

**SUPPORTING STATEMENT
ALASKA REGION BSAI CRAB PERMITS
OMB CONTROL NO. 0648-0514**

This request is for revision and extension of an existing collection. One information collection, the eligible crab community organization (ECCO) annual report, is being transferred from OMB Control No. 0648-0570.

INTRODUCTION

The king and Tanner crab fisheries in the exclusive economic zone of the Bering Sea and Aleutian Islands (BSAI) are managed under the Fishery Management Plan for Bering Sea and Aleutian Islands King and Tanner Crabs (FMP). The North Pacific Fishery Management Council (Council) prepared the FMP under the [Magnuson-Stevens Fishery Conservation and Management Act](#) (Magnuson-Stevens Act) as amended in 2006. National Marine Fisheries Service (NMFS), Alaska Region manages the crab fisheries in the waters off the coast of Alaska under the FMP. Regulations implementing the FMP appear at [50 CFR part 680](#).

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

The Crab Rationalization Program (CR Program) allocates Bering Sea and Aleutian Islands Management Area (BSAI) crab resources among harvesters, processors, and coastal communities. The Council developed the Program over a 6-year period to accommodate the specific dynamics and needs of the BSAI crab fisheries. The CR Program is a limited access system that balances the interests of several groups who depend on these fisheries. The CR Program addresses conservation and management issues associated with the previous derby fishery, reduces bycatch and associated discard mortality, and increases the safety of crab fishermen by ending the race for fish.

2. 1Explain how, by whom, how frequently, and for what purpose the information will be used. 1If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

NMFS established the CR Program as a catch share program for nine crab fisheries in the BSAI, and assigned quota share (QS) to persons and processor quota share (PQS) to processors based on their historic participation in one or more of these nine crab fisheries during a specific period. The CR Program components include QS allocation, PQS allocation, individual fishing quota (IFQ) issuance, and individual processing quota (IPQ) issuance, quota transfers, use caps, crab harvesting cooperatives, protections for Gulf of Alaska groundfish fisheries, arbitration system, monitoring, economic data collection, and cost recovery fee collection.

IFQ is the pounds of crab that QS yields each year. It is determined by number of QS units held and the annual crab total allowable catch (TAC) amount. The QS holder or a hired master can fish IFQ, and IFQ may be assigned to a cooperative.

IPQ is the pounds of crab that PQS yields each year. IPQ is needed to receive any amount of crab harvested under a Class A IFQ permit. The amount of IPQ issued every year is equal to the pounds issued as Class A IFQ. Non-individuals holding QS must submit an affidavit on an annual basis, along with the Annual Application for Crab IFQ/IPQ Permit, to attest to whether an affiliation exists between a PQS or IPQ holder and the IFQ recipient.

Each year, a person who holds QS may receive an exclusive harvest privilege (IFQ or IPQ) for a portion of the annual TAC. Under the CR Program, QS holders can form cooperatives to pool the harvest of the IFQ on a few vessels.

Applicants had a one-time closed period in which to apply for and receive harvesting and processing QS or PQS. Holders of QS or PQS apply each year by August 1 for an annual allocation of IFQ or IPQ. As part of that application, IFQ holders can assign their allocation for each fishery to a cooperative. Only persons who were eligible and who applied in a timely manner were issued QS or PQS initially. Under the CR Program, NMFS issued four types of QS.

- ◆ Catcher vessel owner (CVO) QS -- assigned to holders of License Limitation Program (LLP) licenses who delivered their catch onshore or to stationary floating crab processors
- ◆ Catcher/processor owner (CPO) QS -- assigned to LLP holders that harvested and processed their catch at sea
- ◆ Catcher/processor crew (CPC) QS – issued to captains and crew onboard catcher/processors
- ◆ Catcher vessel crew (CVC) QS – issued to captains and crew onboard catcher vessels

The CR Program seeks to ensure that communities that were historically active as processing ports continue to receive socioeconomic benefits from crab deliveries. To accomplish this, the CR Program imposes regional delivery requirements, and, for the Western Aleutian Islands golden king crab fishery, allocates 10 percent of the TAC to the Adak community. The specific geographic regions are based on historic geographic delivery and processing patterns.

a. Application for annual crab IFQ permit [ADJUSTED]

NMFS issues annual Individual Fishing Quota (IFQ) permits to eligible persons who hold quota share (QS). IFQ permits are issued for a combination of fishery harvesting sector, region, and class and may bear multiple fisheries. An IFQ permit authorizes the permit holder to harvest a specific amount of a crab, under the terms and conditions set out on the permit.

An IFQ permit is valid only during the crab year for which it is issued. Because issuance of the correct amount and type of IFQ is entirely dependent on information provided by QS holders on their annual applications, an application must be received by NMFS no later than August 1.

The Application for Annual Crab IFQ Permit may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. The crab IFQ permit application may be submitted by mail, by fax, or by courier.

This application cannot be processed or approved unless a person has submitted to NMFS an Economic Data Report (EDR) under OMB Control No. 0648-0518, and paid all outstanding fees.

Application for annual crab IFQ permit

Block A – Applicant information

- Name and NMFS Person ID
- Date of birth or incorporation
- Permanent business mailing address
- Temporary business mailing address (*optional*)
- Business telephone number, business fax number and business e-mail address

Block B – Type of Annual IFQ for which Application Is Made

- Mark blocks to indicate intended fisheries
- If applicant intends to join a cooperative, complete Block C

Block C –Cooperative IFQ Assignment

- To be completed if some or all of applicant’s IFQ is to be assigned to a crab harvesting cooperative
- Enter the name of the cooperative(s) for each crab fishery
- If Applicant has joined the same crab harvesting cooperative for all crab fisheries, list the cooperative name in the row named ALL QS FISHERIES

Block D--Identification of ownership interests

- To be completed if applicant is not an individual (i.e. is a corporation, partnership or some other entity)
- Enter the name(s) of all owners of the Applicant and the percent of ownership
- If a listed owner is not an individual, provide the same information for each such owner until all owners, and their percent of ownership, is revealed to the individual level

Block E – Declaration of Affiliation

- Check whether applicant is affiliated or not affiliated with any entity that holds PQS or IPQ
- If applicant is “affiliated” with an entity that holds PQS and/or IPQ, list all holders of PQS or IPQ by name, business address, and business telephone number

Block F – Applicant signature

- Printed name and signature of applicant and date signed
- If completed by an authorized representative, **attach** authorization

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current numbers of IFQ permit holders.

Application for annual Crab IFQ permit, Respondent	
Number of respondents	196
Total annual responses	196
Frequency of response = 1	
Total burden hours	490 hr
Time per response = 150 min	
Total personnel cost (\$37/hr x 490)	\$18,130
Total miscellaneous costs (363.10)	\$363
Postage (.45 x 150 = 67.50)	
Photocopy (2pp x .05 x 196 = 19.60)	
Fax (\$6 x 46 = 276)	

Application for Crab IFQ permit, Federal Government	
Number of responses	196
Total burden hours	49 hr
Time per response = 15 min	
Total personnel costs (\$37/hr x 49)	\$1,813
Total miscellaneous costs	0

b. Application for annual crab IPQ [ADJUSTED]

NMFS issues annual Individual Processing Quota (IPQ) permits to eligible persons who hold PQS. IPQ permits are issued for combinations of fishery, region, and ROFR community. An IPQ permit authorizes their holders to process a specific amount of a crab, under the terms and conditions set out on the permit.

An IPQ permit is valid only during the crab year for which it is issued. Issuance of the correct amount and type of IPQ is entirely dependent on information provided by PQS holders on their annual applications. Deadline: an application must be received by NMFS no later than August 1.

The Application for Annual Crab IPQ Permit may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. The crab IPQ permit application may be submitted by mail, by fax, or by courier.

This application can be processed or approved only if a person has submitted to NMFS an Economic Data Report (EDR) and paid all outstanding fees.

Application for annual crab IPQ permit

Block A – Applicant information

- Name and NMFS Person ID
- Date of birth or incorporation
- Permanent business mailing address
- Temporary business mailing address (optional)
- Business telephone number, business fax number and business e-mail address

Block B – Type of Annual IPQ for which Application Is Made

- Mark blocks to indicate intended fisheries

Block C--Identification of ownership interests

- To be completed if applicant is not an individual (i.e. is a corporation, partnership or some other entity)
 - Enter the name(s) of all owners of the Applicant and the percent of ownership
 - If a listed owner is not an individual, provide the same information for each such owner until all owners, and their percent of ownership, is revealed to the individual level

Block D – Applicant signature

- Printed name and signature of applicant and date signed
- If completed by an authorized representative, **attach** authorization

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current rates in Alaska. In addition, the number of respondents is changed to reflect current numbers of IPQ permit holders.

Application for Crab IPQ permit, Respondent	
Number of respondents	105
Total annual responses	105
Frequency of response = 1	
Total burden hours (262.50)	263 hr
Time per response = 150 minutes	
Total personnel costs (\$37/hr x 263)	\$9,731
Total miscellaneous costs (\$85.50)	\$86
Annual postage (\$0.45 x 100 = \$45)	
Annual fax (\$6 x 5 = \$30)	
Photocopy (2pp x \$0.05 x 105 = \$10.50)	

Application for Crab IPQ permit, Federal Government	
Number of responses	105
Total burden hours (26.25)	26 hr
Time per response = 15 min.	
Total personnel costs (\$37/hr x 26)	\$962
Total miscellaneous costs	0

c. Application for an annual crab harvesting cooperative IFQ permit [ADJUSTED]

NMFS requires a cooperative to form as a legal business entity registered under the laws of one of the 50 states or the District of Columbia. The cooperative must organize according to the requirements of the 1934 Fisherman's Collective Marketing Act (FCMA) (15 U.S.C. 521). The intent of the FCMA is to provide fishermen, acting through fishery cooperatives, an opportunity to compete on the same basis as an individual corporation. Because there is no waiver of antitrust laws in the Magnuson-Stevens Act and because the only exemption from antitrust law for fishing cooperatives is provided by the FCMA, crab-harvesting cooperatives are required to be organized and operate in a manner that is consistent with requirements of the FCMA.

The FCMA allows fishermen to jointly harvest, market, and price their product without being in violation of antitrust laws. Using cooperatives allows harvesting with fewer vessels and allows cost and revenue sharing. A cooperative negotiates prices on behalf of its members with the processors that purchase crab from the vessels. The cooperative holds market power which enables it to negotiate better prices. Crab harvesting cooperatives are free to associate with one or more processors to the extent allowed by antitrust law.

A QS holder may only join one cooperative per crab fishery. All holders of QS in the CR fisheries are eligible for membership in a cooperative; however, each cooperative must have a four or more distinct QS holders (not affiliated with the other members in that cooperative). QS holders can voluntarily form a crab harvesting cooperative for the purpose of applying for and fishing under a crab harvesting cooperative IFQ permit issued by NMFS. When a QS holder joins a crab-harvesting cooperative at the start of the crab-fishing year, all of his or her IFQ for that fishery is assigned to the crab-harvesting cooperative.

Crab-harvesting cooperatives do not hold QS; they hold and use only the IFQ assigned to the cooperative by members. The amount of crab authorized by the permit is derived from the aggregate IFQ amounts that would otherwise have been issued to the members of the cooperative. NMFS issues each cooperative a separate IFQ permit for each type of QS held by

its members. A cooperative that has cooperative IFQ is not allowed to hold QS directly. This prohibition on cooperatives holding QS is necessary to maintain the regulatory distinctions between individually-held IFQ and cooperative IFQ, and to simplify the administration of the CR Program.

Because cooperative IFQ permits are annual permits and cooperatives are required to apply annually for each year's cooperative IFQ permit, any changes in cooperative membership that occur between fishing seasons should be reflected in the following year's cooperative IFQ permit application. If the change to cooperative membership is approved, NMFS issues an amended IFQ permit to the cooperative reflecting the change in membership. The same process may be used by a cooperative to accommodate the rights of a successor-in-interest in the event that a member dies (in the case of an individual), or dissolves (in the case of a business entity).

Each crab-harvesting cooperative must appoint a designated representative to act on the cooperative's behalf and serve as contact point for questions regarding the operation of the cooperative. The designated representative may be a member of the cooperative or some other individual authorized by the cooperative to act on its behalf.

Deadline: Crab-harvesting cooperatives must annually apply by August 1 to NMFS to receive a crab harvesting cooperative IFQ permit. The signed annual applications for crab IFQ permit of each of the members of the crab-harvesting cooperative must be attached.

The Application for Annual Crab Harvesting Cooperative IFQ Permit may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. The crab cooperative IFQ permit application may be submitted by mail, by fax, or by courier.

Application for annual crab harvesting cooperative IFQ permit

Block A – Identification of Cooperative

- Name of Cooperative
- Date of Incorporation
- Business Mailing Address of Cooperative
- Business Mailing Address of Designated Representative (if different from Cooperative)
- Type of business entity
- State in which the cooperative is legally registered as a business entity
- Business Telephone Number
- Business Fax Number
- Business E-mail Address
- Name and signature of Designated Representative
- Date Signed

Block B – Members of The Cooperative

- Name of QS Holder
- NMFS Person ID

Attachments

- A copy of the Cooperative's business license
- A copy of the Cooperative's Articles of Incorporation or Partnership Agreement; and
- A copy of the Cooperative Agreement (if different from Articles)

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current numbers of IPQ permit holders.

Application for Annual Crab Harvesting Cooperative IFQ Permit, Respondent	
Total annual respondents	10
Total annual responses	10
Frequency of response = 1	
Total burden hours	25 hr
Time per response = 2.5 hr	
Total personnel costs (\$37/hr x 25)	\$925
Total miscellaneous cost (16.60)	\$17
Postage (.45 x 8 = 3.60)	
Photocopy (2pp x .05 x 10 = 1.00)	
Fax (\$6 x 2 = \$12)	

Application for Annual Crab Harvesting Cooperative IFQ Permit, Federal Government	
Total annual responses	10
Total burden hours	5 hr
Time per response = 30 minutes	
Total personnel costs (\$37/hr x 5)	\$185
Total miscellaneous costs	0

d. Application for Annual Crab Converted CPO QS and CPO IFQ [ADJUSTED]

An eligible entity and its commonly owned affiliates may combine North PQS and North catcher vessel owner quota share (CVO QS) and exchange these shares for newly created converted catcher/processor owner quota shares (CPO QS). Allowing entities to convert PQS and CVO QS to CPO QS allows them to harvest and process crab onboard a catcher/processor. Electing to exercise this provision could reduce each eligible entity’s operating costs associated with purchasing crab, processing crab on land or in a stationary floating processor (SFP), and complying with the CR Program’s arbitration system.

Eligible entities would receive one unit of North CPO QS in exchange for one unit of North CVO QS and 0.9 units of North PQS. The amount of converted CPO QS issued to each entity could not exceed 1 million pounds during any calendar year.

According to the NMFS Official Record, three individual entities are eligible for these provisions. Yardarm Knot, LLC, and its commonly owned affiliates, and Blue Dutch, LLC, and its commonly owned affiliates, are eligible for the first type of conversion. Trident Seafoods, and its commonly owned affiliates, are eligible for the second type of conversion. NMFS cannot predict the annual amount of converted CPO QS to be issued, because the participants would annually elect to exercise this provision and need not request conversion of all CVO QS and PQS held.

While the statutory language does not specifically define which fisheries are subject to this provision, NMFS created CPO QS for the snow crab and Bristol Bay red king crab fisheries,

because these were the only fisheries for which the eligible entities were initially issued North PQS and North CVO QS.

This application must be submitted with an **attached** completed Annual Application for an IFQ Permit. This application will not be considered complete until NMFS verifies that the applicant has submitted all required Economic Data Reports and has paid all outstanding fee obligations.

The Application for Crab Converted CPO QS and CPO IFQ Permit may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, by fax, or by courier. Deadline: The application must be received by NMFS no later than August 1.

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska.

Application for Crab Converted CPO QS and CPO IFQ, Respondent	
Number of respondents	3
Total annual responses	3
Frequency of response = 1	
Total burden hours (1.50)	2 hr
Time per response = 30 min	
Total personnel cost (\$37 x 2)	\$74
Total miscellaneous costs (\$7.20)	\$7
Postage (\$0.45 x 2 = \$0.90)	
Photocopy (2pp x \$0.05 x 3= \$0.30)	
Fax (\$6 x 1 = \$6)	

Application for Crab Converted CPO QS and CPO IFQ, Federal Government	
Number of responses	3
Total burden hours (0.75)	1 hr
Time per response = 15 min.	
Total personnel costs (\$37 x 1)	\$37
Total miscellaneous costs	0

e. Application for Registered Crab Receiver (RCR) Permit [ADJUSTED]

A new RCR permit application is required each year. NMFS requires an annual Registered Crab Receiver (RCR) permit for any person receiving CR crab or unprocessed crab from the harvester, the owner/operator of a vessel that processes crab at sea, any person holding IPQ, and any person required to submit a departure report. In addition, an RCR permit is required for each shoreside processor or stationary floating processor at which a person receives crab. Only one permit (and one application) is needed for an application with one or more catcher/processors.

The Application for Registered Crab Receiver Permit may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, by fax, or by courier.

This application cannot be processed or approved unless the applicant has submitted to NMFS an Economic Data Report (EDR) and paid all outstanding fees.

Application for Registered Crab Receiver (RCR) permit

Block A – Nature of the RCR for which you are applying

Indicate whether requesting new RCR permit, renewal of existing RCR Permit, or amendment to existing RCR Permit

If application is a renewal or an amended application, provide current RCR permit number

Block B – Applicant identification

Name and NMFS Person ID

Name of contact person for the applicant, if a company, partnership, or other business entity

Permanent business mailing address

Business telephone number, business fax number, and business e-mail address (if available);

Block C – Type of activity (facility or vessel identification)

Select type of RCR operation

Identity of Crab Receiver Operation

If a shoreside processor

Name

Physical Location

If a stationary floating crab processor

Name of Vessel

ADF&G vessel registration number

United States Coast Guard (USCG) documentation number

Block D – Individual responsible for submission of crab EDR

Name of designated representative

Business mailing address

Business telephone number, business fax number, and business e-mail address (if available)

Block E – Applicant Signature

Printed name and signature of applicant and date signed.

If completed by an authorized representative, **attach** proof of authorization

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska.

Application for RCR permit, Respondent	
Number of respondents	62
Total annual responses	62
Frequency of response = 1	
Total burden hours	31 hr
Time per response = 30 min	
Total personnel costs (\$37 x 31)	\$1,147
Total miscellaneous costs (145.10)	\$145
Postage (0.45 x 42 = 18.90)	
Fax (\$6 x 20 = \$120)	
Photocopy (2pp x .05 x 62 = 6.20)	

Application for RCR permit, Federal Government	
Number of responses	62
Total burden hours (15.50)	16
Time per response = 15 min	
Total personnel costs (\$37 x 16)	\$592
Total miscellaneous costs	0

f. Application for Crab IFQ Hired Master (skipper) Permit [ADJUSTED]

Cooperatives and non-individual IFQ permit holders must hire a master to fish their IFQ. Individual persons may hire a master for owner permits but must fish crew permits themselves. Both Hired Masters and IFQ permit holders use a vessel on a given trip, and both may participate in the same landing. Hiring a master requires that the IFQ permit-holder maintains at least a 10 percent interest in the vessel to be fished by the Hired Master; in the case of a cooperative, that requirement may be satisfied by any member. Hired Masters are licensed by year and authorized to fish by IFQ permit, not fishery.

This application is submitted annually by IFQ permit holders, including cooperatives, to authorize an IFQ Hired Master to fish their IFQ. It may also be used to delete such authorization. This permit authorizes the individual identified on the permit to harvest and land IFQ crab for debit against a specified crab IFQ permit. A separate form must be submitted for each vessel upon which the applicant's IFQ permit(s) is to be fished by the hired master(s).

The Application for Crab IFQ Hired Master Permit may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, by fax, or by courier.

Application for crab IFQ hired master permit

Block A – Purpose of application

Indicate whether applying to add or delete a hired master
Crab IFQ permit(s) for which this authorization applies

Block B – IFQ permit holder (applicant) information

Name and NMFS person ID of IFQ permit holder
Name and NMFS person ID of cooperative, if applicable
Name of cooperative's authorized representative, if applicable
Business mailing address; indicate whether permanent or temporary
Business telephone number, fax number and e-mail address (if available)

Block C – Vessel upon which crab IFQ will be harvested

Vessel name, ADF&G vessel registration number, and USCG documentation number
If an IFQ permit holder, indicate whether hold an ownership interest of at least 10% in the named vessel
If YES, enter name of that member and **attach** USCG Abstract of Title or Certificate of Documentation
If a Crab Harvesting Cooperative, indicate whether a member holds an ownership interest of at least 10% in the named vessel
Enter name of that member
Attach a copy USCG Abstract of Title or Certificate of Documentation

Block D – Identification of IFQ hired master

Name and NMFS person ID of hired master
Business mailing address; indicate whether permanent or temporary
Business telephone number, business fax number, and business e-mail address (if available)

Block E – Applicant signature

Printed name and signature of applicant and date signed
If authorized representative, **attach** authorization

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current numbers of RCR permit holders.

Application for crab IFQ hired master, Respondent	
Number of respondents	141
Total annual responses	141
Frequency of response = 1	
Total burden hours (49.35)	49 hr
Time per response = 21 min	
Total personnel cost (\$37 x 49)	\$1,813
Total miscellaneous costs (110.85)	\$111
Postage (.45 x 135 = 60.75)	
Photocopy (2pp x .05 x 141 = 14.10)	
Fax (\$6 x 6 = 36)	

Application for crab IFQ hired master, Federal Government	
Number of responses	141
Total burden hours (35.25)	35 hr
Time per response 15 min	
Total personnel costs (\$37 x 325)	\$1,295
Total miscellaneous costs	0

g. Application for Federal crab vessel permit (FCVP) [ADJUSTED]

NMFS issues a Federal Crab Vessel Permit (FCVP) annually for a crab fishing year (July 1 through June 30). All vessels participating in the CR crab fisheries must have a valid FCVP on board at all times. CR crab includes IFQ/IPQ fisheries; CDQ fisheries except Norton Sound king crab; and the golden king crab allocation to Adak. Operation type endorsements for the FCVP are: SFP; catcher/processor; and catcher vessel.

A person issued a FCVP must use the Vessel Monitoring System (VMS) (OMB Control No. 0648-0445) and logbook reporting (OMB Control No. 0648-0213 or -0515).

If a change occurs in the permit information, the FCVP holder must submit an amended FCVP application within 10 days of the date of change.

The Application for Federal Crab Vessel Permit may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, by fax, or by courier.

This application cannot be processed or approved unless the applicant has submitted to NMFS an Economic Data Report (EDR) and paid all outstanding fees.

Application for Federal crab vessel permit (FCVP)

Block A--Nature of application

Indicate whether requesting a new permit, an amended permit, or a renewal

If an amendment or renewal, provide current FCVP number

If a new permit (or amends an existing permit by changing the owner(s),

attach copy of USCG Abstract of Title or the Certificate of Documentation

Block B -- Vessel Information

Vessel name

ADF&G vessel registration number

ADF&G Processor Code (if any)

USCG Documentation number
 Home port (city and state)
 Must be a vessel of the United States
 Vessel's length overall (LOA) in feet and registered length in feet
 Gross tonnage (U.S. tons) and net tonnage (U.S. tons)
 Shaft horsepower
 Type of Vessel Operation

Block C – Vessel Owner Information

Primary owner's name
 Primary owner's business permanent mailing address
 Contact owner's temporary business mailing address (if any)
 Business telephone number, business fax number, and business e-mail address (if available)
 Name of managing company (if any)

Block C₁ – Additional Vessel Owner Information

Complete for each Vessel Owner
 Name of additional owner
 Business telephone number, business fax number, and business e-mail address (if available)

Block D – Designated Representative for EDR

Name
 Business mailing address
 Business telephone number, business fax number, and business e-mail address (if available)

Block E – Certification

Printed name and signature of applicant and date signed.
 If completed by representative, **attach** authorization

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current numbers of Federal crab vessel permit holders.

Application for Federal crab vessel permit, Respondent	
Number of respondents	114
Total annual responses	114
Frequency of response = 1	
Total burden hours (39.90)	40 hr
Time per response = 21 min	
Total personnel costs (\$37 x 40)	\$1,480
Total miscellaneous costs (229.20)	\$229
Postage (0.45 x 84 = 37.80)	
Fax (\$6 x 30 = 180)	
Photocopy (0.05 x 2pp x 114 = 11.40)	
Application for Federal crab vessel permit, Federal Government	
Number of responses	114
Total burden hours	57
Time per response = 30 min	
Total personnel costs (\$37 x 57)	\$2,109
Total miscellaneous costs	0

h. Application for Annual Exemption from Western Aleutian Islands Golden King Crab (WAG) West Region Delivery Requirements [ADJUSTED]

In BSAI FMP Amendment 37, NMFS established provisions for specified participants to apply for an exemption to the West region delivery requirement. The participation criteria are intended to balance the interest of WAG fishery QS and PQS holders with the communities intended to benefit from the West region landing requirement. Such an exemption enables all West designated QS holders, PQS holders, and municipalities to deliver WAG to processing facilities outside of the West region. No system of appeals was created for this exemption.

Participation in any application to exempt IFQ and IPQ from the West region delivery requirement is voluntary, but is necessary to utilize fully the total allowable catch (TAC) in seasons when in-region processing facilities cannot meet the capacity requirements of the fishery. An exemption from West region delivery requirements is valid only for the remainder of the crab fishing year during which the application was received by and approved by NMFS.

Eligible participants must complete and submit to NMFS an application requesting this exemption, including an affidavit describing how eligible signatories meet the requirements. All eligible signatories, or their authorized representatives, must sign and date an affidavit affirming that all information provided on the application is true, correct, and complete to the best of his or her knowledge and belief. Due to the remote geographic location of the WAG fishery, it may be necessary for IFQ and IPQ holders to arrange alternative deliveries and payments with processing facilities east of 174° W. long. prior to the approval date.

Upon receipt and approval of a completed application, NMFS would grant an exemption to the West regional delivery requirement. To expedite an exemption from the delivery requirements, NMFS would establish the effective date of the exemption as the date the completed application was received by NMFS. Upon approval of an application, the effective date would be applied retroactively to the date the application was received by NMFS.

The Application for Annual Exemption from Western Aleutian Islands Golden King Crab West Region Delivery Requirements may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, by fax, or by courier.

WAG exemption application, Respondent	
Estimated number of respondents 4 QS holders, 4 PSQ holders, and 2 municipalities	10
Total annual responses 1 response per year	10
Total burden hours (2 hr x 10)	20 hr
Total personnel cost (37/hr x 20)	\$740
Total miscellaneous cost	\$37
Mail (\$0.90 x 5 = \$4.50)	
Fax \$6 x 5 = \$30	
Photocopy (5 pp x \$0.05 x 10 = \$2.50)	

WAG exemption application Federal Government	
Total annual responses	10
Total burden hours (1 hr x 10)	10 hr
Total personnel cost (\$37/hr x 10)	\$370
Total miscellaneous costs	0

i. Application for Exemption from CR Crab North or South Region Delivery Requirements [UNCHANGED]

This application is used by IFQ holders and IPQ holders to request an exemption from North or South Region delivery requirements for the Bristol Bay red king crab, Bering Sea snow crab, Saint Matthew Island blue king crab, Eastern Aleutian Islands golden king crab, Western Aleutian Islands red king crab, or Pribilof Islands red and blue king crab fisheries. An exemption is intended to mitigate safety risks and economic hardships that arise out of events that prevent compliance with the regional delivery requirements.

Preseason Application

NMFS must receive the preseason application on or before October 15 of the crab fishing year for which the applicants are applying for an exemption. If NMFS does not receive a timely and complete preseason application on or before October 15 of a crab fishing year, NMFS will deny the preseason application; those applicants will not be able to receive an exemption for that crab fishing year.

If a preseason application is timely and complete, NMFS will approve a preseason application for an exemption, and the applicants will be able to receive an exemption during the crab fishing year. If NMFS denies a preseason application for any reason, the applicants may appeal the denial.

Inseason Notice of Exemption

The inseason notice is required if the applicants that signed the preseason application want an exemption from regional delivery requirements during the fishing season. NMFS must receive the Inseason Notice at least one day before the day intended for the exemption to take effect.

NMFS will approve an exemption from the regional delivery requirements when both parts of the application are properly submitted. The effective date of the exemption is the day after NMFS receives a complete inseason notice of exemption. NMFS prohibits any delivery of IFQ crab or use of IPQ outside of the designated region prior to the effective date of the exemption.

An exemption from regional delivery requirements is valid for the remainder of the crab fishing season during which the inseason notice of exemption was submitted to NMFS. If the inseason notice of exemption specifies that compensated deliveries will occur in the following crab fishing year, the exemption will remain in effect for the specified IFQ and IPQ in the following crab fishing year.

The Application for Exemption from CR Crab North or South Region Delivery Requirements may be found on NMFS Alaska Region web site at

<http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, by fax, or by courier.

Application for Exemption from CR Crab North or South Region Delivery Requirements

Part I – Preseason Application

Total number of applicants who have signed the preseason application

Identify each applicant

Print name and NMFS Person ID (if authorized representative, **attach** authorization)

Indicate type of applicant (select only one)

Identify the CR crab fishery, IFQ amount, and IPQ amount subject to the framework agreement

Affidavit

Check box to certify and affirm

Signature of applicant and date signed

Part II – Inseason notice of exemption

Total Number of Applicants who have signed this inseason notice

Enter the Preseason Application number assigned by NMFS

Identify each applicant

Print name and NMFS Person ID (if authorized representative, **attach** authorization)

Indicate type of applicant (IFQ, IPQ, or community entity)

Identify the CR crab fishery, IFQ amount, and IPQ amount for which the exemption is requested

Affidavit

Check box to certify and affirm

Signature of applicant and date signed.

Normally, a rate of \$75 per hour is used to estimate personnel costs, because a person of a higher pay grade or rate would be required to prepare the applications.

Application for N or S Region Exemption , Respondent	
Estimated number of respondents	3
Total annual responses (total for Parts I & II)	3
Response per respondent = 1	
Total burden hours	60 hr
Time per response = 20 hr total for Parts I & II	
Total personnel cost (\$75/hr)	\$4,500
Total miscellaneous costs (\$4.95)	\$5
Photocopy (\$0.05 x 15 pp x 3 = \$2.25)	
Postage (\$0.90 x 3 = \$2.70)	

Application for N or S Region Exemption, Federal Government	
Total annual responses	3
Total burden hours = 2 hr	6 hr
Total personnel cost (\$25/hr)	\$150
Total miscellaneous cost	0

j. CDQ group notification of community representative [UNCHANGED]

NMFS issued a portion of the PQS for the Bering Sea snow crab fishery and the Saint Matthew Island blue king crab fishery without a ROFR designation (non-ROFR PQS). Saint Paul and Saint George are the only two communities in the North Region that have historically received and processed Bering Sea snow crab and Saint Matthew Island blue king crab; therefore, they would be the affected communities for the purposes of an exemption from the regional delivery requirements.

The Western Alaska Community Development Quota (CDQ) entity representing Saint Paul is the Central Bering Sea Fishermen's Association (CBSFA). The CDQ representative for Saint George is the Aleutian Pribilof Island Community Development Association (APICDA). The CDQ entity must designate to NMFS a single entity as the regional representative for these two communities. The two CDQ groups could choose a different entity for each specific fishery.

NMFS notified APICDA and CBSFA of the deadline to designate a community representative and provided instructions for informing NMFS of the community representative. APICDA and CBSFA had 180 days from the effective date of the final rule to inform NMFS in writing that they had designated a single community representative responsible for signing the framework agreement, the Preseason Application, the exemption contract, and the Inseason Application. The 180-day window should provide adequate time for the two CDQ entities to coordinate their recommendation but not create an undue delay.

There is no form for this notification; the instructions are posted on the Alaska Region website. The CDQ notification of a representative is estimated at 5 hours, which includes the time to choose a representative.

CDQ Notification of Representative, Respondent	
Estimated number of respondents 1 of 2 CDQ groups	1
Total annual responses 5 crab fisheries x 1 response	5
Total burden hours Time per response = 5 hr	25 hr
Total personnel cost (25 hr x \$75/hr)	\$1,875
Total miscellaneous costs (\$0.50) Photocopy (\$0.05 x 5 = \$0.25) Email (\$0.05 x 5 = \$0.25)	\$1

CDQ Notification of Representative, Federal Government	
Total annual responses	5
Total burden hours = 1 hr	5 hr
Total personnel cost (\$25/hr)	\$125
Total miscellaneous cost	0

k. North or South Region Delivery Exemption Report [UNCHANGED]

The annual North or South Region Delivery Exemption Report provides NMFS with the means to assess how the industry is exercising the exemption opportunity and whether implementing regulations are sufficient to meet the Council’s Statement of Intent for Amendment 41. This report provides documentation needed by NMFS to evaluate efficacy of privately administered contracts.

There is no form for this report; however, the instructions are posted on the Alaska Region website. Each IFQ holder who signs a preseason application must submit a copy of an annual North or South Region Delivery Exemption Report to NMFS by mail, by fax, or by courier:

- ◆ On or before July 15 to the IPQ holders and community representatives that also signed the preseason application.
- ◆ On or before July 30 to NMFS

North or South Region Delivery Exemption Report

- Amount of IFQ, if any, set aside to reduce the need for, and the amount of, an exemption
- Number of times an exemption was requested and used
- Mitigation measures employed before submitting an inseason notice
- Arrangements for any compensatory deliveries, including all compensatory deliveries made during the crab fishing year and any outstanding compensatory delivery obligations for the following crab fishing year
- Whether the exemption was necessary
- Any impacts resulting from the exemption on the fishery participants and communities that signed the preseason application

N or S Region Delivery Exemption Report, Respondent	
Estimated number of respondents	3
Total annual responses	3
Response per respondent = 1	
Total burden hours	60 hr
Time per response = 20 hr	
Total personnel cost (\$75/hr)	\$4,500
Total miscellaneous costs (4.20)	\$4
Photocopy (0.05 x 10 pp x 3 = 1.50)	
Postage (0.90 x 3 = 2.70)	

No or S Region Delivery Exemption Report , Federal Government	
Total annual responses	3
Total burden hours = 4 hr	12 hr
Total personnel cost (\$75/hr)	\$900
Total miscellaneous cost	0

**I. Community Impact Report or IPQ Holder Report (N or S Response Report)
[UHNCHANGED]**

In response to the North or South Region Delivery Exemption Report, community entities and IPQ holders may submit a Community Impact Report or IPQ Holder Report. This report, by either title, is voluntary and may take any format. The report is basically a response to the North or South Region Delivery Exemption Report and provides an opportunity to provide NMFS with a contrasting viewpoint. In addition, these reports provide documentation needed by NMFS to evaluate efficacy of privately administered contracts.

There is no form for this report; however, the instructions are posted on the Alaska Region website. The voluntary report may be submitted by mail, by fax, or by courier.

N or S Response Report , Respondent	
Estimated number of respondents	3
Total annual responses	3
Response per respondent = 1	
Total burden hours	6 hr
Time per response = 2 hr	
Total personnel cost (\$75/hr)	\$450
Total miscellaneous costs (\$3.45)	\$3
Photocopy (\$0.05 x 5 pp x 3 = \$0.75)	
Postage (\$0.90 x 3 = \$2.70)	

Response Report, Federal Government	
Total annual responses	3
Total burden hours	6 hr
Time per response = 2hr	
Total personnel cost (\$75/hr)	\$450
Total miscellaneous costs	0

**m. Application for eligibility to receive crab QS/IFQ or PQS/IPQ by transfer
[ADJUSTED]**

A transfer of catcher vessel crew (CVC) or catcher/processor crew (CPC) quota share (QS) or individual fishing quota (IFQ) will not be approved unless the intended recipient of the QS or IFQ demonstrates recent participation in Bering Sea and Aleutian Islands Management Area (BSAI) crab fisheries within the 365 days prior to the date the transfer application was submitted. NOTE: only U.S. Citizens qualify to receive QS/IFQ by transfer.

This application is required to establish a person’s eligibility to receive QS, processor quota share (PQS), IFQ, or individual processor quota (IPQ) by transfer, if the person is not an eligible crab community organization (ECCO). A successful applicant will receive a letter of acknowledgment of eligibility from NMFS; the acknowledgment will not expire.

Except for persons who received crab QS or PQS by initial issuance and Eligible Crab Community Organizations, no person may receive BSAI crab QS/IFQ or PQS/IPQ by transfer unless such person has established eligibility to do so. The eligibility application is for use by

persons seeking authority to receive QS, PQS, IFQ, or IPQ by transfer under the CR Program, if the person is not an ECCO.

Eligibility to receive harvester QS/IFQ by transfer depends in part on the type of quota requested. In general, to be eligible to receive QS or IFQ, a person must be a U.S. citizen, or a U.S. company or other non-individual business entity. Owner QS may be received by initial QS recipients, by others who meet sea time requirements, and by CDQ groups and eligible crab community entities. In addition, crew type QS/IFQ may be received by transfer only by individuals who can demonstrate “recent participation” in the crab fisheries before each transfer.

The following table provides standards for eligibility to receive CR Program quota by transfer:

Quota Type	Eligible Person	Eligibility Standards
PQS	Any Person	No other requirements
IPQ	Any Person	No other requirements
CVO or CPO QS	A person who received QS by initial issuance	No other requirements
	An Individual	Who is a U.S. citizen and who has at least 150 days experience as part of the harvesting crew in any U.S. commercial fishery
	A corporation, partnership, association or other non-individual entity	That has at least one individual member (owner) who is a U.S. citizen and who owns at least 20% of the entity, and has at least 150 days experience as part of the harvesting crew in any U.S. commercial fishery
	An ECCO	That meets other regulatory requirements
	A CDQ Group	No other requirements
CVC or CPC QS	An Individual	Who is a U.S. citizen and who has at least 150 days experience as part of the harvesting crew in any U.S. commercial fishery and recent participation in a BSAI CR fishery in the 365 days prior to submitting an application for eligibility, and at time of transfer

The Application for Eligibility to Receive Crab QS/IFQ or PQS/IPQ by Transfer may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, fax, or courier.

Application for eligibility to receive crab QS/IFQ or PQS/IPQ by transfer

Block A – Purpose of application

Indicate type of quota for which the applicant seeks eligibility

Block B - Applicant Information

Must be a U.S. Citizen or a U.S. Corporation, Partnership, or Association

Name, NMFS Person ID, and date of birth

Permanent or temporary business mailing address

Business telephone number, business fax number, and business e-mail address (if available)

Block C – Eligibility to Receive CVC or CPC QS/IFQ

If application purpose is to obtain authority to receive crew shares (CVC or CPC or associated IFQ) by transfer, **attach**

- A signed ADF&G fish ticket imprinted with the applicant's CFEC permit card
- An affidavit indicating date of landing of crab species from the owner of a vessel upon which fishing was done, or
- A signed receipt for an IFQ crab landing on which the applicant was serving as a hired master for an IFQ permit holder

Block D – U.S. Corporations, partnerships, or other business entities

If submitted by or on behalf of a CDQ Group, go to Block F

If submitted by or on behalf of a Corporation, Partnership or other business entity

at least one member/owner of the entity must document an ownership interest of at least 20% of the entity and must demonstrate that s/he participated for a minimum of 150 days as a member of a harvesting crew in any U.S. fishery. Verification of the 150 days of participation can be provided by submitting the individual's Transfer Eligibility Certificate (TEC) for the Halibut and Sablefish IFQ program, for the CR Program, or by completing Block E

If Block E is completed, and this application is approved, the individual will automatically qualify for a TEC for the halibut/sablefish IFQ fisheries

Identity of individual business owner with required experience participating in one or more U.S. fishery

Name and NMFS person ID

Business mailing address

Business telephone number and business fax number

Must be a U.S. citizen

Block E – Individual Commercial Fishing Experience

If the individual is not the Applicant, the individual must co-sign this application in Block F

Species

Gear type

Location

Starting date and ending date of claimed fishing period (MMYY)

Number of actual days spent harvesting fish

Duties performed while directly involved in the fishing activity (be specific)

Name and ADF&G vessel registration number or USCG documentation number of the vessel

Name of vessel owner

Name of vessel operator

Reference name

Reference's relationship to applicant

Reference's business mailing address and business telephone number

Block F - Applicant Certification

Printed name and signature of applicant and date signed.

If authorized representative, **attach** authorization

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current transfer numbers.

Application for eligibility to receive crab QS/IFQ or PQS/IPQ by transfer, Respondent	
Number of respondents	15
Total annual responses	15
Frequency of response = 1	
Total burden hours	30 hr
Time per response = 2 hr	
Total personnel costs (\$37 x 30)	\$1,110
Total miscellaneous costs (24.15)	\$24
Postage (0.45 x 12 = \$5.40)	
Fax (\$6 x 3 = \$18)	
Photocopy 1pp x .05 x 15 = \$0.75)	

Application for eligibility to receive crab QS/IFQ or PQS/IPQ by transfer, Federal Government	
Number of responses	15
Total burden hours (3.75) Time per response = 15 min	4
Total personnel costs (\$37 x 4)	\$148
Total miscellaneous costs	0

n. Application to transfer crab QS or PQS [ADJUSTED]

The CR Program allows for transfer of all or part of a person’s QS or PQS to persons qualified to receive PQS or QS by transfer. Transfers may occur anytime except from August 1 until IFQ is issued for a fishery. This application to transfer QS or PQS will not be processed between August 1 of any year and the date of issuance of the IFQ or IPQ in the CR Program fisheries.

This application cannot be processed or approved unless both parties to the proposed transfer have met all the requirements and conditions of the CR Program, including (as appropriate) payment of all outstanding fees to NMFS on or before July 31.

For an application for transfer of PQS or IPQ for use outside an ECC, the Regional Administrator will not act upon the application for a period of 10 days. At the end of that time period, the application will be approved if the criteria are met.

The Application to Transfer Crab QS or PQS may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted to NMFS only by mail or courier because the application requires an original signature and notary.

Application to transfer crab QS or PQS

Block A -- Type of Transfer

Indicate type(s) of quota for which a transfer is requested

If CVC or CPC QS transfer

Attach proof of at least one delivery of a crab species in any CR crab fishery in the 365 days prior to submission to NMFS of this application

Proof of this landing is:

Applicant signature on an Alaska Department of Fish and Game (ADF&G) Fish Ticket or

An affidavit from the vessel owner attesting to that individual’s participation as a member of a fish harvesting crew on board a vessel during a landing of a crab QS species within the 365 days prior to submission of this application

If PQS transfer

Will PQS be used within the ECC with which the PQS is currently associated

If YES, provide name of current ECC that has the ROFR

Will PQS be used outside the ECC with which the PQS is currently associated

If YES, provide name of current ECC that has the ROFR

NOTE: If requesting transfer of PQS for use outside an ECC that has designated an entity to represent it in exercise of ROFR under § 680.41(l), the Regional Administrator will not act upon the application for a period of 10 days. At the end of that time period, the application will be approved pending meeting the criteria set forth in § 680.41(i)

Was the associated ECC provided notification of this transfer 90 days prior to the date of this application

If YES, select one

Associated ECC chose not to exercise its right and permanently waive ROFR for the PQS

New PQS holder (transferee) has entered into a new ROFR agreement with the associated ECC

Block B -- Identification of Transferor

Name and NMFS Person ID of transferor

Date of Incorporation

Permanent business mailing address

Temporary business mailing address (*optional*)

Business telephone number, business fax number, and business e-mail address

Indicate whether transferor has paid all fees (see § 680.44)

Block C -- Identification of Transferee

Name and NMFS Person ID of transferee

Date of Incorporation

Permanent business mailing address

Temporary business mailing address (*optional*)

Business telephone number, business fax number, and business e-mail address

Indicate whether transferee has paid all fees (see § 680.44)

Block D -- Eligibility of Transferee

(Does not pertain to those seeking to receive PQS)

If transferee is applying to receive CVO or CPO QS (with or without IFQ), indicate whether the transferee is an eligible recipient of QS or IFQ

If NO, an Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer must be completed, submitted, and approved before this Application for Transfer of QS or PQS can be approved.

Block E -- Identification and Cost of Quota to be Transferred

Fishery, sector, region, beginning serial number, ending serial number, number of QS units

If any current year IFQ or IPQ Pounds are to transfer with the QS/PQS,

Permit number

Class (A or B)

Pounds

Indicate how the CPO QS is to be designated after the transfer

If transferring CPO QS to be designated as CVO QS and PQS, indicate one region for resulting QS and PQS

Total price of the QS or PQS, including all fees and other transaction costs

Price per unit of QS or PQS

Block F₁ -- Survey questions for transferor

Why are you proposing to transfer the Quota (check all reasons that apply)

Describe "Hardship" or "Other" reason (if applicable)

If a Permit Broker is being used for this transaction

Indicate price paid in broker fees or percentage of total price of quota

Block F₂ -- Survey Questions For Transferee

If the quota to be transferred is to be used as collateral for a loan, identify party with an interest in the quota

Indicate your primary source of financing for quota to be transferred

Explain "Other" source of financing

How was the Quota located (check all sources that apply)?

What is the relationship, if any, between the Transferor and the Transferee

Describe "Other" Relationship

Block G -- Certification of Transferor

Printed name and signature of transferor and date signed

If authorized representative, **attach** authorization.

Signature of notary public, date commission expires, and notary seal or stamp.

Block H -- Certification of Transferee

Printed name and signature of transferee and date signed

If authorized representative, **attach** authorization

Signature of notary public, date commission expires, and notary seal or stamp

Attachments

Attach a copy of the terms and conditions of the transfer agreement, e.g., bill of sale, promissory note, or other document) that reveals the contract terms between the parties

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current transfer numbers.

Application to transfer crab QS and PQS, Respondent	
Estimated number of respondents 126 QS transfers 0 PQS transfers	126
Total annual responses Number of responses per year = 1	126
Total Time burden Time per response = 2 hr	252 hr
Total personnel cost (\$37 hr x 252)	\$9,324
Total miscellaneous cost Notary (\$6 x 126 = 756) Postage (0.90 x 126 = 113.40) Photocopy (0.05 x 2pp x 126 = 12.60)	\$882

Application to transfer crab QS and PQS, Federal Government	
Total annual responses	126
Total Time burden Time per response (30 min)	63 hr
Total personnel cost (\$37/hr x 63)	\$2,331
Total miscellaneous cost	0

o. Application for Transfer (Lease) of Crab IPQ [ADJUSTED]

This application may be used by a crab IPQ permit holder to apply for a transfer of all or part of its IPQ to another crab IPQ permit holder for the current crab fishing year.

This application cannot be processed or approved unless both parties to the proposed transfer have met all the requirements and conditions of the CR Program, including payment of all outstanding fees to NMFS on or before July 31. NMFS will notify the transferor and transferee once the application has been received and approved. A transfer of IPQ is not effective until approved by NMFS. This application will not be processed between August 1 of any year and the date of issuance of the IPQ in a CR Program fishery.

The Application for Transfer of Crab IPQ may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, fax, courier, or online. The instructions for submitting online is found at http://www.alaskafisheries.noaa.gov/ram/app_inst/ipqcrabtransferinst.htm.

Application for transfer of crab IPQ

Block A -- Type of transfer

Will this transfer of IPQ be used within the Eligible Crab Community (ECC) with which the IPQ is currently associated

If YES, provide the name of the current ECC that has the ROFR

Will this transfer of IPQ be used outside an ECC with which the IPQ is currently associated

Was the ECC associated with the IPQ provided notice of the transfer 90 days prior to the date of this application

If YES, select whether

The associated ECC chose not to exercise its right and therefore, permanently waive ROFR for PQS or

The new PQS holder (transferee) has entered into a new ROFR agreement with the associated ECC that includes the terms enacted under section 313(j) of the Magnuson-Stevens Act and referenced under § 680.40(f)(3).

Block B -- Identification of transferor

Non-electronic submittal

Transferor's name and NMFS Person ID

Date of incorporation

Permanent business mailing address

Temporary business mailing address (*optional*)

Business telephone number, business fax number, and business e-mail address

Indicate whether transferor has paid all fees (see § 680.44)

Electronic submittal

Transferor's name and NMFS Person ID

Transfer Key

Block C -- Identification of transferee

Non-electronic submittal

Transferor's name and NMFS Person ID

Date of incorporation

Permanent business mailing address

Temporary business mailing address (*optional*)

Business telephone number, business fax number, and business e-mail address

Indicate whether transferee has paid all fees (see § 680.44)

Electronic submittal

Transferor's name and NMFS Person ID

Transfer Key

Block D -- Identification of IPQ to be transferred

IPQ permit number

Crab fishery

Sector of QS

Region

Number of IPQ pounds

Block E -- Certification of transferor

Non-Electronic submittal

Printed name and signature of transferor or authorized representative and date signed

If authorized representative, **attach** authorization

Electronic submittal

Transferor's NMFS ID

Password

Transfer Key

Block F -- Certification of transferee

Non-Electronic submittal

Printed name and signature of transferee or authorized representative and date signed

If authorized representative, **attach** authorization

Electronic submittal

Transferee's NMFS ID, Password, and Transfer Key

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current transfer numbers. A transfer is not required annually; rather a transfer occurs on an as-needed basis.

Application to transfer crab IPQ, Respondent	
Estimated number of respondents	106
Total annual responses	106
Number of responses per year = 1	
Total Time burden	155 hr
Time per response, non-electronic	
2 hr x 49 = 98 hr	
Time per response, electronic	
1 hr x 57 = 57 hr	
Total personnel cost (\$37/hr x 155)	\$5,735
Total miscellaneous cost (62.80)	\$63
Postage (0.45 x 43 = \$19.35)	
Fax (\$5 x 6 = \$30)	
Online (\$0.05 x 57 = \$2.85)	
Photocopy (0.05 x 2pp x 106 = 10.60)	

Application to transfer crab IPQ, Federal Government	
Total annual responses	106
Total Time burden (51.5)	52 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 52)	\$1,924
Total miscellaneous cost	0

p. Application for transfer (lease) of crab IFQ [ADJUSTED]

A crab IFQ permit holder may transfer all or part of his or her IFQ to another crab IFQ permit holder. The lease of crab IFQ from one individual to another for the current crab fishing year currently is authorized only for Catcher Vessel Crew (CVC) and/or Catcher/Processor Crew (CPC) due to a hardship. In addition, applications to transfer (lease) annual IFQ will not be processed between August 1 of any year and the date of issuance of the IFQ in a CR Program.

This application cannot be processed or approved unless both parties to the proposed transfer have submitted to NMFS an Economic Data Report (EDR).

The Application for Transfer of Crab IFQ may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, fax, or courier.

Application for transfer of crab IFQ

Block A – Type of Transfer

If this is a CVC or CPC IFQ transfer, **attach** proof of at least one delivery of a crab species in any CR crab fishery in the 365 days prior to submission to NMFS of this application.

Proof of a landing:

Applicant signature on an ADF&G fish ticket

An affidavit from the vessel owner attesting to that individual’s participation as a member of a fish harvesting crew onboard a vessel during a landing of a crab QS species within the 365 days prior to submission of this application

If NO, Stop here. This form used only to transfer CVC/CPC IFQ

If this is a CVC or CPC IFQ transfer due to a hardship (see § 680.41(e)(3))

Indicate type of hardship and **attach** documentation

Medical condition of QS holder

Medical condition involving an individual who requires a QS holder's care

Total or constructive physical loss of a vessel

If NO, Stop here. CVC/CPC IFQ can only be transferred as a result of a hardship

Block B – Identification of transferor

Name and NMFS Person ID

Date of birth if an individual; date of incorporation if a non-individual entity

Permanent and temporary business mailing address

Business telephone number, fax number, and e-mail address (if available)

Indicate whether transferor submitted an EDR (see §680.6)

Indicate whether transferor paid all fees (see §680.44)

Block C – Identification of transferee

Name and NMFS Person ID

Date of birth if an individual; date of incorporation if a non-individual entity

Permanent and temporary business mailing address

Business telephone number, fax number, and e-mail address (if available)

Indicate whether transferee submitted an EDR (see §680.6)

Indicate whether transferee paid all fees (see §680.44)

Block D -- Identification of IFQ to be transferred (leased)

Permit Number

Crab fishery

Sector

Region

IFQ Class (Class A, B, R, or U)

Pounds

Block E – Certification of transferor

Printed name and signature of transferor and date signed

If authorized representative, **attach** authorization

Block F – Certification of transferee

Printed name and signature of transferee and date signed

If authorized representative, **attach** authorization

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. A transfer is not required annually; rather a transfer occurs on an as-needed basis. Miscellaneous costs were reduced from \$36 to \$28.

Application for transfer of crab IFQ, Respondent	
Number of respondents	10
Total annual responses	10
Frequency of response = 1	
Total burden hours	20 hr
Time per response = 2 hr	
Total personnel costs (\$37 x 20)	\$740
Total miscellaneous costs (\$27.70)	\$28
Postage (\$0.45 x 6 = \$2.70)	
Fax (\$6 x 4 = \$24)	
Photocopy (2pp x 0.05 x 10 = \$1)	

Application for transfer of crab IFQ, Federal Government	
Number of responses	10
Total burden hours (2.5)	3
Time per response = 15 min	
Total personnel cost (\$37 x 3)	\$111
Total miscellaneous costs	0

q. Application for Transfer of IFQ between Crab Harvesting Cooperatives [ADJUSTED]

A crab harvesting cooperative is formed for the purpose of applying for and fishing under a crab harvesting cooperative IFQ permit and is permitted to transfer its IFQ only to another crab harvesting cooperative. Once a cooperative has an IFQ permit, the members of that cooperative cannot transfer away IFQ, because they hold no IFQ of their own. When a QS holder joins a cooperative, all of his or her QS are converted to cooperative IFQ that is held in common by the cooperative. Only the cooperative may transfer cooperative IFQ following the requirements for the transfer of cooperative IFQ. A person who joins a crab-harvesting cooperative assigns his or her IFQ to the cooperative at the beginning of the crab-fishing year. In this case, all IFQ pounds appear on the annual IFQ permit issued to the cooperative.

This application cannot be processed or approved unless both parties to the proposed transfer have submitted to NMFS an Economic Data Report (EDR) and paid all outstanding fees to NMFS on or before July 31.

The Application for Transfer of IFQ between Crab Harvesting Cooperatives may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be submitted by mail, fax, courier, or online. Instructions for submitting the application online are found at http://www.alaskafisheries.noaa.gov/ram/app_inst/ifqcrabintercoopinst.htm.

Application for Transfer of IFQ Between Crab Harvesting Cooperatives

Block A – Identification of Transferor (Lessor)

- Name and NMFS Person ID of Transferor
- Date of incorporation
- Name of Transferor’s representative
- Permanent and temporary business mailing address
- Business telephone number, business fax number, and business e-mail address

Block B – Identification of Transferee (Lessee)

- Name and NMFS Person ID of Transferee
- Date of incorporation
- Name of Transferee’s representative
- Permanent and temporary business mailing address
- Business telephone number, business fax number, and business e-mail address

Block C¹ – Signature of Transferor

- Printed name and signature of transferor and date signed.
- If authorized representative, **attach** authorization

Block C² – Signature of Transferee

- Printed name and signature of transferee and date signed
- If authorized representative, **attach** authorization

Block D¹ – Identification of IFQ to be Transferred (Lease) to Cooperative Members

(to be completed by Transferor)

- Permit Number
- Fishery and sector
- Region Class (A, B, R, or U)
- IFQ Pounds

Block D² – Identification of Cooperative’s Member(s)

(to be completed by Transferee)

- Name and NMFS Person ID of Qualifying Member
- Amount of IFQ

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. In addition, the number of respondents is changed to reflect current transfer numbers. A transfer is not required annually; rather a transfer occurs on an as-needed basis. The burden is corrected; previous hours should have been 50, miscalculated.

Application for Inter-cooperative Transfer, Respondent	
Number of respondents	10
Total annual responses	550
Frequency of response = 55 transfers/yr	
Total burden hours	65 hr
Time/paper response = 2 hr x 10 = 20 hr	
Time/online response = 5 min x 540 = 45 hr	
Total personnel costs (\$37 x 65)	\$2,405
Total miscellaneous costs (\$101.20)	\$101
Online (\$0.05 x 540 = 27)	
Postage (\$0.90 x 8 = 7.20)	
Fax (\$6 x 2 = 12)	
Photocopy (2pp x \$0.05 x 550 = \$55)	

Application for Inter-cooperative Transfer, Federal Government	
Number of responses	10
Total burden hours (11.50)	12 hr
Time/paper response = 15 min x 10 = 2.50	
Time/online response = 1 min x 540 = 9	
Total personnel costs (\$37x 12)	\$444
Total miscellaneous costs	0

r. Application to become an ECCO [ADJUSTED]

An eligible crab community (ECC) is an Alaskan non-CDQ community in which 3 percent or more of any CR crab fishery was historically processed. An ECC can form a nonprofit entity to receive QS, IFQ, PQS and IPQ transfers on behalf of the community. The nonprofit entity is called an eligible crab community organization (ECCO). This application is required to establish the non-profit’s eligibility to receive QS, PQS, IFQ, or IPQ by transfer, as an ECCO.

The Application to Become an Eligible Crab Community Organization may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be only be submitted by mail or courier, because it requires original signatures.

Application to become an eligible crab community organization (ECCO)

Block A - Identification of Applicant

- Name of nonprofit organization and NMFS Person ID
- Permanent business mailing address
- Temporary business mailing address (*optional*)
- Name of contact person
- Business telephone number, business fax number, and business e-mail address
- Name of community represented by non-profit
- Name of contact person for the community governing body

Attachments

- Articles of incorporation under the laws of the State of Alaska for non-profit
- A statement indicating the ECC(s) represented by the non-profit for purposes of holding QS
- Bylaws of the non-profit
- A list of key personnel of the management organization including, but not limited to, the board of directors, officers, representatives, and any managers
- Additional contact information of the managing personnel for the non-profit and resumes of management personnel
- Describe how the non-profit is qualified to manage QS on behalf of the ECC it is designated to represent, and a demonstration that the non-profit has the management skills and technical expertise to manage QS and IFQ
- Describe procedures that will be used to determine the distribution of IFQ to residents of the ECC by the nonprofit, including:
 - Procedures used to solicit requests from residents to lease IFQ
 - Criteria used to determine the distribution of IFQ leases among qualified community residents
 - Relative weighting of those criteria

Block C – Applicant certification

- Printed name and signature of applicant or authorized agent and date signed
- If authorized agent, **attach** authorization
- Notary signature, date when commission expires, and notary seal or stamp

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. The total miscellaneous cost is corrected, to include notary (\$6). The cost of notary (\$) is added to correct the inadvertent omission of this cost.

Application to become an ECCO, Respondent	
Number of respondents	1
Total annual responses	1
Frequency of response = 1	
Total burden hours (2.5)	3 hr
Time per response = 2.5 hr	
Total personnel costs (\$37x3)	\$111
Total miscellaneous costs	\$7
Postage (0.90 x 1 = 0.90)	
Photocopy (0.05 x 2pp x 1 = 0.10)	
Notary (\$6 x 1 = 6)	

Application to become an ECCO, Federal Government	
Number of responses	1
Total burden hours	1
Time per response = 15 min	
Total personnel costs (\$37 x 1)	\$37
Total miscellaneous costs	0

s. Application for transfer of BSAI crab QS/IFQ to or from an ECCO [ADJUSTED]

An ECC may form a nonprofit entity to receive QS, IFQ, PQS and IPQ transfers on behalf of that community. Crab may be transferred to or from an eligible crab community organization (ECCO), which is a non-profit organization that represents at least one ECC. The ECCO must be approved by the Regional Administrator to obtain by transfer and hold crab QS and to lease IFQ resulting from the crab QS on behalf of an ECC.

This form may only be used if an ECCO is the proposed transferor or the proposed transferee of the QS or IFQ. The party to whom an ECCO is seeking to transfer the QS/IFQ must hold a Transfer Eligibility Certificate (TEC) issued by RAM. If the ECCO is applying to permanently transfer QS, a representative of the community on whose behalf the QS is held must sign the application.

Prior to approving a transfer, NMFS must be able to verify that the person applying to make or receive the QS, PQS, IFQ or IPQ transfer has submitted an EDR, if required, and paid all fees.

The Application for Transfer of BSAI Crab QS/IFQ to or from an ECCO may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may be only submitted by mail or courier, because original signatures are required.

Application for transfer of BSAI crab QS/IFQ to or from an ECCO

Block A – General Requirements

Block B – Transferor (seller) information

Name and NMFS Person ID

If transferor is an ECCO, name of community represented by the ECCO

Permanent and temporary business mailing address

Business telephone number, fax number, and e-mail address (if available)

Block C – Transferee (buyer) information

Name and NMFS Person ID

If transferee is an ECCO, name of community represented by the ECCO

Permanent and temporary business mailing address

Business telephone number, fax number, and e-mail address (if available)

Block D – Identification of QS/IFQ to Be Transferred

QS species and type

Number of QS or IFQ units to be transferred

Total QS units

Number of IFQ pounds

Range of serial numbers to be transferred, numbered to and from

Name of community to which QS are currently assigned

Indicate whether all remaining IFQ pounds for the current fishing year should be transferred

If NO, specify the number of pounds to be transferred

Transfer of IFQ only

IFQ permit number and year of permit

Actual number of IFQ pounds to be transferred

Reason for transfer (check all that apply)

Block E – Price paid for QS, PQS and/or IFQ, IPQ (transferor)

Indicate whether a broker was used for this transaction

If YES, enter dollar amount paid in brokerage fees or percentage of the total price

Enter total amount paid for the QS/IFQ in this transaction, including all fees

Price per unit of QS and the price per pound of IFQ

Indicate reasons (check all that apply) for transferring QS/IFQ

Block F - Method of financing for the QS, PQS and/or IFQ, IPQ (transferee)

If QS/IFQ purchase will have a lien attached, enter name of lien holder

Indicate one primary source of financing for this transfer

Indicate how the QS/IFQ was located

Indicate the relationship, if any, between the transferor and the transferee

If an agreement exists to return the QS or IFQ to the transferor or any other person, or with a condition placed on resale, explain

Block G--Certification of Transferor

Printed name and signature of transferor and date signed

If authorized representative, **attach** authorization

Signature of Notary Public, date commission expires, and notary seal or stamp

Block H--Certification of Transferee

Printed name and signature of transferee and date signed.

If authorized representative, **attach** authorization

Signature of Notary Public, date commission expires, and notary seal or stamp

Block I--Certification of ECCO community representative

Printed name and signature of ECCO community representative and date signed.

Signature of Notary Public, date commission expires, and notary seal or stamp.

Other conditions to be met

Indicate whether the person applying to make or receive the transfer submitted an EDR, if required and paid all fees

Attachments

Terms of agreement for the transfer

Bill of sale for QS or PQS, or

Lease agreement for IFQ or IPQ

Affirmation that the individual receiving IFQ from an ECCO has been a permanent resident in the ECC for a period of 12 months prior to the submission of the Application for transfer QS/IFQ to or from an ECCO on whose behalf the ECCO holds QS

Verification that transferor submitted a complete annual report

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska. Also, the miscellaneous costs were reduced slightly.

Application for transfer of crab QS/IFQ to or from an ECCO, Respondent	
Number of respondents	1
Total annual responses	1
Frequency of response = 1	
Total burden hours	2 hr
Time per response = 2 hr	
Total personnel costs (\$37 x 2)	\$74
Total miscellaneous costs (\$7)	\$7
Notary (\$6 x 1 = \$6)	
Postage (\$0.90 x 1 = \$0.90)	
Photocopy (2pp x \$0.05 x 1 = \$0.1)	

Application for transfer of crab QS/IFQ to or from an ECCO, Federal Government	
Number of responses	1
Total burden hours	1
Time per response = 15 min	
Total personnel costs (\$37 x 1)	\$37
Total miscellaneous costs	0

t. ECCO Annual Report [NEW- transferred from OMB Control No. 0648-0570]

The ECCO must submit a complete annual report to the Regional Administrator on its crab activity for the prior crab fishing year for each Eligible Crab Community which the ECCO represents by June 30 of the crab fishing year. The ECCO annual report must detail the use of the crab quota share (QS) and individual fishing quota (IFQ) and is intended to ensure that the ECCO maintains that the QS and IFQ will benefit residents of eligible communities. The ECCO must submit a copy of the annual report to the governing body of each ECC represented by the ECCO.

Current records show only one ECCO exists. The ECCO Annual Report does not have a form; however, the instructions are posted on the Alaska Region website. This report may be submitted by mail, fax, courier, or online.

ECCO annual report

Name of each vessel from which the crab IFQ was harvested

ADF&G vessel registration number

USCG documentation number

Federal crab vessel permit.

Name and business addresses of individuals employed as crew members when fishing the crab IFQ.

Criteria used by the ECCO to distribute IFQ leases among eligible community residents.

Describe efforts to ensure that IFQ lessees employ crew members who are eligible community residents of the ECC aboard vessels on which IFQ derived from QS held by an ECCO is being fished.

Describe process used to solicit lease applications from eligible community residents of the ECC on whose behalf the ECCO is holding QS

Each individual applying to receive IFQ from the ECCO

Names

Business address

Amount of IFQ requested

Any changes in the bylaws of the ECCO, board of directors, or other key management personnel.

Attachment

Copy of 1minutes, bylaw changes, motions, and other relevant decision-making documents from ECCO

Board meetings

ECCO Annual Report, Respondent	
Number of respondents	1
Total annual responses	1
Frequency of response = 1	
Total burden hours	4 hr
Time per response = 4 hr	
Total personnel costs (\$37 x 4)	\$148
Total miscellaneous costs (\$1.10)	\$1
Postage: \$0.90	
Photocopy: 4pp x .05 x 1 = 0.20	

ECCO Annual Report, Federal Government	
Number of responses	1
Total burden hours	2 hr
Time per response = 2 hr	
Total personnel cost (\$37 x 2)	\$74
Total miscellaneous costs	0

u. Right of First Refusal Provisions (ROFR) [Contract ADJUSTED/CORRECTED; waiver UNCHANGED]

As part of the CR Program, the Council allocated processing shares, including both PQS and IPQ, based on processing histories. To protect community interests, the Council included in the CR Program a provision for community ROFR on certain PQS and IPQ transfers.

The ROFR is established by a civil contract between the community entity and the PQS holder, with provisions set forth under section 313(j) of the Magnuson-Stevens Act. This contract is between PQS holders or IPQ holders and ECC entities, other than Adak, Alaska. In communities that hold CDQ the representative entity holding the ROFR is the local CDQ group. In all other communities, the ROFR is held by an entity designated by the community. Under the contract, the ROFR applies to any sale of PQS and sales of IPQ if more than 20 percent of the PQS holder's community-based IPQ in the fishery were processed outside the community by another company in three of the preceding five years. As currently formulated, to exercise the ROFR the community entity must accept all terms and conditions of the underlying agreement. A community group or CDQ group can waive any right of first refusal.

ECCs, except for Adak, have a ROFR on the transfer of PQS and IPQ originating from processing history in the community if the transfer would result in relocation of the shares outside the community. Adak is not eligible for the ROFR provision because Adak receives a direct allocation of Western Aleutian Islands golden king crab. ROFR rights expire if waived or used (that is, if the ECC buys or leases the PQS).

The ROFR will apply to sales of PQS and IPQ, if more than 20 percent of a PQS holder's community based IPQ (on a fishery by fishery basis) has been processed outside the community of origin by another company in three of the preceding five years. The City of Kodiak and the Kodiak Island Borough in the GOA have a ROFR on the transfer of PQS and IPQ from communities in the GOA north of 56°20' N. longitude. PQS and IPQ issued for the EBT, WBT, WAG, or WAI crab QS fisheries are exempt from ROFR provisions.

Participants submitted ROFR contracts, if applicable, with the request for initial issuance of PQS at the beginning of the CR Program. Since then, very seldom is a contract sent to NMFS – one in every three years. This is true, also, of the waiver.

There is no form for the ROFR Contracts or Waivers for PQS. These contracts probably would be created by a contractor and may be submitted by mail, fax, or courier. Instructions are provided on the Alaska Region website at

<http://www.alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/posters/firstrefusal.pdf>

ROFR Contracts for PQS, Respondent	
Number of respondents	1
Total annual responses	1
Frequency of response = 1	
Total burden hours	1 hr
Time per response = 1 hr	
Total personnel costs (\$50 x 1)	\$50
Submit ROFR contract	
Total miscellaneous costs	\$6,000
Contractor prepare ROFR (\$150 x 40 hr x 1 = 6,000)	

ROFR Contracts for PQS, Federal Government	
Total responses	1
Total burden hours	2 hr
Total personnel costs (\$37 x 2)	\$74
Total miscellaneous costs	0

ROFR Waivers for PQS, Respondent	
Number of respondents	1
Total annual responses	1
Frequency of response = 1	
Total burden hours	1 hr
Time per response = 1 hr	
Total personnel costs (37 x 1)	\$37
Submit ROFR waiver	
Total miscellaneous costs (less than \$1)	\$0

ROFR Waivers for PQS, Federal Government	
Total responses	0
Total burden hours	0
Total personnel costs	0
Total miscellaneous costs	0

v. CR Program Quota Share (QS) Beneficiary Designation Form [NO CHANGE]

Individuals who hold QS in the CR Program may provide NMFS with the name of a designated beneficiary to receive survivorship transfer privileges in the event of the QS holder’s death. Use this form to designate the surviving spouse, or in the absence of a surviving spouse, an immediate family member to be the beneficiary for these purposes. NMFS will allow the transfer of IFQ only (lease) resulting from the QS transferred to the beneficiary by right of survivorship, for a period of 3 years following the death of the original QS holder. If the QS holder does not have a surviving spouse, he/she may name an immediate family member to be the beneficiary. NMFS may approve an application to transfer QS to the surviving spouse or designated beneficiary, unless a contrary intent is expressed by the decedent in a Will and provided that sufficient evidence has been provided to verify the death of the individual.

BSAI Crab QS/IFQ can only be held by a U.S. citizen.

The BSAI Crab Rationalization Program QS Beneficiary Designation Form may be found on NMFS Alaska Region web site at <http://alaskafisheries.noaa.gov/sustainablefisheries/crab/rat/forms.htm#permits>. This application may only be submitted by mail or courier, because original signatures are required.

BSAI Crab Rationalization Program QS Beneficiary Designation Form

Block A - Identification of QS Holder

Name and NMFS Person ID
 Business Mailing Address
 Business Telephone Number, Business Fax Number, Business E-mail Address

Block B – Identification of Beneficiary

Name and NMFS Person ID
 Business Mailing Address:
 Business Telephone Number, Business Fax Number, Business E-mail Address

Block C - Relationship of Beneficiary to QS Holder

Indicate if the beneficiary named on this form is the spouse of the QS holder
If NO, explain the family relationship of the beneficiary to the QS holder:

Block D -- Signature

Signature, printed name of QS holder, and date signed
 If completed by representative, **attach** authorization
 Name of Notary Public, date commission expires, and Notary Stamp or Seal

Beneficiary Designation Form, Respondent	
Number of respondents	1
Total annual responses	1
Frequency of response = 1	
Total burden hours (30 mins)	1 hr
Time per response = 30 mins	
Total personnel costs (\$37 x 1)	\$37
Total miscellaneous costs (\$6.55)	\$7
Notary (\$6 x 1 = \$6)	
Postage (\$0.45 x 1 = \$0.45)	
Photocopy (2pp x \$0.05 x 1 = \$0.1)	

Beneficiary Designation Form, Federal Government	
Number of responses	1
Total burden hours	1
Time per response = 15 min	
Total personnel costs (\$37 x 1)	\$37
Total miscellaneous costs	0

w. File an Appeal to NMFS Decisions [ADJUSTED]

The CR Program provides for appeal on decisions made by NMFS through the National Appeals Office (NAO, formerly known as the Office of Administrative Appeals [OAA]). Any person whose interest is directly and adversely affected by an initial administrative determination (IAD) may file a written appeal. If an applicant appeals an IAD, the appeal must be filed not later than 60 days after the date the determination is issued.

Instructions for submitting an appeal are provided at <http://www.alaskafisheries.noaa.gov/appeals/default.htm>. Appeals must be in writing and must be submitted

By mail to National Marine Fisheries Service
Office of Administrative Appeals (OAA)
P. O. Box 21668,
Juneau, AK 99802-1668

Or by courier to National Marine Fisheries Service
Attention: Appeals (OAA)
709 West 9th St., Room 453
Juneau, AK 99801

Applicants must timely submit a full written statement in support of the appeal, including a concise statement of the reasons the IAD has a direct and adverse effect on the applicant and should be reversed or modified. If the applicant requests a hearing on any issue presented in the appeal, such request for hearing must be accompanied by a concise written statement raising genuine and substantial issues of adjudicative fact for resolution and a list of available and specifically identified reliable evidence upon which the factual issues can be resolved. The appellate officer will limit his/her review to the issues stated in the appeal; all issues not set out in the appeal will be waived. The appellate officer will review the applicant’s appeal and request for hearing. An appellate officer’s decision takes effect 30 days after it is issued and, upon taking effect, is the final agency action for purposes of judicial review.

If appeals result in a positive action after IFQ has been issued for that fishery, the person would not receive IFQ until the following year. This single annual issuance is required for administrative purposes so that mid-year adjustments to other IFQ holders do not occur that would alter their allocation or the ratio of QS to IFQ for that year.

The personnel cost per hour is changed from \$25/hr to \$37/hr to reflect current GS-7 rates in Alaska.

File an Appeal, Respondent	
Number of respondents	4
Total annual responses	4
Frequency of response = 1	
Total burden hours	16 hr
Time per response = 4 hr	
Total personnel costs (\$37 x 16)	\$592
Total miscellaneous costs (\$6.60)	\$7
Postage (\$1.35 x 4 = \$5.40)	
Photocopy (6pp x 4 x 0.05 = \$1.20)	

File an Appeal, Federal Government	
Total annual responses	4
Total burden hours	16 hr
Time per response = 4 hr	
Total personnel costs (\$75 x 16)	\$1,200
Total miscellaneous costs	0

It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information. NOAA Fisheries will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to [Section 515 of Public Law 106-554](#).

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

The application for north or south regional delivery requirements exemption is available on the NMFS Alaska region website (<http://alaskafisheries.noaa.gov>). The application is a fillable adobe form which requires original signatures and thus may only be submitted by mail or delivery.

The Crab Inter-Cooperative IFQ Transfer form and the Transfer of Crab IPQ may be submitted online at www.alaskafisheries.noaa.gov. The transfer of IPQ is only a temporary transfer with very few restrictions on transferability.

The transfer of IFQ only is not authorized except in very limited circumstances that require additional documentation, such as a signed statement from a physician (medical transfers). The transfer of QS/PQS is a permanent transfer of this privilege that is subject to a variety of ownership caps and eligibility checks that must be approved by staff. Additionally, such transfers require the submission of a variety of accompanying documentation. Because of these factors, NMFS/AKR has determined that these are not appropriate for on-line transfer.

The remainder of the applications may be completed on the computer screen by the participant, downloaded, printed, and faxed or submitted by e-mail. NMFS is pursuing an Internet method in the future whereby all of the information will be entered online and submitted directly and automatically into a database.

4. Describe efforts to identify duplication.

This information collection is part of a specialized and technical program that is not like any other.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

Approximately 238 small entities own crab harvest vessels or crab catcher/processors. Eight small entities appear to qualify for processor allocations. This information collection does not impose a significant impact on small entities, as it requires only the minimum information needed.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

The unique relationship established by community ROFR agreements and their co-dependence between specific crab-dependent communities and their shore-based processors is a significant feature of the CR Program. If the changes proposed in this action are not conducted or are conducted less frequently, the limited time period a community has to exercise and perform under the ROFR may diminish the value of the ROFR. Lack of notifications to the ROFR holder and NMFS when transactions are taking place or when a ROFR agreement lapses are of great concern.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

No special circumstances exist.

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

A Federal Register Notice published on January 28, 2014 (79 FR 4450) solicited public comment; comment period through May 23, 2014. No comments were received.

In addition, NMFS sent a survey by e-mail to 25 randomly selected participants; one e-mail address came back as undeliverable. To date, two responses were received. Two commenters' responses, and a comment and response summary, follow this supporting statement.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payment or gift is provided under this program.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

As stated on the forms, this information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 680, under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*) and under [16 U.S.C. 1862\(j\)](#). Responses to this information request are not confidential.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

This information collection does not involve information of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

Estimated total respondents: 515 (196 IFQ permit holders; 105 IPQ permit holders; 10 Cooperative IFQ permit holders; 62 Registered crab receiver permit holders; 141 IFQ hired master permit holders; 1 ECCO), decreased from 1,943. Estimated total responses: 1,472, decreased from 3,072. Estimated total burden: 1,621 hr, decreased from 2,257 hr. Estimated total personnel costs: \$65,728, increased from \$63,262.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

Estimated total miscellaneous costs: \$8,135, increased from \$4,920.

14. Provide estimates of annualized cost to the Federal government.

Estimated total responses 931, decreased from 2,477. Estimated total burden: 391 hr, decreased from 806 hr. Estimated total personnel costs: \$16,155, decreased from \$22,462.

15. Explain the reasons for any program changes or adjustments.

Program Change

ECCO Annual Report (moved from OMB Control No. 0648-0570)
an increase of 1 respondents and responses, 1 instead of 0
an increase of 4 hours, 4 instead of 0
an increase of \$148 personnel costs, \$148 instead of \$0
an increase of \$1 miscellaneous costs, \$1 instead of \$0

Adjustments

Adjustments were made to update the number of respondents and related changes, and cost of personnel wages.

Application for IFQ permit

an increase of 46 respondents and responses, 196 instead of 150
an increase of 115 hours burden, 490 instead of 375
an increase of \$8,755 personnel costs, \$18,130 instead of \$9,375
an increase of \$142 miscellaneous costs, \$363 instead of \$221

Application for IPQ permit

an increase of 5 respondents and responses, 105 instead of 100
an increase of 13 hours burden, 263 instead of 250
an increase of \$3,481 personnel costs, \$9,731 instead of \$6,250
an increase of \$37 miscellaneous costs, \$86 instead of \$49

Application for cooperative IFQ permit

a decrease of 6 respondents and responses, 10 instead of 16
a decrease of 15 hours burden, 25 instead of 40
a decrease of \$25 personnel costs, \$975 instead of \$1,000
a decrease of \$36 miscellaneous costs, \$17 instead of \$53

Application for converted CPO QS & CPO IFQ

an increase of \$24 personnel costs, \$74 instead of \$50

Application for RCR permit

an increase of \$372 personnel costs, \$1,147 instead of \$775

Application for crab IFQ hired master permit

a decrease of 1,473 respondents and responses, 141 instead of 1,614
a decrease of 516 hours burden, 49 instead of 565
a decrease of \$12,512 personnel costs, \$1,813 instead of \$14,325
a decrease of \$3,552 miscellaneous costs, \$111 instead of \$3,663

Application for Federal crab vessel permit

a decrease of 13 respondents and responses, 114 instead of 127
a decrease of 4 hours burden, 40 instead of 44
an increase of \$380 personnel costs, \$1,480 instead of \$1100
a decrease of \$46 miscellaneous costs, \$229 instead of \$275

Application for WAG exemption

an increase of \$240 personnel costs, \$740 instead of \$500
a decrease of \$15 miscellaneous costs, \$37 instead of \$52

Application for eligibility to receive crab QS/IFQ or PQS/IPQ by transfer

a decrease of 48 respondents and responses, 15 instead of 63
a decrease of 96 hours burden, 30 instead of 126
a decrease of \$2,040 personnel costs, \$1,110 instead of \$3,150
a decrease of \$87 miscellaneous costs, \$24 instead of \$111

Application to transfer crab QS or PQS

a decrease of 127 respondents and responses, 126 instead of 253
a decrease of 254 hours burden, 252 instead of 506
a decrease of \$3,326 personnel costs, \$9,324 instead of \$12,650
a decrease of \$751 miscellaneous costs, \$882 instead of \$131 (\$131 was approved cost, but should have been \$2,783; notary cost was not included in this IC's total.

Application to transfer crab IFQ

an increase of \$240 personnel costs, \$740 instead of \$500
a decrease of \$8 miscellaneous costs, \$28 instead of \$36

Application to transfer crab IPQ

an increase of 69 respondents and responses, 106 instead of 37
an increase of 101 hours, 155 instead of 54

an increase of \$4,385 personnel costs, \$5,735 instead of \$1,350
an increase of \$23 miscellaneous costs, \$63 instead of 40

Application for inter-cooperative transfer

a decrease of 1 respondent, 10 instead of 11
a decrease of 55 responses, 550 instead of 605
an increase of 54 hours, 65 instead of 11 (also, correction, previous hours should have been 60, miscalculated)
an increase of \$2,130 personnel costs, \$2,405 instead of \$275
a decrease of \$4 miscellaneous costs, \$101 instead of \$105

Application to become an ECCO

an increase of \$36 personnel costs, \$111 instead of \$75
an increase of \$6 miscellaneous costs, \$7 instead of \$1

Application to transfer QS/IFQ to/from an ECCO

an increase of \$24 personnel costs, \$74 instead of \$50
a decrease of \$4 miscellaneous costs, \$7 instead of \$11

ROFR Contracts

Correction: \$6,000 for contractor was included in, but not added into total of, last approved submission. Same cost for this submission.

ROFR Waiver

an increase of \$12 personnel costs, \$37 instead of \$25

Letter of appeal

an increase of \$192 personnel costs, \$592 instead of \$400.

16. For collections whose results will be published, outline the plans for tabulation and publication.

There are no plans for tabulation and publication.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

Not Applicable.

18. Explain each exception to the certification statement.

Not Applicable.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection does not employ statistical methods.

COMMENTS and RESPONSES and completed forms, start next page

**PRA Combined comments for renewal
Alaska Crab Permits 0514 – (05/29/2014)**

AKR sent out 25 questionnaires requesting information by email. One email was returned due to bad address. AKR received two comments in response. The questionnaire contained questions for 16 different applications. Each respondent was asked to indicate whether he or she used each application; if NO, the questions for those unused applications did not need to be answered.

Commenter 1 indicated use of 6 applications. Commenter 2 indicated use of 9 applications.

How does AKR respond to these comments?

- ❖ We could change the personnel costs to complete the indicated applications to \$50/hr. AKR recently increased the standard personnel costs from \$25/hr to \$37/hr due to current rates.
- ❖ The time-to-complete for the RCR permit application could be increased to one hour.
- ❖ Recent improvements in online activities through eFish allows renewal of several permits online, and more applications are planned to be placed online. These online apps include drop-down menus.

Commenter 1:

Application for Annual Crab Permit IFQ or IPQ
◆ Personnel costs are too low. Instead of \$37/hr, it should be \$50/hr
◆ Suggestion for AKR to enhance the quality and clarity of the information to be collected -- Needs to have drop down answer to select instead of typing out the answer
Application for Registered Crab Receiver (RCR) Permit
◆ Time to complete is too low. Instead of 30 minutes, it should be one hour.
◆ Personnel costs are too low. Instead of \$37/hr, it should be \$50/hr
◆ Suggestion for AKR to enhance the quality and clarity of the information to be collected -- Needs to have drop down answer to select instead of typing out the answer
Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer
◆ Personnel costs are too low. Instead of \$37/hr, it should be \$50/hr
Application for Transfer (Lease) of Crab IFQ
◆ Personnel costs are too low. Instead of \$37/hr, it should be \$50/hr
◆ Suggestion for AKR to enhance the quality and clarity of the information to be collected -- Filling out web based form – the one that enables us to save the format
Application for Transfer (Lease) of Crab IPQ
◆ Personnel costs are too low. Instead of \$37/hr, it should be \$50/hr
Application for Transfer (Lease) of Crab QS or PQS

◆ Personnel costs are too low. Instead of \$37/hr, it should be \$50/hr

Commenter 2:

Application for Exemption from CR Crab North or South Region Delivery Requirements

◆ No comment

Application for Annual Crab Permit IFQ or IPQ

◆ No comment

Application for Crab Harvesting Cooperative IFQ Permit

◆ No comment

Application for BSAI Crab Hired Master (Skipper) Permit

◆ No comment

Application for Federal Crab Vessel (FCVP) Permit

◆ No comment

Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer

◆ No comment

Application for Transfer of IFQ between Crab Harvesting Cooperatives

◆ No comment

Application for Transfer (Lease) of Crab IFQ

◆ No comment

BSAI Crab Rationalization Program Quota Share (QS) Beneficiary Designation Form

◆ No comment

Commenter 1 complete answers

1. Application For Annual Crab Permit IFQ or IPQ

<input checked="" type="checkbox"/> YES, I have this permit <input type="checkbox"/> Not applicable GO TO THE NEXT FORM.				
OMB 0648-0514 – Expiration Date 06/30/2014				
Application For Annual Crab Permit IFQ or IPQ	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. IFQ or IPQ permits are issued annually to eligible persons who hold Quota Share (QS) or Processing Quota (PQS). These permits authorize their holders to harvest or process a specific amount of crab, under the terms and conditions set out on the permit. IFQ and IPQ permits are valid for one year -- the crab year for which they are issued. Is this process easy to understand and to apply for? If NO , explain.	x			x
2. We estimate it takes 2.5 hours for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.		X	Operational Accounting Manager fill out the application and it costs maybe around \$50	
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.	x			x
5. Prior to transfer of crab IFQ, the transferor and the transferee must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain	x			x
6. Do you believe that this application has practical utility? If NO , explain.	x			x
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.	x		Needs to have drop down answer where we could select it instead of typing out the answer	
8. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		X		x
9. What else would you care to tell us? Provide any additional comments on any aspect of the IFQ permit and/or the IPQ permit.		X		x

2. Application for Registered Crab Receiver (RCR) Permit

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Registered Crab Receiver (RCR) Permit	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. A new RCR permit application is required each year. NMFS requires an annual RCR permit for any person receiving CR crab or unprocessed crab from the harvester, the owner/operator of a vessel that processes crab at sea, any person holding IPQ, and any person required to submit a departure report. In addition, an RCR permit is required for each shoreside processor or stationary floating processor at which a person receives crab. Is this process easy to understand and to apply for? If NO , explain.	x			x
2. We estimate it takes 30 minutes for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.		X	About an hour	
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.		X	About \$50	
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.	x			x
5. Prior to receipt of new RCR permit, the permit holder must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain	x			x
6. Do you believe that this application has practical utility? If NO , explain.	x			x
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.	x		List drop down menu with the selection for us to choose instead of typing it out	
8. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		x		x
9. What else would you care to tell us? Provide any additional comments on any aspect of the Application for a Registered Crab Receiver Permit.		x		x

3. Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. A transfer of catcher vessel crew (CVC) or catcher/processor crew (CPC) quota share (QS) or individual fishing quota (IFQ) will not be approved unless the intended recipient of the QS or IFQ demonstrates recent participation in CR Program crab fisheries within the 365 days prior to the date the transfer application was submitted. This application is required to establish a person's eligibility to receive QS, processor quota share (PQS), IFQ, or individual processor quota (IPQ) by transfer, if the person is not an eligible crab community organization (ECCO). A successful applicant will receive a letter of acknowledgment of eligibility from NMFS; the acknowledgment will not expire. Is this process easy to understand and to apply for? If NO , explain.	x			x
2. We estimate it takes 2 hours for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.		x	Around \$50.00	
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.	x			x
5. Do you believe that this application has practical utility? If NO , explain.	x			x
6. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		x
7. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		x		x
8. What else would you care to tell us? Provide any additional comments on any aspect of the Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer.		x		x

4. Application for Transfer (Lease) of Crab IFQ

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Transfer (Lease) of Crab IFQ	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. A crab IFQ permit holder may transfer all or part of his or her IFQ to another crab IFQ permit holder. The lease of crab IFQ from one individual to another for the current crab fishing year currently is authorized only for Catcher Vessel Crew (CVC) and/or Catcher/Processor Crew (CPC) due to a hardship as indicated at 50 CFR 680.41(e)(3). Is this process easy to understand and to apply for? If NO , explain.	x			x
2. We estimate it takes 2 hours by your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.		x	Around \$50	
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application. Do you agree? If NO , explain.	x			x
5. Prior to transfer of crab IFQ, the transferor and the transferee must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain	x			x
6. Do you believe that this application has practical utility? If NO , explain.	x			x
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.	x		Filling out web based form – the one that enable us to save the format	
8. If this application could be submitted online, would it help minimize the burden of completing this application? If NO , explain.	x			x
9. What else would you care to tell us? Provide any additional comments on any aspect of the Application for Transfer of Crab IFQ.		x		x

5. Application for Transfer (Lease) of Crab IPQ

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Transfer (Lease) of Crab IPQ	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. This application may be used by a crab IPQ permit holder to apply for a transfer of all or part of its IPQ to another crab IPQ permit holder for the current crab fishing year. Is this process easy to understand and to apply for? If NO , explain.	x			x
2. We estimate it takes 2 hours for non-electronic submittal and 1 hour for electronic submittal by your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.		x	Around \$50	
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and 10 cents for online submittal. Do you agree? If NO , explain.	x			x
5. Prior to transfer of crab IPQ, the transferor and the transferee must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain.	x			x
6. Do you believe that this application has practical utility? If NO , explain.	x			x
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		x
8. This application may be submitted online. Does this help minimize the burden of completing this application? If NO , explain.	x			x
9. What else would you care to tell us? Provide any additional comments on any aspect of the Application for Transfer of Crab IPQ.		x		x

6. Application for Transfer (Lease) of Crab QS or PQS

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Transfer (Lease) of Crab QS or PQS	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. This application may be used to apply for a transfer QS or PQS for the current crab fishing year. Is this process easy to understand and to apply for? If NO , explain.	x			x
2. We estimate it takes 2 hours for your office personnel to complete and submit this application. Is this time accurate and reasonable? If NO , explain.	x			x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.		x	Around \$50	
4. We estimate that it costs \$7 to mail the application and make copies of the application. Do you agree? If NO , explain.	x			x
5. This form must be notarized prior to submittal to NMFS. We estimate the cost of notary to be \$6. Do you agree? If NO , explain.	x			x
6. Prior to transfer of crab QS or PQS, the transferor and the transferee must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain.	x			x
7. Do you believe that this application has practical utility? If NO , explain.	x			x
8. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		x
9. If this application could be submitted online, would this help minimize the burden of completing this application? If NO , explain.	x			x
10. What else would you care to tell us? Provide any additional comments on any aspect of the Application for Transfer of Crab QS or PQS.		x		x

Commenter 2 complete answers

1. Application for Exemption from CR Crab North or South Region Delivery Requirements

<input checked="" type="checkbox"/> YES, I have this permit <input type="checkbox"/> Not applicable GO TO THE NEXT FORM.				
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Exemption from CR Crab North or South Region Delivery Requirements	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. When submitted, Part I and Part II must include, as part of a single package, a completed signed and dated application from each IFQ Permit Holder, IPQ Permit Holder, and Community Representative that signed the framework agreement and the exemption contract. Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 20 hours for supervisory personnel or contractor to complete this application. Is this time accurate and reasonable? If NO , explain.	x			x
3. We estimate that supervisory personnel or contractor costs to complete and submit the application are \$75/hour. Is this cost accurate and reasonable? If NO , explain.	x			
4. We estimate that it costs \$5 to mail the application and make copies of the application and attachments. Do you agree? If NO , explain.	x			
5. Do you believe that this application has practical utility? If NO , explain.		x		
6. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		
7. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		x		
8. What else would you care to tell us? Provide any additional comments on any aspect of the Exemption from North or South Region Delivery Requirements.				x

2. Application for Annual Crab Permit IFQ or IPQ

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application For Annual Crab Permit IFQ or IPQ	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. IFQ or IPQ permits are issued annually to eligible persons who hold Quota Share (QS) or Processing Quota (PQS). These permits authorize their holders to harvest or process a specific amount of crab, under the terms and conditions set out on the permit. IFQ and IPQ permits are valid for one year -- the crab year for which they are issued. Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 2.5 hours for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.				x
5. Prior to transfer of crab IFQ, the transferor and the transferee must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain	x			
6. Do you believe that this application has practical utility? If NO , explain.				x
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		X		
8. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		X		
9. What else would you care to tell us? Provide any additional comments on any aspect of the IFQ permit and/or the IPQ permit.				x

3. Application for Crab Harvesting Cooperative IFQ Permit

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Crab Harvesting Cooperative IFQ Permit	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. NMFS requires a cooperative to form as a legal business entity registered under the laws of one of the 50 states or the District of Columbia. The cooperative must organize according to the requirements of the 1934 Fisherman's Collective Marketing Act (FCMA) (15 U.S.C. 521). A completed application for an annual crab harvesting cooperative IFQ permit must be submitted annually by each crab harvesting cooperative together with the signed annual application for crab IFQ/IPQ permit forms of all the members of the crab harvesting cooperative. A Crab Harvesting Cooperative IFQ Permit is an annual permit that authorizes the cooperative to harvest a defined annual amount of crab during a crab fishing year (July 1 through June 30). Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 2.5 hours for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.				x
5. Do you believe that this application has practical utility? If NO , explain.	x			
6. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		
7. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		x		
8. What else would you care to tell us? Provide any additional comments on any aspect of the Application for Cooperative IFQ				x

4. Application for BSAI Crab Hired Master (Skipper) Permit

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for BSAI Crab Hired Master (Skipper) Permit	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. A crab IFQ Hired Master permit is issued on an annual basis and authorizes the individual identified on the permit to harvest and land IFQ crab for debit against a specified crab IFQ permit. IFQ permit holders, including cooperatives, must submit this application to authorize an IFQ Hired Master. It may also be used to delete such authorization. Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 21 minutes for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.				x
5. Do you believe that this application has practical utility? If NO , explain.				x
6. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		
7. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		x		
8. What else would you care to tell us? Provide any additional comments on any aspect of the Application for a BSAI Crab Hired Master Permit.				x

5. Application for Federal Crab Vessel (FCVP) Permit

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application For Federal Crab Vessel (FCVP) Permit	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. All vessels participating in the CR fisheries must have a valid Federal Crab Vessel Permit on board at all times. Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 21 minutes for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.				x
5. Prior to receipt of new FCVP permit, the permit holder must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain	x			
6. Do you believe that this application has practical utility? If NO , explain.		X		
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		X		
8. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		X		
9. What else would you care to tell us? Provide any additional comments on any aspect of the Application for a Federal Crab Vessel Permit.				x

6. Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. A transfer of catcher vessel crew (CVC) or catcher/processor crew (CPC) quota share (QS) or individual fishing quota (IFQ) will not be approved unless the intended recipient of the QS or IFQ demonstrates recent participation in CR Program crab fisheries within the 365 days prior to the date the transfer application was submitted. This application is required to establish a person's eligibility to receive QS, processor quota share (PQS), IFQ, or individual processor quota (IPQ) by transfer, if the person is not an eligible crab community organization (ECCO). A successful applicant will receive a letter of acknowledgment of eligibility from NMFS; the acknowledgment will not expire. Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 2 hours for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.	x			
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.				x
5. Do you believe that this application has practical utility? If NO , explain.				x
6. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		
7. Can you tell us ways to minimize the burden of completing this application through use of automated collection techniques or other forms of information technology. If YES , explain.		x		
8. What else would you care to tell us? Provide any additional comments on any aspect of the Application for BSAI Crab Eligibility to Receive QS/PQS or IFQ/IPQ by Transfer.				x

7. Application for Transfer of IFQ between Crab Harvesting Cooperatives

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Transfer of IFQ between Crab Harvesting Cooperatives	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. This application may only be used to apply for a transfer of IFQ between Crab Harvesting Cooperatives. A crab harvesting cooperative is formed for the purpose of applying for and fishing under a crab harvesting cooperative IFQ permit and is permitted to transfer its IFQ only to another crab harvesting cooperative. Once a cooperative has an IFQ permit, the members of that cooperative cannot transfer away IFQ, because they hold no IFQ of their own. When a QS holder joins a cooperative, all of his or her QS are converted to cooperative IFQ that is held in common by the cooperative. Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 2 hours for your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.				x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application and attachments. Do you agree? If NO , explain.				x
5. Prior to transfer of crab IFQ, the transferor and the transferee must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain	x			
6. Do you believe that this application has practical utility? If NO , explain.	x			
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		
8. This application may be submitted online. Does this help minimize the burden of completing this application? If NO , explain.	x			
9. What else would you care to tell us? Provide any additional comments on any aspect of the Application for Transfer of IFQ between Crab Harvesting Cooperatives				x

8. Application for Transfer (Lease) of Crab IFQ

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
Application for Transfer (Lease) of Crab IFQ	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. A crab IFQ permit holder may transfer all or part of his or her IFQ to another crab IFQ permit holder. The lease of crab IFQ from one individual to another for the current crab fishing year currently is authorized only for Catcher Vessel Crew (CVC) and/or Catcher/Processor Crew (CPC) due to a hardship as indicated at 50 CFR 680.41(e)(3). Is this process easy to understand and to apply for? If NO , explain.	x			
2. We estimate it takes 2 hours by your office personnel to complete this application. Is this time accurate and reasonable? If NO , explain.				x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail or fax the application and make copies of the application. Do you agree? If NO , explain.				x
5. Prior to transfer of crab IFQ, the transferor and the transferee must, for the previous year, submit an EDR, as required by § 680.6 and pay all fees, as required by § 680.44? Do you agree with this procedure? If NO , explain	x			
6. Do you believe that this application has practical utility? If NO , explain.	x			
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.		x		
8. If this application could be submitted online, would it help minimize the burden of completing this application? If NO , explain.	x			
9. What else would you care to tell us? Provide any additional comments on any aspect of the Application for Transfer of Crab IFQ.				x

9. BSAI Crab Rationalization Program Quota Share (QS) Beneficiary Designation Form

<input checked="" type="checkbox"/> YES, I have this permit		<input type="checkbox"/> Not applicable GO TO THE NEXT FORM.		
OMB 0648-0514 – Expiration Date 06/30/2014				
BSAI Crab Rationalization Program Quota Share (QS) Beneficiary Designation Form	YES (X)	NO (X)	COMMENTS	No Comment (X)
1. Individuals who hold QS in the CR Program may provide NMFS with the name of a designated beneficiary to receive survivorship transfer privileges in the event of the QS holder’s death. Use this form to designate the surviving spouse, or in the absence of a surviving spouse, an immediate family member to be the beneficiary for these purposes. Is this process easy to understand and to apply for? If NO , explain.				x
2. We estimate it takes 30 minutes for your office personnel to complete and submit this application. Is this time accurate and reasonable? If NO , explain.				x
3. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable? If NO , explain.				x
4. We estimate that it costs \$7 to mail the application and make copies of the application. Do you agree? If NO , explain.				x
5. This form must be notarized prior to submittal to NMFS. We estimate the cost of notary to be \$6. Do you agree? If NO , explain.				x
6. Do you believe that this application has practical utility? If NO , explain.				x
7. Can you tell us ways to enhance the quality and clarity of the information to be collected? If YES , explain.				x
8. If this application could be submitted online, would this help minimize the burden of completing this application? If NO , explain.				x
9. What else would you care to tell us? Provide any additional comments on any aspect of the BSAI CR Program QS Beneficiary Designation Form.				x