

**SUPPORTING STATEMENT  
1110-0009**

**1-701 LAW ENFORCEMENT OFFICERS KILLED AND ASSAULTED PROGRAM,  
ANALYSIS OF OFFICERS FELONIOUSLY KILLED AND ASSAULTED**

**1-701a LAW ENFORCEMENT OFFICERS KILLED AND ASSAULTED PROGRAM,  
ANALYSIS OF OFFICERS ACCIDENTALLY KILLED**

A nonsubstantive change of this currently approved collection is requested in addition to a 3-year extension.

Form instructions are being included when a form is provided to a law enforcement agency.

A. Justification.

1. Necessity of Information Collection

Under the authority of Title 28, United States Code (U.S.C.), Section 534, *Acquisition, Preservation, and Exchange of Identification Records; Appointment of Officials*, June 11, 1930, the FBI Uniform Crime Reporting (UCR) Program requests data about incidents in which law enforcement officers were accidentally killed, feloniously killed, or assaulted and sustained injury with a firearm or knife/other cutting instrument in the line of duty from city, county, state, tribal, and federal law enforcement agencies throughout the country in order to generate and maintain a database to serve as the national clearinghouse for the collection and dissemination of law enforcement officers killed and assaulted statistics and to ensure publication of the annual edition of *Law Enforcement Officers Killed and Assaulted (LEOKA)*.

The Forms 1-701, *Law Enforcement Officers Killed and Assaulted Program, Analysis of Officers Feloniously Killed and Assaulted*; and 1-701a *Law Enforcement Officers Killed and Assaulted Program, Analysis of Officers Accidentally Killed* provides for the FBI LEOKA Program a detailed description of circumstances in which law enforcement officers were accidentally killed, feloniously killed, or assaulted and sustained injury with a firearm or knife/other cutting instrument in the line of duty.

This information collection is a necessity in order for the FBI to maintain a database and serve as the national clearinghouse for the collection and dissemination of law enforcement officers killed and assaulted statistics and to ensure publication of the annual edition of *LEOKA*.

## 2. Needs and Uses

LEOKA data are collected and maintained by the FBI UCR Program. Dissemination of the data are provided in the annual publication *LEOKA*. This publication serves as a valuable source of annual, as well as 5- and 10-year data on officer deaths and assaults. Officer death and assault statistics are used for research. Training centers specifically use the *LEOKA* publication as a tool to develop training initiatives in support of officer safety. In addition, UCR participants utilize the *LEOKA* data for research, as do government officials, special interest groups, academe, and all who are concerned about the men and women who serve in law enforcement. Examples of other entities utilizing the data:

- a. FBI/Quantico and the National Academy request *LEOKA* information for training purposes.
- b. City, county, state, tribal, and federal law enforcement agencies request *LEOKA* information to perform research on specific topics of interest, i.e., use of body armor, weapon information, etc.
- c. Local, state, and national legislators request *LEOKA* information.
- d. The data collection has inspired the further publication of studies entitled: *Killed in the Line-of-Duty*, *In the Line of Fire*, *Violent Encounters*, and the upcoming *Ambushes and Unprovoked Attacks; Assaults on our Nation's Law Enforcement Officers*.

## 3. Use of Information Technology

Currently, 0 percent of law enforcement agencies submit Forms 1-701 and 1-701a electronically. These forms are available as printable PDF documents on the FBI Intranet. The path to access these forms is <<http://cjis.fbinet.fbi/opb/less/csmu/csmuleoka.htm>>.

The FBI is working to help law enforcement agencies participate in the *LEOKA* data collection with the FBI Criminal Justice Information Services (CJIS) Division's UCR Redevelopment Project (UCRRP). The UCRRP will manage the acquisition, development, and integration of a new information systems solution which affects UCR participating local, state, tribal, and federal law enforcement agencies. The UCRRP's goal is to improve UCR efficiency, usability, and maintainability while increasing the value to users of UCR products. The UCRRP will reduce, to the point of elimination, the exchange of printed materials between submitting agencies and the FBI and replace those with electronic submissions. The FBI UCR Program has begun the process of minimizing the exchange of paper for crime reporting purposes. In July 2013, the FBI UCR Program began moving submitting agencies away from paper submissions. After a period of transition, the expectation is to have all data interfaces electronically managed. The goal is to have minimal paper burden on the public. The UCRRP is developing a *LEOKA* wizard utility/tool for the electronic transmission of *LEOKA* data submissions.

#### 4. Efforts to Identify Duplication

This information collection was authorized in direct response to the enactment of Title 28, United States Code (U.S.C.), Section 534, *Acquisition, Preservation, and Exchange of Identification Records; Appointment of Officials*, June 11, 1930. The FBI UCR Program is the only agency collecting extensive data on law enforcement officers killed and assaulted in the line of duty.

#### 5. Minimizing Burden on Small Entities

This information collection will have no significant impact on small businesses. Law enforcement agencies are requested to complete and submit either Form 1-701 or 1-701a when a law enforcement officer is killed, feloniously or accidentally, or assaulted with injury by a firearm or a knife/other cutting instrument. The data is not submitted on a quarterly, monthly, or annual basis but submitted at the time of the incident.

#### 6. Consequences of Not Conducting or Less Frequent Collection

In order to serve as the national repository for crime reporting and to produce a reliable dataset, the FBI collects statistics on LEOKA data reported by law enforcement agencies at the time of the incident. There is an ever-increasing need for timely and accurate accounting of law enforcement officers killed in the line of duty.

Although monthly, quarterly, and yearly reports are sent to the FBI UCR Program for all other FBI UCR forms, the LEOKA Forms 1-701 and 1-701a are unique in a way that they are completed and sent to the FBI UCR Program at the time when the LEOKA incident occurs.

#### 7. Special Circumstances

It is only when an officer has been accidentally killed in the line of duty a victim officer's agency is contacted and requested to complete Form 1-701a or it is when an agency has reported an officer feloniously killed or an officer assaulted with injury by a firearm or a knife/other cutting instrument that the agency is contacted and requested to complete Form 1-701. The victim officer's agency has 30 days to complete the 1-701 or 1-701a when an officer is feloniously or accidentally killed. The victim officer's agency has 45 days to complete the 1-701 when an officer is assaulted with injury by a firearm or a knife/other cutting instrument. Participation in the FBI LEOKA Program is voluntary and it is not required for an agency to report officer deaths/assaults.

8. Public Comments and Consultations

The 60 and 30 day notices have been submitted to the Forms Desk and published in the Federal Register with no public comments received.

9. Provision of Payments or Gifts to Respondents

The FBI UCR Program does not provide any payment or gift to respondents.

10. Assurance of Confidentiality

Forms 1-701 and 1-701a collect the name of the killed/assaulted officer and also the name and FBI number of the offender. According to FBI UCR Program policy and procedural safeguards, only the victim's name can be released for condolence purposes. Although, these data are obtained from public agencies and are, therefore, in the public domain, the FBI UCR Program does not assure confidentiality.

11. Justification for Sensitive Questions

Forms 1-701 and 1-701a do not collect information of a sensitive nature.

12. Estimate of Respondent's Burden

The estimated respondent's burden for this data collection is as follows:

|                                    |     |
|------------------------------------|-----|
| Number of respondents              | 184 |
| Number of responses per respondent | 1   |
| Total annual responses             | 184 |
| Minutes per response               | 60  |
| Annual hour burden                 | 184 |

13. Estimate of Cost Burden

There are no direct costs to law enforcement to participate in the FBI UCR Program other than their time to respond. Respondents are not expected to incur any capital, start-up, or system maintenance costs associated with this information collection.

#### 14. Cost to Federal Government

According to the cost object provided by FBI CJIS, Resource Management Section, Financial Management Unit, the following are generalized projections based upon prior collection activity as well as activities anticipated over the next three years for the FBI UCR Program.

##### Staff Costs for Data Collection and Processing

|                                  |                  |
|----------------------------------|------------------|
| CSMU Correspondence/Documents    | \$458,966        |
| Data Requests                    | \$162,592        |
| Data Collection/Analysis         | \$1,771,397      |
| <u>Publications/Reports</u>      | <u>\$307,368</u> |
| Total Cost to Federal Government | \$2,700,323      |

#### 15. Reason for Change in Burden

There is no increase in burden on the individual respondents; however, the overall annual burden hours have decreased. This is an adjustment; a decrease from 243 to 184 which is a decrease of 59 due to the reduction in the number of respondents. The number of respondents would vary from year to year because the respondent is only contacted when a line-of-duty death/assault is reported.

#### 16. Anticipated Publication Plan and Schedule

The *LEOKA* publication is released annually. There is a yearly time line prepared in order to meet publication deadlines. National, regional, and state data are published in the annual edition of *LEOKA*.

|   |   |
|---|---|
| Request for completion of forms         | As incident occur                       |
| Request for missing forms               | Bi-monthly                              |
| Deadline to submit data                 | Mid-May                                 |
| Data processing/analysis                | Continuously                            |
| Publication of data                     | <i>LEOKA</i> /October of following year |
| Publication of assault with injury data | <i>LEOKA</i> /October of following year |

Currently our publication/dissemination plans are being phased in for this *LEOKA* form. The publication to be released in the latter part of 2014 will include new information on assault with injury in addition to the information that has been collected on prior versions of this form. In addition, we are migrating from a paper-based collection on this form to a web-based collection that we anticipate to be ready in the next 12 to 18 months. Once the web-based collection is fully deployed, any non-sensitive data on this form will be available for release upon request.

17. Display of Expiration Date

The FBI UCR Program will display the expiration date for OMB approval of the information collection.

18. Exception to the Certification Statement

The FBI UCR Program does not request an exception to the certification of this information collection.