

**UI REPORTS HANDBOOK NO. 401**

**ETA 9016 Alien Claims Activity Report**

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**A. Facsimile of Form**

ETA 9016 - ALIEN CLAIMS ACTIVITIES

STATE	REGION	REPORT FOR PERIOD ENDING
1.	Initial claims where claimant is not a citizen	
2.	Number of claimants whose alien status was verified through the INS primary system	
3.	Number of secondary verifications	
4.	Nonmonetary determinations regarding alien status	
5.	Denials attributable to alien status	

Comments:

**OMB No.:** 1205-0268      **OMB Expiration Date:** 9/30/2014      **OMB Burden Hours:** 60 Minutes  
**OMB Burden Statement:** These reporting instructions have been approved under the Paperwork reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a valid OMB control number. Public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is required to obtain or retain benefits under SSA 303(a)(6). Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Workforce Security, Room S-4231, 200 Constitution Ave., NW, Washington, DC, 20210.

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**B. Purpose**

The Immigration Reform and Control Act (IRCA) of 1986, Public Law 99-603, amended the Social Security Act by adding to Section 1137 - "Income and Eligibility Verification System." Section 1137 provisions require States to verify, through the Immigration and Naturalization Service (INS), the legal status of all aliens applying for benefits under certain federally assisted and federally funded programs, including Unemployment Compensation.

To facilitate the required verification, INS developed the Systematic Alien Verification for Entitlement (SAVE) system. SAVE consists of automated and manual procedures by which states obtain information about an alien's immigration status that will allow them to determine the alien's eligibility for unemployment compensation.

The information provided on the ETA 9016 Report is used by the Department of Labor to:

1. Assess the magnitude of alien claims and issues affecting eligibility;
2. Make decisions as to the appropriateness and value of state use of the SAVE system; and
3. Determine whether a state's administrative costs associated with SAVE are reasonable.

**C. Due Date and Transmittal**

The report is due in the ETA National Office on the 25th day of the month following the quarter to which the data relates. This report should be transmitted electronically.

**D. General Reporting Instructions**

Data should be reported for those claimants who indicated or were otherwise discovered to not be a citizen of the United States.

Edit checks can be found in Handbook 402, Unemployment Insurance Required Reports User's Manual, Appendix C.

**E. Definitions**

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1. Primary Verification. An inquiry by an automated access method to the INS data base. The INS reply provides identifying information on an alien, including the following fields: name, date of birth, country of birth, SSN, and INS status.
2. Secondary Verification. An inquiry of an alien's immigration status after, or in lieu of, using the INS primary verification process. Verification is performed by using various automated or manual sources.

#### F. Item by Item Instructions

1. Enter the number of new initial and additional claims filed during the quarter where the claimant indicated or was otherwise discovered not to be a citizen of the United States. The numbers reported for this item should match the alien initial claims workload data on the UI-3 Report.
2. Enter the number of claimants verified through the INS designated primary system during the quarter. This includes inquires for new, additional, and reopened claims at time of filing and any inquires made which were required during the continued claim process. Those states for which the Secretary of Labor has granted a waiver from participation in primary verification process will enter zero for this item.
3. Enter the number of secondary verifications made through the INS during the quarter.
4. Enter the number of nonmonetary determinations during the quarter pertaining to immigration status, regardless of whether or not the issues were detected through the SAVE process. Include authorization to work issues of noncitizens with visas. Omit other issues, such as separation or availability, that do **not** involve alien status. *Note:* determinations counted here should also be included on the ETA 9052 Report.
5. Enter the number of denials resulting from issues in number 4 above.