

Appendix A
Studies Addressing Issues Relevant to NCES'
Postsecondary Longitudinal and Sample Surveys
Studies Program

National Postsecondary Student Aid Study (NPSAS)

"NCES", "ED", and "EJ" publication numbers are indicated in parenthesis. Publications with an "NCES" number may be accessed from the National Center for Education Statistics (NCES) at <http://nces.ed.gov>. Publications with "ED" or "EJ" numbers are indexed in the Educational Resources Information Center (ERIC) at <http://www.askeric.org>.

Arranged alphabetically by study and year

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Appendix B
Technical Review Panel Contact List

Technical Review Panel

Michelle Appel
 Director, Enrollment Policy & Planning
 University of Maryland
 Institution Research, Planning and Assessment
 1101 Mitchell Building
 College Park, MD 20742
 Phone: (301)405-0475
 Email: mappel@umd.edu

Steven Barnhart
 Director, Student Information Systems
 Development
 University of Southern California
 3434 South Grand Avenue, Suite 340-K
 Los Angeles, CA 90089-2812
 Phone: (213)740-2748
 Email: barnhart@usc.edu

Teresita Bazan
 Executive Director
 Austin Community College
 5930 Middle Fiskville Road
 Austin, TX 78752
 Phone: (512)223-7550
 Email: tbazan@austincc.edu

Vasilios Bournas
 Senior Government Relations Analyst
 DeVry Education Group
 3005 Highland Parkway
 Downers Grove, IL 60515
 Phone: (630)515-5463
 Email: vbournas@devry.edu

Keith Brown
 Chief Consultant
 JKB Postsecondary Consulting
 407 Dorothy Drive
 Cary, NC 27511
 Phone: (919)215-4240
 Email: brown.jkeith@gmail.com

Rebecca Carr
 Director, AAU Data Exchange
 2301 Vanderbilt Place
 P.O. Box 407832
 Nashville, TN 37240-7832
 Phone: (615)290-5577
 Email: rebecca.e.carr@vanderbilt.edu

Jennifer Engle
 Vice President for Policy Research
 Institute of Higher Education Policy
 1825 K Street NW, Suite 720
 Washington, DC 20006
 Phone: (202)861-8223
 Email: jengle@ihep.org

Donyell Francis
 Data Compliance Manager
 Office of Data, Planning & Research
 Technical College System of Georgia
 1800 Century Place NE
 Atlanta, Ga 30345-4304
 Phone: (404)679-4594
 Email: dfrancis@tcsg.edu

Gigi Jones
 Director of Research
 National Association of Student Financial Aid
 Administrators
 1101 Connecticut Avenue NW, Suite 1100
 Washington, DC 20036
 Phone: (202)785-0543
 Email: jonesg@nasfaa.org

Wendy Kilgore
 Director of Research & Managing Consultant
 American Association of Collegiate Registrars
 and Admissions Officers
 One Dupont Circle NW, Suite 520
 Washington, DC 20036
 Phone: (520)465-6255
 Email: wendyk@aacrao.org

Hans L'Orange
 Vice President for Research and Information
 Resources
 State Higher Education Executive Officers
 (SHEEO)
 3035 Center Green Drive, Suite 100
 Boulder, CO 80301
 Phone: (303)541-1606
 Email: hlorange@sheeo.org

Jean Russo
 Financial Aid Administrator
 Bryant and Stratton Colleges
 2410 North Forest Road, Suite 101A
 Getzville, NY 14068
 Phone: (716)250-7500
 Email: jlrusso@bryantstratton.edu

Michael Sessa
 President & CEO
 P20W Education Standards Council
 1250 Connecticut Avenue NW, Suite 200
 Washington, DC 20036
 Phone: (202)261-6514
 Email: michael.sessa@pesc.org

Sonia Schaible Brandon
 Institutional Research
 Colorado Mesa University
 Lowell Heiny Hall (LHH), 220D
 1101 North Avenue
 Grand Junction, CO 81501
 Phone: (970)248-1884
 Email: sbrandon@coloradomesa.edu

Jonathan Smith
 Associate Policy Research Scientist
 College Board
 1919 M Street NW, Suite 300
 Washington, DC 20003
 Phone: (202)741-4733
 Email: jsmith@collegeboard.org

Christopher Strome
 Product Manager
 FAME
 6451 North Federal Highway, Suite 501
 Fort Lauderdale, FL 33442
 Phone: (800)327-5772, Ext. 172
 Email: cstrome@fameinc.com

Randy Swing
 Executive Director
 Association for Institutional Research
 1435 East Piedmont Drive, Suite 211
 Tallahassee, FL 32308
 Phone: (850)385-4155
 Email: rswing@airweb.org

Jeff Webster
 Assistant Vice President
 TG Research and Analytical Services
 P.O. Box 83100
 Round Rock, TX 78683
 Phone: (800)252-9743
 Email: jeff.webster@tgslc.org

Sandra Whalen
 Interim Director
 Consortium for Student Retention Data
 Exchange
 University of Oklahoma
 1700 Asp Avenue
 Norman, OK 73072
 Phone: (405)325-2158
 Email: swhalen@ou.edu

Lynn Williford
 Assistant Provost for Institutional Research and
 Assessment
 The University of North Carolina at Chapel Hill
 313 Carr Building, CB# 3350
 Chapel Hill, NC 27599
 Phone: (919)962-1500
 Email: lynn_williford@unc.edu

Federal Panelists

Donald Conner
 U.S. Department of Education, OPE
 1990 K Street NW
 Room 8030
 Washington, DC 20006
 Phone: (202)502-7818
 Email: donald.conner@ed.gov

John Easton
 Director, Institute of Education Sciences
 U.S. Department of Education, IES
 555 New Jersey Avenue NW
 Room 600D
 Washington, DC 20208
 Phone: (202)219-1385
 Email: john.easton@ed.gov

Matthew Greene
 Data Integration, Postsecondary Institutional
 Studies Program
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 8144
 Washington, DC 20006
 Phone: (202)502-7428
 Email: matthew.greene@ed.gov

Daniel Goldenberg
 Management and Program Analyst
 Budget Service, Cost Estimation and Analysis
 Division
 U.S. Department of Education, OPEPD
 400 Maryland Avenue SW
 Room 5W308
 Washington, DC 20202
 Phone: (202)401-3562
 Email: daniel.goldenberg@ed.gov

Kashka Kubzdela
 OMB Liaison
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9014
 Washington, DC 20006
 Phone: (202)502-7411
 Email: kashka.kubzdela@ed.gov

Rochelle Martinez
 Office of Management and Budget
 725 17th Street NW
 Room 10202 NEOB
 Washington, DC 20503
 Phone: (202)395-3147
 Email: Rochelle_W._Martinez@omb.eop.gov

Erin O'Brien
 Office of Management and Budget
 725 17th Street NW
 Washington, DC 20503
 Email: Erin_McNeece@omb.eop.gov

Gene Piskator
 Statistician
 U.S. Department of Education, FSA
 830 First Street NE
 Washington, DC 20202
 Email: gene.piskator@ed.gov

Daniel Pollard
 Senior Loan Portfolio Advisor
 U.S. Department of Education, FSA
 830 First Street NE
 Washington, DC 20202
 Phone: (202)377-3389
 Email: daniel.pollard@ed.gov

Richard Reeves
 Program Director, Postsecondary Institutional
 Studies Program
 U.S. Department of Education, NCES
 1990 K Street NW
 Washington, DC 20006
 Phone: (202)502-7436
 Email: richard.reeves@ed.gov

Marilyn Seastrom
 Chief Statistician
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9040
 Washington, DC 20006
 Phone: (202)502-7303
 Email: marilyn.seastrom@ed.gov

Tom Weko
 Management and Program Analyst
 Budget Service, Cost Estimation and Analysis
 Division
 U.S. Department of Education, OPEPD
 400 Maryland Avenue SW
 Washington, DC 20202
 Phone: (202)401-1270
 Email: tom.weko@ed.gov

**U.S. Department of Education, NCES
 Sample Surveys Division**

Sharon Boivin
 Chair, Interagency Working Group on Expanded
 Measures of Enrollment and Attainment
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9052
 Washington, DC 20006
 Phone: (202)502-7627
 Email: sharon.boivin@ed.gov

Chris Chapman
 Acting Associate Commissioner, Sample Surveys
 Division
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9042
 Washington, DC 20006
 Phone: (202)502-7414
 Email: chris.chapman@ed.gov

Elise Christopher
 Project Officer, ELS:2002 and HSLS:09
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9030
 Washington, DC 20006
 Phone: (202)502-7899
 Email: elise.christopher@ed.gov

Sarah Crissey
 Project Officer, BPS
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9026
 Washington, DC 20006
 Phone: (202)502-7395
 Email: sarah.crissey@ed.gov

Tracy Hunt-White
 Project Officer, NPSAS
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9018
 Washington, DC 20006
 Phone: (202)502-7438
 Email: tracy.hunt-white@ed.gov

Jeff Owings
 Branch Chief, Longitudinal Studies Branch
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9114
 Washington, DC 20006
 Phone: (202)502-7423
 Email: jeffrey.owings@ed.gov

Sean Simone
 Associate Project Officer, NPSAS
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9025
 Washington, DC 20006
 Phone: (202)502-7367
 Email: sean.simone@ed.gov

Ted Socha
 Project Officer, B&B
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9028
 Washington, DC 20006
 Phone: (202)502-7383
 Email: ted.socha@ed.gov

Matthew Soldner
 Technical Advisor
 U.S. Department of Education, NCES
 1990 K Street NW
 Room 9027
 Washington, DC 20006
 Phone: (202)219-7025
 Email: matthew.soldner@ed.gov

Consultants and Subcontractors

Sandy Baum
 Research Professor & Senior Fellow
 George Washington University and Urban
 Institute
 161 East Chicago Avenue, Apartment 45C
 Chicago, IL 60611
 Phone: (518)369-3774
 Email: sbaum@gwu.edu

RTI International

Kristin Dudley
 Research Programmer Analyst
 RTI International
 P.O. Box 12194
 3040 East Cornwallis Road
 Research Triangle Park, NC 27709
 Phone: (919)541-6855
 Email: marvill@rti.org

Robin Henke
 Senior Research Associate
 RTI International
 2150 Shattuck Avenue, Suite 800
 Berkeley, CA 94704
 Phone: (510)665-8236
 Email: rrhenke@rti.org

Laura Horn
 Director, Center for Postsecondary Education
 RTI International
 2150 Shattuck Avenue, Suite 800
 Berkeley, CA 94704
 Phone: (510)665-8206
 Email: lhorn@rti.org

Annaliza Nunnery
Education Analyst
RTI International
P.O. Box 12194
3040 East Cornwallis Road
Research Triangle Park, NC 27709
Phone: (919)316-3542
Email: anunnery@rti.org

David Radwin
Senior Research Associate
RTI International
2150 Shattuck Avenue, Suite 800
Berkeley, CA 94704
Phone: (510)665-8274
Email: dradwin@rti.org

Christine Rasmussen
Senior Manager, Systems Development Program
RTI International
P.O. Box 12194
3040 East Cornwallis Road
Research Triangle Park, NC 27709
Phone: (919)541-6775
Email: crasmussen@rti.org

John Riccobono
Vice President, Education & Workforce
Development
RTI International
P.O. Box 12194
3040 East Cornwallis Road
Research Triangle Park, NC 27709
Phone: (919)541-7006
Email: jar@rti.org

Jennifer Wine
Director, Longitudinal Studies Program
RTI International
P.O. Box 12194
3040 East Cornwallis Road
Research Triangle Park, NC 27709
Phone: (919)541-6870
Email: jennifer@rti.org

Appendix C
Confidentiality Agreement

CONFIDENTIALITY AGREEMENT

**2015-16 National Postsecondary Student Aid Study (NPSAS:16)
And Postsecondary Longitudinal Studies
(RTI Under Contract No. ED-IES-13-C-0070)**

Safeguards for Individuals Against Invasion of Privacy: In accordance with the Privacy Act of 1974 (5 United States Code 552a), the Education Sciences Reform Act of 2002 (Public Law 107-279), the Federal Statistical Confidentiality Order of 1997, the E-Government Act of 2002 (Public Law 107-347), the Computer Security Act of 1987, and the National Center for Education Statistics' (NCES') *Restricted Use Data Procedures Manual*, RTI International (RTI) and all its subcontractors are required to comply with the applicable provisions of the legislation, regulations, and guidelines and to undertake all necessary safeguards for individuals against invasions of privacy.

To provide this assurance and these safeguards in performance of work on this project, all staff, consultants, and agents of RTI, and its subcontractors who have any access to study data, shall be bound by the following assurance.

Assurance of Confidentiality

1. In accordance with all applicable legislation, regulations, and guidelines, RTI assures all respondents that their responses may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law [Education Sciences Reform Act of 2002 (ESRA 2002), 20 U.S. Code, § 9573].
2. The following safeguards will be implemented to assure that confidentiality is protected as allowable by law (20 U.S.C. § 9573) by all employees, consultants, agents, and representatives of RTI and all subcontractors and that physical security of the records is provided:
 - a. All staff with access to data will take an oath of nondisclosure and sign an affidavit to that effect.
 - b. At each site where these items are processed or maintained, all confidential records that will permit identification of individuals shall be kept in a safe, locked room when not in use or personally attended by project staff.
 - c. When confidential records are not locked, admittance to the room or area in which they reside shall be restricted to staff sworn to confidentiality on this project.
 - d. All electronic data shall be maintained in secure and protected data files, and personally identifying information shall be maintained on separate files from statistical data collected under this contract.
 - e. All data files on network or multi-user systems shall be under strict control of a database manager with access restricted to project staff sworn to confidentiality, and then only on a need-to-know basis.
 - f. All data files on single-user computers shall be password protected and all such machines will be locked and maintained in a locked room when not attended by project staff sworn to confidentiality.
 - g. External electronically stored data files (e.g., tapes on diskettes) shall be maintained in a locked storage device in a locked room when not attended by project staff sworn to confidentiality.
 - h. Any data released to the general public shall be appropriately masked or perturbed such that linkages to individually identifying information are protected to avoid individual identification in disclosed data.
 - i. Data or copies of data may not leave the authorized site for any reason.
3. Staff, consultants, agents, or RTI and all its subcontractors will take all necessary steps to ensure that the letter and intent of all applicable legislation, regulations, and guidelines are enforced at all times through appropriate qualifications standards for all personnel working on this project and through adequate training and periodic follow-up procedures.

By my signature affixed below, I hereby swear and affirm that I have carefully read this statement and fully understand the statement as well as legislative and regulatory assurances that pertain to the confidential nature of all records to be handled in regard to this project, and will adhere to all safeguards that have been developed to provide such confidentiality. As an employee, consultant, agent, or representative of RTI or one of its subcontractors, consultants, agents, or representatives, I understand that I am prohibited by law from disclosing any such confidential information to anyone other than staff, consultant, agents, or representatives of RTI, its subcontractors, or agents, and NCES. I understand that any willful and knowing individual disclosure or allowance of disclosure in violation of the applicable legislation, regulations, and guidelines is punishable by law and would subject the violator to possible fine or imprisonment.

(Signature)

(RTI Employee ID Number)

(Date)

(Signature)

(RTI Employee ID Number)

(Date)