



AMENDED AND EXTENDED
CONFIDENTIALITY CERTIFICATE

Centers for Disease
Control Prevention (CDC)
Atlanta, GA 30341-3724

Issued to

Employees of

Cincinnati Children's Hospital Medical Center
and Other Participants

conducting research known as

SEARCH FOR DIABETES IN YOUTH (PHASE 3)

Grant No. (5U18DP002709)

The purpose of this project is to assess the presence of existing and emerging cases of diabetes among U.S. residents aged less than 20 years. The cases will be reported by age, sex, and ethnic or racial background. Diabetes in children is a heterogeneous disease; therefore the project will also seek to improve the classification and clinical description of the different cases of diabetes occurring in U.S. children. Additionally, the project will describe health care utilization, processes of care, and quality of life for children with diabetes.

In accordance with the provisions of Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)) this certificate is issued to protect the privacy of research subjects by withholding their identities from all persons not connected with the research.

Under authority vested in the Secretary of Health and Human Services under that section, all persons who

- (1) are employed by the Cincinnati Children's Hospital Medical Center and their contractors and cooperating agencies; and
- (2) have, in the course of that employment, access to the information which would identify individuals who are the subjects of a research project entitled "SEARCH FOR DIABETES IN YOUTH (PHASE 3)" are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research, with the exceptions and limitations set forth below.

As provided in Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)),

“Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals.”

The following conditions apply to the protection provided under this certificate:

- (1) This certificate does not authorize the Cincinnati Children’s Hospital Medical Center or their contractors or cooperating agencies to refuse to reveal identifying information concerning research subjects if any of the following conditions exist:
 - (a) The subject (or, if he or she is legally incompetent, his or her guardian) consents in writing to disclosure of identifying information.
 - (b) Authorized personnel of the United States Department of Health and Human Services request such information for audit or program evaluation of the research project, or for investigation of the Cincinnati Children’s Hospital Medical Center or their contractors or cooperating agencies in carrying out the research project.
 - (c) Release is required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §§ 301 et seq.) or regulations promulgated thereunder (Title 21, Code of Federal Regulations).
- (2) This certificate requires that there be no disclosures of identifying characteristics of research subjects in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to compel disclosure of the identifying characteristics of research subjects, except as provided for in paragraph (1) above.
- (3) The confidentiality certificate does not govern the voluntary disclosure of identifying characteristics of research subjects.
- (4) This certificate does not represent an endorsement of the research project by the Department of Health and Human Services.
- (5) All research subjects in the project will be given a fair, clear explanation of the protection this certificate affords, and of the limitations and exceptions to the protection.

- (6) This certificate is effective upon issuance and will expire at the end of August 2016, or sooner if the holder is notified of cancellation in accordance with the procedures set out in 42 C.F.R. § 2a.8. The protection afforded by this certificate of confidentiality is permanent (including after death) for persons who participated as subjects in the research during any time the certificate was in effect.

Date: 8-22-11



Tanja Popovic, MD, PhD, F(AAM), AM(AAFS)
Deputy Associate Director for Science
Centers for Disease Control and Prevention



AMENDED AND EXTENDED
CONFIDENTIALITY CERTIFICATE

Issued to

Employees of

Kaiser Foundation Research Institute
and Other Participants

conducting research known as

SEARCH FOR DIABETES IN YOUTH (PHASE 3)

Grant No. (5U18DP002714)

The purpose of this project is to assess the presence of existing and emerging cases of diabetes among U.S. residents aged less than 20 years. The cases will be reported by age, sex, and ethnic or racial background. Diabetes in children is a heterogeneous disease; therefore the project will also seek to improve the classification and clinical description of the different cases of diabetes occurring in U.S. children. Additionally, the project will describe health care utilization, processes of care, and quality of life for children with diabetes.

In accordance with the provisions of Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)) this certificate is issued to protect the privacy of research subjects by withholding their identities from all persons not connected with the research.

Under authority vested in the Secretary of Health and Human Services under that section, all persons who

- (1) are employed by the Kaiser Foundation Research Institute and their contractors and cooperating agencies; and
- (2) have, in the course of that employment, access to the information which would identify individuals who are the subjects of a research project entitled "SEARCH FOR DIABETES IN YOUTH (PHASE 3)" are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research, with the exceptions and limitations set forth below.

As provided in Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)),


“Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals.”

The following conditions apply to the protection provided under this certificate:

- (1) This certificate does not authorize the Kaiser Foundation Research Institute or their contractors or cooperating agencies to refuse to reveal identifying information concerning research subjects if any of the following conditions exist:
 - (a) The subject (or, if he or she is legally incompetent, his or her guardian) consents in writing to disclosure of identifying information.
 - (b) Authorized personnel of the United States Department of Health and Human Services request such information for audit or program evaluation of the research project, or for investigation of the Kaiser Foundation Research Institute or their contractors or cooperating agencies in carrying out the research project.
 - (c) Release is required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §§ 301 et seq.) or regulations promulgated thereunder (Title 21, Code of Federal Regulations).
- (2) This certificate requires that there be no disclosures of identifying characteristics of research subjects in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to compel disclosure of the identifying characteristics of research subjects, except as provided for in paragraph (1) above.
- (3) The confidentiality certificate does not govern the voluntary disclosure of identifying characteristics of research subjects.
- (4) This certificate does not represent an endorsement of the research project by the Department of Health and Human Services.
- (5) All research subjects in the project will be given a fair, clear explanation of the protection this certificate affords, and of the limitations and exceptions to the protection.

- (6) This certificate is effective upon issuance and will expire at the end of August 2016, or sooner if the holder is notified of cancellation in accordance with the procedures set out in 42 C.F.R. § 2a.8. The protection afforded by this certificate of confidentiality is permanent (including after death) for persons who participated as subjects in the research during any time the certificate was in effect.

Date: 8-22-11



Tanja Popovic, MD, PhD, F(AAM), AM(AAFS)
Deputy Associate Director for Science
Centers for Disease Control and Prevention



AMENDED AND EXTENDED
CONFIDENTIALITY CERTIFICATE

Issued to

Employees of

Seattle Children's Hospital
and Other Participants

conducting research known as

SEARCH FOR DIABETES IN YOUTH (PHASE 3)

Grant No. (5U18DP002710)

The purpose of this project is to assess the presence of existing and emerging cases of diabetes among U.S. residents aged less than 20 years. The cases will be reported by age, sex, and ethnic or racial background. Diabetes in children is a heterogeneous disease; therefore the project will also seek to improve the classification and clinical description of the different cases of diabetes occurring in U.S. children. Additionally, the project will describe health care utilization, processes of care, and quality of life for children with diabetes.

In accordance with the provisions of Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)) this certificate is issued to protect the privacy of research subjects by withholding their identities from all persons not connected with the research.

Under authority vested in the Secretary of Health and Human Services under that section, all persons who

- (1) are employed by the Seattle Children's Hospital and their contractors and cooperating agencies; and
- (2) have, in the course of that employment, access to the information which would identify individuals who are the subjects of a research project entitled "SEARCH FOR DIABETES IN YOUTH (PHASE 3)" are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research, with the exceptions and limitations set forth below.

As provided in Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)),

“Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals.”

The following conditions apply to the protection provided under this certificate:

- (1) This certificate does not authorize the Seattle Children’s Hospital or their contractors or cooperating agencies to refuse to reveal identifying information concerning research subjects if any of the following conditions exist:
 - (a) The subject (or, if he or she is legally incompetent, his or her guardian) consents in writing to disclosure of identifying information.
 - (b) Authorized personnel of the United States Department of Health and Human Services request such information for audit or program evaluation of the research project, or for investigation of the Seattle Children’s Hospital or their contractors or cooperating agencies in carrying out the research project.
 - (c) Release is required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §§ 301 et seq.) or regulations promulgated thereunder (Title 21, Code of Federal Regulations).
- (2) This certificate requires that there be no disclosures of identifying characteristics of research subjects in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to compel disclosure of the identifying characteristics of research subjects, except as provided for in paragraph (1) above.
- (3) The confidentiality certificate does not govern the voluntary disclosure of identifying characteristics of research subjects.
- (4) This certificate does not represent an endorsement of the research project by the Department of Health and Human Services.
- (5) All research subjects in the project will be given a fair, clear explanation of the protection this certificate affords, and of the limitations and exceptions to the protection.

- (6) This certificate is effective upon issuance and will expire at the end of August 2016, or sooner if the holder is notified of cancellation in accordance with the procedures set out in 42 C.F.R. § 2a.8. The protection afforded by this certificate of confidentiality is permanent (including after death) for persons who participated as subjects in the research during any time the certificate was in effect.

Date: 8-22-11



Tanja Popovic, MD, PhD, F(AAM), AM(AAFS)
Deputy Associate Director for Science
Centers for Disease Control and Prevention



AMENDED AND EXTENDED
CONFIDENTIALITY CERTIFICATE

Issued to

Employees of

University of Colorado Denver
and Other Participants

conducting research known as

SEARCH FOR DIABETES IN YOUTH (PHASE 3)

Grant No. (5U18DP003256)

The purpose of this project is to assess the presence of existing and emerging cases of diabetes among U.S. residents aged less than 20 years. The cases will be reported by age, sex, and ethnic or racial background. Diabetes in children is a heterogeneous disease; therefore the project will also seek to improve the classification and clinical description of the different cases of diabetes occurring in U.S. children. Additionally, the project will describe health care utilization, processes of care, and quality of life for children with diabetes.

In accordance with the provisions of Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)) this certificate is issued to protect the privacy of research subjects by withholding their identities from all persons not connected with the research.

Under authority vested in the Secretary of Health and Human Services under that section, all persons who

(1) are employed by the University of Colorado Denver and their contractors and cooperating agencies; and

(2) have, in the course of that employment, access to the information which would identify individuals who are the subjects of a research project entitled "SEARCH FOR DIABETES IN YOUTH (PHASE 3)" are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research, with the exceptions and limitations set forth below.

As provided in Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)),

“Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals.”

The following conditions apply to the protection provided under this certificate:

- (1) This certificate does not authorize the University of Colorado Denver or their contractors or cooperating agencies to refuse to reveal identifying information concerning research subjects if any of the following conditions exist:
 - (a) The subject (or, if he or she is legally incompetent, his or her guardian) consents in writing to disclosure of identifying information.
 - (b) Authorized personnel of the United States Department of Health and Human Services request such information for audit or program evaluation of the research project, or for investigation of the University of Colorado Denver or their contractors or cooperating agencies in carrying out the research project.
 - (c) Release is required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §§ 301 et seq.) or regulations promulgated thereunder (Title 21, Code of Federal Regulations).
- (2) This certificate requires that there be no disclosures of identifying characteristics of research subjects in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to compel disclosure of the identifying characteristics of research subjects, except as provided for in paragraph (1) above.
- (3) The confidentiality certificate does not govern the voluntary disclosure of identifying characteristics of research subjects.
- (4) This certificate does not represent an endorsement of the research project by the Department of Health and Human Services.
- (5) All research subjects in the project will be given a fair, clear explanation of the protection this certificate affords, and of the limitations and exceptions to the protection.

- (6) This certificate is effective upon issuance and will expire at the end of August 2016, or sooner if the holder is notified of cancellation in accordance with the procedures set out in 42 C.F.R. § 2a.8. The protection afforded by this certificate of confidentiality is permanent (including after death) for persons who participated as subjects in the research during any time the certificate was in effect.

Date: 8-22-11



Tanja Popovic, MD, PhD, F(AAM), AM(AAFS)
Deputy Associate Director for Science
Centers for Disease Control and Prevention



AMENDED AND EXTENDED
CONFIDENTIALITY CERTIFICATE

Issued to

Employees of

University of North Carolina at Chapel Hill
and Other Participants

conducting research known as

SEARCH FOR DIABETES IN YOUTH (PHASE 3)

Grant No. (5U18DP002708)

The purpose of this project is to assess the presence of existing and emerging cases of diabetes among U.S. residents aged less than 20 years. The cases will be reported by age, sex, and ethnic or racial background. Diabetes in children is a heterogeneous disease; therefore the project will also seek to improve the classification and clinical description of the different cases of diabetes occurring in U.S. children. Additionally, the project will describe health care utilization, processes of care, and quality of life for children with diabetes.

In accordance with the provisions of Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)) this certificate is issued to protect the privacy of research subjects by withholding their identities from all persons not connected with the research.

Under authority vested in the Secretary of Health and Human Services under that section, all persons who

- (1) are employed by the University of North Carolina at Chapel Hill and their contractors and cooperating agencies; and
- (2) have, in the course of that employment, access to the information which would identify individuals who are the subjects of a research project entitled "SEARCH FOR DIABETES IN YOUTH (PHASE 3)" are hereby authorized to protect the privacy of the individuals who are the subjects of that research by withholding their names and other identifying characteristics from all persons not connected with the conduct of that research, with the exceptions and limitations set forth below.

As provided in Section 301(d) of the Public Health Service Act (42 U.S.C. § 241(d)),

“Persons so authorized to protect the privacy of such individuals may not be compelled in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to identify such individuals.”

The following conditions apply to the protection provided under this certificate:

- (1) This certificate does not authorize the University of North Carolina at Chapel Hill or their contractors or cooperating agencies to refuse to reveal identifying information concerning research subjects if any of the following conditions exist:
 - (a) The subject (or, if he or she is legally incompetent, his or her guardian) consents in writing to disclosure of identifying information.
 - (b) Authorized personnel of the United States Department of Health and Human Services request such information for audit or program evaluation of the research project, or for investigation of the University of North Carolina at Chapel Hill or their contractors or cooperating agencies in carrying out the research project.
 - (c) Release is required by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. §§ 301 et seq.) or regulations promulgated thereunder (Title 21, Code of Federal Regulations).
- (2) This certificate requires that there be no disclosures of identifying characteristics of research subjects in any Federal, State, or local civil, criminal, administrative, legislative, or other proceedings to compel disclosure of the identifying characteristics of research subjects, except as provided for in paragraph (1) above.
- (3) The confidentiality certificate does not govern the voluntary disclosure of identifying characteristics of research subjects.
- (4) This certificate does not represent an endorsement of the research project by the Department of Health and Human Services.
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Date: 8-22-11



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