## **SUBPART 246.7—WARRANTIES**

## 246.710 Contract clauses.

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- (3)(i) In addition to <u>252.211-7003</u>, Item Unique Identification and Valuation, which
- is prescribed in <u>211.274-6</u>(a), use the following provision and clause in solicitations and contracts when it is anticipated that the resulting contract will include a warranty for serialized items:
- (A) <u>252.246-7005</u>, Notice of Warranty Tracking of Serialized Items (include only if offerors will be required to enter data with the offer); and
  - (B) <u>252.246-7006</u>, Warranty Tracking of Serialized Items.
- (ii) If the Government specifies a warranty, include in the solicitation the appropriate warranty attachment from DFARS <u>246.710-70</u>. The contracting officer shall request the requiring activity to provide information to ensure that Attachment \_\_, Warranty Tracking Information, is populated with data specifying the Government's required warranty provision by contract line item number, subline item number, or exhibit line item number prior to solicitation. In such case do not include <u>252.246-7005</u> in the solicitation.
- (iii) If the Government does not specify a warranty, include <u>252.246-7005</u> in the solicitation, and the warranty attachment from DFARS <u>246.710-70</u>. The contractor may offer a warranty and shall then populate Attachment \_\_, Warranty Tracking Information, as appropriate, as part of its offer as required by <u>252.246-7005</u>.
- (iv) All warranty tracking information that is indicated with a single asterisk (\*) in Attachment \_\_, Warranty Tracking Information, shall be completed prior to award. Data indicated with two asterisks (\*\*) may be completed at the time of award. Data indicated with three asterisks (\*\*\*) may be completed at or after the time of award.
- (v) The contractor shall provide warranty repair source instructions (as prescribed in the attachment) no later than the time of delivery.—

# 246.710-70 Warranty attachment.

This is the prescribed attachment and format required and referenced in the provision at <u>252.246-7005</u>, Notice of Warranty Tracking of Serialized Items, and the clause at <u>252.246-7006</u>, Warranty Tracking of Serialized Items. The contracting officer shall number the attachment upon issuance of the solicitation and include the solicitation or contract number.

# Attachment \_\_: Warranty Tracking Information Solicitation or Contract Number [To be filled in by the contracting officer]

			Warranty Term									
CLIN, SLIN, OR ELIN *	Item Type (note (a)) **	Warran ty Item UII ***	Starting Event (note (b)) *		e (note ))* Unit *	Dura (note Quan tity *		Fixed Expiration (note (e))  Date	Warranty Administrator Enterprise Identifier Code Type (note (f)) **	Warranty Admin- istrator Enterprise Identifier (note (g))	Warranty Guarantor Enterprise Identifier Code Type (note (h))	Warranty Guarantor Enterprise Identifier (note (i)) **

<sup>\*</sup> To be completed by the requiring activity, if warranty is specified by the Government. Otherwise, all offerors are to complete as part of their offers.

Notes

(a) Item Type

C - component procured separate from end item

S - subassembly procured separate from end Item or subassembly

E - embedded in component, subassembly or end item parent

P - parent end item

(b) Starting Event

A - Acceptance

I - Installation

F - First Use

O - Other

Warranty term - Choose one of the following:

- (c) Usage (for warrantees where effectivity is in terms of operating time or cycles)
- (d) Duration (for warrantees that expire after a set period of time)
- (e) Date (for warrantees with a fixed expiration date)
- (f) Warranty Administrator Enterprise Identifier Code Type

0-9 - GS1 Company Prefix

D - CAGE

LB - ATIS-0322000

LH - EHIBCC

RH - HIBCC

UN - DUNS

- (g) Warranty administrator enterprise identifier A globally unique identifier code assigned to an enterprise by an issuing agency (e.g., Dun & Bradstreet's Data Universal Numbering System (DUNS) Number, GS1 Company Prefix , Allied Committee 135 NATO Commercial and Government Entity (NCAGE)/ Commercial and Government Entity (CAGE) Code, or the Coded Representation of the North American Telecommunications Industry Manufacturers, Suppliers, and Related Service Companies (ATIS-0322000) Number, European Health Industry Business Communication Council (EHIBCC) and Health Industry Business Communication Council (HIBCC)).\_\_
  - (h) Warranty Guarantor Enterprise Identifier Code Type

0-9 - GS1 Company Prefix

D - CAGE

LB - ATIS-0322000

LH - EHIBCC

RH - HIBCC

**UN - DUNS** 

<sup>\*\*</sup> To be completed by the contractor at the time of award.

<sup>\*\*\*</sup> To be completed by the contractor at the time of award (if known) or at the time Attachment \_\_, Warranty Repair Source Instructions is submitted.

(i) Warranty guarantor enterprise identifier - A globally unique identifier code assigned to an enterprise by an Issuing Agency (e.g., Dun & Bradstreet's Data Universal Numbering System (DUNS) Number, GS1 Company Prefix , Allied Committee 135 NATO Commercial and Government Entity (NCAGE)/ Commercial and Government Entity (CAGE) Code, or the Coded Representation of the North American Telecommunications Industry Manufacturers, Suppliers, and Related Service Companies (ATIS-0322000) Number, European Health Industry Business Communication Council (EHIBCC) and Health Industry Business Communication Council (HIBCC)).

Attachment \_\_: Warranty Repair Source Instructions
Contract Number: [To be filled in by the contracting officer]

	Warranty Repair Source Enterprise Identifier Code Type (note (a)) **	Warranty Repair Source Enterprise Identifier (note (b)) **								
CLIN , SLIN or ELIN *			Name **	Address Line 1 **	Addres s Line 2 **	City /Count y **	State / Provinc e **	Post al Cod e **	Country **	Instruction s (note (c)) **

<sup>\*</sup>To be completed by the requiring activity, if warranty is specified by the Government. Otherwise, all offerors are to complete as part of their offers.

#### Notes

(a) Warranty Repair Source Enterprise Identifier Code Type

0-9 - GS1 Company Prefix

D - CAGE

LB - ATIS-0322000

LH - EHIBCC

RH - HIBCC

UN - DUNS

- (b) Warranty repair source enterprise identifier A globally unique identifier code assigned to an enterprise by an issuing agency (e.g., Dun & Bradstreet's Data Universal Numbering System (DUNS) Number, GS1 Company Prefix , Allied Committee 135 NATO Commercial and Government Entity (NCAGE)/Commercial and Government Entity (CAGE) Code, or the Coded Representation of the North American Telecommunications Industry Manufacturers, Suppliers, and Related Service Companies (ATIS-0322000) Number, European Health Industry Business Communication Council (EHIBCC) and Health Industry Business Communication Council (HIBCC)).
- (c) Instructions For each warranty repair source enterprise identifier, include the shipping address for returning warranty items, or include instructions for accessing a web site to obtain prepaid shipping labels for returning warranty items to the designated source of warranty repair.

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<sup>\*\*</sup>To be completed by the Contractor at the time of award and/or at the time of delivery.

As prescribed in  $\underline{246.710}(3)(i)(A)$ , use the following provision:

# NOTICE OF WARRANTY TRACKING OF SERIALIZED ITEMS (JUN 2011)

- (a) *Definition.* "Unique item identifier" and "warranty tracking" are defined in the clause at <u>252.246-7006</u>, Warranty Tracking of Serialized Items.
- (b) Reporting of data for warranty tracking and administration. The offeror shall provide the information required by Attachment\_\_, Warranty Tracking Information, (indicated by a single asterisk (\*)), on each contract line item number, subline item number, or exhibit line item number for warranted items. The offeror shall provide all information required by Attachment \_\_, Warranty Repair Source Instruction, prior to, but not later than when the warranted items are presented for receipt and/or acceptance. The "Warranty Item Unique Item Identifier data category may also be completed in conjunction with Attachment \_, Warranty Repair Source Instruction. Information required in the warranty attachment shall include such information as duration, enterprise, enterprise identifier, first use, fixed expiration, installation, issuing agency, item type, starting event, serialized item, unique item identifier, usage, warranty administrator, warranty guarantor, warranty repair source, and warranty tracking. The offeror shall submit the data for warranty tracking to the Contracting Officer.

(End of provision)

# 252.246-7006 Warranty Tracking of Serialized Items.

As prescribed in  $\underline{246.710}(3)(i)(B)$ , use the following clause:

# WARRANTY TRACKING OF SERIALIZED ITEMS (JUN 2011)

(a) Definitions. As used in this clause—

"Duration" means the warranty period. This period may be a stated period of time, amount of usage, or the occurrence of a specified event, after formal acceptance of delivery, for the Government to assert a contractual right for the correction of defects.

"Enterprise" means the entity (e.g., a manufacturer or vendor) responsible for granting the warranty and/or assigning unique item identifiers to serialized warranty items.

"Enterprise identifier" means a code that is uniquely assigned to an enterprise by an issuing agency.

"First use" means the initial or first-time use of a product by the Government.

"Fixed expiration" means the date the warranty expires and the Contractor's obligation to provide for a remedy or corrective action ends.

"Installation" means the date a unit is inserted into a higher level assembly in order to make that assembly operational.

"Issuing agency" means an organization responsible for assigning a globally unique identifier to an enterprise (e.g., Dun & Bradstreet's Data Universal Numbering System (DUNS) Number, GS1 Company Prefix, Allied Committee 135 NATO Commercial and Government Entity (NCAGE)/Commercial and Government Entity (CAGE) Code, or the Coded Representation of the North

American Telecommunications Industry Manufacturers, Suppliers, and Related Service Companies (ATIS-0322000) Number), European Health Industry Business Communication Council (EHIBCC) and Health Industry Business Communication Council (HIBCC)), as indicated in the Register of Issuing Agency Codes for ISO/IEC 15459, located at <a href="http://www.nen.nl/web/Normen-ontwikkelen/ISOIEC-15459-Issuing-Agency-Codes.htm">http://www.nen.nl/web/Normen-ontwikkelen/ISOIEC-15459-Issuing-Agency-Codes.htm</a>.

"Item type" means a coded representation of the description of the item being warranted, consisting of the codes C - component procured separate from end item, S - subassembly procured separate from end item or subassembly, E - embedded in component, subassembly or end item parent, and P - parent end item.

"Starting event" means the event or action that initiates the warranty.

"Serialized item" means each item produced is assigned a serial number that is unique among all the collective tangible items produced by the enterprise, or each item of a particular part, lot, or batch number is assigned a unique serial number within that part, lot, or batch number assignment within the enterprise identifier. The enterprise is responsible for ensuring unique serialization within the enterprise identifier or within the part, lot, or batch numbers, and that serial numbers, once assigned, are never used again.

"Unique item identifier" means a set of data elements marked on an item that is globally unique and unambiguous.

"Usage" means the quantity and an associated unit of measure that specifies the amount of a characteristic subject to the contractor's obligation to provide for remedy or corrective action, such as a number of miles, hours, or cycles.

"Warranty administrator" means the organization specified by the guarantor for managing the warranty.

"Warranty guarantor" means the enterprise that provides the warranty under the terms and conditions of a contract.

"Warranty repair source" means the organization specified by a warranty guarantor for receiving and managing warranty items that are returned by a customer.

"Warranty tracking" means the ability to trace a warranted item from delivery through completion of the effectivity of the warranty.

(b) Reporting of data for warranty tracking and administration. The Contractor shall provide all information required by Attachment \_\_, Warranty Tracking Information on each contract line item number, subline item number, or exhibit line item number for warranted items. The Contractor shall provide all information required by Attachment \_\_, Warranty Repair Source Instructions, prior to, but not later than when the warranted items are presented for receipt and/or acceptance. The "Warranty Item Unique Item Identifier" data category may also be completed in conjunction with Attachment \_\_, Warranty Repair Source Instructions. Information required in the warranty attachment shall include such information as duration, enterprise, enterprise identifier, first use, fixed expiration, installation, issuing agency, item type, starting event, serialized item, unique item identifier, usage, warranty administrator, warranty guarantor, warranty repair source, and warranty tracking. The Contractor shall

submit the data for warranty tracking to the Contracting Officer with a copy to the requiring activity and the Contracting Officer Representative.

(c) Reservation of rights. The terms of this clause shall not be construed to limit the Government's rights or remedies under any other contract clause.

(End of clause)