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Attachment 1

Survey Instrument

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch; 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (xxxx-xxxx). Do not return the completed form to this address.

NIEHS DERT EXTRAMURAL GRANTEE DATA COLLECTION

DEMOGRAPHICS AND BACKGROUND INFORMATION

What is your age?

- **a** <30
- 30-39
- **40-49**
- 50-59
- **G** 60+

What degrees do you noid. (nease check an that apply	What degrees do you hold?	(Please check all that apply)
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- 🗅 AB, BA, BS, BSc
- 🗖 MA, MS, MHS, MPH, MPA, MED, MSIH
- PhD, Sc.D, DSc
- 🗖 MD
- □ Other clinical degree (e.g. DO, DDS, MBBS, RN)
- □ Other please specify_____
- None

In what year did you receive your highest degree? _____ (drop down menu)

What is your current position?

How long have you held that position? _____ Years _____ Months

Basic Science	What	types of basic research? (Please check all that apply)
		Biochemistry
		Biophysics
		Botany
		Cellular biology
		Ecology
		Environmental Sciences
		Epigenetics
		Genetics
		Immunology
		Medicine
		Microbiology
		Molecular biology
		Physiology
		Toxicology
		Other please specify
Applied Science	What	types of applied research? (Please check all that apply)
		Clinical Research
		Public Health Research
		Health Services Research
		Intervention Research
		Program or Policy Research
		Technology Innovation
		Translational Research
		Other please specify

In what type of research do you engage? (Please check all that apply)

FUNDING

Please indicate which sources of selected science portfolio-related funding you have had during your career to date.

Funding Source	Please check all sources of		Please indicate which funding	
	selected science portfolio -		source was the primary	
	related fund	•	funder for this research.	
	(Please selec	t all that apply)		
			Career	Last 10 years
	Career	Last 10 years		- b
NCI				
NHLBI				
NIAID				
NICHD				
NIEHS				
NIMH				
NINDS				
Other NIH				
CDC				
AHRQ				
FDA				
EPA				
HUD				
NSF				
Other US government				
(not listed above)				
Foundations				
Industry				
University discretionary/				
start-up funds				
Local, state or regional				
government				
Other,				
specify				

For your NIH-funded selected science portfolio research, please indicate which types of funding you have received. (*Please check all that apply*).

- **Research** (e.g., R01, R03, R21)
- D Program/Center (e.g., M, P and U awards)
- Career Development Individual (e.g., K awards; R23, R29)
- □ Fellowships (e.g., F awards)
- □ Institutional Training (e.g., T32)

Please describe if and how you have used NIEHS funds to leverage other resources, including monetary and non-monetary support._____

OUTPUTS

Research Outputs

Please indicate which of the following research outputs you have produced as part of this project and provide a brief description:

Research Output	Check all that apply:	Provide a brief description.
Biological Materials	 Biological material or application identified or developed as a result of the research study. 	
Databases,	 Database resulting from the research study. 	
Software, Algorithms	• Software resulting from the research study.	
0	• Algorithm resulting from the research study.	
License Agreements	 License agreement executed for intellectual property generated by the research study. 	
Measurement Instruments	 Measurement instrument developed by the research study. 	
Research Data (public or restricted)	• Research data generated by the research study.	
Economic Outcomes	• Research study findings result in a cost-effective intervention for a disease, condition, or disorder.	
	• Research study findings result in enhancement of existing resources and expertise.	
	 Research study findings result in increased performance, quality, and consistency in the delivery of health care services. 	
Health Care Outcomes	 Research study findings result in clinically effective approach in the management and treatment of a disease, disorder or condition. 	
Quality of Life	 Research study findings leads to enhancement of well-being among community members. 	

Knowledge Transfer Outputs

Please indicate which of the following knowledge transfer outputs you have produced as part of this project and provide a brief description:

Knowledge Transfer Output	Check all that apply:	Provide a brief description.
Alternative/ Informal Dissemination	 Research study is referred to or cited in a blog, tweet, wiki or other alternative mode of dissemination. Research study is cited in a presentation, speech or teaching 	
Biological	 materials. Subsequent use of a particular 	
Materials	biological material or application of the material generated by the research study in a bench study (basic science) or clinical trial study.	
	 Clinical data generated in support of marketing a biological material (BLA) generated by the research study. 	
Clinical Guidelines	 The clinical guideline refers to the research study or recommends the study for background readings. 	
Curriculum Guidelines	• The curriculum guideline refers to the research study or recommends the study for background readings.	
License Agreements	• License agreement granted for use of intellectual property generated by the research study.	
Mass Media	 Mass media publication refers to the research study. 	
Material Transfer Agreements (MTA)	 MTA executed for transfer of tangible property generated by the research study. 	
Medical Devices	 Clinical trial study testing of a medical device generated by the research study. 	
	 Clinical data generated in support of marketing a medical device (510(k); Investigational Device Exemption, IDE; or Premarket Approval, PMA) generated by the research study. 	
Meta-Analyses	 Research study cited in a meta- analysis. 	

Knowledge Transfer Output	Check all that apply:	Provide a brief description.
Pharmaceutical Preparations	 Subsequent use of a drug generated by the research study in a bench study (basic science) or clinical trial study. 	
	 Clinical data generated in support of marketing a drug (Investigational New Drug Application, IND; New Drug Application, NDA; Abbreviated New Drug Application, ANDA; or 505(b)(2)) generated by the research study. 	
Ancillary Research Studies	 Ancillary research study generated as a result of the research study. 	
New Research Studies	 New research study generated as a result of the research study. 	
Subject Headings/ Thesauri	 New subject heading or thesauri term or phrase resulting or related to the research study is applied. 	

Career Development Outputs

Career Development Output	Check all that apply:	Provide a brief description.
Leadership positions	 Serve as Center Director, Department Chair, Conference Chair, or some other leadership position 	
Employment Promotion	 Received promotion to higher level of employment, such as next level of professor, or scientist 	
Trained or Mentored Students	 Served as a mentor or trained students in the field of selected science portfolio 	

Training/Certifications Outputs

Career Development Output	Check all that apply:	Provide a brief description.
Teaching	 Taught courses in the area of the selected science portfolio 	Number of courses taught: Number of students taught:
New Investigators Recruited to NIEHS	 Recruited new investigators to submit applications to NIEHS 	Number of new investigators recruited:
Young Investigators Recruited to NIEHS	 Recruited young or early stage investigators to submit applications to NIEHS 	Number of young or early stage investigators recruited:

Describe the approaches you used to include/advance young investigators.

Which of these approaches was most effective? Describe why.

Describe the barriers you face to training the next generation of NIEHS scientists.

Dissemination Outputs

Please indicate which of the following mechanisms you have used to disseminate related knowledge and products stemming from your research. (*Please check all that apply*).

- D Published in peer-reviewed journals
- **D** Presented at scientific **conferences**
- Participated in grantee meetings
- Developed and disseminated **curricula**
- Developed and disseminated **interventions**
- Participated in the development of **clinical guidelines**
- Developed and disseminated research **tools and methods**
- Participated in **workshops or trainings** disseminating your research
- Provided scientific **testimony and briefings** to legislators
- Developed and published **websites**
- Presented research in **community forums**
- Developed fact sheets and pamphlets
- Provided information for **press releases**
- Other, please specify:_____

As part of your research, you may have had the opportunity to engage with different types of individuals and groups. Please indicate what the nature of your personal engagement has been with each of the following groups. (*Please check all that apply*)

<u>Group</u>	Share information	Conduct joint projects or artivities	Serve on boards or advisorv nanels	Provide formal testimonv	Serve as employee or consultant	No interaction
Other researchers University administration / program directors Local, regional or national health officials Environmental regulators Food and drug regulators Legislators and staffers Business and industry representatives Housing and urban development agencies Advocacy groups Community groups Other :						

Commercialization Outputs

We are interested in whether your selected science portfolio -related research, regardless of funding source, has led to the development of intellectual property.

Have you **applied** for one or more patents?

□ Yes □ No

[IF YES] Provide the patent application number(s):_____

[IF YES] What is the nature of your **patents**(s)?

- New drug
- New use of drug
- Medical product or device
- Environmental controls and services
- New process or procedure
- New research method
- New gene
- Other please specify ______

Have you **commercialized** your innovation based on your patent(s)?

Have you **licensed** your innovation(s)?

Did any **Federal agencies support** this work?

[IF YES] **Which other Federal agencies** supported this work? (*Please check all that apply*)

NCI	
NHLBI	
NIAID	
NICHD	
NIDCD	
NIEHS	
<mark>NIMH</mark>	
NINDS	
OTHER NIH	
CDC	
AHRQ	
FDA	
EPA	
HUD	
NSF	
Other US	
government (not	
listed above)	

Have you spun-off or started a **new company** based on your research related to the selected science portfolio?

I Yes I No

Community Partnership Outputs

Describe any community outreach outputs produced by your project, such as Outreach visits, interventions, curriculums, etc.:

Describe the target audience (include specific numbers of people reached if possible):

Describe the goal of the community partnership: _____

Describe the ways community partners participated in the project: ______

Describe any leadership roles community partners had in the project: ______

IMPACTS

We are interested in assessing whether you think your research will have effects on long term outcomes (now or in the near future) through a variety of pathways, including clinical practice changes, reduced exposure to environmental hazards, or changes in public behavior and advocacy. For each of the following items, please mark the 'current' box if you believe your research has already affected change in this area and the 'future potential' box if you believe it has the potential to affect change in the next 10 years.

Impact Area	Impact Timing
a. My research has led to greater understanding of selected science portfolio disease mechanisms	□Current □Future potential
Describe impact:	
 My research has led to greater understanding of individual, social, and environmental factors associated with selected science portfolio 	□Current □Future potential
Describe impact:	
 My research has led to improved environmental measurement techniques Describe impact: 	□Current □Future potential
d. My research has led to increased evidence regarding effective interventions	□Current □Future potential
Describe impact:	
e. My research has led to improved environmental control techniques	□Current □Future potential
Describe impact:	
f. My research has led to changes in education outcomes for clinical/public health students	□Current □Future potential
Describe impact:	
g. My research has led to changes in education outcomes for K-12 or families	□Current □Future potential
Describe impact:	
h. My research has led to changes in business practices regarding <u>selected science portfolio</u>	□Current □Future potential
Describe impact:	
Impact Area	Impact Timing

i.	My research has led to changes in environmental standards or regulations for <u>change to reflect selected science portfolio</u>	□Current □Future potential
j.	Describe impact: My research has led to changes in environmental	
J.	policies for <u>selected science portfolio</u>	□Current □Future potential
	Describe impact:	
k.	My research has led to changes in clinical practice relevant to <u>selected science portfolio</u>	Current Future potential
	Describe impact:	
I.	My research has led to changes in public knowledge and practices related to <u>selected</u> <u>science portfolio</u> prevention and control	□Current □Future potential
	Describe impact:	
m.	My research has led to increased public advocacy for <u>selected science portfolio</u> prevention and control	Current Future potential
	Describe impact:	
n.	My research has led to changes in behavior related to the field of selected science portfolio	□Current □Future potential

SCIENTIFIC GOALS AND OBJECTIVES

Describe the key scientific findings associated with your funded research.

Describe the impact or potential impact of these findings on public health.

Describe the impact or potential impact of these findings on the field of XXX.

RFA Goals (if applicable)

The goals of the RFAs for this portfolio include: (list goals here.)

To what extent did your project address each of these goals?

Research Agenda

What <u>selected science portfolio</u> -related research topics would you like to see included among the NIEHS extramural research priorities over the next 5-10 years to address emerging science areas or areas where there are gaps in current research

RESEARCH COLLABORATIONS

Do/Did you collaborate with other grantees who are doing similar work? Yes/No

If so, describe the collaboration:_____

Is there a collaborator or partner that you would like to work with more? Yes/No

If so, who and why? ______

What has prevented you from pursuing that relationship?_____

Is there anything NIEHS can do to help facilitate that relationship?______

PROGRAM SUPPORT

How satisfied are you with the support you received from program staff, such as grantee meetings, communications, etc.?

	Everything	Mostly	So-So	Could have	Provided	Not
	was Great	ОК	(3)	been better	little or no	Applicable
	(5)	(4)		(2)	help (1)	
Grantee meetings						
Regular communication						
Workgroups						
Sharepoint sites or similar web-						
based sharing						
Webinars						
Data or sample sharing						
Methods or technologies						
Other activities provided based						
on program or portfolio selected						

What was the most beneficial type of support you received from program staff? And why?

Are there other support activities that NIEHS program staff could have provided that would have facilitated your research project? Yes/No

If yes, please describe:_____

IF COLLABORATIVE OR CONSORTIUM TYPE FUNDING:

Describe your participation in the current consortium.

In addition to your individual grant accomplishments, what do you see as the main accomplishments of the consortium efforts? (both methods and findings)

[if history of funding from NIEHS] How does your experience with this consortium model differ from other grants you have been involved with at NIEHS?

What do you see as the primary benefits with funding this research through a consortium?

What are the primary challenges associated with funding this research through a consortium?

To what extent have joint products emerged from the consortium (e.g., joint publications, presentations, new collaborations, shared datasets, new grants?) What were the benefits and challenges involved in working on these joint products?

How satisfied are you with those efforts?

Do you anticipate continuing to collaborate with any of these consortium members after this grant is over? Please describe your plans.

LESSONS LEARNED (Note to OMB: this section will be customized for each portfolio or program evaluation to address unique characteristics of the portfolio or program, such as the funding mechanism used or partnering and collaboration requirements. Potential questions are provided below.)

Would you recommend that NIEHS use the consortium model in future funding announcements?

What (if anything) would you want to see done differently related to how the consortium was convened or managed?

Many grant programs today are specifically looking at research translation and the broader societal impacts of the use of research findings including informing regulation. Based on your experiences with this grant, what do you think is the best way to conduct research to translate findings to affect broader social impacts and/or to inform the regulatory process?

Are there any other lessons learned you would like to share regarding your participation in this grant?