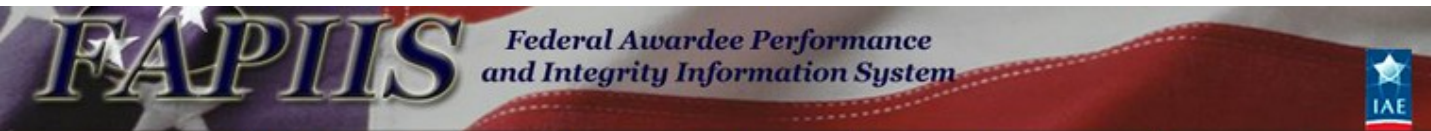
DUNS/Plus4:

CAGE

Name

# FAPIIS Help

<https://www.fapiis.gov/fapiis/help.jsp>



- Home
- Data Reports
- Search
- Help**
- Policy

## System Support and Training

- [Terms & Definitions](#)
- [FAPIIS Multimedia Trailer](#)

## Frequently Asked Questions

If your question is not answered here, please contact our help desk

### ***When did FAPIIS launch?***

In accordance with the passing of the Supplemental Appropriations Act of 2010 (Pub. L. 111-112) public access to the data within FAPIIS was made publicly available for records entered into FAPIIS on or after April 15th 2011.

### ***How can I contact the Help Desk?***

The FAPIIS help desk can be reached at:  
Voice Phone: (207) 438-1690 or DSN: 684-1690  
Email: [webptsmh@navy.mil](mailto:webptsmh@navy.mil)

### ***What is a Termination for Cause?***

Termination for Cause is the exercise of the Government's right by a contracting officer to completely or partially terminate a contract if the contractor fails to comply with any contract terms and conditions, or fails to provide the Government, upon request, with adequate assurances of future performance. Terminations for Cause are similar to Terminations for Default, but are applicable to contracts awarded using commercial procedures.

### ***What is a Termination for Default?***

Termination for Default is the exercise of the Government's right by a contracting officer to completely or partially terminate a contract because of the contractor's

## Customer Service

**Help Us Help You:** We are striving to make this website accessible to all citizens. If you find a problem that prevents access, please contact us by using one of the following means:

Customer Support Desk  
(207)438-1690 or DSN: 684-1690  
[webptsmh@NAVY.MIL](mailto:webptsmh@NAVY.MIL)

# FAPIIS Policy

on [US] <https://www.fapiis.gov/fapiis/govt/policy.jsp>



Home ▶ Policy ▶

## Policy

- The Duncan Hunter National Defense Authorization Act of 2009 (Public Law 110-417) was enacted on October 14, 2008. Section 872 of this Act required the development and maintenance of an information system that contains specific information on the integrity and performance of covered Federal agency contractors and grantees. The Federal Awardee Performance and Integrity Information System (FAPIIS) was developed to address these requirements. FAPIIS provides users access to integrity and performance information from the FAPIIS reporting module in the Contractor Performance Assessment Reporting System (CPARS), proceedings information from the Entity Management section of SAM database, and suspension/debarment information from the Performance Information section of SAM.
- Federal Acquisition Regulation (FAR) Citations:
  - Federal Acquisition Regulation (FAR) clause 52.209-7
  - Federal Acquisition Regulation (FAR) clause 52.209-9

# Access to SAM

- **Government Users:** Government users logged in with a SAM user account will have access to Entity Management - For Official Use Only (FOUO) information, which includes the ability to view TIN information as well as view entities that have opted out of the Public search and those government entities which are registered for IGTs.
- **Entities:** All entity records from CCR/FedReg and ORCA and exclusion records from EPLS, active or expired, were moved to SAM. Entities can search for records and create new ones in SAM.
- **Public Access:** SAM records are public, including all active exclusion records entered by the Federal government identifying those parties excluded from receiving Federal contracts, certain subcontracts, and certain types of Federal financial and non-financial assistance and benefits.



# SAM Home Page

Administration [US] <https://www.sam.gov/portal/SAM/##11>

[View assistance for SAM.gov](#)



USER NAME

[Forgot Username?](#)

PASSWORD

[Forgot Password?](#)

[LOG IN](#)

[Create an Account](#)

[HOME](#)

[SEARCH RECORDS](#)

[DATA ACCESS](#)

[GENERAL INFO](#)

[HELP](#)

## CREATE USER ACCOUNT

Your CCR username will not work in SAM. You will need a new SAM User Account to register or update your entity records. You will also need to create a SAM User Account if you are a government official and need to create Exclusions or search for FOUO information.

[Create User Account](#)

## REGISTER/UPDATE ENTITY

You can register your Entity (business, individual, or government agency) to do business with the Federal Government. If you are interested in registering or updating your Entity, you must first create a user account.

[Register/Update Entity](#)

**New!** Use the SAM Status Tracker to:

[Check Status](#)

## SEARCH RECORDS

All entity records from CCR/FedReg and ORCA and exclusion records from EPLS, active or expired, were moved to SAM. You can search these records and new ones created in SAM. If you are a government user logged in with your SAM user account, you will automatically have access to FOUO information.

[Search Records](#)

## WHAT IS SAM?

[Need Help?](#)

The **System for Award Management (SAM)** is the Official U.S. Government system that consolidated the capabilities of CCR/FedReg, ORCA, and EPLS. There is NO fee to register for this site. Entities may register at no cost directly from this page. User guides and webinars are available under the Help tab.

# SAM Public Search

Administration [US] [https://www.sam.gov/portal/SAM/?navigationalstate=JBPNS\\_r00ABXdcACJqYXZheC5mYWNlcy5wb3J0bGV0YnJpZGdLLIN](https://www.sam.gov/portal/SAM/?navigationalstate=JBPNS_r00ABXdcACJqYXZheC5mYWNlcy5wb3J0bGV0YnJpZGdLLIN)

[View assistance for Search Records](#)



USER NAME

[Forgot Username?](#)

PASSWORD

[Forgot Password?](#)

[LOG IN](#)

[Create an Account](#)

[HOME](#)

[SEARCH RECORDS](#)

[DATA ACCESS](#)

[GENERAL INFO](#)

[HELP](#)

## Search Records

Looking for entity registration records or entity exclusion records in SAM?

\* Use **Quick Search** if you know an entity's Business Name, DUNS number or CAGE code.

\* Use **Advanced Search** to structure your search using multiple categories and criteria.

Are you a Federal government employee?

\* Create a SAM user account with your government e-mail address [and log into SAM before searching](#) to see FOUO information and registrants who chose to opt out of the public search.

Conducting small business-focused market research?

\* In addition to what is contained in SAM, small businesses may supplement information about themselves in the SBA's [Dynamic Small Business Search](#).

### QUICK SEARCH:

(Example of search term includes the entity's name, etc.)

DUNS Number Search:

CAGE Code Search:

[SEARCH](#)

[Need Help?](#)

**ADVANCED SEARCH:** Use specific criteria in multiple categories to structure your search.

[ADVANCED SEARCH - ENTITY](#)

[ADVANCED SEARCH - EXCLUSION](#)

# SAM Proceedings Entity Entry

## Page Description

Please answer the following Proceedings questions about your entity.

### **Proceedings:**

Is there a Federal solicitation on which your business or organization, as represented by the DUNS number on this specific SAM record, is bidding that contains the FAR provision 52.209-7, or has your business or organization, as represented by the DUNS number on this specific SAM record, been awarded a Federal contract that contains FAR clause 52.209-8? \*

Please select a value

Does your business or organization, as represented by the DUNS number on this specific SAM record, have current active Federal contracts and/or grants with total value (including any exercised/unexercised options) greater than \$10,000,000?

Not Applicable

Within the last five years, had the business or organization (represented by the DUNS number on this specific SAM record) and/or any of its principals, in connection with the award to or performance by the business or organization of a Federal contract or grant, been the subject of a Federal or State

1. Criminal proceeding resulting in a conviction or other acknowledgment of fault;
2. Civil proceeding resulting in a finding of fault with a monetary fine, penalty, reimbursement, restitution, and/or damages greater than \$5,000, or other acknowledgment of fault; and/or
3. Administrative proceeding resulting in a finding of fault with either a monetary fine or penalty greater than \$5,000 or reimbursement, restitution, or damages greater than \$100,000, or other acknowledgment of fault?

Not Applicable

CANCEL

PREVIOUS

SAVE AND CONTINUE

# SAM Help

Administration [US] [https://www.sam.gov/portal/SAM/?navigationalstate=JBNS\\_r00ABXdcACJqYXZheC5mYWNlcy5wb3J0bGV0YnJpZGdlI](https://www.sam.gov/portal/SAM/?navigationalstate=JBNS_r00ABXdcACJqYXZheC5mYWNlcy5wb3J0bGV0YnJpZGdlI)



USER NAME

[Forgot Username?](#)

PASSWORD

[Forgot Password?](#)

LOG IN

[Create an Account](#)

HOME

SEARCH RECORDS

DATA ACCESS

GENERAL INFO

HELP

Help

SAM User Help

FAQs

FAQs

General FAQs

User Guides

Demonstration Videos

Exclusions Information

## Frequently Asked Questions (FAQs)

This page provides answers to the most frequently asked SAM questions. You can perform a search for a question or filter the questions to a functional area. Please visit the Federal Service Desk (FSD) website for more help with SAM and other GSA IAE systems: [www.fsd.gov](http://www.fsd.gov)

Search

- [Who do I contact with additional questions on TIN \(Taxpayer Identification Number\) validation?](#)  
Answer : If you are having problems with TIN mismatches in SAM concerning your Employer Identification Number, please contact the IRS at 1-800-829-4933. If you are having problems with T...
- [I manage multiple entity registrations in SAM. Can I link all my DUNS numbers to my user account?](#)  
Answer : Yes. You only need one account to manage all of your SAM entity registrations. If a DUNS record is not already registered in SAM, select Register New Entity from the left navigation ...
- [I want to assign my claims to another source. How do I do that?](#)  
Answer : The standard procedure is if a contractor assigns his or her payments, the contract needs to be modified to identify the assignee. That identification needs to include the assignee's DUNS ...
- [Do I need to fill out the Disaster Relief Contractor Data Elements?](#)  
Answer : This section is optional for those contractors wishing to do business with the federal government, such as the Federal Emergency Management Agency (FEMA), in the event of a natural disaste...
- [Which systems does the Federal Service Desk \(FSD\) support?](#)  
Answer : The U.S. General Services Administration operates and maintains the governmentwide systems supported by the Federal Service Desk. These systems include the Catalog of Federal Domestic Assi...
- [If I only want to submit the SF 330 Part II in SAM, do I have to answer all of the other question...](#)  
Answer : Yes, you must answer all the questions in order to provide any SF330 Part II information.
- [How do I certify to the DFARS provisions in SAM?](#)  
Answer : In order to certify to the provisions related to the DFARS, you must first answer yes to question 28 of the SAM Questionnaire. The SAM Questionnaire will then display the DFARS related que...
- [I am not ready to submit my exclusion record and want to save a draft. How can I do that?](#)  
Answer : You are not able to save a draft version of an exclusion record. An exclusion will only be saved in the system when you complete all of the steps and submit it. However, if you are not rea...
- [How do I validate the CAGE Code of the entity's Immediate Owner and/or Highest-Level Owner?](#)  
Answer : Please refer to Subpart 4.18 in the Federal Acquisition Regulation for guidance. FAR 52.204-17 is a self-