

FORM PTO-158T
(Rev. February 21, 2013)

United States Patent and Trademark Office

**APPLICATION FOR RECOGNITION TO PRACTICE BEFORE
THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER
37 CFR 11.14(c) BY A FOREIGN RESIDENT**

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number. This collection of information is required by 37 CFR § 11.14. This information is used by the public to seek recognition to practice before the United States Patent and Trademark Office (USPTO) and by the USPTO to determine the eligibility of the applicant to practice before the USPTO in trademark matters. The information on this form will be treated confidentially to the extent allowed under the Privacy Act and the Freedom of Information Act (FOIA). Response to this information collection is voluntary; however, if you do not provide the requested information, the USPTO may not recognize you to practice before the USPTO in trademark matters. This form is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the information on the application to the USPTO. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, United States Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

THIS SPACE FOR PATENT AND TRADEMARK OFFICE USE ONLY

SEND TO: Mail Stop OED, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

NAME (first name, middle name or initial, last name)

CORRESPONDENCE / BUSINESS NAME (employer, corporation, law firm, etc.)

CORRESPONDENCE / BUSINESS ADDRESS (street, building, suite, etc.)

PRIMARY E-MAIL

SECONDARY E-MAIL

CITY

STATE

ZIP CODE

BUSINESS PHONE

HOME ADDRESS

CITY

STATE

ZIP CODE

HOME PHONE

CITIZENSHIP (country)

DATE OF BIRTH (month, day, year)

PLACE OF BIRTH (city, state, country)

Please notify OED promptly of any changes in the above information in order to prevent problems with your application processing.

1. Enclosed is the required non-refundable application fee of \$40.00 set forth in 37 CFR § 1.21(a)(1)(i).

-OR-

- Enclosed is the \$1,600.00 fee set forth in 37 CFR § 1.21(a)(10).

2. YES NO Have you previously applied for recognition to practice before the United States Patent and Trademark Office in trademark matters, or have you ever received from the Office of Enrollment and Discipline an evaluation of your qualifications?

If "YES", provide the date and name on the application, if different from above: _____

3. I am in good standing before the Trademark Office of _____.

A certificate of good standing from that Trademark Office is attached / was previously submitted.

4. I am an attorney in a foreign country. The country is _____.

- Enclosed is proof that I am a foreign attorney.

BACKGROUND INFORMATION: Candor and truthfulness are significant elements of fitness relevant to practice before the United States Patent and Trademark Office. You should, therefore, provide the Office of Enrollment and Discipline with all available information, however unfavorable, even if its relevance is in doubt, with regard to the questions asked below. For each question answered "YES," provide a detailed statement setting forth all relevant facts and dates along with verified copies of relevant documents. **Your responses must be updated as necessary, prior to your recognition.** Any documents, evidence or proofs previously filed in a prior application need not be resubmitted unless your response to a question must be changed. **Failure to disclose the requested information may result in denial of recognition or in disciplinary proceedings under 37 CFR § 10.22, should you become recognized.**

- 5. Have any charges ever been preferred against you in connection with your practice before any Federal or State court, or municipal bureau, commission, office or agency of any kind or character? YES NO
- 6. Have you ever been arrested, charged, or held by Federal, State or other law enforcement authorities for any violation of any Federal or State law, or any country or municipal law, regulation or ordinance? (Do not include any misdemeanor before your 16th birthday or traffic violations for which the fine was \$100 or less.) YES NO
- 7. Have you ever been disciplined, reprimanded, suspended, expelled or asked to resign or withdraw from any educational institution, or have you resigned or withdrawn from any such institution in time to avoid a request to resign, discipline, reprimand, suspension, or expulsion for conduct involving dishonesty, fraud, misrepresentation, or deceit? YES NO
- 8. Have you ever been disciplined, reprimanded, or suspended in any job for conduct involving dishonesty, fraud, misrepresentation, deceit, or for any violation of Federal or State laws or regulations? YES NO
- 9. Have you ever been fired or discharged from any job, or have been asked to resign or quit for conduct involving dishonesty, fraud, misrepresentation, deceit, or for any violation of Federal or State laws or regulations? YES NO
- 10. Have you ever resigned or quit a job when you were under investigation or inquiry for conduct which could have been considered as involving dishonesty, fraud, misrepresentation, deceit, or for any violation of Federal or State laws or regulations, or after receiving notice or being advised of possible investigation, inquiry, or disciplinary action for such conduct? YES NO
- 11. Have you ever been discharged from military service under conditions "other than honorable," or by reason of the sentence of a Court Martial or being dropped from the rolls? YES NO
- 12. Are you delinquent on any State or Federal debt or debt to a foreign state, province or country? (Include delinquencies arising from Federal or State or foreign taxes, loans, overpayment of benefits, and other debts to the U.S. Government or a foreign government and defaults on Federally or foreign state, province or country guaranteed or insured loans such as student and home mortgage loans.) YES NO

Upon the basis of the foregoing information and any attached documents, I hereby apply for recognition to practice in trademark matters before the United States Patent and Trademark Office. I certify that each and every statement or representation in this application is true and correct.

(A willfully false statement or certification is a criminal offense and is punishable by law (18 U.S.C. § 1001)).

Signature of Applicant	Date
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Mail completed application to:
Mail Stop OED, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

PRIVACY ACT ADVISORY STATEMENT

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with the request for information solicited on attached form PTO-158T. Accordingly, pursuant to the requirements of the Act, please be advised that (i) the authority for the collection of this data is 35 U.S.C. §§ 1 and 2(b)(2)(D); (ii) furnishing of the information solicited is voluntary; and (iii) the principal purpose for which the data will be used is to maintain current information relating to your eligibility and fitness for recognition to practice before the United States Patent and Trademark Office in trademark matters. If you do not furnish the requested information, you will not be recognized to practice before the Patent and Trademark Office.

The information provided by you in this form will be subject to the following routine uses:

1. Information from PTO-158T may be published by the United States Patent and Trademark Office in the *Official Gazette* to solicit information tending to affect your eligibility on moral, ethical, or other grounds for recognition pursuant to 37 CFR § 11.14. Information from PTO-275 may be published to provide the public with a roster of recognized practitioners pursuant to 37 CFR § 11.14.
2. Disclosure may be made to any Government agency, professional organization, or individual, if necessary, to obtain information relevant to an investigation concerning the suitability of an applicant for registration to practice before the Patent and Trademark Office.
3. Disclosure may be made to a Federal, state, local, foreign, tribal, or other public authority of the fact that this system of records contains information relevant to the retention of an employee, retention of a security clearance, the letting of a contract, or the issuance or retention of a license, grant, or other benefit. The other agency or licensing organization may then make a request supported by the written consent of the individual for the entire record, if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency, or to another Federal agency for criminal, administrative, personnel, or regulatory action.
4. Disclosure may be made to a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; (b) any employee of the agency in his or her capacity; (c) any employee of the agency in his or her official capacity where the agency has agreed to represent the employee; or (d) the United States Government is a party to litigation or has an interest in such litigation and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
5. Disclosure may be made to a member of Congress or to a congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.
6. Information may be disclosed to the Office of Management and Budget (OMB) in connection with a review of private relief legislation (as set forth in OMB Circular No. A-19) at any stage of the legislative coordination and clearance process described in the Circular.
7. The information may be disclosed to the agency contractors, grantees, experts, consultants, or volunteers who have been engaged by the agency to assist in the performance of a service related to this system of records and who have need to have access to the records in order to perform the activity. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. § 552a(m).
8. The information may be disclosed to the Office of Personnel Management (OPM) for personnel research purposes as a data source for management information, for the production of summary descriptive statistics and analytical studies in support of the function for which the records are collected and maintained, or for related manpower studies.
9. Records from this system of records may be disclosed to the National Archives and Records Administration or to the General Services Administration for records management inspections conducted under 44 U.S.C. §§ 2904 and 2906.
10. When a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, or criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order, issued pursuant thereto, disclosure may be made to the appropriate agency, whether Federal, foreign, state, local or tribal, or other public authority responsible for enforcing, investigating, or prosecuting violations, or charged with enforcing or implementing the statute or rule, regulation, or order issued pursuant thereto, if the information disclosed is relevant to any enforcement, regulatory, investigative, or prosecutive responsibility of the receiving entity.