



Welcome to the FinCEN Feedback Survey 2015

OMB Control Number 1506-0062

The U.S. Financial Crimes Enforcement Network (FinCEN) is interested in improving our customer service and the quality of our products. We're particularly interested in assessing the impact of Bank Secrecy Act (BSA) data on your investigations. Please take a minute to tell us how we are doing.

* An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information from the public unless the collection of information displays a valid OMB control number. That number is 1506-0062. Please contact us with any comments or questions regarding this process or suggestion for making it simpler.

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Background Information

1. What type of product are you providing feedback on?

Other (please specify)

2. What is the case or serial number of the FinCEN product that you are providing feedback on?

3. What is your role?

* 4. What type of organization do you work for?

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International Agency Information

5. Which international agency do you work for?

Other (please specify)

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State Agency Information

6. Which state, local, or tribal agency do you work for?

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Federal Agency Information

7. Which US federal agency do you work for?

Other (please specify)

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Investigation Details

8. Did the information in this report help support an investigation?

Other (please specify)

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Investigation Details

9. In what way did the information assist your investigation?

- Provided new information
- Helpful in moving toward an indictment or other enforcement action
- Expanded investigation
- Identified new leads/subjects
- Verified existing information

Other (please specify)

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Criminal investigation details

10. Did this information support a criminal investigation?

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Criminal Investigation Details

Please provide as much information as possible regarding criminal investigations. For the following question, if the case is being heard or adjudicated in the U.S. Court of International Trade, the Supreme Court, the U.S. Court of Federal Claims, Courts of Appeals, Bankruptcy, or legislative courts, please select the comment box labeled "other." International organizations that wish to provide this information should also select the box labeled "other."

11. District in which case is being heard or adjudicated

Other (please specify)

12. Prosecuting Office

Other (please specify)

13. Agency Case Number

14. Court Assigned Case Number

15. Business Entities

Number of Business Entities Charged

Number of Business Entities Convicted or Pleaded Guilty

16. Natural Persons Under Investigation

Number of Natural Persons Under Investigation

Number of Natural Persons Charged

Number of Natural Persons Taken Into Custody or Arrested

17. Asset Information

Forfeiture Amount

Total Court Ordered Criminal Fine Amount

Total Court Ordered Restitution Amount

18. Were money laundering or BSA violations charged in the case?

Yes

No

If your answer was "yes" please briefly describe the nature of the offense.

19. Was terrorism or terrorist financing charged in the case?

Yes

No

If your answer was "yes" please briefly describe the nature of the offense.

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Civil Enforcement Details

20. Did this information support a civil enforcement action?

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Civil Enforcement Details

Please provide as much information as possible regarding civil enforcement actions. For the following question, if the case is being heard or adjudicated in the U.S. Court of International Trade, the Supreme Court, the U.S. Court of Federal Claims, Courts of Appeals, Bankruptcy, or legislative courts, please select the comment box labeled "other." International organizations that wish to provide this information should also select the box labeled "other."

21. District in which case is being heard or adjudicated

Other (please specify)

22. Prosecuting Office

Other (please specify)

23. Agency Case Number

24. Court Assigned Case Number

25. Natural Persons

Number of Natural
Persons Under
Investigation

Number of Natural
Persons Assessed a Civil
Monetary Penalty

Number of Natural
Persons Barred from the
Financial Industry

26. Business Entities

Number of Business
Entities Under
Investigation

Number of Business
Entities Assessed a Civil
Monetary Penalty

Number of Financial
Institutions Removed

27. Penalty Information

Civil Monetary Penalty
Amount

Disgorgement Amount

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Follow-up

28. Thank you for your feedback. If possible, please provide us with a date in MM/DD/YYYY format when we can follow-up with you on the status of the investigation.

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Thanks for taking the time to complete the survey

29. Thank you for taking the time to provide us with your feedback. Please let us know if we missed anything or if there is anything else we can do to improve the quality of our support.