Home Food Guidance & Regulation Retail Food Protection

Food

Voluntary National Retail Food Regulatory Program Standards* - November 2013

"Standards of Excellence for Continual Improvement"

Developed and recommended by the U.S. Food and Drug Administration with input from federal, state, and local Regulatory officials, Industry, trade associations, academia, and consumers.

OMB Control No. 0910-0621 Expiration Date: 05-31-2014 See additional PRA statement

Paperwork Reduction Act of 1995 (PDF - 100KB)¹

Table of Contents

Overview of the Retail Program Standards

```
Introduction to the Standards (PDF - 104KB)<sup>2</sup>
Program Standards Definitions (PDF - 27KB)<sup>3</sup>
```

Information about Enrolling and Participating in the Retail Program Standards

- Administrative Procedures (PDF 137KB)⁴
- FDA National Registry Report (FDA Form 3519) (PDF 1.22MB)⁵
- Permission to Publish in National Registry (FDA Form 3520) (PDF 1.20MB)⁶

Standard 1: Regulatory Foundation

```
Standard 1 (PDF - 230KB)7
      Standard 1 – Self-Assessment and Verification Audit Form (PDF - 411KB)<sup>8</sup>
      Standard 1 - Self-Assessment Instructions and Worksheet for Part I (PDF - 331KB)9
      Standard 1 - Self-Assessment Instructions and Worksheet for Part II (PDF - 1.46MB)<sup>10</sup>
      Standard 1 – Self-Assessment Instructions and Worksheet for Part III (PDF - 131KB)<sup>11</sup>
      Standard 1 – Verification Audit Instructions and Worksheet for Part I (PDF - 389KB)<sup>12</sup>
      Standard 1 – Verification Audit Instructions and Worksheet for Part II (PDF - 319KB)<sup>13</sup>
      Standard 1 - Verification Audit Instructions and Worksheet for Part III (PDF - 301KB) 14
Standard 2: Trained Regulatory Staff
      Standard 2 (PDF - 197KB)<sup>15</sup>
      Standard 2 – Self-Assessment and Verification Audit Form (PDF - 416KB)<sup>16</sup>
      Standard 2 - Self-Assessment Instructions and Worksheet (PDF - 312KB)<sup>17</sup>
      Standard 2 – Verification Audit Instructions and Worksheet (PDF - 337KB)<sup>18</sup>
      Standard 2 – Appendix B-1: Curriculum for Retail Food Safety Inspection Officers (PDF - 1MB)<sup>19</sup>
      Standard 2 – Appendix B-3: Establishment Categories (PDF - 36KB)<sup>22</sup>
Standard 3: Inspection Program Based on HACCP Principles
      Standard 3 (PDF - 78KB) 23
      Standard 3 - Self-Assessment and Verification Audit Form (PDF - 334KB)<sup>24</sup>
Standard 4: Uniform Inspection Program
      Standard 4 (PDF - 79KB)<sup>25</sup>
      Standard 4 – Self-Assessment and Verification Audit Form (PDF - 477KB)<sup>26</sup>
```

Standard 4 – Self-Assessment Instructions and Worksheet (PDF - 488KB)²⁷

Standard 5: Foodborne Illness and Food Defense Preparedness and Response

```
Standard 5 (PDF - 141KB)<sup>28</sup>
Standard 5 – Self-Assessment and Verification Audit Form (PDF - 473KB)<sup>29</sup>
```

Standard 6: Compliance and Enforcement

```
Standard 6 (PDF - 67KB)<sup>30</sup>
```

Standard 6 - Self-Assessment and Verification Audit Form (PDF - 289KB)31

Standard 6 - Self-Assessment Instructions and Worksheet (PDF - 343KB) 32

Standard 6 – Explanation of Statistical Model (PDF - 25KB)³³

Standard 7: Industry and Community Relations

Standard 7 (PDF - 63KB)34

Standard 7 - Self-Assessment and Verification Audit Form (PDF - 314KB)35

Standard 7 - Self-Assessment Instructions and Worksheet (PDF - 871KB)³⁶

Standard 8: Program Support and Resources

Standard 8 (PDF - 124KB)³⁷

Standard 8 - Self-Assessment and Verification Audit Form (PDF - 349KB)³⁸

Standard 8 - Self-Assessment Instructions and Worksheet (PDF - 197KB)³⁹

Standard 8 – Staffing Level (FTE to Inspection Ratio) Assessment Workbook 42 🗗 43

Standard 9: Program Assessment

Standard 9 (PDF - 23KB)44

Standard 9 - Self-Assessment and Verification Audit Form (PDF - 176KB)⁴⁵

Other Important Documents

Summary of Changes (PDF - 39KB)⁴⁶

Page Last Updated: 03/27/2014

Note: If you need help accessing information in different file formats, see Instructions for Downloading Viewers and Players.

Accessibility Contact FDA Careers FDA Basics FOIA No Fear Act Site Map Transparency Website Policies

U.S. Food and Drug Administration 10903 New Hampshire Avenue Silver Spring, MD 20993 Ph. 1-888-INFO-FDA (1-888-463-6332)

Email FDA



For Government For Press

Combination Products Advisory Committees Science & Research Regulatory Information Safety Emergency Preparedness International Programs News & Events Training and Continuing Education Inspections/Compliance State & Local Officials Consumers Industry Health Professionals FDA Archive



U.S. Department of Health & Human Services

Links on this page:

- 1. http://www.reginfo.gov/public/reginfo/pra.pdf
- 2. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372399.pdf
- 3. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372411.pdf
- 4. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM379596.pdf
- 5. /downloads/AboutFDA/ReportsManualsForms/Forms/UCM250348.pdf
- 6. /downloads/AboutFDA/ReportsManualsForms/Forms/UCM250355.pdf

^{*} Under the Paperwork Reduction Act (PRA), FDA must renew OMB approval for the "information collection" provisions of the Retail Program Standards every three years. The current OMB approval for the Retail Program Standards under control number 0910-0621 has an expiration date of 5/31/2014. The 2013 version of the Retail Program Standards is being submitted to OMB as part of the next Information Collection Request (ICR). Thus, the 2013 version will be considered a draft until OMB completes its review of the ICR. While OMB reviews the ICR, the expiration date for control number 0910-0621 will be extended each month until OMB approves the collection for another three-year cycle (e.g., on June 1, 2014 the expiration date for 0910-0621 will become 06/30/2014).

- 7. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372416.pdf
- $8. \ \ / downloads/Food/Guidance Regulation/Retail Food Protection/Program Standards/UCM 372426.pdf$
- 9. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372428.pdf
- $10. \ / downloads/Food/Guidance Regulation/Retail Food Protection/Program Standards/UCM 372607.pdf$
- 11. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372458.pdf
- 12. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372477.pdf
- 13. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372584.pdf
- 14. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372590.pdf
- 15. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372482.pdf
- 16. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372483.pdf
- 17. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372489.pdf
- 18. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372490.pdf
- 19. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372492.pdf
- 20. http://www.foodprotect.org/guides/
- 21. http://www.fda.gov/AboutFDA/AboutThisWebsite/WebsitePolicies/Disclaimers/default.htm
- 22. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372493.pdf
- 23. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372495.pdf
- 24. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372496.pdf
- 25. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372499.pdf
- $26. \ / downloads/Food/Guidance Regulation/Retail Food Protection/Program Standards/UCM 372500.pdf and the control of the co$
- 27. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372502.pdf
- 28. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372504.pdf
- 29. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372507.pdf
- 30. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372511.pdf
- 31. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372515.pdf
- 32. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372596.pdf
- 33. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372521.pdf
- 34. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372523.pdf
- $35. \ / downloads/Food/Guidance Regulation/Retail Food Protection/Program Standards/UCM 372599.pdf and the control of the co$
- 36. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372527.pdf
- 37. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372528.pdf
- 38. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372529.pdf
- 39. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372530.pdf
- 40. http://www.foodprotect.org/guides/
- 41. http://www.fda.gov/AboutFDA/AboutThisWebsite/WebsitePolicies/Disclaimers/default.htm
- 42. http://www.foodprotect.org/guides/
- 43. http://www.fda.gov/AboutFDA/AboutThisWebsite/WebsitePolicies/Disclaimers/default.htm
- 44. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372531.pdf
- 45. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM372534.pdf
- 46. /downloads/Food/GuidanceRegulation/RetailFoodProtection/ProgramStandards/UCM373951.pdf