U.S. PRODUCERS' QUESTIONNAIRE

FERROVANADIUM FROM CHINA AND SOUTH AFRICA

This questionnaire must be received by the Commission by no later than **September 19, 2014**

See the Instruction Booklet for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the antidumping duty orders concerning ferrovanadium from China and South Africa (Inv. Nos. 731-TA-986-987 (Second Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm

Address				
City		State	Zip Code	
Website				
toll processed fer	rovanadium for an	other firm (i.e., been a "	e instruction booklet) for its coller"); or c) contracted and t any time since January 1,	other firm to toll-
NO (S	gn the certification bel	low and promptly return only	this page of the questionnaire to	the Commission)
		oklet carefully, complete all parmission so as to be received	arts of the questionnaire, and retu by the date indicated above)	urn the entire
			ade Commission <i>Drop</i> / (use the following PIN	
				<u>, </u>
		CERTIFICATION	ON	
ief and understand th ons of this certificati	at the information s on I also grant con s questionnaire and	submitted is subject to audustrated in subject to audustrate in subject	naire is complete and correct lit and verification by the Co n, and its employees and co ding in any other import-in	mmission.
ssion, its employees, ining the records of th	and contract person is proceeding or rel programs and opera	this questionnaire respon nnel who are acting in t lated proceedings for whi ations of the Commission	se and throughout this produce the capacity of Commission each this information is submit pursuant to 5 U.S.C. Appen	ceeding may be used by t employees, for developing ted, or in internal audits a
ssion, its employees, ning the records of the lings relating to the p	and contract person is proceeding or rel programs and opera non-disclosure agree	this questionnaire respon nnel who are acting in t lated proceedings for whi ations of the Commission	se and throughout this proche capacity of Commission each this information is submit	ceeding may be used by to employees, for developing ted, or in internal audits an
ssion, its employees, ining the records of th lings relating to the p t personnel will sign	and contract person is proceeding or rel programs and opera non-disclosure agree	this questionnaire responnel who are acting in tolated proceedings for whit ations of the Commission tements. Authorized Official	se and throughout this product the product of Commission each this information is submit pursuant to 5 U.S.C. Appen	ceeding may be used by to employees, for developing ted, or in internal audits an
ssion, its employees, ining the records of th lings relating to the p t personnel will sign	and contract person his proceeding or reli programs and operation-disclosure agree	this questionnaire responnel who are acting in tolated proceedings for whit ations of the Commission tements. Authorized Official	se and throughout this product the product of Commission each this information is submit pursuant to 5 U.S.C. Appen	ceeding may be used by temployees, for developing sted, or in internal audits a dix 3. I understand that

PART I.—GENERAL INFORMATION

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. OMB statistics.--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

Hours	Dollars

- I-1b. <u>OMB feedback</u>.--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your firm's response or send them to the above address.
- I-2. <u>Establishments covered.</u>--Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

Establishments Covered ¹	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
¹ Additional discu	ssion on establishments con	solidated in this question	naire:

I-3. **Position regarding continuation of orders.--**Does your firm support or oppose continuation of the antidumping duty orders currently in place for ferrovanadium from the following countries?

Country	Support	Oppose	Take no position
China			
South Africa			

PART I.--GENERAL INFORMATION--Continued

		Extent of ownershi
Firm name	Address	(percent)
Poloted CUDIECT : outoug/	ormantana Dasa sasa finna kasa ana	unalata d Cimura aith a
	exportersDoes your firm have any	
	aged in importing ferrovanadium from	
	engaged in exporting ferrovanadium	from China or Sout
o the United States?		
□ No □ Voc List th	o following information	
No YesList the	e following information.	
Firm name	Address	Affiliation
	7144.000	741111441411
	<u></u>	
Related NONSUBJECT impo	rters/exportersDoes your firm hav	e any related firms,
	rters/exportersDoes your firm hav	
lomestic or foreign, that are eng		m countries other th
domestic or foreign, that are engor South Africa into the United S	aged in importing ferrovanadium from	m countries other th
domestic or foreign, that are engor South Africa into the United Scountries other than China or So	gaged in importing ferrovanadium from States or that are engaged in exporting outh Africa to the United States?	m countries other th
domestic or foreign, that are engor South Africa into the United Scountries other than China or So	aged in importing ferrovanadium from States or that are engaged in exporting	m countries other th
lomestic or foreign, that are engor South Africa into the United Scountries other than China or So	gaged in importing ferrovanadium from States or that are engaged in exporting that Africa to the United States? The following information.	m countries other th g ferrovanadium fro
lomestic or foreign, that are engor South Africa into the United Scountries other than China or So	gaged in importing ferrovanadium from States or that are engaged in exporting outh Africa to the United States?	m countries other th
domestic or foreign, that are engor South Africa into the United Scountries other than China or So	gaged in importing ferrovanadium from States or that are engaged in exporting that Africa to the United States? The following information.	m countries other th g ferrovanadium fro
domestic or foreign, that are engor South Africa into the United Scountries other than China or So	gaged in importing ferrovanadium from States or that are engaged in exporting that Africa to the United States? The following information.	m countries other th g ferrovanadium fro
lomestic or foreign, that are engor South Africa into the United Scountries other than China or So	gaged in importing ferrovanadium from States or that are engaged in exporting that Africa to the United States? The following information.	m countries other th g ferrovanadium fro

PART I.--GENERAL INFORMATION--Continued

		YesList the following information.	
Firm na	ame	Address	Affiliation
		I	
		n Parts II and IV of this questionnaire we	
usiness	plan. Do	n Parts II and IV of this questionnaire we ses your company or any related firm hav scribe, discuss, or analyze expected mark	e a business plan or any interna
usiness	plan. Do	es your company or any related firm hav	e a business plan or any internated conditions for ferrovanadium

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Angela Newell (202-708-5409, angela.newell@usitc.gov). Supply all data requested on a calendar-year basis.

II-1.	Contact information Please identify the responsible individual and the manner by which
	Commission staff may contact that individual regarding the confidential information submitted in
	part II.
	Name

Name	
Title	
Email	
Telephone	
Fax	

II-2. <u>Changes in operations.--</u>Please indicate whether your firm has experienced any of the following changes in relation to the production of ferrovanadium since January 1, 2008.

(che	ck as many as appropriate)	(please describe and indicate the relevant dates, duration, result)
	plant openings	
	plant closings	
	relocations	
	expansions	
	acquisitions	
	consolidations	
	prolonged shutdowns or production curtailments	
	revised labor agreements	
	other (e.g., technology)	

PART II.--TRADE AND RELATED INFORMATION--Continued

II-3.	Anticipated changes in operationsDoes your firm anticipate any changes in the character of your firm's operations or organization (as noted above) relating to the production of ferrovanadium in the future?				
	□ No	YesSupply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. Include in your response a specific projection of your firm's capacity to produce ferrovanadium (in 1,000 pounds contained vanadium) for 2014 and 2015.			
	Anticipated anticipate an relating to the	f your firm's response differs for particular orders, please indicate and explain to frevocation of specific orders. changes in operations in the event the orders are revokedWould your firm by changes in the character of your firm's operations or organization (as noted above) are production of ferrovanadium in the future if the antidumping duty orders on the from China and South Africa were to be revoked?			
	□ No	YesSupply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. Include in your response a specific projection of your firm's capacity to produce ferrovanadium (in 1,000 pounds contained vanadium) for 2014 and 2015.			

II-5a. **Production and capacity.--** Please report your firm's production of ferrovanadium, production of products made on the same equipment and machinery used to produce ferrovanadium, and the combined production capacity on this shared equipment and machinery in the periods indicated.

			(Quantity	, III 1,000 J	oounus				
									ry-June
	Item	2008	2009	2010	2011	2012	2013	2013	2014
	duction capacity								
oduction Ferrovan									
Other pro									
	dium production (gro	ss producti	on) will not	equal pro	duction dat	a reported	in II-8 (cor	itained van	adium).
	perating parameters as is based on ope							n booklet)) reported i
	pacity calculation ported in II-5a, and						ulate over	all produc	ction capac
pro	oduction constrained action capacity.	<u>110</u> . 110u			ou unit (s) (The sec th		on your 11	
6. <u>Pr</u>	oduct shifting.—								
(i)	Is your firm a using the san				pacity) be	tween feri	ovanadiu	m and oth	er product
		ne equipm	ent and/or s (i.e., h	r labor? ave produ	pacity) be uced other other actu	products	or are abl	e to produ	

PART II.--TRADE AND RELATED INFORMATION--Continued

II-7.		roduction .—At any time since January 2008, has your firm been involved in a toll agreement ng the production of ferrovanadium?
		☐ No—Go to question II-8a and provide the requested data for your operations independent of tolling.
		☐ Yes—Please answer the following questions.
		For all such agreements entered into by your firm, please indicate whether your firm was the Toller (the producer of ferrovanadium) or tollee (the firm that furnished the inputs to the Toller).
(a)	☐ Tol	ler—Please name the tollee(s):
	(i)	In question II-8a, report information for your total ferrovanadium operations (for your own account and toll-produced) with respect to the average production capacity and employment. However, for inventories, production, and shipments, report only data related to your own account, and exclude inventories, production, and shipments related to ferrovanadium your firm produces on a toll basis.
	(ii)	In question II-8c, report information for inventories, production, and shipments related to ferrovanadium that your firm produces on a toll-basis. The value of shipments should be the tolling fees that your firm charges to the tollee. If you are able to allocate capacity and employment data based on tolling operations, please also report these allocate data in question II-8c.
(b)	☐ Tol	lee—Please name the toller(s):
	(i)	Identify the raw material input that your firm provided the toller(s):
	(ii)	Report your firm's inventories and its quantity and value of shipments of ferrovanadium that was produced under a tolling agreement in question II-8d.

II-8a. <u>Trade data.</u>--Report your firm's production capacity, production, shipments, inventories, and employment related to the production of ferrovanadium in your firm's U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

Quantity (i	π 1,000 ρα	unus on co		lar year	iiu vaiue (<i>i</i>	π φ ι,υυυ)	lanua	ry-June
Item	2008	2009	2010	2011	2012	2013	2013	2014
Average production capacity ¹ (quantity) (A)	2000	2003	2010	2011	2012	2013	2013	2014
Beginning-of-period inventories (quantity) (B)								
Production (quantity) (C)								
U.S. shipments: Commercial shipments: quantity (D)								
value (E)								
Internal consumption: ² quantity (F)								
value (G)								
Transfers to related firms: ² quantity (H)								
value (I)								
Export shipments: ³ quantity (J)								
value (K)								
End-of-period inventories (quantity) (L)								
Channels of distribution: U.S. commercial shipments to distributors (quantity) (M)								
U.S. commercial shipments to end users (quantity) (N)								
Employment data: Average number of PRWs (number) (O)								
Hours worked by PRWs (1,000 hours) (P)								
Wages paid to PRWs (<i>value</i>) (Q)								
The production capacity (see of weeks per year. Please description reported capacity (use additional page)	ibe the me	thodology (hours per blain any ch	,
² Internal consumption and trans uses a different basis for valuing the data using that basis for each of the	ese transac	tions, pleas	se specify th					
³ Identify your firm's principal ex	port marke	ts:						

Note.—The table below contains automatic calculations that will appear when you have entered data in the MS Word form fields in table II-8a on the previous page.

RECONCILIATION OF CHANNEL DATA

Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantities reported for total U.S. shipments (i.e., lines D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data in question II-8a needs to be revised prior to submission to the Commission.

			Calend	ar years			January	-June
Reconciliation item	2008	2009	2010	2011	2012	2013	2013	2014
Reconciliation should = 0 (if not revise)	0	0	0	0	0	0	0	0

RECONCILIATION OF SHIPMENT, INVENTORY AND PRODUCTION DATA

Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for the differences (e.g., theft, loss, damage, record systems issues, etc.).

-						· · · · · · · · · · · · · · · · ·	-June
08	2009	2010	2011	2012	2013	2013	2014
0	0	0	0	0	0	0	(
	0 g:						

inventories rep	orted in the subseq	orted for end-of-period inventories should equal the beginning-of period uent calendar year (<i>i.e.</i> , line L of year 2008 should equal line B of year 2009). djacent calendar year?
	Yes	☐ NoPlease explain.
,		

II-8c. Trade data on operations by TOLLER.—If your firm performed tolling operations on behalf of another firm (produced for the tollee), report your firm's production capacity (see NOTE), production, shipments to or on behalf of tollee(s), and employment (see NOTE) related to the production of ferrovanadium in your firm's U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

			Calend	lar year			Januai	ry-June
Item	2008	2009	2010	2011	2012	2013	2013	2014
Average production capacity ¹ (quantity)								
Production (quantity)								
U.S. shipments of tolled material (shipped to or on behalf of tollee(s)): ² Quantity								
Tolling charge (value)								
Export shipments of tolled material (exported to or on behalf of tollee(s)): ²³ Quantity								
Tolling charge (value)								
Employment data: Average number of PRWs (number)								
Hours worked by PRWs (1,000 hours)								
Wages paid to PRWs (value)								
NOTE.—If you are unable to allocate those on behalf of a tollee (i.e., when the production capacity (see the production capacity (see the production capacity (see the production capacity (use additional particular).	ere your firm definitions in ribe the me	n acts as a s n instruction thodology u	toller), repo n booklet) re	rt such data eported is b	in question ased on op	n II-8a on a erating	total basis. _ hours per	week,

II-8d. <u>Trade data on operation by TOLLEE</u>.— Report your firm's shipments, inventories, and channels of distribution related to the ferrovanadium produced (tolled from raw material inputs you supplied) on your behalf in the United States during the specified periods. (See definitions in the instruction booklet.)

	Calendar year						January-June	
Item	2008	2009	2010	2011	2012	2013	2013	2014
Beginning-of-period inventories (quantity)								
Ferrovanadium available for sale (quantity) ¹								
U.S. shipments: Commercial shipments: quantity								
value								
Internal consumption: ² quantity								
value								
Transfers to related firms: ² quantity								
value								
Export shipments: ³ quantity								
value								
End-of-period inventories (quantity)								
Channels of distribution: U.S. commercial shipments to distributors (quantity)								
U.S. commercial shipments to end users (<i>quantity</i>)								
¹ Ferrovanadium returned to tolle ² Internal consumption and trans different basis for valuing these trans- basis for each of the periods noted a	fers to rela sactions, p	ated firms sl	nould be va	lued at fair s (e.g., cost	market valu	ie. In the ev	ent that you value data	use using th

³ Identify your principal export markets:

PART II.--TRADE AND RELATED INFORMATION--Continued

II-8e. Total production of ferrovanadium.—Indicate the share of total production of ferrovanadium in your firm's U.S. establishment(s) in 2013, including ferrovanadium your firm toll-produced for another firm, accounted for by grade 40-60% ferrovanadium (i.e., ferrovanadium containing between 40 and 60 percent vanadium) and by grade 75-85% ferrovanadium (i.e., ferrovanadium containing between 75 and 85 percent contained vanadium):

Item	Share (<i>percent</i>)
Grade 40-60 percent ferrovanadium	%
·	
Grade 75-85 percent ferrovanadium	%
Other grade of ferrovanadium	
(specify):	%
Total	100%

please in wholly o whether	dicate the nature of wned subsidiary), w	the relationship between thether the transfers we marketing rights to all to	en your firm and the ere priced at market	related firms in question II related firms (e.g., joint very value or by a non-market f r the related firms also pro	enture, ormula
- -					

PART II.--TRADE AND RELATED INFORMATION--Continued

II-10. <u>Purchases.</u> O January 1, 200					purchased	terrovanad	ium since	
□ No	Yes Pl	ease indicat	te the reason r by source, purchases b	s for your f	orate) and r	eport the qu		l
Reasons:								-
	Quantity (in	1,000 pound	s contained	vanadium) a	and value (ii	n \$1,000)		
							Janua	ry-June
Item	2008	2009	2010	2011	2012	2013	2013	2014
PURCHASES FROM U.S. IMPORTERS ¹ OF FERROVANADIUM FROM.— China: quantity								
South Africa: quantity								
All other countries: quantity								
PURCHASES FROM DOMESTIC PRODUCERS: ² quantity								
PURCHASES FROM OTHER SOURCES: quantity								
¹ Please list the name source, please identify the	of the importe e source for ea	r(s) from whi	ch your firm p plier.	urchased this	s product. If	your firm's s	suppliers dif	fer by
² Please list the name	of the domest	ic producer(s) from which y	our firm pur	chased this	product.		
II-11. FTZ Does yo	our firm prod	uce ferrovar	nadium in a f	oreign trad	e zone (FT	Z)?		
☐ No	YesIde	entify FTZ(s):					
II-12. Direct import	sSince Janu	ary 1, 2008	s, has your fi	rm importe	d ferrovana	ndium?		
☐ No		OMPLETE .	AND RETU NAIRE	RNA U.S.	<u>IMPORTI</u>	ERS'		

For questions II-13 and II-14, if your response differs for particular orders, please indicate and explain the particular effect of imposition and/or revocation of specific orders.

II-13.	Effect of orderDescribe the significance of the existing antidumping duty orders covering imports of ferrovanadium from China and/or South Africa in terms of its effect on your firm's production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, and asset values. You may wish to compare your firm's operations before and after the imposition of the order.
II-14.	<u>Likely effect of revocation of order</u> Would your firm anticipate any changes in its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of ferrovanadium in the future if the antidumping duty orders on ferrovanadium from China and/or South Africa were to be revoked?
	No YesSupply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation for any trends or projections you may provide.

PART II.--TRADE AND RELATED INFORMATION--Continued

II-15.	Other explanations—If your firm would like to further explain a response to a question in Part II
	that did not provide a narrative response box, please note the question number and the
	explanation in the space provided below. Please also use this space to highlight any issues your
	firm had in providing the data in this section, including but not limited to technical issues with the
	MS Word questionnaire.

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Charles Yost (202-205-3432, charles.yost@usitc.gov).

Name	
Title Email	
Telepl	
Fax	
Accou	<u>inting system</u> Briefly describe your firm's financial accounting system.
A.	When does your firm's fiscal year end (month and day)? If your firm's fiscal year changed during the data-collection period, explain below:
B.1.	Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include ferrovanadium:
2.	Does your firm prepare profit/loss statements for ferrovanadium:
3.	Yes No How often did your firm (or parent company) prepare financial statements (including
	annual reports, 10Ks)? Please check relevant items below.
	☐ Audited, ☐ unaudited, ☐ annual reports, ☐ 10Ks, ☐ 10 Qs, ☐ Monthly, ☐ quarterly, ☐ semi-annually, ☐ annually
4.	Accounting basis: GAAP, cash, tax, or other comprehensive ba of accounting (specify)
	Note: The Commission may request that your company submit copies of its financial stateme including internal profit-and-loss statements for the division or product group that includes ferrovanadium, as well as those statements and worksheets used to compile data for your firm
	questionnaire response.
	questionnaire response. accounting systemBriefly describe your firm's cost accounting system (e.g., standard ob order cost, etc.).
	accounting systemBriefly describe your firm's cost accounting system (e.g., standard
	accounting systemBriefly describe your firm's cost accounting system (e.g., standard

PART III.--FINANCIAL INFORMATION--Continued

III-5. Other products.--Please list the products your firm produces in the facilities in which it produces ferrovanadium, and provide the share of net sales accounted for by these other products in your firm's most recent fiscal year.

Products	Share of sales
Ferrovanadium	%
	%
	%
	%
	%
	100 %

III-6.		s (raw materials, labor, energy, or any ot om any related firms? NOTE: THIS IS (
	YesContinue to question l	III-7. NoContinue to question II	II-9.
III-7.	production of the subject productoral COGS" please report this completed fiscal year. For "Incompany's own accounting sys	In the space provided below, identify the act that your firm purchases from related information by relevant input on the basic out valuation" please describe the basis, a stem, of the purchase cost from the related egotiated transfer price to approximate fa	parties. For "Share of is of your most recently as recorded in the d party; e.g., the related
	<u>Input</u>	Related party	Share of total COGS
	Input	Related party	Share of total COGS
	Input	Related party	Share of total COGS
	Input	Related party	Share of total COGS
	Input valuation		

III-8.	<u>Inputs from related parties at cost.</u> All intercompany profit or loss on inputs purchased from
	related firms, as well as intra-division profit or loss, should be eliminated from the costs reported
	to the Commission in question III-10; i.e., costs reported in question III-10 should only reflect the
	related party's cost and not include an associated profit or loss component. Reasonable methods
	for determining and eliminating the associated profit on inputs purchased from related parties are acceptable.
	Has your firm complied with the Commission's instructions regarding costs associated with inputs purchased from related parties?
	YesPlease briefly describe how you eliminated intercompany/intra-division profit or (loss) on inputs purchased/received from related firms/or intra-division units within the same company to arrive at the actual costs incurred by the related firms/intra-division units.
	No—If an inter-company/intra-division profit or (loss) adjustment was applicable, please explain why this adjustment was not made.

III-9a. Nonrecurring items (charges and gains) included in reported in ferrovanadium financial results.--For each annual and interim period for which financial results are reported in question III-10, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific table III-10 line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in \$1,000), as reflected in table III-10; i.e., if an aggregate nonrecurring item has been allocated to table III-10, only the allocated value amount included in table III-10 should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported ferrovanadium financial results in table III-10. PLEASE REPORT ON A CALENDAR-YEAR BASIS in chronological order from left to right and for the specified interim periods.

		Calendar years					January-June	
	2008	2009	2010	2011	2012	2013	2013	2014
Nonrecurring item: In this column please provide a brief description of each nonrecurring item and indicate the specific table III-10 line item where the nonrecurring item is included.	Nonrecurring item: In these columns please report the amount of the relevant nonrecurring item reported in table III-10. Value in \$1,000							
1. , classified								
2. , classified								
3. , classified								
4. , classified								

III-9b. Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.--If non-recurring items were reported in table III-9a above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., III-9a information designates where these items are reported in table III-10.

III-10. Operations on ferrovanadium.--Report the revenue and related cost information requested below on the non-tolling related operations of your firm's U.S. establishment(s). Do not report resales of purchased products. Note that internal consumption and transfers to related firms must be valued at fair market value and purchases from related firms must be at cost.² Provide data ON A CALENDAR-YEAR BASIS in chronological order from left to right and for the specified interim periods.

NOTE: Data reported in III-10 should correspond to the data your firm reported in question II-8a.

Quantity (iii	i,oco pourido	or cornamea	Calendar	d value (<i>in \$1,</i>	000,	
ltem	2008	2009	2010	2011	2012	2013
Net sales quantities: ² Commercial sales ("CS")						
Internal consumption ("IC")						
Transfers to related firms ("Transfers")						
Total net sales quantities	0	0	0	0	0	(
Net sales values: ² Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales values	0	0	0	0	0	C
Cost of goods sold (COGS): ³⁴ Raw materials						
Direct labor						
Other factory costs						
Total COGS	0	0	0	0	0	C
Gross profit or (loss)	0	0	0	0	0	C
Selling, general, and administrative (SG&A) expenses: Selling expenses						
General and administrative expenses						
Total SG&A expenses	0	0	0	0	0	C
Operating income (loss)	0	0	0	0	0	C
Other expenses and income: Interest expense						
All other expense items						
All other income items						
Net income or (loss) before income taxes	0	0	0	0	0	C
Depreciation/amortization included above						

Note -- The table above contains calculations that will appear when you have entered data in the MS word form fields.

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.
² Less discounts, rebates, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ Please eliminate any profits or (losses) on inputs from related firms pursuant question III-8.

⁴ COGS should include costs associated with CS (domestic and export), IC, and Transfers.

III-10. Operations on ferrovanadium.--Continued

Item	January-June 2013	January-June 2014
Net sales quantities: ² Commercial sales ("CS")	,	•
Internal consumption ("IC")		
Transfers to related firms ("Transfers")		
Total net sales quantities	0	(
Net sales values: ² Commercial sales		
Internal consumption		
Transfers to related firms		
Total net sales values	0	C
Cost of goods sold (COGS): ^{3 4} Raw materials		
Direct labor		
Other factory costs		
Total COGS	0	(
Gross profit or (loss)	0	(
Selling, general, and administrative (SG&A) expenses: Selling expenses		
General and administrative expenses		
Total SG&A expenses	0	0
Operating income (loss)	0	0
Other expenses and income: Interest expense		
All other expense items		
All other income items		
Net income or (loss) before income taxes	0	(
Depreciation/amortization included above		

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.
² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

3 Please eliminate any profits or (losses) on inputs from related firms pursuant question III-8.

⁴ COGS should include costs associated with CS (domestic and export), IC, and Transfers.

Note -- The table above contains calculations that will appear when you have entered data in the MS word form fields.

sales quan loss)) have fields retu	tities and values, total COGS, gross profit (or loss), total SG&A, and net income (or e been calculated from the data submitted in the other line items. Do the calculated rn the correct data according to your firm's financial records ignoring non-material s that may arise due to rounding?
Yes	NoIf the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.
	Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (<i>i.e.</i> , expenses are positive and incomes or reversals are negativeinstances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (<i>i.e.</i> , income is positive, expenses or reversals are negative).
	If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-11. Operations on ferrovanadium by TOLLER.--Report the revenue and related cost information requested below on your operations toll-producing ferrovanadium your U.S. establishment(s).¹ Provide data ON A CALENDAR-YEAR BASIS in chronological order from left to right and for the specified interim periods.

NOTE: Data reported in III-11 should correspond to the data your firm reported in question II-8c.

Qualitity (III	1,000 pounds	or contained			1,000)			
	Calendar years							
ltem	2008	2009	2010	2011	2012	2013		
Tolling operations: ² Net quantity tolled								
Net tolling revenue								
Net packaging revenue								
Total revenue from tolling operations	0	0	0	0	0	(
Cost of tolling services: Raw materials not supplied by tollee								
Direct labor								
Other factory costs								
Total cost of tolling services	0	0	0	0	0	(
Gross profit or (loss)	0	0	0	0	0	(
Selling, general, and administrative (SG&A) expenses:								
Operating income (loss)	0	0	0	0	0	(

¹ Include only data on tolling operations performed in the United States.
² The quantities and values should account a second and the second account accou

Note -- The table above contains calculations that will appear when you have entered data in the MS word form fields.

² The quantities and values should approximate the corresponding shipment quantities and values reported in question II-8c of this questionnaire.

III-11. Operations on ferrovanadium by TOLLER—Continued

Quantity (in 1,000 pounds	of contained vanadium) and value	(in \$1,000)		
Item	January-June 2013	January-June 2014		
Tolling operations: ² Net quantity tolled				
Net tolling revenue				
Net packaging revenue				
Total revenue from tolling operations	0	0		
Cost of tolling services: Raw materials not supplied by tollee				
Direct labor				
Other factory costs				
Total cost of tolling services	0	0		
Gross profit or (loss)	0	0		
Selling, general, and administrative (SG&A) expenses:				
Operating income (loss)	0	0		

Note -- The table above contains calculations that will appear when you have entered data in the MS word form fields.

¹ Include only data on tolling operations performed in the United States.
² The quantities and values should approximate the corresponding shipment quantities and values reported in question II-8c of this questionnaire.

III-12. Operations on ferrovanadium by TOLLEE.--Report the revenue and related cost information requested below on your firm's sales of ferrovanadium toll-produced from raw materials your firm supplied for your firm in the United States by an unrelated toller. Provide data ON A CALENDAR-YEAR BASIS in chronological order from left to right and for the specified interim periods.

NOTE: Data reported in III-12 should correspond to the data your firm reported in question II-8d.

	Calendar years							
Item	2008	2009	2010	2011	2012	2013		
Net sales quantities: ² Commercial sales								
Internal consumption								
Transfers to related firms								
Total net sales quantities	0	0	0	0	0	(
Net sales values: ² Commercial sales								
Internal consumption								
Transfers to related firms								
Total net sales values	0	0	0	0	0	(
Cost of goods sold (COGS): ^{3 4} Raw materials supplied to toller								
Other costs								
Toll conversion fee								
Total COGS	0	0	0	0	0	(
Gross profit or (loss)	0	0	0	0	0	(
Selling, general, and administrative (SG&A) expenses:								
Operating income (loss)	0	0	0	0	0	(

¹ Include only sales (whether <u>domestic or export</u>) and costs related to subject product toll-produced in the United States.

Note -- The table above contains calculations that will appear when you have entered data in the MS word form fields.

² Less discounts, rebates, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ Please eliminate any profits or (losses) on inputs from related firms pursuant to question III-8.

⁴ COGS should include costs associated with commercial sales (including exports), internal consumption, and transfers to related irms.

III-12. Operations on ferrovanadium by TOLLEE—Continued

Quantity (in 1,000 pour	nds of contained vanadium) and value	(in \$1,000)
Item	January-June 2013	January-June 2014
Net sales quantities: ² Commercial sales		
Internal consumption		
Transfers to related firms		
Total net sales quantities	0	0
Net sales values: ² Commercial sales		
Internal consumption		
Transfers to related firms		
Total net sales values	0	0
Cost of goods sold (COGS): 3 4 Raw materials supplied to toller		
Other costs		
Toll conversion fee		
Total COGS	0	0
Gross profit or (loss)	0	0
Selling, general, and administrative (SG&A) expenses:		
Operating income (loss)	0	0

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

Note -- The table above contains calculations that will appear when you have entered data in the MS word form fields.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

Please eliminate any profits or (losses) on inputs from related firms pursuant to question III-8.

⁴ COGS should include costs associated with commercial sales (including exports), internal consumption, and transfers to related rms.

PART III.--FINANCIAL INFORMATION--Continued

III-13. <u>Asset values</u>.--Report the <u>total</u> assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of ferrovanadium. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for ferrovanadium in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with your firm's cost allocations in the previous question. Provide data ON A CALENDAR-YEAR BASIS.

Note: Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted. Total assets (i.e., short-term assets, long-term assets, and fixed assets) should be <u>allocated to the subject products</u> if these assets are also related to other products. Please provide a <u>brief explanation if there are any substantial changes</u> in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (<i>in \$1,000</i>)								
		Calendar years 2008 2009 2010 2011 2012 2013						
Item	2008							
Total assets (net) Producer ¹								
Toller ²								
Tollee ³								

¹ Producers' total assets corresponding to the operational data reported in question III-10.

III-14. <u>Capital expenditures and research and development (R&D) expenses.</u>—Report your firm's capital expenditures and research and development expenses on ferrovanadium. Provide data ONA CALENDAR-YEAR BASIS in chronological order from left to right and for the specified interim periods.

Value (<i>in</i> \$1,000)								
		Calend	ar years			Janua	January-June	
2008	2009	2010	2011	2012	2013	2013	2014	
	2008	2008 2009		Calendar years	Calendar years	Calendar years	Calendar years Januar	

¹ Producers' capital expenditures and R&D expenses corresponding to the operational data reported in question III-10.

² Toller's total assets corresponding to the toller's operational data reported in question III-11.

³ Tollee's total assets corresponding to the tollee's operational data reported in question III-12.

² Toller's capital expenditures and R&D expenses corresponding to the tolling operational data reported in question III-11.

³ Tollee's capital expenditures and R&D expenses corresponding to the tollee's operational data reported in question III-12.

Calenda	ar year	Fiscal year	Specify fiscal year
]		
reconcile	with the		alues reported in questions III-10, III-11, and III-12 should in question II-8 (including export shipments) as long as they ear basis.
Do these	data in o	question III-10	(producer) reconcile with data in question II-8a?
Yes	No	If no, please	explain.
Yes	No	If no, please	. охрани.
-			
Do these	data in o	question III-12	(tollee) reconcile with data in question II-8d?
Do these	data in o	If no, please	•
		<u> </u>	•

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Craig Thomsen (202-205-3226, craig.thomsen@usitc.gov)

<u>Contact information</u>.-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	
Fax	

PRICE DATA

IV-1. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since 2008 of the following products produced, or contracted another firm in the United States to toll-produce for your firm, and sold by your firm.

Product 1.—Grade 40-60 percent ferrovanadium, 2" by down

Product 2.—Grade 75-85 percent ferrovanadium, 2" by down

Please note that values should be <u>f.o.b.</u>, <u>U.S. point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates). See instruction booklet.

During January 2008-June 2014, did your firm produce, or contract another firm in the United States to toll-produce for your firm, and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question IV-2.

IV-1. **Pricing data.**--Report below the quarterly price data¹ for pricing products² produced in the United States by your firm or, if your firm is a tollee, produced in the United States on behalf of your firm and subsquently sold by your firm.

Report data in actual pounds of contained ferrovanadium and actual dollars (not 1,000s).

	Produ	ict 1	Product 2		
Period of shipment	Quantity	Value	Quantity	Value	
2008:					
January-March					
April-June					
July-September					
October-December					
2009:					
January-March					
April-June					
July-September					
October-December					
2010:					
January-March					
April-June					
July-September					
October-December					
2011:					
January-March					
April-June					
July-September					
October-December					
2012:					
January-March					
April-June					
July-September					
October-December					
2013:					
January-March					
April-June					
July-September					
October-December					
2014:					
January-March					
April-June					

¹ Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part IV.

IV-2. <u>Price setting.--</u> How does your firm determine the prices that it charges for sales of U.S.-produced ferrovanadium (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-3. <u>Discount policy.--</u> Please indicate and describe your firm's discount policies for its U.S.-produced ferrovanadium (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

IV-4. Pricing terms.--

(a) What are your firm's typical sales terms for its U.S.-produced ferrovanadium?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)

(b) On what basis are your firm's prices of U.S.-produced ferrovanadium usually quoted *(check one)*?

Delivered	F.o.b.	If f.o.b., specify point

IV-5. <u>Contract versus spot.</u>-- Approximately what share of your firm's sales of its U.S.-produced ferrovanadium in 2013 was on a (1) long-term contract basis, (2) short-term contract basis, and (3) spot sales basis?

	Type of sale					
	Long-term contracts (multiple deliveries for more than 12	One year contracts (multiple deliveries for	Short-term contracts (multiple deliveries less than 12	Spot sales (for a single	Total	
	months)	12 months)	months)	delivery)		
Share of your 2013 sales	%	%	%	%	=100%	

IV-6. <u>Contract provisions.</u>— Please fill out the table regarding your firm's typical sales contracts for U.S.-produced ferrovanadium (or check "not applicable" if your firm does not sell on a long-term and/or short-term contract basis).

Typical sales contract provisions	Item	Short-term contracts	One year contracts	Long-term contracts
Average contract duration	Number of days			
Price renegotiation (during	Yes			
the contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
	Both			
Most or release provision	Yes			
Meet or release provision	No			
Not applicable				

IV-7. <u>Lead times.--</u>What is the typical lead time between a customer's order and the date of delivery for your firm's sales of your firm's U.S.-produced ferrovanadium?

Source	Share of 2013 sales	Lead time (days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	100 %	

IV-8.			
1 0.	OHIPPHI	g informa	tti OIII

(a)	What is the approximate percentage of the total delivered cost of U.Sprodu	iced
	ferrovanadium that is accounted for by U.S. inland transportation costs?	%

(b)	Who generally arranges the tra	insportation to your firm's customers' locations?
	your firm purchaser	(check one)

(c) Indicate the approximate percentage of your firm's sales of U.S.-produced ferrovanadium that are delivered the following distances from your firm's production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	100 %

PART IV.--PRICING AND MARKET FACTORS--Continued

IV-9. <u>Geographical shipments.--</u> In which U.S. geographic market area(s) has your firm sold its U.S.-produced ferrovanadium since January 1, 2008 (check all that apply)?

Geographic area	if applicable
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
SoutheastAL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central SouthwestAR, LA, OK, and TX.	
MountainsAZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific CoastCA, OR, and WA.	
Other .—All other markets in the United States not previously listed, including AK, HI, PR, and VI, among others.	

IV-10. <u>End uses.--</u>List the end uses of the ferrovanadium that your firm manufactures or that your firm contracts with another firm in the United States to toll-produce for your firm. For each end-use product, what percentage of the <u>total cost</u> is accounted for by ferrovanadium and other inputs?

	Share of total cost account	Total	
End use product	Ferrovanadium	Other inputs	(should sum to 100.0% across)
	%	%	100 %
	%	%	100 %
	%	%	100 %

IV-11. <u>Changes in end uses.</u>—Have there been any changes in the end uses of ferrovanadium since January 1, 2008? Do you anticipate any future changes?

Changes in end uses	No	Yes	Explain
Changes since 2008			
Anticipated changes			

PART IV.--PRICING AND MARKET FACTORS--Continued

IV-	-12.	<u>Substitutes</u> Can other products be substituted for ferrovanadium?								
		□ No	Yes	Pleas	e fil	l out the tab	ole.			
				nd use	in	which this			anges in the prices of this substitute cted the price for ferrovanadium?	
	Substitute			End use in which this substitute is used				Yes	Explanation	
1.										
2.										
3.										
	<u> </u>								1	
IV-	-13.	can be substit	tuted for fe						the number or types of products that 3? Do you anticipate any future	
		Changes substitute		Yes					Explain	
	Changes since 2008									
	Anticipated changes									
IV-	-14.	Raw materia							als prices have changed since January	
		Raw materials prices	Overall increase	No chan		Overall decrease	Fluctu with i clea tren	no r	Explain, noting how raw material price changes have affected your firm's selling prices for ferrovanadium.	
		Changes since 2008								
		Anticipated changes								

IV-15. <u>Availability of supply</u>.--Has the availability of ferrovanadium in the U.S. market changed since January 1, 2008? Do you anticipate any future changes?

Availability in the U.S. market	No	Yes	If "no," please explain the reasons for the lack of changes. If "yes," please explain how and why, noting the countries and reasons for the changes or the reasons for the lack of changes.
Changes since 2008:			
U.Sproduced product			
Subject imports			
Nonsubject imports			
Anticipated changes:			
U.Sproduced product			
Subject imports			
Nonsubject imports			

IV-16. <u>Product changes.--</u> Have there been any significant changes in the product range, product mix, or marketing of ferrovanadium since January 1, 2008? Do you anticipate any future changes?

Changes in product range, product mix, or marketing	No	Yes	Explain
Changes since 2008			
Anticipated changes			

IV-17. <u>Demand trends.--</u> Indicate how demand within the United States and outside of the United States (if known) for ferrovanadium has changed since January 1, 2008, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States					
Outside the United States					
			Anticipated	l future dema	and
Within the United States					
Outside the United States					

IV-18. Conditions of competition	IV-18.	Conditions	of com	petition
----------------------------------	--------	-------------------	--------	----------

IV-19.

(a)	Is the ferrovanadium market subject to business cycles (other than general economy-wide
	conditions) and/or other conditions of competition distinctive to ferrovanadium?

Check all that apply.			Please describe.
	No		Skip to question IV-19.
		iness cycles (e.g. I business)	
		er distinctive ns of competition	
		een any changes in the January 1, 2008?	the business cycles or conditions of competition for
No	Yes	If yes, describe.	
	known. Pro		tet prices of ferrovanadium in U.S. and non-U.S. nation as to time periods and regions for any price

- IV-20. Market studies,--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss ferrovanadium supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including China and South Africa, and (3) the world as a whole. Of particular interest is such data from 2008 to the present and forecasts for the future.
- IV-21. <u>Barriers to trade</u>.--Are your firm's exports of ferrovanadium subject to any tariff or non-tariff barriers to trade in other countries?

No	Yes	If yes, please list the countries and describe any such barriers and any significant changes in such barriers that have occurred since 2008, or that are expected to occur in the future.

IV-22.	<u>Export constraints.</u> Describe how easily your firm can shift its sales of ferrovanadium between the U.S. market and alternative country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints that would prevent or retard your firm from shifting ferrovanadium between the U.S. and alternative country markets within a 12-month period.

IV-23. <u>Interchangeability</u>.--Is ferrovanadium produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

0 = no familiarity with products from a specified country-pair

Country-pair	China	South Africa	Other countries
United States			
China			
South Africa			

For any country-pair producing ferrovanadium that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

IV-24. <u>Factors other than price</u>.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between ferrovanadium produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A =such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = no familiarity with products from a specified country-pair

Country-pair	China	South Africa	Other countries
United States			
China			
South Africa			

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of ferrovanadium, identify the country-pair and report the advantages or disadvantages imparted by such factors:

IV-25.	<u>Other explanations.</u> If your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below.			