## U.S. PRODUCERS' QUESTIONNAIRE

#### ELECTROLYTIC MANGANESE DIOXIDE FROM AUSTRALIA AND CHINA

This questionnaire must be received by the Commission by no later than August 20, 2014

See the Instruction Booklet for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the antidumping duty orders concerning electrolytic manganese dioxide ("EMD") from Australia and China (Inv. Nos. 731-TA-1124 and 1125 (Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm

City			State	Zip Co	de		
World Wide	Web addre	ess					
Has your firm	produced EM	ID (as defined in the	ne instruction book	let) at any time	since January 1	1, 2008?	
□ NO	(Sign the cert	ification below and p	promptly return only	this page of the	questionnaire to th	ne Commission)	
☐ YES		truction booklet caref to the Commission s				n the entire	
		via the U.S. In https://dropbox					1
		upplied in respons		aire is comple			nowledg
and understand of this certification provided in by the Commitation, its employing the records gs relating to the contact of the records.	d that the infaction I also this question ssion on the sermation subsects, and control this procee the programs		te to this questionned is subject to aud to the Commission ghout this proceed erchandise.  Stionnaire responsion are acting in the commission	aire is comple it and verificat , and its emp ling in any of e and throug e capacity of h this informa	ion by the Combount of the combout this proce Commission is submitte	mission.  tract personnel, a  try proceedings of  treding may be us  nployees, for devo	to use the contract of the con
and understand of this certification provided in by the Commitation, its employing the records gs relating to the contact of the records.	ed that the info cation I also this question ssion on the s rmation sub- ces, and cont- of this procee the programs ign non-disclo	upplied in respons formation submitte grant consent for naire and through ame or similar me nitted in this questract personnel where and operations of	te to this questioning is subject to aud is subject to aud in the Commission ghout this proceed erchandise.  It is stionnaire responsion are acting in the commission of the Commission	aire is comple it and verificat , and its emp ling in any of e and throug e capacity of h this informa	ion by the Combount of the combout this proce Commission is submitte	mission.  tract personnel, a  try proceedings of  treding may be us  nployees, for devo	to use the control of
of this certification provided in by the Comminated that info on, its employing the records gs relating to the resonnel will s	ed that the info cation I also this question ssion on the s rmation sub- ces, and cont- of this procee the programs ign non-disclo	upplied in respons formation submitte grant consent for naire and through ame or similar mentited in this questract personnel where ding or related propertions of the osure agreements.	te to this questioning is subject to aud is subject to aud in the Commission ghout this proceed erchandise.  It is stionnaire responsion are acting in the commission of the Commission	eaire is complete it and verification, and its empling in any of the capacity of this information to 5	ion by the Comboses and combose	mission.  tract personnel, a  try proceedings of  treding may be us  nployees, for devo	to use the control of

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#### PART I.—GENERAL INFORMATION

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. <u>OMB statistics.</u>--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

Hours	Dollars

- I-1b. <u>OMB feedback</u>.--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your firm's response or send them to the above address.
- I-2. <u>Establishments covered.</u>--Provide the city, state, zip code, and brief description of each establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table.

Establishments Covered <sup>1</sup>	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
<sup>1</sup> Additional discu	ission on establishments cons	solidated in this question	naire:

I-3. **Position regarding continuation of order.--**Does your firm support or oppose continuation of the antidumping duty order currently in place for EMD from the following countries?

Country	Support	Oppose	Take no position
Australia			
China			

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# PART I.--GENERAL INFORMATION--Continued

		Extent of ownership
Firm name	Address	(percent)
		firm have any related firms, either
		O from Australia and/or China into
United States or that are electrical States?	ngaged in exporting EMD fro	m Australia and/or China to the U
<b>□ N</b> I	int the Callerian in Conservation	
No YesI	List the following information	
Firm name	Address	Affiliation
lomestic or foreign, that a	re engaged in importing EMI	from countries other than Austra
lomestic or foreign, that a and/or China into the Unit	re engaged in importing EMI ed States or that are engaged	from countries other than Austra
domestic or foreign, that a and/or China into the Unit han Australia and/or Chir	re engaged in importing EMI ed States or that are engaged as to the United States?	O from countries other than Austra in exporting EMD from countries
lomestic or foreign, that a and/or China into the Unit han Australia and/or Chir	re engaged in importing EMI ed States or that are engaged	D from countries other than Austra in exporting EMD from countries
domestic or foreign, that a and/or China into the Unit han Australia and/or Chir	re engaged in importing EMI ed States or that are engaged as to the United States?  List the following information	O from countries other than Austra in exporting EMD from countries
domestic or foreign, that a and/or China into the Unit han Australia and/or Chin	re engaged in importing EMI ed States or that are engaged as to the United States?  List the following information	D from countries other than Austra in exporting EMD from countries
domestic or foreign, that a and/or China into the Unit han Australia and/or Chin	re engaged in importing EMI ed States or that are engaged as to the United States?  List the following information	D from countries other than Austra in exporting EMD from countries
domestic or foreign, that a and/or China into the Unit than Australia and/or Chin	re engaged in importing EMI ed States or that are engaged as to the United States?  List the following information	

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# PART I.--GENERAL INFORMATION--Continued

I-7.			<u>rs</u> Does your oduction of EM	₹	firms, either de	omestic or foreign, that are
	☐ No		YesList the	e following information	n.	
	Firm na	ıme		Address		Affiliation
I-8.	business	plan. Do	es your compa	IV of this questionnaire any or any related firm s, or analyze expected i	have a busines	1
	No	Yes		e provide the request ed documents, please		s. If you are not providing not.

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## PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Cynthia Trainor (202-205-3354, cynthia.trainor@usitc.gov). Supply all data requested on a <u>calendar-year</u> basis.

II-1.	<u>Contact information.</u> Please identify the responsible individual and the manner by which
	Commission staff may contact that individual regarding the confidential information submitted in
	part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. <u>Changes in operations.</u>--Please indicate whether your firm has experienced any of the following changes in relation to the production of EMD since January 1, 2008.

(che	ck as many as appropriate)	(please describe)
	plant openings	
	plant closings	
	relocations	
	expansions	
	acquisitions	
	consolidations	
	prolonged shutdowns or production curtailments	
	revised labor agreements	
	other (e.g., technology)	

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# PART II.--TRADE AND RELATED INFORMATION--Continued

Anticipated changes in operationsDoes your firm anticipate any changes in the character of your firm's operations or organization (as noted above) relating to the production of EMD in the future?						
□ No	YesSupply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. Include in your response a specific projection of your firm's capacity to produce EMD (in short tons) for 2014 and 2015.					
articular effec <u>Anticipated</u>	f your firm's response differs for particular orders, please indicate and explain t of revocation of specific orders.  Changes in operations in the event the orders are revokedWould your firm					
relating to th	ny changes in the character of your firm's operations or organization (as noted above ne production of EMD in the future if the antidumping duty order on EMD from					
relating to th	ny changes in the character of your firm's operations or organization (as noted above					

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## PART II.--TRADE AND RELATED INFORMATION--Continued

Item

II-5a. **Production and capacity.--** Please report your firm's production of EMD, production of products made on the same equipment and machinery used to produce EMD, and the combined production capacity on this shared equipment and machinery in the periods indicated.

2008

(Quantity in short tons)

2009

2011

2010

2012

2013

		pacity							
	uction of: MD								
0	ther products <sup>2</sup>								
<sup>1</sup> EM <sup>2</sup> Plea	D production shouase identify:	lld equal prod	duction data	a reported in	II-7.				
	ating paramete is based on oper							ooklet)	reported
	city calculation red in II-5a, and					o calculat	e overall	produc	ction cap
	uction constrain	ntsDlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	vour fi	rm's
	uction constrain	ntsPlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	your fi	rm's
	uction constrain	ıtsPlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	your fi	rm's
		ntsPlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	your fi	rm's
		utsPlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	your fi	rm's
		ntsPlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	your fi	rm's
		atsPlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	your fi	rm's
produ		ntsPlease	describe t	he constrai	nt(s) that	set the lin	nit(s) on	your fi	rm's
produ Produ	ction capacity.	ble to switcl	h producti						
produ	ction capacity.  uct shifting.—  Is your firm a	ble to switcl ent and/or la □ Yes	h producti abor? (i.e., have		y) betwee	en EMD a	and other	produc	ets using

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## PART II.--TRADE AND RELATED INFORMATION--Continued

II-7. **Trade data.**--Report your firm's production capacity, production, shipments, inventories, and employment related to the production of EMD in your firm's U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

			Calend	lar year			January-June	
Item	2008	2009	2010	2011	2012	2013	2013	2014
Average production capacity <sup>1</sup> (quantity) (A)	2000	2003	2010	2011	2012	2010	2010	2014
Beginning-of-period inventories (quantity) (B)								
Production (quantity) (C)								
U.S. shipments: Commercial shipments: quantity (D)								
value (E)								
Internal consumption: <sup>2</sup> quantity (F)								
value (G)								
Transfers to related firms: <sup>2</sup> quantity (H)								
value (I)								
Export shipments: <sup>3</sup> quantity (J)								
value (K)								
End-of-period inventories (quantity) (L)								
Channels of distribution: U.S. shipments to distributors (quantity) (M)								
U.S. shipments to end users (quantity) (N)								
Employment data: Average number of PRWs (number) (O)								
Hours worked by PRWs (1,000 hours) (P)								
Wages paid to PRWs ( <i>value</i> ) (Q)								
The production capacity (see comments weeks per year. Please described reported capacity (use additional parts)	ibe the me	thodology ι	n booklet) re used to calc	eported is build	ased on op ction capac	erating city, and exp	_ hours per blain any ch	
<sup>2</sup> Internal consumption and trans uses a different basis for valuing the data using that basis for each of the	se transac	tions, pleas	se specify th					

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#### PART II.--TRADE AND RELATED INFORMATION--Continued

#### II-7. **Trade data**.—*Continued*

Note.—The table below contains automatic calculations that will appear when you have entered data in the MS Word form fields in table II-7 on the previous page.

#### **RECONCILIATION OF CHANNEL DATA**

Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantities reported for total U.S. shipments (i.e., lines D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data in question II-7 needs to be revised prior to submission to the Commission.

			Calend	ar years			January	-June
Reconciliation item	2008	2009	2010	2011	2012	2013	2013	2014
Reconciliation should = 0 (if not								
revise)	0	0	0	0	0	0	0	0

#### RECONCILIATION OF SHIPMENT, INVENTORY AND PRODUCTION DATA

Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for the differences (e.g., theft, loss, damage, record systems issues, etc.).

			Calend	ar years			January	-June
Reconciliation item	2008	2009	2010	2011	2012	2013	2013	2014
Reconciliation should = 0 (if not, either revise data or explain the reason for the discrepancy								
below)	0	0	0	0	0	0	0	0

Explanation for reconciliation not holding:

II-8.	<u>Transfers to related firms.</u> If your firm reported transfers to related firms in question II-7, please indicate the nature of the relationship between your firm and the related firms ( <i>e.g.</i> , joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

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# PART II.--TRADE AND RELATED INFORMATION--Continued

II-9.	PurchasesOther than dire 2008? (See definitions in the			m otherwis	e purchased	l EMD sinc	e January 1.
	re	asons diffe		, please elal	firm's purcle porate) and a fied periods		
	Reasons:						
		(Quant	tity in short	tons)			
	Item	2008	2009	2010	2011	2012	2013
IMPOF	HASES FROM U.S. RTERS <sup>1</sup> OF EMD FROM.— stralia						
Ch	ina						
All	other countries						
	HASES FROM DOMESTIC UCERS: <sup>2</sup>						
differ b	lease list the name of the importery source, please identify the source lease list the name of the domest	rce for each	listed supplie	er.			suppliers
II-10.	Toll productionSince Jan definition in the instruction l	booklet) reg	garding the			a toll agree	ment (see
П-11.	FTZDoes your firm produ	ice EMD ir	n a foreign t	rade zone (	FTZ)?		
	□ No □ YesIde	entify FTZ(	(s):				
II-12.	<u>Direct imports</u> Since Janu	ary 1, 2008	8, has your f	firm import	ed EMD?		
		<u>OMPLETE</u> UESTION		URN A U.S	S. IMPORT	ERS'	

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## PART II.--TRADE AND RELATED INFORMATION--Continued

For questions II-14 and II-15, if your response differs for particular orders, please indicate and explain the particular effect of imposition and/or revocation of specific orders.

I-13.	<u>Effect of order.</u> Describe the significance of the existing antidumping duty orders covering imports of EMD from Australia and/or China in terms of its effect on your firm's production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, and asset values. You may wish to compare your firm's operations before and after the imposition of the order.
I-14.	<u>Likely effect of revocation of order.</u> Would your firm anticipate any changes in its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of EMD in the future if the antidumping duty orders on EMD from Australia and/or China were to be revoked?
	No YesSupply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation for any trends or projections you may provide.
I-15.	Other explanationsIf your firm would like to further explain a response to a question in Part II that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

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# PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to **David Boyland** (202-708-4725, david.boyland@usitc.gov).

Nam	e	
Title		
Emai		
Fax	hone	
	unting sy	stemBriefly describe your firm's financial accounting system.
A.		does your firm's fiscal year end (month and day)? firm's fiscal year changed during the data-collection period, explain below:
B.1.		be the lowest level of operations (e.g., plant, division, company-wide) for which al statements are prepared that include EMD:
2.	Does y	our firm prepare profit/loss statements for EMD:
3.	How of annual	ften did your firm (or parent company) prepare financial statements (including reports, 10Ks)? Please check relevant items below. dited, unaudited, annual reports, 10Ks, 10 Qs,
4.	Accour	nthly,  quarterly, semi-annually, annually annually of the graph of the graph of the comprehensive basis ounting (specify)
	includir	The Commission may request that your company submit copies of its financial statements, ag internal profit-and-loss statements for the division or product group that includes EMD, as those statements and worksheets used to compile data for your firm's questionnaire see.
		ng systemBriefly describe your firm's cost accounting system (e.g., standard cost, etc.).
cost,	job order v	cost, etc.).

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## PART III.--FINANCIAL INFORMATION--Continued

II-5.	Impact of bankruptcy reorganizationTo the extent applicable, please describe how bankruptcy reorganization impacted the company's EMD operations and financial results during the period examined. In your response please specify the important bankruptcy-related changes that impacted costs/expenses as reflected in the pattern of EMD financial results reported to the Commission (III-19 and III-21). As appropriate, please link the relevant portions of your response to this question to relevant non-recurring items reported in table III-17A.
II-6.	Grade of EMD produced and soldPlease indicate whether your company produces and sells one grade of EMD or multiple grades of EMD and identify as appropriate. In your response, please also identify the primary characteristics that distinguish the grade and/or grades of EMD produced. Please specify the relative share of total EMD revenue accounted for by different grades in 2013 and whether there has been a notable change in these shares during the period examined.

III-7. Other products.--Please list the products your firm produces in the facilities in which it produces EMD, and provide the share of net sales accounted for by these other products in your firm's most recent fiscal year.

Products	Share of sales
EMD	%
EMD	70
	%
	%
	%
	%
	100 %

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# PART III.--FINANCIAL INFORMATION--Continued

III-8.	<b>By-product revenue</b> Please indicate what by-products, if any, are produced in conjunction with EMD; e.g., the public description of the production process indicates that certain metals are extracted during the filtration process. As appropriate, please indicate the disposition of by-product(s), the extent to which an associated by-product value is recognized in the company's formal financial results, and whether or not the EMD cost of goods sold reported in table III-19 and III-21 were reduced in order to reflect the value of associated by-products.
III-9.	Manganese ore supply and pricingPlease identify the source (company and geographic location) and primary purchase terms of your manganese ore, as well as how often purchase terms are renegotiated. Please describe any significant changes in manganese ore supplier, pricing and/or purchasing terms that occurred during the period.
III-10.	Manganese ore mode of shipmentPlease describe the manner and general quantities in which manganese ore is physically delivered to your company, how often, and extent of further processing prior to physical delivery. If physical delivery varies over the course of the year, please indicate in what manner. If there were any notable disruptions in manganese ore supply during the period examined, please elaborate.
III-11.	Manganese ore gradePlease specify the grades of manganese ore purchased, as reflected in table III-12, each grade's share of total purchases in 2013, and whether these relative shares changed substantially during the period examined. Please also indicate whether the manganese ore is purchased in a raw or calcined form. If the form of purchased manganese ore changed during the period examined, please elaborate.

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## PART III.--FINANCIAL INFORMATION--Continued

III-12. <u>Manganese ore purchases.</u>--For your firm's six most recently completed fiscal years, and for the specified interim periods, please report the volume (in short tons) of manganese ore purchased, associated purchase cost of manganese ore (in thousand dollars), and associated transportation/freight costs (in thousand dollars).

			Fiscal yea	rs ended			Janua	ry-June
Item	2008	2009	2010	2011	2012	2013	2013	2014
				Quantity (ii	n short tons)			
Manganese ore short tons purchased								
				Value (i	n \$1,000)			
Purchase cost of manganese ore								
Transportation/freight								
Total cost (sum of above items)								

III-13.	Energy inputsWith respect to electricity and natural gas consumed in the production of EMD please describe all long-term supply arrangements, as well as the use of hedging instruments to mitigate price risk. Please indicate the extent to which these changed during the period examined.
III-14.	<u>Inputs from related parties.</u> Does your firm purchase <u>inputs</u> (raw materials, labor, energy, or <u>any other services</u> ) used in the production of EMD <u>from any related parties</u> ?
	☐ YesContinue to question III-15. ☐ NoContinue to question III-17.

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# PART III.--FINANCIAL INFORMATION--Continued

	<u>Input</u>	Related party	Share of total COG
	Input valuation		
r	elated firms, as well as into the Commission in ques	ies at costAll intercompany protera-division profit or loss, should be stion III-19 and III-21; i.e., costs re	be eliminated from the costs reported in question III-19 and III
s R		ated party's cost and not include are etermining and eliminating the ass ceptable.	
si R fr	Reasonable methods for de rom related parties are acc	etermining and eliminating the ass ceptable.  ith the Commission's instructions	ociated profit on inputs purchase

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## PART III.--FINANCIAL INFORMATION--Continued

III-17A. Nonrecurring items (charges and gains) included in EMD financial results.--For each annual and interim period for which financial results are reported in question III-19, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific table III-19 line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (*in* \$1,000), as reflected in table III-19; i.e., if an aggregate nonrecurring item has been allocated to table III-19, only the allocated value amount included in table III-19 should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported EMD financial results in table III-19.

	Fiscal years ended					Januai	y-June	
	2008	2009	2010	2011	2012	2013	2013	2014
Nonrecurring item: In this column please provide a brief description of each nonrecurring item and indicate the specific table III-19 line item where the nonrecurring item is classified.		ring item: Ir ng item repor			eport the amo	ount ( <i>in \$1,00</i>	00) of the rele	vant
1. , classified								
2. , classified								
3. , classified								
4. , classified								
5. , classified								
6. , classified								
7. , classified								

III-17E	3. Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the companyIf non-recurring items were reported in table III-17A above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., III-17A information designates where these items are reported in table III-19.
III-18.	Internal consumption valuationTo the extent applicable, please describe the basis of the EMD internal consumption value reported in table III-19; e.g., does it reflect an average value of EMD purchased at arms-length multiplied by the volume of internally produced and consumed EMD or some other value? Note: As indicated in the instructions to table III-19, the value reported for internal consumption should reflect what the company reasonably considers or estimates to be a fair market value.

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### PART III.--FINANCIAL INFORMATION--Continued

III-19. Overall operations on EMD.--Report the revenue and related cost information requested below on the overall EMD operations of your firm's U.S. establishment(s). Do not report resales of products. Note that internal consumption and transfers to related parties must be valued at fair market value and purchases from related firms must be at cost. Provide data for your firm's six most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact David Boyland at (202) 708-4725 before completing this section of the questionnaire.

	Quantity (	in short tons) a	and value (in \$1	1,000)		
			Fiscal year	rs ended		
Item	2008	2009	2010	2011	2012	2013
Net sales quantities: <sup>3</sup> Commercial sales ("CS")						
Internal consumption ("IC")						
Transfers to related firms ("Transfers")						
Total net sales quantities	0	0	0	0	0	0
Net sales values: <sup>3</sup> Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales values	0	0	0	0	0	0
Cost of goods sold (COGS): <sup>4</sup> Manganese ore						
All other raw materials						
Direct labor						
Natural gas						
Electricity						
All other factory costs						
Total COGS	0	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0	0
SG&A expenses: Selling expenses						
General and administrative expenses						
Total SG&A expenses	0	0	0	0	0	0
Operating income (loss)	0	0	0	0	0	0
Other expenses and income: Interest expense						
All other expense items						
All other income items						
Net income or (loss) before income taxes	0	0	0	0	0	0
Depreciation/amortization included above						

<sup>&</sup>lt;sup>1</sup> Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

<sup>&</sup>lt;sup>2</sup> Please eliminate any profits or losses on inputs from related parties pursuant question III-16. For each full-year year period please report the amount of relevant profit or loss (in \$1,000) eliminated from total COGS in this table: 2008 2009 2010 2011 2012

<sup>2013
&</sup>lt;sup>3</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

<sup>&</sup>lt;sup>4</sup> COGS should include costs associated with CS, IC, and Transfers, as well as export shipments in question II-7.

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# PART III.--FINANCIAL INFORMATION--Continued

# III-19. **Overall operations on EMD**.—Continued

Quantity	(in short tons) and value (in \$1,000	)
Item	January-June 2013	January-June 2014
Net sales quantities: <sup>3</sup> Commercial sales ("CS")		
Internal consumption ("IC")		
Transfers to related firms ("Transfers")		
Total net sales quantities	0	0
Net sales values: <sup>3</sup>		
Commercial sales		
Internal consumption		
Transfers to related firms		
Total net sales values	0	0
Cost of goods sold (COGS): <sup>4</sup> Manganese ore		
All other raw materials		
Direct labor		
Natural gas		
Electricity		
All other factory costs		
Total COGS	0	0
Gross profit or (loss)	0	0
SG&A expenses: Selling expenses		
General and administrative expenses		
Total SG&A expenses	0	0
Operating income (loss)	0	0
Other expenses and income: Interest expense		
All other expense items		
All other income items		
Net income or (loss) before income taxes	0	0
Depreciation/amortization included above		
Include only sales (whether domestic or export)     Please eliminate any profits or losses on inputs the amount of relevant profit or loss (in \$1,000) elimi     Less discounts, returns, allowances, and prepai shipment quantities and values reported in Part II of     COGS should include costs associated with CS	from related firms pursuant question III-16 nated from total COGS in this table: interior d freight. The quantities and values should this questionnaire.	For each interim period please report n 2013 interim 2014 d approximate the corresponding
Note The table above contains calcu word form fields.	lations that will appear when you	have entered data in the MS
III-20. <u>Internal consumption</u> With majority represent internal con		ported in table III-19, does the
Yes-continue to question I	II-21 below No-Continu	e to question III-22 below

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#### PART III.--FINANCIAL INFORMATION--Continued

III-21. Operations on EMD--commercial (trade) sales only.--For commercial (trade) sales only, report the revenue and related cost information requested below on the EMD operations of your U.S. establishment(s). Provide data for your six most recently completed fiscal years in chronological order from left to right, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee) please contact David Boyland, Auditor at (202) 708-4725 or <a href="mailto:david.boyland@usitc.gov">david.boyland@usitc.gov</a> before completing this section of the questionnaire.

	Quantity	(in short tons)	and value (in \$	1,000)		
			Fiscal yea	rs ended		
Item	2008	2009	2010	2011	2012	2013
Net sales quantities: <sup>3</sup> Commercial sales ("CS")						
Net sales values: <sup>3</sup> Commercial sales						
Cost of goods sold (COGS): <sup>4</sup> Manganese ore						
All other raw materials						
Direct labor						
Natural gas						
Electricity						
All other factory costs						
Total COGS	0	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0	0
SG&A expenses: Selling expenses						
General and administrative expenses						
Total SG&A expenses	0	0	0	0	0	0
Operating income (loss)	0	0	0	0	0	0
Other expenses and income: Interest expense						
All other expense items						
All other income items						
Net income or (loss) before income taxes	0	0	0	0	0	0
Depreciation/amortization included above						

<sup>&</sup>lt;sup>1</sup> Include only sales (whether domestic or export) and costs related to your <u>U.S. manufacturing operations.</u>

<sup>&</sup>lt;sup>2</sup> Please eliminate any profits or (losses) on inputs from related parties pursuant question III-16. For each full-year year period please report the amount of relevant profit or loss (in \$1,000) eliminated from total COGS in this table: 2008 2009 2010 2011 2012 2013

<sup>&</sup>lt;sup>3</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

<sup>&</sup>lt;sup>4</sup> COGS should include costs associated with corresponding shipments (U.S. and exports) reported in question II-7.

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## PART III.--FINANCIAL INFORMATION--Continued

#### III-21. Operations on EMD--commercial (trade) sales only.--Continued

2013 January-June 2014
<u> </u>
0
0
0
0
0

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

Please <u>eliminate any profits or (losses) on inputs from related firms</u> pursuant question III-16. For each interim period please report the amount of relevant profit or loss (in \$1,000) eliminated from total COGS in this table: interim 2013 interim 2014

<sup>&</sup>lt;sup>3</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

COGS should include costs associated with corresponding shipments (U.S. and exports) reported in question II-7.

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## PART III.--FINANCIAL INFORMATION--Continued

III-22.	<u>Financial</u>	data reco	nciliationThe calculable line items from question III-19 and III-21 (i.e.,
			ities and values, total COGS, gross profit (or loss), total SG&A, and net ve been calculated from the data submitted in the other line items. Do the
			urn the correct data according to your firm's financial records ignoring non-that may arise due to rounding?
	Yes	□ No	If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.
			Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers ( <i>i.e.</i> , expenses are positive and incomes or reversals are negativeinstances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number ( <i>i.e.</i> , income is positive, expenses or reversals are negative).
			If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-23. <u>Asset values</u>.--Report the <u>total</u> assets (*i.e.*, both current and long-term assets) associated with the production, warehousing, and sale of EMD. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for EMD in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with your firm's cost allocations in the previous question. Provide data as of the end of your firm's six most recently completed fiscal years.

**Note:** Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted. Total assets should be <u>allocated to the subject products</u> if these assets are also related to other products. Please provide a <u>brief explanation if there are any substantial changes</u> in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (in \$1,000)						
		Fiscal years ended				
Item	2008	2009	2010	2011	2012	2013
Total assets (net)						

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## PART III.--FINANCIAL INFORMATION--Continued

III-24. <u>Capital expenditures and research and development expenses.</u>—Report your firm's capital expenditures and research and development expenses on EMD. Provide data for your firm's six most recently completed fiscal years, and for the specified interim periods.

Value ( <i>in</i> \$1,000)								
		Fiscal years ended January-June						
Item	2008	2009	2010	2011	2012	2013	2013	2014
Capital expenditures								
Research and development expenses								

III-25.	<b>Data consistency and reconciliation</b> Please indicate whether your firm's financial data for	
	questions III-19, III-21, III-23, and III-24 are based on a calendar year or your firm's fiscal year	ır

Calendar year	Fiscal year	Specify fiscal year

Please note the quantities and values reported in question III-19 should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

Do these data in question III-19 reconcile with data in question II-7?

Yes	No	If no, please explain.

III-26.	Other explanationsIf your firm would like to further explain a response to a question in Part
	III that did not provide a narrative response box, please note the question number and the
	explanation in the space provided below. Please also use this space to highlight any issues your
	firm had in providing the data in this section, including but not limited to technical issues with the
	MS Word questionnaire.

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### PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from **James Fetzer** (202-708-5403, james.fetzer@usitc.gov).

<u>Contact information</u>.-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	
Fax	

## **PRICE DATA**

IV-1. This question requests quarterly quantity, f.o.b. value, and U.S.-inland freight and shipping costs data for your firm's commercial shipments to unrelated U.S. customers since 2008 of the following products produced by your firm.

**Product 1.**--Standard alkaline grade electrolytic manganese dioxide in powder form.

Please note that values should be <u>f.o.b.</u>, <u>U.S. point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates). See instruction booklet.

During 2008-June 2014, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data table(s) as appropriate.
NoSkip to question IV-2.

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-1. **Pricing data--***Continued*.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> produced and sold by your firm.

Report data in actual pounds and actual dollars (not 1,000s).

(Quantity <i>in p</i> o	ounds; value and U.Sinla	and freight and shipping co	osts in dollars)		
	Product 1				
Period of shipment	Quantity	F.o.b. value	U.Sinland freight and shipping costs <sup>3</sup>		
2008:					
January-March					
April-June					
July-September					
October-December					
2009:					
January-March					
April-June					
July-September					
October-December					
2010:					
January-March					
April-June					
July-September					
October-December					
2011:					
January-March					
April-June					
July-September					
October-December					
2012:					
January-March					
April-June					
July-September					
October-December					
2013:					
January-March					
April-June					
July-September					
October-December					
2014:					
January-March					
April-June					
	laa walwaa laaa all diaaawat	allowonoon roboton prop	aid fraight and the cooling of		

<sup>&</sup>lt;sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

**Note.--**If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

<sup>&</sup>lt;sup>2</sup> Pricing product definitions are provided on the first page of Part IV.

<sup>&</sup>lt;sup>3</sup> Transportation costs to ship U.S.-produced EMD from your firm's U.S. point of shipment.

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-2. **Price setting.--** How does your firm determine the prices that it charges for sales of EMD (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-3. <u>Discount policy.--</u> Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

## IV-4. Pricing terms.--

(a) What are your firm's typical sales terms for its U.S.-produced EMD?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)

(b) On what basis are your firm's prices of domestic EMD usually quoted (check one)?

Delivered	F.o.b.	If f.o.b., specify point

IV-5. <u>Contract versus spot.</u>— Approximately what share of your firm's sales of its U.S.-produced EMD in 2013 was on a (1) long-term contract basis, (2) short-term contract basis, and (3) spot sales basis?

	Long-term contracts (multiple deliveries for more than 12 months)	Short-term contracts (multiple deliveries up to and including 12 months)	Spot sales (for a single delivery)	Total (should sum to 100.0%)
Share of your 2013 sales	%	%	%	0 %

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-6. <u>Contract provisions.</u>— Please fill out the table regarding your firm's typical sales contracts for EMD (or check "not applicable" if your firm does not sell on a long-term and/or short-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries up to and including 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	Number of days		
Price renegotiation (during the	Yes		
contract period)	No		
	Quantity		
Fixed quantity and/or price	Price		
	Both		
Most or release provision	Yes		
Meet or release provision	No		
Not applicable			

IV-7. Negotiation process.--Please explain the negotiation process, and the frequency of such negotiations, for your firm's sales of U.S.-produced EMD to its U.S. battery-producer customers since January 2008. In addition, please explain the dynamics of the price quote/bid process between the initial price quote/bid and the final price quote/bid.

Explain the negotiation process				

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-8. **Qualification.**— Please fill out the table regarding your firm's typical sales contracts for U.S.-produced EMD (or check "not applicable" if your firm does not sell on a long-term and/or short-term contract basis).

Typical sales contract provisions	Yes	No	N/A
Was your firm required to be qualified as a supplier of U.Sproduced EMD?			
If your firm produced more than a single formulation/grade of EMD, did any such qualification requirement also apply to each of your firm's formulation(s)/grade(s) of its U.Sproduced EMD?			
Does your firm need to separately qualify as a supplier of its U.Sproduced EMD to each of multiple U.S. plant locations of each of its U.S. battery-producer customers with more than one battery producing plant in the United States?			
If your firm produced EMD that was more than a single formulation/grade of EMD, did any such qualification requirement also apply to each of your firm's formulation(s)/grade(s) of its U.Sproduced EMD?			
Please identify the purchasers and formulations for which you may your sales of EMD and explain difference in the qualifications be products and customers.			

IV-9. <u>Lead times.</u>--What is the typical lead time between a customer's order and the date of delivery for your firm's sales of your firm's U.S.-produced EMD?

	Share of 2013	
Source	sales	Lead time (days)
From inventory	%	
Produced to order	%	
	0	
Total (should sum to 100.0%)	0 %	

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-10	Shipping	g information.	
1 1 10.	Simpping	, miloi manom.	

(a)	What is the approximate percentage of the	e total delivered cost of EMD that is accounte	d
	for by U.S. inland transportation costs?	%	

(b) Who generally arranges the transportation to your firm's customers' locations? 
☐ your firm ☐ purchaser (check one)

(c) Indicate the approximate percentage of your firm's sales of EMD that are delivered the following distances from your firm's production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0 %

IV-11. <u>Geographical shipments.--</u> In which U.S. geographic market area(s) has your firm sold its U.S.-produced EMD since January 1, 2008 (check all that apply)?

Geographic area	if applicable
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
SoutheastAL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central SouthwestAR, LA, OK, and TX.	
MountainsAZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific CoastCA, OR, and WA.	
<b>Other</b> .—All other markets in the United States not previously listed, including AK, HI, PR, and VI, among others.	

IV-12. <u>End uses.</u>--List the end uses of the EMD that your firm manufactures. For each end-use product, what percentage of the <u>total cost</u> is accounted for by EMD and other inputs?

	Share of total cost account		
End use product	EMD	Other inputs	<b>Total</b> (should sum to 100.0% across)
	%	%	0 %
	%	%	0 %
	%	%	0 %

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-13.	<u>Changes in end uses.</u> —Have there been any changes in the end uses of EMD since January 1,
	2008? Do you anticipate any future changes?

				1				
	Changes in end uses		o Yes				Explain	
	Changes since 2008	В						
	Anticipated changes	3 🗆						
IV-1	V-14. <u>Substitutes</u> Can other products be substituted for EMD?  \[ \substitutes \text{No} \substitutes \text{YesPlease fill out the table.} \]							
		End u	so in which	h thic	пач		inges in the prices of this substitute affected the price for EMD?	
	Substitute		nd use in which this substitute is used		No	Yes	Explanation	
1.								
2.								
3.								

IV-15. <u>Changes in substitutes.--</u> Have there been any changes in the number or types of products that can be substituted for EMD since January 1, 2008? Do you anticipate any future changes?

Changes in substitutes	No	Yes	Explain
Changes since 2008			
Anticipated changes			

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# PART IV.--PRICING AND MARKET FACTORS--Continued

IV-16.	Raw materials Indicate how EMD raw materials prices have changed since January 1, 20	ЭО8,
	and how you expect they will change in the future.	

Raw materials prices	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for EMD.
Changes since 2008					
Anticipated changes					

IV-17. <u>Availability of supply.</u>--Has the availability of EMD in the U.S. market changed since January 1, 2008? Do you anticipate any future changes?

Availability in the U.S. market	No	Yes	Please explain, noting the countries and reasons for the changes.
Changes since 2008:			
U.Sproduced product			
Subject imports			
Nonsubject imports			
Anticipated changes:			
U.Sproduced product			
Subject imports			
Nonsubject imports			

IV-18.	<b>Export constraints.</b> Describe how easily your firm can shift its sales of EMD between the U.S. market and alternative country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints that would prevent or retard your firm from shifting EMD between the U.S. and alternative country markets within a 12-month period.

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-19. <u>Product changes.--</u> Have there been any significant changes in the product range, product mix, or marketing of EMD since January 1, 2008? Do you anticipate any future changes?

Changes in product range, product mix, or marketing	No	Yes	Explain
Changes since 2008			
Anticipated changes			

IV-20. <u>Demand trends.--</u> Indicate how demand within the United States and outside of the United States (if known) for EMD has changed since January 1, 2008, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors			
	Demand since 2008							
Within the United States								
Outside the United States								
Anticipated future demand								
Within the United States								
Outside the United States								

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-21. Conditions of competition
----------------------------------

IV-22.

(a)	Is the EMD market subject to business cycles (other than general economy-wide conditions
	and/or other conditions of competition distinctive to EMD?

heck all	that apply.		Please describe.
	No		Skip to question IV-22.
		siness cycles (e.g. al business)	
		er distinctive ons of competition	
. •	have there the since Januar	•	the business cycles or conditions of competition fo
		, , , , , , , , , , , , , , , , , , , ,	
	*		

- IV-23. Market studies.--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss EMD supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including Australia and/or China, and (3) the world as a whole. Of particular interest is such data from 2008 to the present and forecasts for the future.
- IV-24. <u>Barriers to trade</u>.--Are your firm's exports of EMD subject to any tariff or non-tariff barriers to trade in other countries?

No	Yes	If yes, please list the countries and describe any such barriers and any significant changes in such barriers that have occurred since 2008, or that are expected to occur in the future.

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-25. <u>Interchangeability.--</u>Is EMD produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

A = the products from a specified country-pair are *always* interchangeable

F =the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

0 = no familiarity with products from a specified country-pair

Country-pair	Australia	China	Japan	South Africa	Other countries
United States					
Australia					
China					
Japan					
South Africa					

For any country-pair producing EMD which is *sometimes* or *never* interchangeable, please identify the country-pair and explain the factors that limit or preclude interchangeable use:

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-26. <u>Factors other than price.</u>--Are differences other than price (*e.g.*, quality, availability, transportation network, product range, technical support, *etc.*) between EMD produced in the United States and in other countries a significant factor in your firm's purchases of the products?

Please indicate A, F, S, N, or 0 in the table below:

A =such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = no familiarity with products from a specified country-pair

Country-pair	Australia	China	Japan	South Africa	Other countries
United States					
Australia					
China					
Japan					
South Africa					

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's purchases of EMD, identify the country-pair and report the advantages or disadvantages imparted by such factors:

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## PART IV.--PRICING AND MARKET FACTORS--Continued

IV-27.	<u>Failed attempts to qualify or sell EMD</u> Did your firm fail in its attempt to sell or qualify its
	U.Sproduced EMD to U.S. battery producers since January 2008? Please report the customer
	involved, the date(s) of such failed efforts, the formulation(s) of EMD offered and the type(s) of
	batteries for which each formulation of your EMD was intended to be used.

	No	Yes	Details of failed efforts
Failed to sell			
Failed to qualify			

IV-28. **Failed attempts to supply EMD.--** Did your firm fail to supply, fully or partially, the agreed-upon quantity of its U.S.-produced EMD to its U.S. battery-producer customer(s) since January 2008? Please report the U.S. customer involved, the date of the occurrence, the quantity (in short tons) of EMD, the specific EMD formulation(s) involved, the category(ies) of EMD batteries affected, and the circumstances of the occurrence.

	No	Yes	Details of failed supply
Failed to supply fully			
Failed to supply partially			

IV-29. Other explanations.--If your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.