

## U.S. IMPORTERS' QUESTIONNAIRE

### CERTAIN CRYSTALLINE SILICON PHOTOVOLTAIC PRODUCTS FROM CHINA AND TAIWAN

This questionnaire must be received by the Commission by no later than **October 14, 2014**

*See the last page of this questionnaire for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping and countervailing duty investigations concerning certain crystalline silicon photovoltaic products from China and Taiwan (Inv. No. 701-TA-511 & 731-TA-1246-1247 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

<p>Name of firm _____</p> <p>Address _____</p> <p>City _____ State _____ Zip Code _____</p> <p>Website address _____</p> <p>Has your firm imported <b>crystalline silicon photovoltaic products ("CSPV products")</b> (as defined on the next page) from <u>any country</u> at any time since January 1, 2011?</p> <p><input type="checkbox"/> <b>NO</b> (Sign the certification below and promptly return only this page of the questionnaire to the Commission)</p> <p><input type="checkbox"/> <b>YES</b> (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission).</p> <p>Return questionnaire via the U.S. International Trade Commission <b>Drop Box</b> by clicking on the following link: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a>. (use the following PIN: <b>SUN2</b>)</p>
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#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

\_\_\_\_\_  
Name of Authorized Official

\_\_\_\_\_  
Title of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Phone:

\_\_\_\_\_  
Fax:

\_\_\_\_\_  
Email address

**Background.**

This proceeding was instituted in response to petitions filed December 31, 2013 by Solar World Industries America, Hillsboro, OR.

Antidumping and/or countervailing duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of dumping and/or countervailable subsidies.

**Merchandise covered by the scope of these investigations.**

**"Certain crystalline silicon photovoltaic products," "CSPV products" or "subject product":** The merchandise covered by these investigations is crystalline silicon photovoltaic cells, and modules, laminates and/or panels consisting of crystalline silicon photovoltaic cells, whether or not partially or fully assembled into other products, including building integrated materials.

For purposes of these investigations, subject merchandise also includes modules, laminates and/or panels assembled in the subject country consisting of crystalline silicon photovoltaic cells that are completed or partially manufactured within a customs territory other than that subject country, using ingots that are manufactured in the subject country, wafers that are manufactured in the subject country, or cells where the manufacturing process begins in the subject country and is completed in a non-subject country.

Subject merchandise includes crystalline silicon photovoltaic cells of thickness equal to or greater than 20 micrometers, having a p/n junction formed by any means, whether or not the cell has undergone other processing, including, but not limited to, cleaning, etching, coating, and/or addition of materials (including, but not limited to, metallization and conductor patterns) to collect and forward the electricity that is generated by the cell.

Merchandise covered by these investigations is currently classified in the Harmonized Tariff Schedule of the United States (HTSUS) under subheadings 8501.61.0000, 8507.20.8030, 8507.20.8040, 8507.20.8060, 8507.20.8090, 8541.40.6020, 8541.40.6030 and 8501.31.8000. These HTSUS subheadings are provided for convenience and customs purposes; the written description of the scope of these investigations is dispositive.

**Exclusions from the scope of these investigations:**

(1) Excluded from the scope of these investigations are thin film photovoltaic products produced from amorphous silicon (a-Si), cadmium telluride (CdTe), or copper indium gallium selenide (CIGS).

(2) Also excluded from the scope of these investigations are any products covered by the existing antidumping and countervailing duty orders on crystalline silicon photovoltaic cells, whether or not assembled into modules, from the People's Republic of China. See *Crystalline Silicon Photovoltaic Cells, Whether or Not Assembled Into Modules, From the People's Republic of China: Amended Final Determination of Sales at Less Than Fair Value, and Antidumping Duty Order*, 77 FR 73018 (December 7, 2012); *Crystalline Silicon Photovoltaic Cells, Whether or Not Assembled Into Modules, From the People's Republic of China: Countervailing Duty Order*, 77 FR 73017 (December 7, 2012).

(3) Also excluded from the scope of these investigations are crystalline silicon photovoltaic cells, not exceeding 10,000 mm<sup>2</sup> in surface area, that are permanently integrated into a consumer good whose function is other than power generation and that consumes the electricity generated by the integrated crystalline silicon photovoltaic cell. Where more than one cell is permanently integrated into a consumer good, the surface area for purposes of this exclusion shall be the total combined surface area of all cells that are integrated into the consumer good.

**Service of questionnaire response(s).**--In the event that your firm is a party to this proceeding, you are required to serve a copy of the questionnaire(s), once completed, on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties is maintained by the Commission's Secretary and may be obtained by calling 202-205-1803. A certificate of service must accompany the copy of the completed questionnaire(s) you submit (see 19 CFR § 207.7).

**Confidentiality.**--The commercial and financial data furnished in response to the enclosed questionnaire(s) that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Verification.**--The information submitted in the enclosed questionnaire(s) is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all of your files, worksheets, and supporting documents used in the preparation of the questionnaire response(s).

**Release of information.**--The information provided by your firm in response to the questionnaire(s), as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form. We are also interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your firm's response or send them to the above address.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire.

Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

**"Establishment"**--Each facility of a firm involved in the production, importation, and/or purchase of the subject product, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No       Yes--List the following information

Firm name	Address	Extent of ownership (percent)

I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing the subject product from China or Taiwan into the United States or that are engaged in exporting the subject product from China or Taiwan to the United States?

No       Yes--List the following information.

Firm name	Address	Affiliation

I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of the subject product?

No             Yes--List the following information.

Firm name	Address	Affiliation

I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations on the subject product. More than one answer may be applicable.

Importer of record	Takes title to the imported product(s)	Consignee of the imported product(s)	Customs broker or freight forwarder
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-7. **Consignee.**--If your firm is an importer of record of the subject product but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

I-8. **FTZ, TIB, and bonded warehouses.**--Please indicate whether your firm enters the subject product into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports the subject product under the TIB (temporary importation under bond) program.

**"Foreign trade zone"** is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act, 19 U.S.C. §§ 81a-81u.

**"Bonded warehouse"** is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S. Code § 1555.

**"Temporary Importation under Bond ("TIB") program"** is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule (HTS).

	No	Yes
FTZ	<input type="checkbox"/>	<input type="checkbox"/>
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>
TIB	<input type="checkbox"/>	<input type="checkbox"/>

I-9. **Third-Country trade activities.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No       Yes--Please specify. \_\_\_\_\_

\_\_\_\_\_

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Chris Cassise (202-708-5408, [chris.cassise@usitc.gov](mailto:chris.cassise@usitc.gov)). Supply all data requested on a calendar-year basis.

- II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

- II-2. **Reasons for importing.**--If your firm also produces the subject product in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

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- II-3(a). **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of the subject product since January 1, 2011.

<i>(check as many as appropriate)</i>	<i>(please describe)</i>
<input type="checkbox"/> office/warehouse openings.....	
<input type="checkbox"/> office/warehouse closings	
<input type="checkbox"/> relocations	
<input type="checkbox"/> expansions	
<input type="checkbox"/> acquisitions	
<input type="checkbox"/> consolidations	
<input type="checkbox"/> prolonged shutdowns or production curtailments	
<input type="checkbox"/> revised labor agreements	
<input type="checkbox"/> other (e.g., technology)	

(b) **Changes in operations as a result of the prior Solar 1 investigation:** Has your firm experienced any changes in its operations subsequent to the imposition of duties as a result of the prior solar investigation in the United States (see *Crystalline Silicon Photovoltaic Cells, Whether or Not Assembled Into Modules, From the People's Republic of China: Amended Final Determination of Sales at Less Than Fair Value, and Antidumping Duty Order, 77 FR 73018* (December 7, 2012); *Crystalline Silicon Photovoltaic Cells, Whether or Not Assembled Into Modules, From the People's Republic of China: Countervailing Duty Order, 77 FR 73017* (December 7, 2012))?

No             Yes—Please specify. \_\_\_\_\_

II-4. **Arranged imports.**--Has your firm arranged for the importation of the subject product for delivery after June 30, 2014?

**"Arranged imports"** are imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No             Yes—Fill out the table below.

Country	Quantity (in kilowatts)
China	
Taiwan	

II-5. **U.S. Imports and U.S. inventories of U.S. imports.**--Report your firm's quantity and value of U.S. imports and the quantity of your firm's end-of-period ("EOP") inventories of CSPV products from each source and component during the specified periods.

**"Imports"** are defined as those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

**"Import quantities"** Quantities reported should be net of returns.

**"Import values"** Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

**"End of period inventories"** is defined as finished goods inventory, not raw materials or work in progress.



**Note regarding the country of origin of CSPV cells, ingots, wafers and partial cells used in the production of imported CSPV modules:**--The Commission understands that a U.S. importer may or may not be aware of the country of origin of the cells, ingots, wafers or partial cells used by the foreign producer selling it CSPV modules. However, the Commission requests that if a U.S. importer does not know the country of origin of its suppliers' CSPV cells that it contact its seller or other member of its global supply chain and inquire as to the country of origin of the cells in the modules that it imports. In addition, for modules produced in China or Taiwan, question II-5 requires information regarding the origin of ingots, wafers and partial cells, to determine if it is the same country as the country of module production. The Commission therefore further requests that for modules from China and Taiwan, if a U.S. importer does not know the country of origin of its suppliers' CSPV ingots, wafers and partial cells, that it contact its seller or other member of its global supply chain and inquire as to the country of origin of those inputs in the Chinese or Taiwanese modules that it imports. If, subsequent to making these inquiries, a U.S. importer still does not know the country of origin of the CSPV cells or the ingots, wafers, or partial cells used to produce the cells, then please provide your firm's best estimate. This information will be necessary to properly report U.S. imports in question II-5.

<b>The Definitions of the Categories of U.S. Imports</b>		
<b>Country of exportation</b>	<b>Product name</b>	<b>Product definition</b>
China	<b><u>CELLS</u> from China:</b>	CSPV cells completely manufactured in China and exported from China.  <b>Note:--CSPV cells completely manufactured in China are currently subject to duties pursuant to the prior 2012 antidumping and countervailing duty investigations on CSPV cells and modules.</b>
	<b><u>MODULES</u> from China:</b>	
	Made of <u>Chinese-origin</u> cells:	CSPV modules or laminates exported from China and manufactured with CSPV cells that were manufactured either completely or partially in China.  <b>Note:--CSPV modules or laminates made with cells completely manufactured in China are currently subject to duties pursuant to the prior 2012 antidumping and countervailing duty investigations on CSPV cells and modules.</b>
	Made of <u>Taiwanese-origin</u> cells:	CSPV modules or laminates exported from China and manufactured with CSPV cells that were manufactured either completely or partially in Taiwan.  <b><u>"Two out of three" rule:</u></b> If modules from a subject country are assembled from cells that are completed or partially manufactured from inputs manufactured in the same subject country (i.e., ingots or wafers manufactured in the subject country, or cells where the manufacturing process begins in the subject country and is completed in another country), then report the country of origin of that CSPV module as that subject country.  For example, CSPV modules assembled in China using cells that have either ingots or wafers of Chinese origin would be reported as U.S. imports from China even if the manufacture of the cells was completed in Taiwan or another third country.

	Made of cells from countries other than China or Taiwan:	CSPV modules or laminates exported from China and manufactured with CSPV cells manufactured completely in a country other than China or Taiwan. If the CSPV cells used in production contain ingots or wafers of Chinese origin, please report these modules as having Chinese origin, as indicated above.
Taiwan	<b>CELLS from Taiwan:</b>	CSPV cells completely manufactured in Taiwan and exported from Taiwan.
	<b>MODULES from Taiwan:</b>	
	Made of Chinese-origin cells:	<p>CSPV modules or laminates exported from Taiwan and manufactured with CSPV cells that were manufactured either completely or partially in China.</p> <p><i>“Two out of three” rule:</i> If modules from a subject country are assembled from cells that are completed or partially manufactured from inputs manufactured in the same subject country (i.e., ingots or wafers manufactured in the subject country, or cells where the manufacturing process begins in the subject country and is completed in another country), then report the country of origin of that CSPV module as that subject country.</p> <p>For example, CSPV modules assembled in Taiwan using cells that have either ingots or wafers of Taiwanese origin would reported as U.S. imports from Taiwan even if the manufacture of the cells was completed in China or another third country.</p> <p><b>Note:--CSPV modules or laminates completely manufactured in Taiwan with CSPV cells completely manufactured in China are currently subject to duties pursuant to the prior 2012 antidumping and countervailing duty investigations on CSPV cells and modules.</b></p>
	Made of Taiwanese-origin cells:	CSPV modules or laminates exported from Taiwan and manufactured with CSPV cells that were manufactured either completely or partially in Taiwan.
	Made of cells from countries other than China or Taiwan:	CSPV modules or laminates exported from Taiwan and manufactured with CSPV cells manufactured completely in a country other than China or Taiwan. If the CSPV cells used in production contain ingots or wafers of Taiwanese origin, please report these modules as having Taiwanese origin, as indicated above.
All other sources (“AOS”)	<b>CELLS from all other sources (other than China and Taiwan)</b>	CSPV cells completely manufactured in a country other than China or Taiwan and exported from that country.
	<b>MODULES from all other sources (other than China and Taiwan):</b>	
	Made of Chinese-origin cells:	<p>CSPV modules or laminates exported from a country other than China or Taiwan and manufactured with CSPV cells that were manufactured either completely or partially in China.</p> <p><b>Note:--CSPV modules or laminates completely manufactured in a country other than China or Taiwan with CSPV cells completely manufactured in China are currently subject to duties pursuant to the prior 2012 antidumping and countervailing duty investigations on CSPV cells and modules.</b></p>
	Made of Taiwanese-origin cells:	CSPV modules or laminates exported from a country other than China or Taiwan and manufactured with CSPV cells that were manufactured either completely or partially in Taiwan.
	Made of cells from countries other than China or Taiwan:	CSPV modules or laminates exported from a country other than China or Taiwan and manufactured with CSPV cells manufactured completely in a country other than China or Taiwan.

II-5. **U.S. Imports and U.S. inventories of U.S. imports.**—Continued

# CHINA

Country of exportation	Quantity (in kilowatts), value (in \$1,000)					
	Description	Calendar years			January-June	
		2011	2012	2013	2013	2014
China	<b>U.S. imports of <u>CELLS</u> from China:</b> <sup>1</sup>					
	Quantity of imports					
	Value of imports					
	<b>EOP inventories of cells from China</b>					
	<b>U.S. imports of <u>MODULES</u> from China:</b> <sup>1</sup>					
	Made of Chinese-origin cells:					
	Quantity of imports					
	Value of imports					
	Made of Taiwanese-origin cells with Chinese ingot, wafer, or partially manufactured cell:					
	Quantity of imports					
	Value of imports					
	Made of Taiwanese-origin cells with non-Chinese ingot, wafer, or partially manufactured cell:					
	Quantity of imports					
	Value of imports					
Made of cells from third countries with Chinese ingot, wafer, or partially manufactured cell: <sup>2</sup>						
Quantity of imports						
Value of imports						
Made of cells from third countries with non-Chinese ingot, wafer, or partially manufactured cell: <sup>2</sup>						
Quantity of imports						
Value of imports						
<b>EOP inventories of modules from China</b>						

<sup>1</sup> Please identify your firm's largest suppliers of cells or finished modules from China:

(1) \_\_\_\_\_.

(2) \_\_\_\_\_.

(3) \_\_\_\_\_.

Additional suppliers in China: \_\_\_\_\_.

<sup>2</sup> Please identify all the third countries for the country of origin of the cells for these imports of modules from China:

\_\_\_\_\_.

II-5. **U.S. Imports and U.S. inventories of U.S. imports.**—Continued

# TAIWAN

Country of exportation	Description	Quantity (in kilowatts), value (in \$1,000)				
		Calendar years			January-June	
		2011	2012	2013	2013	2014
Taiwan	<b>U.S. imports of <u>CELLS</u> from Taiwan:</b> <sup>3</sup> <i>Quantity of imports</i>					
	<i>Value of imports</i>					
	<b>EOP inventories of cells from Taiwan</b>					
	<b>U.S. imports of <u>MODULES</u> from Taiwan:</b> <sup>3</sup> Made of Chinese-origin cells with Taiwanese ingot, wafer, or partially manufactured cell: <i>Quantity of imports</i>					
	<i>Value of imports</i>					
	<b>U.S. imports of <u>MODULES</u> from Taiwan:</b> <sup>3</sup> Made of Chinese-origin cells with non-Taiwanese ingot, wafer, or partially manufactured cell: <i>Quantity of imports</i>					
	<i>Value of imports</i>					
	Made of Taiwanese-origin cells: <i>Quantity of imports</i>					
	<i>Value of imports</i>					
	Made of cells from third countries with Taiwanese ingot, wafer, or partially manufactured cell: <sup>4</sup> <i>Quantity of imports</i>					
	<i>Value of imports</i>					
	Made of cells from third countries with non-Taiwanese ingot, wafer, or partially manufactured cell: <sup>4</sup> <i>Quantity of imports</i>					
	<i>Value of imports</i>					
	<b>EOP inventories of modules from Taiwan</b>					

<sup>3</sup> Please identify your firm's largest suppliers of cells or finished modules from Taiwan:

(1) \_\_\_\_\_.

(2) \_\_\_\_\_.

(3) \_\_\_\_\_.

Additional suppliers in Taiwan: \_\_\_\_\_.

<sup>4</sup> Please identify all the third countries for the country of origin of the cells for these imports of modules from Taiwan:

\_\_\_\_\_.

II-5. **U.S. Imports and U.S. inventories of U.S. imports.**—Continued

## ALL OTHER SOURCES (AOS)

Country of exportation	Quantity (in kilowatts), value (in \$1,000)					
	Description	Calendar years			January-June	
		2011	2012	2013	2013	2014
All other sources (AOS)	<b>U.S. imports of <u>CELLS</u> from AOS:<sup>5</sup></b>					
	Quantity of imports					
	Value of imports					
	<b>EOP inventories of cells from AOS</b>					
	<b>U.S. imports of <u>MODULES</u> from AOS:<sup>5</sup></b>					
	Made of Chinese-origin cells:					
	Quantity of imports					
	Value of imports					
	Made of Taiwanese-origin cells:					
	Quantity of imports					
Value of imports						
Made of cells from third countries: <sup>6</sup>						
Quantity of imports						
Value of imports						
<b>EOP inventories of modules from AOS</b>						

<sup>5</sup> Please identify your firm's largest suppliers of cells or finished modules from all other sources (AOS):

- (1) \_\_\_\_\_.
- (2) \_\_\_\_\_.
- (3) \_\_\_\_\_.

Additional suppliers in AOS: \_\_\_\_\_.

<sup>6</sup> Please identify all the third countries for the country of origin of the cells for these imports of modules from third countries:

\_\_\_\_\_.

II-6. **Export shipments.**--Report your firm's total export shipments of imported subject product from its U.S. establishment(s) during the specified periods.

Export shipments of U.S. imports					
(Quantity in kilowatts; value in \$1,000)					
Item	2011	2012	2013	January-June	
				2013	2014
<b>CHINA</b>					
<b>Export shipments of CSPV cells imported from <u>China</u></b>					
<i>Quantity</i>					
<i>Value</i>					
<b>Export shipments of CSPV modules imported from <u>China</u></b>					
<i>Quantity</i>					
<i>Value</i>					
<b>TAIWAN</b>					
<b>Export shipments of CSPV cells imported from <u>Taiwan</u></b>					
<i>Quantity</i>					
<i>Value</i>					
<b>Export shipments of CSPV modules imported from <u>Taiwan</u></b>					
<i>Quantity</i>					
<i>Value</i>					
<b>ALL OTHER SOURCES</b>					
<b>Export shipments of CSPV cells imported from <u>all other sources</u></b>					
<i>Quantity</i>					
<i>Value</i>					
<b>Export shipments of CSPV modules imported from <u>all other sources</u></b>					
<i>Quantity</i>					
<i>Value</i>					

II-7. **Channels of Distribution.**--Report your firm's total commercial U.S. shipments of U.S. imports of CSPV modules, by channel of distribution, from its U.S. establishment(s) during the specified periods.

Country of exportation	Description	Quantity (kilowatts)				
		Calendar years			January-June	
		2011	2012	2013	2013	2014
Modules containing Chinese cells (China Previous Orders) <sup>1</sup>	<b>U.S. shipments of U.S. imports of CSPV products from China to:</b> Distributors					
	Installers Residential					
	Commercial					
	Utilities/developer					
	Commercial U.S. shipments of U.S. imports from China	0	0	0	0	0
Modules assembled in China using cells that contain some Chinese inputs (China Current Investigation) <sup>2</sup>	<b>U.S. shipments of U.S. imports of CSPV products from China to:</b> Distributors					
	Installers Residential					
	Commercial					
	Utilities/developer					
	Commercial U.S. shipments of U.S. imports from China	0	0	0	0	0
Modules containing Taiwan cells <sup>3</sup>	<b>U.S. shipments of U.S. imports of CSPV products from Taiwan to:</b> Distributors					
	Installers Residential					
	Commercial					
	Utilities/developer					
	Commercial U.S. shipments of U.S. imports from Taiwan	0	0	0	0	0
Modules containing cells from all other sources <sup>4</sup>	<b>U.S. shipments of U.S. imports of CSPV products from all other sources ("AOS") to:</b> Distributors					
	Installers Residential					
	Commercial					
	Utilities/developer					
	Commercial U.S. shipments of U.S. imports from AOS	0	0	0	0	0

<sup>1</sup> Modules assembled in and exported from China, Taiwan, or a third country that incorporate cells wholly produced in China. These modules have been subject to antidumping and countervailing duty orders since 2012.

<sup>2</sup> Modules assembled in China from cells that have either ingots or wafers of Chinese origin even if the manufacture of the cells was completed in Taiwan or another country.

<sup>3</sup> Modules assembled in Taiwan from cells that have either ingots or wafers of Taiwanese origin even if the manufacture of the cells was completed in China or another third country and/or modules assembled in China, Taiwan, or a third country that incorporate cells wholly produced in Taiwan **excluding** any modules that were assembled in and exported from China using cells produced in Taiwan that in turn have either ingots or wafers of Chinese origin even if the manufacture of the cells was completed in Taiwan or another country (those data are to be reported in "China Current Investigation").

<sup>4</sup> Modules assembled in and exported from China, Taiwan, or a third country that incorporate cells wholly produced in a nonsubject country **excluding** any modules that were assembled in and exported from China or Taiwan using cells produced in a nonsubject country that in turn have either ingots or wafers of Chinese or Taiwanese origin even if the manufacture of the cells was completed in a nonsubject country.

**PART III.--PRICING AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Aimee Larsen (202-205-3179, [aimee.larsen@usitc.gov](mailto:aimee.larsen@usitc.gov))

III-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

**PRICE DATA**

III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2011 of the following products your firm imported:

**Product 1.**—60 cell Multicrystalline silicon module, with a peak power wattage between 240w to 250w, inclusive, P-max or Wp

**Product 2.**—60 cell Monocrystalline silicon module, with a peak power wattage between 240w to 250w, inclusive, P-max or Wp

**Product 3.**—60 cell Multicrystalline silicon module, with a peak power wattage between 255w to 265w, inclusive, P-max or Wp

**Product 4.**—60 cell Monocrystalline silicon module, with a peak power wattage between 255w to 265w, inclusive, P-max or Wp

**Product 5.**—60 cell Multicrystalline silicon module, with a peak power wattage between 270w to 280w, inclusive, P-max or Wp

**Product 6.**—60 cell Monocrystalline silicon module, with a peak power wattage between 270w to 280w, inclusive, P-max or Wp

**Product 7.**—72 cell Multicrystalline silicon module, with a peak power wattage between 300w to 315w, inclusive, P-max or Wp

**Product 8.**—72 cell Monocrystalline silicon module, with a peak power wattage between 300w to 315w, inclusive, P-max or Wp

**Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates). See instruction booklet.**

During January 2011-June 2014, did your firm import CSPV products and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	<b>Yes.</b> --Please complete the following pricing data table(s) as appropriate.
<input type="checkbox"/>	<b>No.</b> --Skip to question III-3.



III-2a. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> of imported CSPV modules containing cells manufactured in China subject to the 2012 antidumping and countervailing duty orders.<sup>3</sup> Report data in actual kilowatts and actual dollars (not 1,000s).

### Modules containing Chinese Cells – China Previous Orders<sup>3</sup>

<i>(Quantity in kilowatts, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to modules assembled in and exported from China, Taiwan, or a third country that incorporate cells wholly produced in China. These modules have been subject to antidumping and countervailing duty orders since 2012.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:

III-2a. **Price data.**—*Continued.*

**Modules containing Chinese Cells – China Previous Orders<sup>3</sup>**

<i>(Quantity in kilowatts, value in dollars)</i>								
Period of shipment	Product 5		Product 6		Product 7		Product 8	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to modules assembled in and exported from China, Taiwan, or a third country that incorporate cells wholly produced in China. These modules have been subject to antidumping and countervailing duty orders since 2012.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:

Product 6:

Product 7:

Product 8:

III-2b. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> of imported CSPV modules that were assembled in China and the cells used in that assembly contain inputs of Chinese origin.<sup>3</sup> Report data in actual kilowatts and actual dollars (not 1,000s).

### Modules assembled in China using cells that contain some Chinese inputs (ingot, wafer or partial cell) – China Current Investigation<sup>3</sup>

<b>(Quantity in kilowatts, value in dollars)</b>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to modules assembled in China from cells that have either ingots or wafers of Chinese origin even if the manufacture of the cells was completed in Taiwan or another third country.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:

III-2b. Price data.—*Continued.*

**Modules assembled in China using cells that contain some Chinese inputs (ingot, wafer or partial cell) – China Current Investigation<sup>3</sup>**

(Quantity in kilowatts, value in dollars)								
Period of shipment	Product 5		Product 6		Product 7		Product 8	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to modules assembled in China from cells that have either ingots or wafers of Chinese origin even if the manufacture of the cells was completed in Taiwan or another third country.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:

Product 6:

Product 7:

Product 8:

III-2c. **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> of imported CSPV modules containing cells manufactured in Taiwan.<sup>3</sup> Report data in actual kilowatts and actual dollars (not 1,000s).

### Modules containing Taiwan Cells<sup>3</sup>

<i>(Quantity in kilowatts, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to either:

    A. Modules assembled in China, Taiwan, or a third country that incorporate cells wholly produced in Taiwan ***excluding*** any modules that were assembled in and exported from China using cells produced in Taiwan that in have either ingot or wafers of Chinese origin even if the manufacture of the cells was completed in Taiwan or another third country (those data are to be reported in III-2b).

    B. Modules assembled in Taiwan from cells that have either ingots or wafers of Taiwanese origin even if the manufacture of the cells was completed in China or another third country.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:

III-2c. **Price data.**—*Continued.*

### Modules containing Taiwan Cells<sup>3</sup>

<i>(Quantity in kilowatts, value in dollars)</i>								
Period of shipment	Product 5		Product 6		Product 7		Product 8	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to either:

    A. Modules assembled in China, Taiwan, or a third country that incorporate cells wholly produced in Taiwan **excluding** any modules that were assembled in and exported from China using cells produced in Taiwan that in have either ingot or wafers of Chinese origin even if the manufacture of the cells was completed in China or another third country (those data are to be reported in III-2b).

    B. Modules assembled in Taiwan from cells that have either ingots or wafers of Taiwanese origin even if the manufacture of the cells was completed in Taiwan or another third country.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:

Product 6:

Product 7:

Product 8:

III-2c. **Price data.**-- Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> of imported CSPV modules containing cells manufactured in Taiwan.<sup>3</sup> Report data in actual kilowatts and actual dollars (not 1,000s).

### Malaysia<sup>3</sup>

(Quantity in kilowatts, value in dollars)								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to modules assembled in and exported from China, Taiwan, or a third country that incorporate cells wholly produced in Malaysia **excluding** any modules that were assembled in and exported from China or Taiwan using cells produced in Malaysia that in turn have either ingots or wafers of Chinese or Taiwanese origin even if the manufacture of the cells was completed in Malaysia or another third country (those data are to be reported in III-2b and III-2c respectively).

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:

III-2c. **Price data.**—*Continued.*

**Malaysia<sup>3</sup>**

<b>(Quantity in kilowatts, value in dollars)</b>								
<b>Period of shipment</b>	<b>Product 5</b>		<b>Product 6</b>		<b>Product 7</b>		<b>Product 8</b>	
	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>	<b>Quantity</b>	<b>Value</b>
<b>2011:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2012:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2013:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2014:</b>								
January-March								
April-June								

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III. Pricing products relate to commercial shipments to unrelated U.S. customers of imported product.

<sup>3</sup> These pricing products relate to modules assembled in and exported from China, Taiwan, or a third country that incorporate cells wholly produced in Malaysia **excluding** any modules that were assembled in and exported from China or Taiwan using cells produced in Malaysia that in turn have either ingots or wafers of Chinese or Taiwanese origin even if the manufacture of the cells was completed in Malaysia or another third country (those data are to be reported in III-2b and III-2c respectively).

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:

Product 6:

Product 7:

Product 8:



III-3. **Pricing products by channel of distribution.**-- Please indicate the proportion of your firm's 2013 sales of each pricing product, by channel of distribution.

Product	Percentage of 2013 sales to				
	Residential Installers	Commercial Installers	Utilities/Developers	Distributors	Total
Product 1.—60 cell Multicrystalline silicon module, with a peak power wattage between 240w to 250w, inclusive, P-max or Wp	%	%	%	%	100%
Product 2.—60 cell Monocrystalline silicon module, with a peak power wattage between 240w to 250w, inclusive, P-max or Wp	%	%	%	%	100%
Product 3.—60 cell Multicrystalline silicon module, with a peak power wattage between 255w to 265w, inclusive, P-max or Wp	%	%	%	%	100%
Product 4.—60 cell Monocrystalline silicon module, with a peak power wattage between 255w to 265w, inclusive, P-max or Wp	%	%	%	%	100%
Product 5.—60 cell Multicrystalline silicon module, with a peak power wattage between 270w to 280w, inclusive, P-max or Wp	%	%	%	%	100%
Product 6.—60 cell Monocrystalline silicon module, with a peak power wattage between 270w to 280w, inclusive, P-max or Wp	%	%	%	%	100%
Product 7.—72 cell Multicrystalline silicon module, with a peak power wattage between 300w to 315w, inclusive, P-max or Wp	%	%	%	%	100%
Product 8.—72 cell Monocrystalline silicon module, with a peak power wattage between 300w to 315w, inclusive, P-max or Wp	%	%	%	%	100%

III-4. **Price setting.**-- How does your firm determine the prices that it charges for sales of CSPV products (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Discount policy.**-- Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-6. **Pricing terms.**--

(a) What are your firm's typical sales terms for CSPV products imported from China and Taiwan?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your firm's prices of imported subject product from China and Taiwan usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

III-7. **Contract versus spot.**--Approximately what share of your firm's sales of CSPV products imported from China and Taiwan in 2013 were on a (1) long-term contract basis, (2) short-term contract basis, and (3) spot sales basis?

Type of sale	Share of 2013 sales: China	Share of 2013 sales: Taiwan
<b>Long-term contracts</b> (multiple deliveries for more than 12 months)	%	%
<b>Short-term contracts</b> (multiple deliveries up to and including 12 months)	%	%
<b>Spot sales</b> (for a single delivery)	%	%
<b>Total</b>	<b>100</b> %	<b>100</b> %

III-8. **Contract provisions.**— Please fill out the table regarding your firm’s typical sales contracts for CSPV products from China and Taiwan (or check “not applicable” if your firm does not sell on a long-term and/or short-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries up to and including 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>Number of days</i>		
Price renegotiation (during the contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>

III-9. **Lead times.**--What is your firm’s share of sales of CSPV products imported from China and Taiwan both from inventory and produced to order? Also, what is the typical lead time between a customer’s order and the date of delivery for your firm’s sales of CSPV products?

Source	Share of 2013 sales: China	Share of 2013 sales: Taiwan	Lead time (days)
From your firm’s U.S. inventory	%	%	
From foreign manufacturers’ inventory	%	%	
Produced to order	%	%	
<b>Total</b>	<b>100</b> %	<b>100</b> %	

III-10. **Shipping information.**—

- (a) What is the approximate percentage of the total delivered cost of CSPV products imported from China and Taiwan that is accounted for by U.S. inland transportation costs? \_\_\_\_\_ percent.
- (b) Who generally arranges the transportation to your firm’s customers’ locations?  
 Your firm     Purchaser (*check one*)
- (c) When your firm sells CSPV products imported from China and Taiwan, from where is it shipped?  
 Point of importation     Storage facility (*check one*)

**III-10. Shipping information.—Continued.**

(d) Indicate the approximate percentage of your firm's sales of CSPV products imported from China and Taiwan that are delivered the following distances from your firm's U.S. point of shipment.

<b>Distance from your firm's U.S. point of shipment</b>	<b>Share</b>
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
<b>Total</b>	<b>100</b> %

**III-11. Geographical shipments--**In which U.S. geographic market area(s) has your firm sold CSPV products imported from subject countries since January 1, 2011 (check all that apply)?

<b>Geographic area</b>	<b>China</b>	<b>Taiwan</b>
<b>Northeast.</b> —CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>	<input type="checkbox"/>
<b>Midwest.</b> —IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>	<input type="checkbox"/>
<b>Southeast.</b> —AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>	<input type="checkbox"/>
<b>Central Southwest.</b> —AR, LA, OK, and TX.	<input type="checkbox"/>	<input type="checkbox"/>
<b>Mountains.</b> —AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>	<input type="checkbox"/>
<b>Pacific Coast.</b> —CA, OR, and WA.	<input type="checkbox"/>	<input type="checkbox"/>
<b>Other.</b> —All other markets in the United States not previously listed, including AK, HI, PR, and VI, among others.	<input type="checkbox"/>	<input type="checkbox"/>

**III-12. End uses--**List the end uses of CSPV cells and modules that your firm imports from China and Taiwan. For each end-use product, estimate the percent of the total cost that is accounted for by CSPV products and other inputs (such as labor, energy, and other raw materials).

<b>Product(s) you produce</b>	<b>Share of total cost of end use product accounted for by</b>		<b>Total</b>
	<b>CSPV products</b>	<b>Other inputs</b>	
Module	%	%	100%
Residential system	%	%	100%
Commercial system	%	%	100%
Utility system	%	%	100%
	%	%	100%

**III-13. Substitutes.—**

(a) When making an initial purchase decision (i.e., at the point in time of deciding to build an electricity generation system), can non-solar renewable energy products be substituted for CSPV products?

No                       Yes--Please fill out the table.

Substitute		Have changes in the prices of this substitute affected the price for CSPV products?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

(b) When making an initial purchase decision (i.e., at the point in time of deciding to build an electricity generation system), can other solar energy products be substituted for CSPV products?

No                       Yes--Please fill out the table.

Substitute		Have changes in the prices of this substitute affected the price for CSPV products?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

***If your firm does not conduct business in the utility/developer market segment, please skip to question III-15.***

**III-14. Utility portfolios.—**

(a) During the initial planning stage, what factors does a utility consider when planning a new electricity generation system?

III-14. **Utility portfolios.—Continued.**

(b) How do utilities determine the share of solar energy from CSPV products in a utility's energy portfolio as compared to the share of other renewable energy sources and the share of non-renewable energy sources?

--

(c) Please identify each renewable and non-renewable energy source (other than CSPV) in your portfolio since January 1, 2011 and fill out the table.

Energy source	Have changes in the prices of this energy source affected the price for solar generated electricity?		
	No	Yes	Explanation
1.	<input type="checkbox"/>	<input type="checkbox"/>	
2.	<input type="checkbox"/>	<input type="checkbox"/>	
3.	<input type="checkbox"/>	<input type="checkbox"/>	
4.	<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Demand trends.**—Indicate how demand within the United States and outside of the United States (if known) for CSPV products has changed since January 1, 2011. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**III-16. Government incentives.**

(a.) How has the level or availability of government incentives for CSPV products changed since January 1, 2011 (i.e., is there a greater amount or lesser amount of government incentives available for CSPV products today as compared with January 1, 2011)? In the last column, list or describe the principal incentive programs (e.g., Section 1603 Program, Renewable Portfolio Standards, or California Solar Initiative) whose level or availability has changed.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Incentives
<b>State and local government incentives</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Federal government incentives</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b.) Have the changes in the level or availability of government incentives affected demand for CSPV products since January 1, 2011? In the last column, list or describe the principal incentive programs (e.g., Section 1603 Program, Renewable Portfolio Standards, or California Solar Initiative) whose change in level or availability has affected demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Incentives and explanation of demand trends
<b>State and local government incentives</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Federal government incentives</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(c.) How have changes in the availability of government incentives affected the price of solar generated electricity since January 1, 2011? Explain any trends and describe the principal factors that have affected these changes in price.

Overall price increase	No Change in price	Overall price decrease	Fluctuate with no clear trend	Explanation and factors
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(d) Do changes in the price of solar generated electricity affect the price of CSPV modules? If so, how?

No	Yes	If yes, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

**III-17. Conventional energy sources.**

(a.) How have prices of conventional energy sources changed since January 1, 2011?

Source	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Factors
Natural gas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Coal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other conventional energy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b.) How have prices of conventional energy sources such as natural gas and coal impacted demand for CSPV cells and modules in each sector below since January 1, 2011?

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Factors
Residential	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Commercial	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Utility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(c.) How have changes in the price of conventional energy affected the price of solar generated electricity since January 1, 2011? Explain any trends and describe the principal factors that have affected these changes in price.

Overall price increase	No Change in price	Overall price decrease	Fluctuate with no clear trend	Explanation and factors
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**III-18. Product changes.--Have there been any significant changes in the product mix or marketing of CSPV products since January 1, 2011?**

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	



**III-19. Conditions of competition.—**

(a) Is the market for CSPV products subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to CSPV products?

<b>Check all that apply.</b>	<b>Please describe.</b>
<input type="checkbox"/> <b>No</b>	Skip to question III-20.
<input type="checkbox"/> <b>Yes-Business cycles (e.g. seasonal business)</b>	
<input type="checkbox"/> <b>Yes-Other distinctive conditions of competition</b>	

(b) If yes, have there been any changes in the business cycles or conditions of competition for CSPV products since January 1, 2011?

<b>No</b>	<b>Yes</b>	<b>If yes, describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

**III-20. Supply constraints.—**Has your firm refused, declined, or been unable to supply CSPV products since January 1, 2011 (examples include placing customers on allocation or “controlled order entry,” declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

<b>No</b>	<b>Yes</b>	<b>If yes, please describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

**III-21. Raw materials.—** How have the prices for raw materials to produce CSPV products changed since January 1, 2011?

<b>Overall increase</b>	<b>No change</b>	<b>Overall decrease</b>	<b>Fluctuate with no clear trend</b>	<b>Explain, noting how raw material price changes have affected your firm’s selling prices for CSPV products.</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-22. **Interchangeability.**--Are CSPV products produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Taiwan	Other countries
United States			
China	X		
Taiwan	X	X	
For any country-pair producing CSPV products that is <i>sometimes</i> or <i>never</i> interchangeable, please explain the factors that limit or preclude interchangeable use:  _____			

III-23. **Factors other than price.**--Are differences other than price (*e.g.*, quality, availability, transportation network, product range, technical support, *etc.*) between CSPV products produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Taiwan	Other countries
United States			
China			
Taiwan			
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of CSPV products, identify the country-pair and report the advantages or disadvantages imparted by such factors:  _____			

III-24. **Customer identification**--List the names and contact information for your firm's 10 largest U.S. customers for CSPV products since January 1, 2011. Indicate the share of the quantity of your firm's total shipments of CSPV products that each of these customers accounted for in 2013.

	Customer's name	City	State	Share of 2013 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

III-25. **Other explanations**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below.

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## OPTIONS FOR FILING THIS QUESTIONNAIRE RESPONSE WITH THE ITC

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[LINK](#)

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please complete the questionnaire and submit it electronically using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the completed questionnaire in MS Word format along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/> **Pin:** **SUN2**

- **E-mail.**—E-mail your questionnaire to the investigator identified on page 4 of this questionnaire; include a scanned copy of the signed certification page (page 1).

*Please note that submitting your questionnaire by e-mail may subject your firm’s business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.*

- **Compact disc (CD).**—Copy your MS Word questionnaire onto a CD. Also please include a signed certification page (page 1), and mail to the U.S. International Trade Commission, 500 E. Street, SW, Washington, DC 20024. *It is strongly recommended that you use an **overnight mail service**. U.S. mail sent to government offices undergoes additional processing which not only results in substantial delays in delivery but may also damage CDs.*

Note: If you are a party to the proceeding, and service of the questionnaire is required, such service should be made in paper form

**If you determine that your firm does not import this product**, please complete page 1 of the respective questionnaire by: (1) Filling in your name and address, (2) Checking the “no” box, (3) Signing the bottom of page 1, and (4) Returning page 1 to the Commission using any of the methods listed above.