

Appendix : Informed Consent/Privacy Act Notice for Individual Interviews

The Consumer Financial Protection Bureau (CFPB), a U.S. Federal government agency, has entered into an interagency agreement with the Library of Congress (LC) Federal Research Division (FRD) to undertake research and conduct a series of interviews to learn more about existing collaborative efforts to increase prevention, awareness, and action on senior financial exploitation and abuse.

What is the purpose of this project?

The purpose of this project is to identify the benefits of collaborative efforts to address elder financial exploitation and abuse, their measures of impact, and key features and practices that can be used in a replication guide. As part of this project, you have been asked to participate in a one-on-one discussion that will take no more than two hours of your time.

Privacy

Notice of Collection under the Privacy Act (Privacy Act Statement)

5 U.S.C. 552(a)(e)(3)

The information you provide through your participation and responses will assist the CFPB in learning more about existing collaborative efforts to increase prevention, awareness, and action on senior financial exploitation and abuse. Identifying information collected to facilitate the interviews includes your name, professional affiliation (i.e., job title, work contact information), network affiliation, your responses and input provided in the discussion, and audio recordings of your participation.

Notes will be taken during the session and the session will be audiotaped and then transcribed to provide an accurate record of the discussion. The notes and transcripts will be used to create a report and guide shared with the CFPB and the public. Only project staff members from CFPB and LC/FRD with access to notes and transcripts will be able to see your responses or to use the audio recordings.

This collection of information is authorized by Pub. L. No. 111-203, Title X, Sections 1013 and 1022, codified at 12 U.S.C. §§ 5493 and 5512. Information collected will be treated in accordance with the System of Records Notice (“SORN”), CFPB.021 – CFPB Consumer Education and Engagement Records [77 FR 60382. This information will not be disclosed as outlined in the Routine Uses for the CFPB.021 Consumer Education and Engagement SORN and will otherwise not be shared except to the extent required by law, in accordance with this notice, or as otherwise outlined in this consent form.

Your participation is voluntary. You are not required to provide any identifying information, and you may refuse to answer any questions at your discretion or may refuse to have your participation audiotaped as outlined in this consent form.

Risks

While we will not use your name in the reports or the names of any other person that you share with us, we may use direct quotes from the interviews, in which case we will only identify people by their role in the collaborative effort, for example: “law enforcement member in Jefferson County TRIAD, Colorado.” Therefore, people may be able to attribute ideas or directly to you by name or inference. To mitigate any risk, we will provide you with an opportunity to review the transcript, delete any information that makes you uncomfortable, and send the transcript back to us. You may also take that opportunity to add clarifications or additional information.

There are no questions about demographics, income, age, health, etc. This project only involves you giving us some information about the network with which you are affiliated and your role in that network. The project only collects your opinion about the activities, benefits, and effectiveness of that

collaborative effort. We recognize that you might be uncomfortable answering some questions, and you may refuse to answer those questions.

Right to Refuse or Withdraw

Participation is completely voluntary. You may choose not to participate or to discontinue our conversation at any time for any reason. You may choose not to answer a question for whatever reason. If you choose to stop the conversation, you have the right to withdraw any part of the conversation that has already occurred. Refusal to participate involves no penalty or loss of benefits. You may also choose to not have our conversation audio recorded and still participate in the discussion. Should you allow us to record the conversation, we will give you the opportunity to review the transcript and to add clarifications or to delete anything with which you are uncomfortable.

Benefits

Although there are no direct benefits to you, by sharing your thoughts and ideas you will help us and CFPB better understand how to address senior financial exploitation and abuse.

Paperwork Reduction Act

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and notwithstanding any other provision of law, a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The OMB control number for this collection is 3170-0036. It expires on 06/30/2016. The time required to complete this in-person information collection is estimated to average approximately two hours per response. The obligation to respond to this collection of information is voluntary. Comments regarding this collection of information, including the estimated response time, suggestions for improving the usefulness of the information, or suggestions for reducing the burden to respond to this collection should be submitted to the Consumer Financial Protection Bureau (Attention: PRA Office), 1700 G Street NW, Washington, DC 20552, or by email to PRA@cfpb.gov.

Contact Information

If you have questions about your rights as a participant, you may contact Sandra W. Meditz at the Library of Congress Federal Research Division at 202-707-2888 or smed@loc.gov. Please sign below to indicate that you have read the above and agree to take part in this interview.

I have reviewed this form and hereby authorize the Consumer Financial Protection Bureau and the Library of Congress to record my participation by audiotape, use quotes from this interview, and refer to my professional affiliation as specified above in the reports and other educational materials arising from this research project. I accept that no royalties, compensation, or residuals will be paid for this use. I waive any right to inspect and approve the rough cut, draft, or finished product(s).

Please print your name:	
Please sign your name:	
Witness signature:	
Date:	

Appendix : Informed Consent/Privacy Act Notice for Group Discussion Participants

The Consumer Financial Protection Bureau (CFPB), a U.S. Federal government agency, has entered into an interagency agreement with the Library of Congress (LC) Federal Research Division (FRD) to undertake research and conduct a series of interviews to learn more about existing collaborative efforts to increase prevention, awareness, and action on senior financial exploitation and abuse.

What is the purpose of this project?

The purpose of this project is to identify the benefits of collaborative efforts to address elder financial exploitation and abuse, their measures of impact, and key features and practices that can be used in a replication guide. As part of this project, you will participate in a group discussion that will take no more than two hours of your time.

Privacy

Notice of Collection under the Privacy Act (Privacy Act Statement)

5 U.S.C. 552(a)(e)(3)

The information you provide through your participation and responses will assist the CFPB in learning more about existing collaborative efforts to increase prevention, awareness, and action on senior financial exploitation and abuse. Identifying information collected to facilitate the interviews includes your name, professional affiliation (i.e., job title, work contact information), network affiliation, your responses and input provided in the discussion, and audio recordings of your participation.

Notes will be taken during the session and the session will be audiotaped and then transcribed to provide an accurate record of the discussion. The notes and transcripts will be used to create a report and guide shared with the CFPB and the public. Only project staff members from CFPB and LC/FRD with access to notes and transcripts will be able to see your responses or to use the audio recordings.

This collection of information is authorized by Pub. L. No. 111-203, Title X, Sections 1013 and 1022, codified at 12 U.S.C. §§ 5493 and 5512. Information collected will be treated in accordance with the System of Records Notice (“SORN”), CFPB.021 – CFPB Consumer Education and Engagement Records [77 FR 60382. This information will not be disclosed as outlined in the Routine Uses for the CFPB.021 Consumer Education and Engagement SORN and will otherwise not be shared except to the extent required by law, in accordance with this notice, or as otherwise outlined in this consent form.

Your participation is voluntary. You are not required to provide any identifying information, and you may refuse to answer any questions at your discretion or may refuse to have your participation audiotaped as outlined in this consent form.

Risks

While we will not use your name in the reports or the names of any other person that you share with us, we may use direct quotes from the interviews, in which case we will only identify people by their role in the collaborative effort, for example: “law enforcement member in Jefferson County TRIAD, Colorado.” Therefore, people may be able to attribute ideas or directly to you by name or inference. To mitigate any risk, we will provide you with an opportunity to review the transcript, delete any

information that makes you uncomfortable, and send the transcript back to us. You may also take that opportunity to add clarifications or additional information.

There are no questions about demographics, income, age, health, etc. This project only involves you giving us some information about the network with which you are affiliated and your role in that network. The project only collects your opinion about the activities, benefits, and effectiveness of that collaborative effort. We recognize that you might be uncomfortable answering some questions, and you may refuse to answer those questions.

Right to Refuse or Withdraw

Participation is completely voluntary. You may choose not to participate or to discontinue our conversation at any time for any reason. You may choose not to answer a question for whatever reason. If you choose to stop the conversation, you have the right to withdraw any part of the conversation that has already occurred. Refusal to participate involves no penalty or loss of benefits. You may also choose to not have our conversation audio recorded and still participate in the discussion. Should you allow us to record the conversation, we will give you the opportunity to review the transcript and to add clarifications or to delete anything with which you are uncomfortable.

Benefits

Although there are no direct benefits to you, by sharing your thoughts and ideas you will help us and CFPB better understand how to address senior financial exploitation and abuse.

Paperwork Reduction Act

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this collection is 3170-0036. It expires on 06/30/2016. The time required to complete this information collection is estimated to average approximately two hours per response. The obligation to respond to this collection of information is voluntary. Comments regarding this collection of information, including the estimated response time, suggestions for improving the usefulness of the information, or suggestions for reducing the burden to respond to this collection should be submitted to the Consumer Financial Protection Bureau (Attention: PRA Office), 1700 G Street NW, Washington, DC 20552, or by email to PRA@cfpb.gov.

Contact Information

If you have questions about your rights as a participant, you may contact Sandra W. Meditz at the Library of Congress Federal Research Division at 202-707-2888 or smed@loc.gov. Please sign below to indicate that you have read the above and agree to take part in this interview.

Please print your name:	
Please sign your name:	
Witness signature:	
Date:	