ALASKA REGION SCALE & CATCH WEIGHING REQUIREMENTS OMB CONTROL NO. 0648-0330

This request is for revision of a current collection due to modifications by an associated rule (RIN 0648-BD90).

BACKGROUND

The Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 et seq. (Magnuson-Stevens Act) authorizes the North Pacific Fishery Management Council (Council) to prepare and amend fishery management plans for any fishery in waters under its jurisdiction. National Marine Fisheries Service (NMFS) manages the U.S. groundfish fisheries of the exclusive economic zone off Alaska under the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area. NMFS manages the crab fisheries in the waters off the coast of Alaska under the Fishery Management Plan for Bering Sea and Aleutian Islands Crab. Catcher/processors participating in Crab Rationalization (CR) Program fisheries in the Bering Sea and Aleutian Islands Management Area (BSAI). The fishery management plans were approved by the Secretary of Commerce under authority of the Magnuson-Stevens Act as amended in 2006. The fishery management plans are implemented by regulations at 50 CFR parts 679 and 680.

The At-Sea Scales Program was developed in response to a need for catch accounting methodologies that were more precise and verifiable at the level of the individual haul and less dependent on estimates generated by at-sea observers. This was necessary as a result of the implementation of large-scale quota programs that required NMFS to provide verifiable and defensible estimates of quota harvest. The requirements for weighing catch at-sea were implemented in 1998 (63 FR 5836) and affected only trawl catcher/processors participating in the Multiple Species Western Alaska Community Development Quota Program. The At-Sea Scales Program was expanded significantly in 2000 as a result of statutory requirements of the American Fisheries Act that required all at-sea catch by specified vessels in the BSAI pollock fishery to be weighed (65 FR 4520). Further expansion occurred in 2007 to include trawl catcher/processors participating in the Gulf of Alaska (GOA) rockfish pilot program (71 FR 67210) and non-American Fisheries Act catcher/processors participating in BSAI trawl fisheries (72 FR 52668). Finally, the program was expanded in 2013 to include freezer longliners that participate in BSAI Pacific cod fisheries (79 FR 59053).

INTRODUCTION

The use of at-sea scales can provide very precise and potentially accurate estimates of catch. These estimates are especially useful in quota type fisheries where catch accounting methods must be verifiable and not unduly reliant on observer estimates. At-sea scales have proven to be reliable and are now used to account for the vast majority of catch by catcher/processors fishing off Alaska. However, recent evidence of fraud calls into question the overall accuracy of the approach and indicates that catch estimates based on scale weights may systematically

underestimate harvest in those fisheries dependent on scale weights for catch accounting. Since NMFS first implemented weighing requirements for some catcher/processors in 1998, the Program has grown dramatically. Scale technologies have evolved, and NMFS has developed greater expertise with at-sea scales. A suite of modifications to the at-sea scales program will reduce the potential for fraud, improve catch accounting accuracy, and bring regulations up to date with changes in technology.

This action requires that in addition to catcher/processors and motherships having to weigh catch on a NMFS-approved scale, they also must use a NMFS-approved electronic logbook (eLog) (see OMB Control No. 0648-0515). The vessel operator must ensure that each scale is tested as specified in § 679.28(b)(3) and that the information from all scale tests, including failed tests, is reported within 24 hours of the testing using the eLog (see § 679.5(f)). The operator of a vessel at any time during a year must comply with the requirements for eLogs.

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

The At-Sea Scales Program would be modified for catcher/processors and motherships that are required to weigh catch at sea by reducing the possibility of scale tampering and improving the accuracy of catch estimation. As with any other piece of equipment, it is possible to deliberately tamper with flow scales, resulting in consistent underestimation of catch in spite of the requirement for daily scale testing.

NOAA Fisheries Office of Law Enforcement (OLE) has investigated several cases of potential scale fraud that may have resulted in large underestimations of catch in the BSAI pollock fishery. These investigations have resulted in the issuance of Notices of Violation (NOVAs) to three vessels owned by the American Seafoods Company: the American Dynasty, the Northern Eagle, and the Ocean Rover. Based on the allegations contained in these NOVAs, catch was frequently under-reported by over 10 percent compared with independent tests conducted by NMFS-certified observers using NMFS-tested and approved motion compensated platform scales.

2. 1Explain how, by whom, how frequently, and for what purpose the information will be used. 1If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

Two types of motion-compensated scales for weighing large volumes of catch are currently approved by NMFS. Flow scales (used for groundfish) continuously weigh fish as they move across the weighing platform on a belt. Hopper scales (used by the CR Program) weigh fish as they fill a container of known weight. Vessels choosing to use scales usually choose flow scales because of concerns with possible product quality issues and with respect to the smooth flow of fish along the processing line.

NMFS has implemented a three-part process for evaluating whether at-sea scales are meeting performance and technical requirements. NMFS will approve a scale used to weigh catch at sea if the scale meets the type evaluation requirements, the initial inspection, and the annual re-

inspection requirements. No single element of the process alone is sufficient to determine whether a scale is meeting performance and technical requirements. This process consists of:

♦ Type evaluation of each model of scale.

The scale type evaluation or laboratory tests are designed to determine whether the model of scale meets technical and performance standards under a range of environmental and operating conditions on the vessel, including temperature, humidity, power fluctuations, short-time power reduction, power bursts, electrostatic discharge, and electromagnetic susceptibility. Each model of scale approved for use at-sea must have been tested by an independent laboratory and found to meet specified standards of accuracy and reliability. The model of scale must be included on the list of scales posted on NMFS web site at http://www.alaskafisheries.noaa.gov/scales/default.htm#approved.

- ♦ Dockside inspection of each scale by NMFS-approved inspector once installed on a vessel and annually thereafter. Each scale must be inspected annually by NMFS inspectors in order to ensure that it remains accurate and has been adequately maintained and properly installed. The dockside inspection of each scale will determine, among other things, whether the scale weighs accurately while in a nearly stationary position. This evaluation is necessary to identify scales that are not installed properly or do not meet other technical or performance requirements before the vessel starts fishing.
- ♦ <u>At-sea testing of each scale</u>. Each scale must be tested daily when in use. The at-sea scale tests are conducted daily to verify that the scale is weighing accurately at sea. This is the only test that will be performed while the scale is in motion. The maximum permissible errors (MPEs) are higher in the at-sea scale tests than in the dockside tests to allow a greater tolerance for scales tested in motion.

The contents of this analysis are outlined below:

I. Offshore Processors Catch-Weighing & Monitoring System

- a. Flow scale
 - 1. Scale heads and calibration software
 - 2. Inspection request and maintenance, flow scale
- b. Flow scale tests
 - 1. Notify observer of flow scale tests
 - 2. Daily record of flow scale tests
- c. Printed reports from the flow scale
 - 1. Printed report for catch and cumulative weight
 - 2. Printed report for audit trail
 - 3. Printed report for calibration log
 - 4. Printed report from the fault log
- d. Observer sampling station
 - 1. Installation of Observer sampling station [inactive]
 - 2. Observer sampling station, inspection request
- e. Bin Monitoring
 - a. Electronic Bin Monitoring System
 - b. Inspection Request, Bin Monitoring
- f. Video monitoring

- 1. Video monitoring of flow scale area
- 2. Video monitoring of flow scale area, inspection request
- 3. Inspection request, electronic monitoring system [removed]
- 4. Video monitoring for Chinook salmon bycatch system, inspection request
- 5. Video monitoring for Freezer longline system, inspection request
- 6. Video for bin monitoring, inspection request
- f. Longline flow scale
 - 1. Installation of longline flow scale [inactive]
 - 2. Notify NMFS of Pacific Cod Freezer Longline Monitoring Option

II. Crab Catch Monitoring (CMP) System

- a. CMP Plan
- b. CMP addendum
- c. Inspection request, CMP
- d. Installation of hopper scale
 - 1. Notify observer of hopper scale test
 - 2. Daily report from hopper scale tests
- e. Printed report from hopper scales
 - 1. Printed report for Catch weight
 - 2. Printed report for Audit trail

III. Catch Monitoring and Control Plan (CMCP) for Shoreside Processors and Stationary Floating Processors (SFPs)

- a. CMCP Plan
- b. CMCP Addendum
- c. Inspection request, CMCP
- d. Shoreside processor or SFP inseason scale tests
- e. NMFS test of State scales
- f. Printed report from the State scale
- g. Notify observer of BSAI pollock delivery
- h. Notify observer of CDQ delivery
- i. Notify observer of Rockfish Program delivery

IV. Scale Type Evaluation

- a. Scale type evaluation [inactive]
- b. Platform and hanging scales
- c. Belt-conveyor (flow) scale
- d. Automatic hopper scales
- e. Potential, undefined scale

I. OFFSHORE PROCESSORS CATCH-WEIGHING & MONITORING SYSTEM

Currently four programs, totaling 78 potential vessels, require catcher/processors or motherships to weigh their catch at-sea.

Fishery	Number of potential vessels ¹	Number of vessels with currently/ recently approved scales ²
American Fisheries Act	22	19
Amendment 80/rockfish trawlers	22	19
BSAI Freezer-longline vessels	34	30
TOTAL	78	68

- 1. Includes vessels authorized by statute, FMP, or regulation to participate in a fishery that may require flow scale use. Under statute, AFA catcher/processors are required to weigh all catch at-sea. Regulations implementing the AFA also require motherships to weigh all catch at-sea. All AFA participating vessels must also provide a motion compensated platform scale for the observer's use.
- 2. This includes any vessel that has had a scale approved by NMFS during 2012-2013, plus three vessels NMFS expects to enter a fishery and begin using flow scales in 2014 or 2015.

American Fisheries Act (AFA). Subsection 208(e) of the AFA, which took effect on January 1, 1999, lists by name catcher/processors and motherships that are eligible to harvest the catcher/processor sector BSAI pollock directed fishing allowance. Vessels in this fleet range in size from 224 feet to 684 feet and are among the largest, most sophisticated fishing vessels in the world. They produce a wide variety of products but principally produce fillets and surimi.

NMFS allocates directed fishing allowances to a single cooperative for each sector (mothership and catcher/processor); the cooperative allocates to the individual vessels. Under statute, AFA catcher/processors and motherships are required to weigh all catch at-sea. All AFA participating vessels must also provide a motion-compensated platform scale for the observer's use.

Amendment 80 trawl catcher/processor and **Central GOA rockfish** catcher/processors.

Amendment 80 to the BSAI FMP established a quota-based program for non-AFA catcher/processors in the BSAI, and Amendment 88 to the GOA FMP established a similar program for catcher/processors that harvest rockfish in the Central GOA. All of the vessels that participate in the catcher/processor sector of the GOA rockfish fishery also participate in Amendment 80 fisheries in the BSAI and the fleets can be considered together. These vessels tend to be somewhat smaller (103 to 295 feet) than AFA catcher/processors and generally produce a "head and gut" product where the harvested catch is minimally processed and frozen at-sea for further processing at another location. These vessels participate in a wide array of trawl fisheries including Atka mackerel, Pacific Ocean perch, and various flatfish fisheries.

Under regulation, all catcher/processors that wish to participate in these fisheries must weigh all catch at-sea on a NMFS-approved scale as well as provide a motion-compensated platform scale for the observer's use.

BSAI Pacific cod Freezer Longliners. The freezer longline fleet fishes primarily for Pacific cod with stationary lines onto which baited hooks are attached by gangions. A gangion is a short length of moderate-weight line that bears hooks and is attached at regular intervals to the groundline. The longline is retrieved with hydraulic power over a roller mounted on the side of the vessel. Fish hauled onboard are immediately shaken loose and placed into a trough. A crew member known as the "bleeder" bleeds the fish as soon as possible. Fish are headed and gutted,

sorted by size, frozen in plate freezers, and packed. This fleet also fishes in the GOA for Pacific cod as well as sablefish IFQ fisheries.

a. Flow scale

1. Installation & maintenance of motion-compensated flow scale [NO CHANGES]

Maintenance costs captured in Item 3, below

2. Scale Heads and Calibration Software [NEW]

The estimated cost of new calibration software for the fleet is \$136,000.

Ten vessels will need to purchase new scale heads for their at-sea scales, because their current scale heads cannot run the new calibration software. They do not need to purchase the entire scale, just the scale head. The new scale heads are estimated to cost \$30,400 each. The total cost for all of these 10 vessels to replace scale heads or update software is estimated to be about \$41,000 (i.e., \$4,100/vessel).

The rest of the fleet has the new scale heads already, but they will need to upgrade to the new software.

Automatic recording of flow scale fault conditions and calibrations will enhance the audit trail, provide useful diagnostic information to vessels and NOAA staff, and highlight patterns of improper scale calibration for NOAA investigators.

Scale Heads & Software, Respondent	
Number of respondents	10
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0
Total capital cost	\$177,000
New scale heads @ \$4,100 x 10 = \$41,000	
Calibration software = \$136,000	

Scale Heads & Software, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

3. Inspection Request and Maintenance Costs, Flow Scale (ADJUSTED)

A scale inspection is a visual assessment and test of a scale after it is installed on the vessel, while the vessel is tied up at a dock and not under power at sea. The inspector will check whether the scale is properly installed and that all components of the scale are functioning (printer, display, software). The performance test consists of weighing a known quantity of test material (sand in bags) to ensure that the scale being tested weighs the material accurately. In order to perform this test on a flow scale, the inspector passes the test material across the scale in

the same manner that fish would pass across the scale, so in-feed belts must be operational before the test can be done.

Once a scale is installed on a vessel and approved by NMFS for use to weigh catch at sea, it must be re-inspected annually, must be tested daily, and must meet the maximum permissible error (MPE) requirements. Each scale must be inspected and approved before the vessel may participate in any fishery requiring the weighing of catch at sea with an approved scale. Each scale must be re-inspected within 12 months of the date of the most recent inspection.

The owner or operator must submit an Inspection Request for At-sea Scales to NMFS by fax or online. This request form is available on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov/scales/inspectrequest.pdf. An At-Sea Scales Inspection Appointment Schedule is available at http://www.alaskafisheries.noaa.gov/scales/calendar.htm.

NMFS will coordinate with the vessel owner to schedule the inspection no later than 10 working days after NMFS receives a complete application for an inspection. Annual inspections are conducted by inspectors paid by NMFS on vessels tied up at docks in Kodiak, Alaska; Dutch Harbor, Alaska; and in the Puget Sound area of Washington State.

In addition, any change to the at-sea scale system that would affect the system's functionality must be submitted on an inspection request to, and approved by, the Regional Administrator in writing before that change is made.

Scale Preparation for Inspection

The owner must make the vessel and scale available for inspection by the scale inspector, as follows:

- Display and printer must be connected and operational.
- Scale must be installed in a rigid and level manner.
- ♦ Belts leading to the scale must be connected and operational (not applicable to platform and hanging scales).
- ♦ Test weights and test weight certification documents must be available for inspection (platform scales only).
- ♦ A crew member must be available to help the inspector transport test materials and conduct the testing; assist the scale inspector in performing the scale inspection and testing.
- Provide a copy of the scale manual supplied by the scale manufacturer to the inspector at the beginning of the inspection.
- ◆ Transport test weights, test material, and equipment required to perform the test to and from the inspector's vehicle and the location on the vessel where the scale is installed.

♦ Apply test weights to the scale or convey test materials across the scale, if requested by the scale inspector.

Scale Inspection Report.

The inspector will approve a scale if it meets all of the applicable performance and technical requirements. Upon scale approval, the scale inspector will complete and sign a Scale Inspection Report verifying that the scale meets all of the requirements specified in § 679.28(b)(2) and Appendix A to part 679. The vessel owner or operator must ensure that the Scale Inspection Report is available for authorized personnel (NMFS staff or observers, United States Coast Guard (USCG) personnel).

Flow Scale Approval Sticker.

The scale inspector will complete an approval sticker for each approved scale. The owner or operator must ensure that a "NMFS approved scale" sticker is on each approved scale and that the scale sticker remains legible. The sticker lists the month and year of the scale approval.

Inspection Request, Flow Scales

General

Company name

Vessel name

Mailing address

Exact location of vessel

Contact person on board

Telephone and fax numbers for contact person

Requested inspection date

Today's date

Telephone number on vessel where inspector may be contacted during inspection

Scales To Be Inspected

Manufacturer name and model

Indicate whether repair company will be onsite at time of inspection

Repair company name

Contact person name and telephone number

The number of respondents has changed from 79 to 78 based on current numbers. Changed hourly personnel rate from \$25 to \$37. Changed cost of fax from \$5 to \$6.

Inspection Request and Maintenance, Flow Scales, Respondent	
Number of respondents	78
22 Amendment 80/rockfish trawlers	
22 AFA vessels	
34 BSAI Freezer longline vessels	
Total annual responses	78
Responses per respondent = 1	
Total burden hours (7.80)	8 hr
Time per response = 6 minutes	
Total personnel cost (8 x \$37/hr)	\$296
Total miscellaneous cost (259,117)	\$259,117
Photocopy $(0.05 \times 78 = 3.90)$	
Fax ($$6 \times 10 = 60$)	
Online $(0.05 \times 68 = 3.40)$	
Maintenance costs for 33 freezer longline flow	
scales (no burden associated so attaching to this IC)	
$(7,850 \times 33 = 259,050)$	

Inspection Request, Flow Scales, Federal Government	
Total annual responses	78
Total burden hours (19.50)	20 hr
Time per response = 15 minutes	
Total personnel cost (20 x \$37/hr)	\$740
Total miscellaneous cost for management of 33	\$26,000
scales	

b. Flow Scale Tests

The At-Sea Scale Program is dependent on two types of motion-compensated electronic scales.

- ♦ A platform scale with a capacity between 50 and 60 kg is used by NMFS-certified observers as part of their sampling duties and to verify the accuracy of the flow scale. A platform scale used for observer sampling must be tested at 10, 25, and 50 kg (or 20, 50, and 100 lb if the scale is denominated in pounds) using approved test weights. The MPE for the daily at-sea scale test is plus or minus 0.5 percent if the scale is used to determine the known weight of test material for the purpose of testing a belt scale. If the scale is not used for that purpose, the MPE for the daily at-sea scale test is plus or minus 1 percent.
- ♦ A flow scale, or self-contained belt scale, is capable of continuously weighing up to 100 metric tons (mt) of fish per hour and is used by the vessel to weigh either total catch or quota species.

1. Notify observer of flow scale tests (ADJUSTED)

Each vessel operator must notify the observer at least 15 minutes before the time that a scale test will be conducted and must conduct the test while the observer is present. No form exists for this notice; vessel personnel verbally inform the observer that a scale test is scheduled.

Changed number of respondents from 79 to 78 based on current numbers. Changed hourly personnel rate from \$25 to \$37.

Notify Observers of flow scale tests, Respondent	
Number of respondents	78
Total annual responses	10,530
Frequency of response = 135	
Total burden hours	351 hr
Time per response = 2 minutes	
Total personnel cost (\$37/hr x 351)	\$12,987
Total miscellaneous cost	0

Notify Observer of flow scale tests, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

2. Daily record of flow scale test [REVISED]

To verify that the scale meets the Maximum Permissible Errors (MPEs), the vessel operator must test each scale or scale system used by the vessel to weigh catch at least one time during each calendar day. No more than 24 hours may elapse between tests when use of the scale is required. The vessel owner must ensure that these tests are performed in an accurate and timely manner.

Sand bags

This action would require that flow scale tests be conducted with sand bags. In the past, the operator could choose to test the scale using sand bags, fish, or a combination of fish and sand bags. About 1/3 of the regulated vessels (23 vessels) will have to start to use sand bags. Tests may take longer and sand bags need to be stored. A small initial purchase price may be necessary. Using only sand bags to test scales would improve scale-testing accuracy and would eliminate a potential way to manipulate test results. In addition, tests can take place when relatively few fish are aboard.

A material test must be conducted by weighing no less than 400 kg of test material, supplied by the scale manufacturer or approved by a NMFS-authorized scale inspector, on the scale under test. Conduct the scale test by placing the test material or test weights on or across the scale multiple times in order to total 400 kg; however, no single batch of test material may weigh less than 40 kg. The known weight of the test material must be determined at the time of each scale test by weighing it on a platform scale approved for use.

Each test weight must have its weight stamped on or otherwise permanently affixed to it. The weight of each test weight must be annually certified by a National Institute of Standards and Technology approved metrology laboratory or approved for continued use by the NMFS authorized inspector at the time of the annual scale inspection.

eLog

This action would require daily electronic reporting using and electronic logbook (eLog) through eLandings or seaLandings to record and report the results and timing of daily scale tests (see OMB Control No. 0648-0515). The operator of a vessel at any time during a year must comply with the requirements for eLog. Some additional time may be required to input – into an existing daily electronic report - a small amount of information for each test. Some vessels will have to adopt use of eLogs and will incur costs for this. Some training may be required, including a workshop estimated to cost about \$3,000.

Use of eLog is expected to reduce the potential for fraud and improve the ability for NMFS to monitor scale status during the year. This addition would allow NMFS staff to continuously monitor daily scale tests by vessels when they are at sea and work with vessel crew to ensure that any bias in daily scale tests could be discovered and corrected quickly. This makes it possible for NMFS to identify potential scale problems during, rather than after, a fishing year, and to more effectively analyze overall trends in scale testing, at a small additional cost to most vessels, and the cost to NMFS of updating its web-based data collection. A small number of vessels may be required to modify their business practices to use electronic logbooks.

Failed tests

The vessel operator must ensure that each scale is tested as specified in § 679.28(b)(3) and that the information from all scale tests, including failed tests, is reported within 24 hours of the testing. Additional record keeping will be required when multiple tests take place. However, the reporting of failed tests will result in less bias in overall test results and will improve the ability to monitor scale results. In addition, better consistency in reporting through time will be the result.

Record of daily flow scale test using sand bags as weight

Vessel name

Date

Time test started to the nearest minute

Weigh sand bags on observer platform scale

Weight of sandbags on platform (A)

Number of times each sand bag goes across the scale to reach 400 kg (B)

Multiply (A) x (B) for total weight of sandbags (C):

Record the scale indicator weight and send the sandbags across the scale

Run number

Indicator start

Indicator stop

Weight

Total weight of sandbags from flow scale (D)

Flow Scale Error (E)

Subtract flow scale weight from platform scale weight (D)-(C)

Flow scale percent error:

Divide Error by platform scale weight & multiply by 100 (E/Cx100)

If the percent error is between -3.0 % and +3.0% the scale passes. You may retest at any time

Sea Conditions at time of test (Beaufort Scale--between 1 and 12):

Signatures of vessel operator and observer

Changed number of respondents from 79 to 78 based on current numbers. Changed hourly personnel rate from \$25 to \$37. Changed submittal to eLog.

Records of daily flow scale tests, Respondent	
Number of respondents	78
Total annual responses (78 x 135)	10,530
Frequency of response = 135	
Total burden hours (7897.5)	7,898 hr
Time per response = 45 min	
Total personnel cost (\$37/hr x 7,898)	\$292,226
Total miscellaneous costs	0

Records of daily flow scale tests, Federal Government	
Total annual responses 78	
Total burden hours	13 hr
Time per response = 10 min	
Total personnel cost (\$37/hr x 13)	\$481
Total miscellaneous cost	0

c. Printed reports from the flow scale

Each scale used to weigh catch must be equipped with a printer. Reports must be printed at least once every 24 hours when use of the scale is required. Reports must be printed before any

information stored in the scale computer memory is replaced. These reports are generated by software; the only human interaction is to push the button to print.

1. Printed report for catch weight and cumulative weight [ADJUSTED]

The printed output of scale weights is used by NMFS staff, observers, and NOAA Enforcement personnel to maintain accurate records of catch and to ensure compliance with quotas. The scale printout also forms the basis of an audit trail for each haul that can be used to resolve inconsistencies in catch reports submitted by the observer and the vessel or processor. These printouts are not submitted to NMFS. The printed report must be provided to the authorized scale inspector at each scale inspection and must also be printed at any time during the fishing year upon request of the observer, the scale inspector, NMFS staff, or an authorized officer. The printed reports must be retained by the vessel owner for three years after the test occurred.

Printed report of catch weight and cumulative weight.

Vessel name

FFP or FPP number

Haul or set number

Total weight of catch in the haul or set

Total cumulative weight of all fish or other material weighed on the scale

Date and time the information is printed

Changed number of respondents from 79 to 78 based on current numbers. Changed hourly personnel rate from \$25 to \$37.

Printed report, catch weight, Respondent	
Number of respondents	78
Total annual responses	10,530
Frequency of response = 135	
Total burden hours (175.5)	176 hr
Time per response = 1 min	
Total personnel cost (\$37/hr x 176)	\$6,512
Total miscellaneous cost	0

Printed report, catch weight, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

2. Printed report for audit trail. (NEW)

Current regulations require that adjustments to the scale be recorded in the form of an audit trail that can only be cleared by NMFS or other authorized personnel. Although scales may be recalibrated or tested at any time during the day, the audit trail is designed to record information that will be used to determine whether a scale had been incorrectly adjusted and then readjusted just prior to the scale test.

An audit trail in the form of an event logger must be provided to document changes made using adjustable components. The following information must be provided in an electronic form that

cannot be changed or erased by the scale operator, can be printed at any time, and can be cleared by the scale manufacturer's representative upon direction by NMFS or by an authorized scale inspector.

Printed report, audit trail

Vessel name
FFP or FPP number
Haul or set number
Date and time (A.l.t., to the nearest minute) adjustment was made
Name or type of adjustment being made
Initial and final values of the parameter being changed

Printed report, audit trail, Respondent	
Number of respondents	78
Total annual responses	10,530
Frequency of response = 135	
Total burden hours (175.5)	176 hr
Time per response = 1 min	
Total personnel cost (\$37/hr x 176)	\$6,512
Total miscellaneous cost	0

Printed report, audit trail, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

3. Printed report, calibration log (NEW)

Because of improvements made to scale electronics, it is now possible to record the magnitude and direction of a calibration relative to the previous calibration. It is also possible to record the time a calibration occurred. Requiring the retention and reporting of calibration data could be used to detect purposeful mis-calibration, thereby reducing the likelihood of underreporting of catch.

NMFS would require vessel operators to print and retain a calibration log that records the last 1,000 calibrations or all calibrations since the scale electronics were first put into service, whichever is less. The limit of 1,000 faults and 1,000 calibrations would be expected to accommodate the total number of calibrations likely to occur between annual scale inspections. The calibration log must be printed and retained by the vessel owner before any information stored in the scale computer memory is replaced.

NMFS would not require submission of the printed record of the scale calibration log but would collect and review those data at the time of the annual scale inspection. Those data must also be available to OLE in cases where scale tampering is suspected.

The calibration log must be printed on request by NMFS staff or NMFS authorized personnel and must also be printed and retained by the vessel owner before any information stored in the scale computer memory is replaced.

Printed report from the calibration log

Vessel name
FFP or FPP number
Month, day, and year of the calibration
Time of the calibration (A.l.t.) to the nearest minute
Weight used to calibrate the scale
Magnitude of the calibration in comparison to the prior calibration

Printed report, calibration log, Respondent	
Number of respondents	78
Total annual responses	10,530
Frequency of response = 135	
Total burden hours (175.5)	176 hr
Time per response = 1 min	
Total personnel cost (\$37/hr x 176)	\$6,512
Total miscellaneous cost (0.65)	0

Printed report, calibration log, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

4. Printed report from the fault log (NEW)

A fault, for the purposes of the fault log, is any condition other than underflow detected by the scale electronics that could affect the metrological accuracy of the scale. This action would add a requirement that vessel operators must print and retain a fault log that records the last 1,000 faults and scale startups, or all faults and startups since the scale electronics were first put into service, whichever is less. The fault log must be retained by the vessel owner before any information stored in the scale computer memory is replaced.

NMFS would not require submission of the printed record of the scale fault log but would collect and review those data at the time of the annual scale inspection. Those data must also be available to the OLE in cases where scale tampering is suspected. The fault log must be printed on request by NMFS staff or NMFS authorized personnel and must also be printed and retained by the vessel owner before any information stored in the scale computer memory is replaced.

Printed report from the fault log

Vessel name FFP or FPP number

Month, day, year, and time (A.l.t.) of each startup to the nearest minute

Month, day, year, and time (A.l.t.) that each fault began to the nearest minute

Month, day, year, and time (A.l.t.) that each fault was resolved to the nearest minute

Printed report, fault log, Respondent	
Number of respondents	78
Total annual responses	10,530
Frequency of response = 135	
Total burden hours (175.5)	176 hr
Time per response = 1 min	
Total personnel cost (\$37/hr x 176)	\$6,512
Total miscellaneous cost (0.65)	0

Printed report, fault log, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

d. Observer sampling station

1. Installation of observer sampling station [inactive]

NMFS has not required installation of observer sampling stations recently.

2. Inspection request, observer sampling station

Each vessel must provide a single collection point for observers (observer sampling station) to collect samples of unsorted catch. Observer sampling of each haul is necessary to determine the percentage of the total catch that is comprised of groundfish and to estimate total groundfish weight. Each vessel is required to provide an observer sampling station that meets specifications for size, location, and content. These stations provide a location where observers can work safely and effectively.

An inspection request for an observer sampling station provides the basic information needed to schedule and conduct an inspection. This request may be submitted to NMFS by fax or online. The owner or operator must submit an Inspection Request for Observer Sampling Station to NMFS by fax or online. This request form is available on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov/scales/samplestationreq.pdf.

Each observer sampling station must be inspected and approved by NMFS prior to its use for the first time and then one time each year within 12 months of the date of the most recent inspection. In addition, if the observer sampling station is moved or if the space or equipment available to the observer is reduced or removed when use of the observer sampling station is required, the observer sampling station must be re-inspected and approved by NMFS.

Observer Platform Scale Inspection Report.

Upon approval of the scale after inspection, the inspector will issue an Observer Platform Scale Inspection Report to the operator. This report must be maintained on board the vessel when use of the observer sampling station is required and made available to authorized NMFS and USCG personnel.

Observer sampling station inspection request form

Vessel name

Federal fisheries permit number

Requested inspection date

Business mailing address

Name, telephone number, and fax number for contact person on vessel

Vessel location, including street address and city

Today's date

Signature of requestor

If the vessel received and passed a scale inspection, indicate the date of the most recent inspection

Attachment

<u>Diagram for catcher/processors using trawl gear and motherships</u>, drawn to scale showing the location(s) where all catch will be weighed, the location where observers will sample unsorted catch, and the location of the observer sampling station, including the observer sampling scale, and the name of the manufacturer and model of the observer sampling scale.

<u>Diagram for all other vessels</u>, drawn to scale showing the location(s) where catch comes on board the vessel, the location where observers will sample unsorted catch, the location of the observer sampling station, including the observer sampling scale, and the name of the manufacturer and model of the observer sampling scale.

Changed number of respondents from 79 to 78 based on current numbers. Changed hourly personnel rate from \$25 to \$37. Corrected cost of fax from \$5 to \$6 and number of fax from 2 to 3.

Inspection Request, observer sampling station, Respondent	
Number of respondents	78
Total annual responses	78
Responses per respondent = 1	
Total burden hours	156 hr
Time per response = 2 hr	
Total personnel cost (\$37/hr x 156)	\$5,772
Total miscellaneous cost (25.65)	\$26
Cost of photocopy (0.05 x $78 = 3.90$)	
Cost of fax ($$6 \times 3 = 18$)	
Cost of email $(0.05 \times 75 = 3.75)$	

Inspection Request, observer sampling station, Federal	
Government	
Total annual responses	78
Total burden hours (19.50)	20 hr
Time per response = 15 minutes	
Total personnel cost (\$37/hr x 20)	\$740
Total miscellaneous cost	0

e. Bin monitoring (Cameras, Monitors, and Digital Video Recording System)

Each operator must facilitate observation and monitoring of crew activities within a bin or tank by one of three options:

- Prohibit crew members from entering bins unless the observer is able to monitor all crew activities within the bin
- ♦ Install viewing ports in the bins
- ♦ Install video monitoring system in the bins.

<u>Prohibit crew members from entering bins unless the observer is able to monitor all crew</u> activities within the bin.

Vessel operators that choose the first option must ensure that crew members do not enter a fish bin when fish are in it, unless the observer has been given a chance to observe the activities of the crew inside the bin. Based on conversations with vessel owners and operators in this sector, a crew member may be required to be inside the bin to facilitate the movement of fish from the

bin. Crew members would be allowed inside bins if the flow of fish has been stopped between the tank and the location where the observer collects unsorted catch, all catch has been cleared from all locations between the tank and the location where the observer collects unsorted catch, and the observer has been given notice that the vessel crew must enter the tank.

When informed by an observer that all sampling has been completed for a given haul, crew would be able to enter a tank containing fish from that haul without stopping the flow of fish or clearing catch between the tank and the observer sampling station. Vessel operators may be able to use water to facilitate the movement of fish in some fisheries. However, industry has indicated that water may degrade the quality of some fish species (e.g., AI POP), which could decrease the value of these fish. Therefore, options were developed to allow an observer to see inside the bin while fish are exiting the bin, and ensure that presorting activities are not occurring.

<u>Install viewing ports in the bins.</u>

Vessel operators that choose the second option would be required to provide a viewing window into the bin. The observer must be able to see all actions of the crew member inside the bin from the same position they are conducting their normal sampling duties. For example, while the observer is sorting catch at the observer sample station table, crew member activities inside the bin must be viewable by the observer through the window from the sample station table. This option would be acceptable for vessels that may not need a crew member in the bin frequently or have uniformly shaped bins and an observer sampling station in close proximity to the bin area.

Install video monitoring system in the bins.

Vessel operators that choose the third option would be required to develop and install a digital video monitoring system. The system would include a sufficient number of cameras to view all activities of anyone inside the bin. Video cameras would be required to record images in color and in low light conditions. To ensure that an observer can monitor crew member activities in the bin while sampling, a color monitor would be required to be located in the observer sampling station. An observer would be given the opportunity to review any video data at any time during a trip. Each video system would be required to provide enough storage capacity to store all video data for an entire trip. Because NMFS may not be aware of potential presorting violations until after an observer disembarks the vessel and is debriefed, the vessel must retain all data for a minimum of 120 days from the beginning of each trip, unless notified by NMFS that the data may be removed. Specific requirements for cameras, resolution, recording formats, and other technical information is detailed in the regulatory text under § 679.28(i)(1)(iii).

If at any time during a trip, the viewing port or video options do not allow an observer to monitor crew activities within the fish bin or do not meet the required specifications, the vessel must revert to the first option and prohibit crew from entering the bin. The use of options two and three would be approved by NMFS during the vessel's annual bin monitoring inspection as described at § 679.28(d).

If the video monitoring option is chosen, the processor would be required to provide and maintain cameras, a monitor, and a digital video recording system for all areas of the bin or tank where crew could be located preceding the point where the observer collects catch.

A number of electronic monitoring technologies are now being applied to fisheries monitoring.

Video technology is proposed as a potential way to:

- Supplement existing observer coverage
- ♦ Enhance the value of the data NMFS receives
- Fill data gaps that have proven difficult to fill with human observers.

1. Electronic Bin Monitoring System (ADJUSTED to reflect lower remaining capital costs)

Software and Hardware

The vessel owner or operator must ensure that the electronic monitoring system

- ♦ Has sufficient data storage capacity to store all video data from an entire trip. Each frame of stored video data must record a time/date stamp in Alaska local time. At a minimum, all periods of time when fish are inside the bin must be recorded and stored.
- ♦ Includes at least one external Universal Serial Bus (USB) (1.1 or 2.0) port (hard drive) or other removable storage device approved by NMFS. An USB is a way of setting up communication between a computer and peripheral devices.
- Uses commercially available software.
- ♦ Color cameras must have at a minimum 420 TV lines of resolution, a lux rating of 0.1, and auto-iris capabilities.
- ◆ Video data must be maintained and made available to NMFS staff, or any individual authorized by NMFS, upon request. These data must be retained onboard the vessel for no less than 120 days after the beginning of a trip unless NMFS has notified the vessel operator that the video data may be retained for less than this 120-day period.
- Provides sufficient resolution and field of view to see and read a text sample written in 130 point type (corresponding to line two of a standard Snellen eye chart) from any location within the tank where crew could be located;
- ♦ Records at a speed of no less than 5 frames per second at all times when fish are inside the tank;
- ◆ Provides a 16-bit or better color monitor, for viewing activities within the tank in real time within the observer sampling station. The monitor must:
 - Have the capacity to display all cameras simultaneously;

- Be operating at all times when fish are in the tank;
- Be securely mounted at or near eye level;
- ◆ Enables the observer to view any earlier footage from any point in the trip and be assisted by crew knowledgeable in the operation of the system.

Specifications of the System

At a minimum, must include:

Length and width (in pixels) of each image

File type in which the data are recorded

Type and extent of compression

Frame rate at which the data will be recorded

Brand and model number of the cameras used

Brand, model, and specifications of the lenses used

Size and type of storage device

Type, speed, and operating system of any computer that is part of the system

Miscellaneous Costs

Assuming that vessels choose to purchase redundant storage capacity, and that **Universal Serial Bus (USB)** compatible hard drives cost approximately \$1.00 per GB, NMFS estimates that storage will cost between \$400 and \$3,000, for an average cost of \$1,700. Maintenance costs are difficult to estimate because much of this technology has not been extensively used at sea by the U.S. fleet. However, a hard disk failure rate is estimated at 20 percent per year, and a DVR/computer lifespan of three years, or between \$680 and \$4,100 per year.

Changed number of respondents from 21 to 22 based on current numbers. Changed hourly personnel rate from \$25 to \$37. Added cost of fax \$6.

Electronic Bin Monitoring System, Respondent	
Number of respondents	22
18 AFA trawl catcher/processors	
3 AFA motherships	
1 non-AFA trawl catcher/processor	
Total annual responses	264
Responses per respondent = 12 (1/month)	
Total burden hours	264 hr
Time per response to record & store video data = 1 hr	
Total personnel cost	\$9,768
Personnel cost = \$37/hr	
Total miscellaneous cost	\$89,980
Data storage (\$400 to \$3,000 = av. \$1,700)	
Annual system maintenance	
(\$680 to \$4,100= avg \$2,390)	

Electronic Bin Monitoring System, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	1 0

0

2. Inspection Request, Bin Monitoring

Total miscellaneous cost

\$1,700 + \$2,390 = 4,090 *22

(Changed from Electronic bin monitoring system)

Trawl catcher/processors authorized to fish for groundfish under Amendment 80 to the BSAI FMP or rockfish in the Central GOA often use video to monitor the crew activities inside of fish bins that are generally located aft of, but near, the flow scale. The name of this form is inclusive of two non-electronic monitoring system that originated with inspection of the bin monitoring system. With time, electronic monitoring of the bin was added, and now the practice of video monitoring replaces the electronic bin monitoring.

Amendment 80 and the Central GOA Rockfish Programs allow catcher/processors to select a bin monitoring option, one of which includes the use of video, to ensure that the observer is able to determine that no sorting has occurred prior to the collection of a species composition sample. When submitting a bin monitoring inspection request, the vessel owner or operator may choose one of the following monitoring options for his or her vessel.

- ♦ No crew in bin or tank option. No crew may enter any bin or tank preceding the point where the observer samples unsorted catch
- ♦ <u>Line of sight option</u>. From the observer sampling station, the location where the observer sorts and weighs samples, and the location from which the observer collects unsorted catch, an observer of average height (between 64 and 74 inches (140 and 160 cm)) must be able to see all areas of the bin or tank where crew could be located preceding the point where the observer samples catch. The observer must be able to view the activities of crew in the bin from these locations.
- ♦ <u>Video Monitoring system option</u>. A vessel may provide and maintain a NMFS-approved video monitoring system

The owner or operator choosing to operate under the line of sight bin monitoring option or the video option must submit an Inspection Request for a Bin Monitoring System to NMFS by fax or online. The request form is available on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov/scales/binmonitoringreq.pdf

Any change to the video bin monitoring system that would affect the system's functionality must be submitted on an inspection request to, and approved by, the Regional Administrator in writing before that change is made.

The owner may arrange the time and place for an inspection of the video bin monitoring by submitting to NMFS by fax (206) 526-4066 or online. The

online video bin monitoring inspection request form is found on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov.

Request for Inspection, Video Bin Monitoring System

Select bin monitoring option: line of sight or video

Diagram attachment (drawn to scale)

All locations where all catch will be weighed and sorted by the observer

Location where unsorted catch will be collected

Location of any video equipment or viewing panels or ports

Vessel information

Vessel name and Federal fisheries permit number

Requested inspection date

Business mailing address

Contact person on vessel

Today's date

Telephone number and fax number for contact person

Location of vessel, including street address and city

Requesting person's signature

If vessel previously received a video monitoring system inspection, enter the date of the most recent inspection report

Changed number of respondents from 21 to 22 based on current numbers. Changed hourly personnel rate from \$25 to \$37. Added cost of fax \$6.

Inspection Request, Video Bin Monitoring System, Respondent	
Number of respondents	22
Total annual responses	22
Responses per year = 1	
Total burden hours	44
Estimated time per response = 2 hr	
Total personnel cost (\$37/hr x 44)	\$1,628
Total miscellaneous cost (14.10)	14
Photocopy $(0.05 \times 22 = 1.10)$	
Fax ($\$6 \times 2 = 12$)	
Online $(0.05 \times 20 = 1)$	

Inspection request, Video Bin Monitoring System, Federal Government	
Total annual responses	22
Total burden hours (2.20)	2
Time per response = 6 minutes	
Total personnel cost ($$37/hr \times 2 = 74$)	\$74
Total miscellaneous cost	0

The owner may arrange the time and place for an inspection of the electronic bin monitoring by submitting to NMFS by fax (206) 526-4066 or e-mail station.inspections@noaa.gov an Inspection Request available. The electronic bin monitoring inspection request form is also found on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov.

Inspections will be scheduled no later than 10 working days after NMFS receives a complete application for an inspection. Inspections will be

conducted on vessels tied to docks in Alaska at Dutch Harbor and Kodiak and in the Puget Sound area of Washington State.

Electronic Monitoring System (EMS) Inspection Report

An Electronic Monitoring System (EMS) Inspection Report, valid for 12 months from the date it is signed by NMFS, will be issued to the vessel owner if the electronic monitoring system meets the requirements. The EMS Inspection Report must be made available to the observer, NMFS personnel, or to any authorized officer upon request. The vessel owner must maintain a current EMS Inspection Report onboard the vessel at all times the vessel is required to provide an approved electronic monitoring system.

Scale Inspection Report and Scale Inspection Sticker

A Scale Inspection Report and a Scale Inspection Sticker, valid for 12 months, will be issued to the vessel owner or operator if the bin monitoring system meets the requirements under the line of sight option described in §679.28(i) (1)(ii) or the video option described in §679.28 (i)(1)(iii). The vessel owner must maintain a current Scale Inspection Report and a Scale Inspection Sticker onboard the vessel at all times the vessel is required to provide an approved bin monitoring inspection.

Request for Inspection, Bin Monitoring, Video Option

Vessel name and Federal fisheries permit number

Requested inspection date

Business mailing address

Printed name and signature of contact person on vessel

Today's date

Telephone number and fax number for contact person

Location of vessel, including street address and city

If vessel previously received an electronic monitoring system inspection,

enter the date of the most recent inspection report

Indicate bin monitoring option

Attachment

Include a diagram drawn to scale showing the locations

where all catch will be weighed and sorted by the observer

Where unsorted catch will be collected

Where any video equipment or viewing panels or ports

Inspection Request, Electronic Bin Monitoring System, Respondent	
Number of respondents	22
Total annual responses	22
Responses per year = 1	
Total burden hours	44
Estimated time per response = 2 hr	
Total personnel cost	\$1,628
Cost per hour = \$37	
Total miscellaneous cost (2.10)	\$14
Photocopy (0.05*21)	
Email submittal (0.05*21)	

Inspection request, Electronic Bin Monitoring System,

Federal Government	
Total annual responses	22
Total burden hours (2.31)	2
Time per response = $0.11 \text{ hr x } 21$	
Total personnel cost	\$74
Cost per hour = \$37	
Total miscellaneous cost	0

f. Video monitoring

1. Installation of video bin monitoring [inactive]

NMFS has not required installation of video bin monitoring recently.

2. Video monitoring of flow scale area [NEW]

Vessels required to weigh catch at-sea must provide video monitoring of fish entering, moving across, and leaving the weighing platform of the scale. This action also requires video monitoring of all access panels allowing adjustments to the scale, and of crew activities in these areas. The scale display head and the light showing when the scale is in fault mode would need to be within the camera view. NMFS will be able to verify that all catch is being weighed, that no one is tampering with the scale, and that the scale is operating correctly.

The system must:

- ♦ Provide sufficient resolution and field of view to monitor:
 - \Box all areas where catch enters the scale, moves across the scale and leaves the scale;
 - □ any access point to the scale that may be adjusted or modified by vessel crew while the vessel is at sea; and
 - □ the scale display and the indicator for the scale operating in a fault state.
- Record and retain video for all periods when catch that must be weighed is on board the vessel.

Video monitoring of the scale and its components (display head and fault light), as well as any crew activities around the scale, will allow OLE to verify that all catch is being weighed, that no one is tampering with the scale, and that scale is functioning correctly. The video, in conjunction with the new daily scale test reporting requirements and the new calibration and fault logs, will increase efficiency in reviewing potential scale fraud cases. Video may serve as a deterrent to tampering with the scale or allowing the scale to

run continuously while in fault mode. OLE may be able to use video to verify reports.

The required video monitoring system would have one or more color cameras, a digital video recorder (DVR) for storing the video, a monitor for reviewing the video, power sources, and cables to connect the different elements. The system must be operating when the vessel is on the fishing grounds (no matter the intended target species). The system must meet the following technical specifications:

♦ The system must:

- □ Have sufficient data storage capacity to store all video data from an entire trip. Each frame of stored video data must record a time/date stamp in Alaska local time (A.l.t.). The system must record from the beginning of the first trip of the year until the end of the final haul or set for the year.
- ☐ Include at least one external USB (1.1 or 2.0) port or other removable storage device approved by NMFS.
- ☐ Use commercially available software that allows for conversion to an open source format such as mpeg.
- ☐ Record at a speed of no less than 5 frames per second
- ♦ Color cameras must have a minimum 470 TV lines of resolution, autoiris capabilities, and output color video to the recording device with the ability to revert to black and white video output when light levels become too low for color recognition.
- ◆ The video data must be maintained and made available to NMFS staff, or any individual authorized by NMFS, upon request. These data must be retained onboard the vessel for no less than 120 days after the date the video is recorded, unless NMFS has notified the vessel operator that the video data may be retained for less than this 120-day period.
- NMFS staff, or any individual authorized by NMFS, must be able to view any footage from any point in the trip using a 16-bit or better color monitor that can display all cameras simultaneously and must be assisted by crew knowledgeable in the operation of the system.

Costs may vary considerably among vessels to acquire the video monitoring of scales, depending on existing video installations. Costs may be small for vessels that have existing monitoring systems, since an additional camera may be added to an existing system at minimal cost. However, for vessels that do not have approved video monitoring systems, the costs would be

higher. Aggregate fleetwide costs were expected to range between \$108,000 and \$630,000, with a midpoint estimate of about \$369,000.

The requirement to monitor the scale area anticipates that most of the affected vessels will use or expand an existing NMFS-approved monitoring system to comply with new regulations. NMFS wishes to ensure that technical requirements for all vessels and systems are identical to avoid confusion and to prevent inconsistencies that could make compliance with the new video monitoring requirements more difficult.

Flow Scale Video Monitoring System, Respondent	
Number of respondents	78
Total annual responses (one-time install, no	78
responses since no hours)	
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0
Total capital cost	\$369,000

Flow Scale Video Monitoring System, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	\$7,000

3. Inspection Request, Flow Scale Video monitoring system [NEW]

The owner or operator must submit an Inspection Request for a Flow Scale Video Monitoring System to NMFS by fax or online. This request form is available on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov and may be submitted either by fax or online.

A diagram drawn to scale must be attached to the request showing all sorting locations, the location of the motion-compensated scale, the location of each camera and its coverage area, and the location of any additional video equipment must be submitted with the request form.

Any change to the video monitoring system that would affect the system's functionality must be submitted on an inspection request to, and approved by, the Regional Administrator in writing before that change is made.

<u>Video Monitoring System Inspection Report.</u>

After a successful inspection, NMFS will issue a Video Monitoring System Inspection Report to the vessel owner which is valid for 12 months from the date it is issued by NMFS. The vessel owner must maintain the Video Monitoring System Inspection Report onboard the vessel at all times the vessel is required to provide an approved video monitoring system. The Video Monitoring System Inspection Report must be made available to the observer, NMFS personnel, USCG, or to an authorized officer upon request.

Request for Inspection, Flow Scale Video Monitoring System

Diagram attachment (drawn to scale)

All locations where sorting occur

Location of the motion-compensated scale

Location of each camera and its coverage area

Location of any additional video equipment, including monitors and hard drives Vessel information

Vessel name and Federal fisheries permit number

Business mailing address

Business telephone number, business fax number, and business e-mail address

Name of individual or company who will install and maintain the system

Name of person on vessel who will maintain system and aid observer

System specifications

Pixel length and width of image

File type to which data are recorded

Compression type

Frame rate at which data are recorded

Storage device type and size

Brand and model number of the cameras

Brand, model, and specifications of the lenses

Type, speed, and operating system of any computer that is part of the system

Inspection Request, Flow Scale Video Monitoring System, Respondent	
Number of respondents	78
Total annual responses	78
Responses per year = 1	
Total burden hours	156 hr
Estimated time per response = 2 hr	
Total personnel cost (\$37/hr x 156)	\$5,772
Total miscellaneous cost (25.65)	\$26
Photocopy $(0.05 \times 78 = 3.90)$	
Fax ($\$6 \times 3 = 18$)	
Online $(0.05 \times 75 = 3.75)$	

Inspection request, Flow Scale Video Monitoring System, Federal Government	
Total annual responses	78
Total burden hours (7.8)	8
Time per response = 6 minutes	
Total personnel cost (\$37/hr x 8)	\$296
Total miscellaneous cost	0

4. Inspection Request, Electronic Monitoring System [REMOVED]

This form was replaced by two forms, the Inspection Request for Chinook Salmon Bycatch Video Monitoring System and the Inspection Request for Freezer Longline Video Monitoring System

5. Inspection Request, Chinook Salmon Bycatch Video monitoring system [ADJUSTED]

Catcher/processors and motherships participating in BSAI pollock fisheries are required to use video to monitor the sorting and retention of salmon, which generally takes place immediately after catch is weighed on the flow scale (§ 679.28(j)). Chinook Salmon Bycatch Management measures for AFA catcher/processors require the use of video to ensure that all salmon are sorted and stored according to the regulatory requirements. The video monitoring system must be inspected and approved annually by NMFS to ensure that it continues to meet the regulatory requirements.

The owner or operator of a catcher/processor or a mothership must submit an Inspection Request for a Chinook Salmon Bycatch Video Monitoring System to NMFS by fax or online. This request form is available on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov/sustainablefisheries/bycatch/salmon/chinook/forms/inspection_req.pdf.

A diagram drawn to scale must be attached to the request showing all sorting locations, the location of the motion-compensated scale, the location of each camera and its coverage area, and the location of any additional video equipment must be submitted with the request form.

Any change to the video monitoring system that would affect the system's functionality must be submitted on an inspection request to, and approved by, the Regional Administrator in writing before that change is made.

Video Monitoring System Inspection Report.

After a successful inspection, NMFS will issue a Video Monitoring System Inspection Report to the vessel owner which is valid for 12 months from the date it is issued by NMFS. The vessel owner must maintain the Video Monitoring System Inspection Report onboard the vessel at all times the vessel is required to provide an approved video monitoring system. The Video Monitoring System Inspection Report must be made available to the observer, NMFS personnel, United States Coast Guard (USCG), or to an authorized officer upon request.

Request for Inspection, Chinook Salmon Bycatch Video Monitoring System Diagram **attachment** (drawn to scale)

All locations where salmon will be sorted

Location of the salmon storage container

Location of each camera and its coverage area

Location of any additional video equipment, including monitors and hard drives

Vessel name and Federal fisheries permit number

Business mailing address, business telephone number, business fax number, and business e-mail address

Name of individual or company who will install and maintain the system

Name of person on vessel who will maintain system and aid observer

System specifications

Pixel length and width of image

File type to which data are recorded

Compression type

Frame rate at which data are recorded

Storage device type and size

Brand and model number of the cameras

Brand, model, and specifications of the lenses

Type, speed, and operating system of any computer that is part of the system

Changed number of respondents from 54 to 22 based on current numbers. Changed hourly personnel rate from \$25 to \$37. Added cost of fax \$6.

Inspection Request, Chinook Salmon Bycatch Video Monitoring System, Respondent	
Number of respondents	22
Total annual responses	22
Responses per year = 1	
Total burden hours	44 hr
Estimated time per response = 2 hr	
Total personnel cost (\$37/hr x 44)	\$1,628
Total miscellaneous cost (14.10)	\$14
Photocopy $(0.05 \times 22 = 1.10)$	
Fax ($\$6 \times 2 = 12$)	
Online submittal $(0.05 \times 20 = 1)$	

Inspection request, Chinook Salmon Bycatch Video Monitoring System, Federal Government	
Total annual responses	22
Total burden hours (2.20)	2
Time per response = 6 minutes	
Total personnel cost (\$37/hr x 2)	\$74
Total miscellaneous cost	0

6. Inspection Request, Freezer Longline Video Monitoring System [ADJUSTED]

Video Monitoring is used by the BSAI Freezer longline fleet to ensure that all Pacific cod and only Pacific cod pass over the motion- compensated flow scale. The video monitoring system must be inspected and approved annually by NMFS to ensure that it continues to meet the regulatory requirements.

The owner or operator of a catcher/processor or a mothership may arrange the time and place for an inspection of the video monitoring system by submitting an inspection request to NMFS by fax (206) 526-4066 or online. This form is available on the NMFS Alaska Region Web site at http://www.alaskafisheries.noaa.gov.

A diagram must be attached to the inspection request drawn to scale showing all sorting locations, the location of the motion-compensated scale, the location of each camera and its coverage area, and the location of any additional video equipment, including monitors and hard drives.

Any change to the video monitoring system that would affect the system's functionality must be submitted on an inspection request to, and approved by, the Regional Administrator in writing before that change is made.

<u>Video Monitoring System Inspection Report.</u>

After a successful inspection, NMFS will issue a Video Monitoring System Inspection Report to the vessel owner verifying that the video system meets all of the requirements. The video monitoring system report is valid for 12 months from the date it is issued by NMFS. The vessel owner or operator must ensure that the Video Monitoring System Inspection Report is on board the vessel at all times the vessel is required to provide an approved video monitoring system. The Video Monitoring System Inspection Report must be made available to the observer, NMFS personnel, or to an authorized officer upon request.

Request for Inspection, Freezer Longline Video Monitoring SystemDiagram **attachment** (drawn to scale)

All locations where sorting occurs

Location of the motion-compensated scale

Location of each camera and its coverage area

Location of any additional video equipment, including monitors and hard drives Vessel information

Name and Federal fisheries permit number

Business mailing address, business telephone number, business fax number, and business e-mail address

Name of individual or company who will install and maintain the system

Name of person on vessel who will maintain system and aid observer System specifications

Pixel length and width of image

File type to which data are recorded

Compression type

Frame rate at which data are recorded

Storage device type and size

Brand and model number of the cameras

Brand, model, and specifications of the lenses

Type, speed, and operating system of any computer that is part of the system

Changed number of respondents from 54 to 34 based on current numbers. Changed hourly personnel rate from \$25 to \$37. Added cost of fax \$6.

Inspection Request, Freezer Longline Video Monitoring System, Respondent	
Number of respondents	34
Total annual responses	34
Responses per year = 1	
Total burden hours	68 hr
Estimated time per response = 2 hr	
Total personnel cost (\$37/hr x 68)	\$2,516
Total miscellaneous cost (27.2)	\$27
Photocopy $(0.05 \times 34 = 1.70)$	
Fax ($$6 \times 4 = 24$)	
Online $(0.05 \times 30 = 1.5)$	

Inspection request, Freezer Longline Video Monitoring System, Federal Government	
Total annual responses	34
Total burden hours (3.40)	3
Time per response = 6 minutes	
Total personnel cost (\$37/hr x 3)	\$111
Total miscellaneous cost	0

g. Longline flow scale

1. Installation of longline flow scale [inactive]

NMFS has not required installation of longline flow scale recently.

2. Notification of Pacific Cod Freezer Longline Monitoring Option [ADJUSTED]

Owners of longline catcher/processors that participate in BSAI Pacific cod fisheries may annually opt out of the fisheries subject to the increased monitoring requirements or to select between two monitoring options: increased observer coverage or scales. Once a vessel owner made a selection, the vessel would be required to operate under that option for the entire year. The monitoring options apply for an entire year to reduce the risk for data processing or catch accounting errors that may occur if monitoring provisions change during the season.

If NMFS does not receive a notification of choice of monitoring options, NMFS will assign that

vessel to the increased observer coverage option for the upcoming calendar year.

Labor cost has been adjusted due to the change from \$25 to \$37 hourly wage.

A notification form is available on the NMFS Alaska Region website (http://alaskafisheries.noaa.gov/).

Notification of Pacific Cod Freezer Longline Monitoring Option

Vessel Information

Name of vessel

Federal Fishery Permit No.

Name of Vessel Owner or Operator (circle one)

Permanent Business Address

Business Telephone Number

Business Fax Number

Business E-mail Address

Pacific Cod Monitoring Option

Check one to indicate monitoring option

Opt-out of directed fishing for Pacific cod in the BSAI and groundfish CDQ fishing

Motion Compensated Scales

If this option is chosen complete:

Scale Inspection Request Form

Observer Sample Station Inspection Request Form

Electronic Monitoring Inspection Request Form

Increased Observer Coverage

If this option is chosen complete:

Observer Sample Station Inspection Request Form

Notify NMFS of Pacific cod Monitoring Option, Respondent	
Number of respondents	33
Total annual responses	33
Responses per year = 1	
Total burden hours (16.50)	17 hr
Estimated time per response = 30 minutes	
Total personnel cost (\$37/hr x 17)	\$629
Total miscellaneous cost (3.30)	\$3
Photocopy $(0.05 \times 33 = 1.65)$	
Email submittal $(0.05 \times 33 = 1.65)$	

Notify NMFS of Pacific Cod Monitoring Option, Federal Government	
Total annual responses	33
Total burden hours (5.50)	6 hr
Time per response = 10 minutes	
Total personnel cost (\$37/hr x 6)	\$222
Total miscellaneous cost	0

II. CRAB MONITORING SYSTEM

a. Crab Catch Monitoring Plan (CMP) [ADJUSTED]

A CMP is a plan submitted by a Registered Crab Receiver (RCR) for each location or processing vessel where the RCR wishes to take deliveries of CR crab. The CMP must detail how the RCR

will meet the catch monitoring standards. All crab, including crab parts and crab that are dead or otherwise unmarketable, delivered to the RCR must be sorted and weighed by species. The RCR must provide plant liaison for orienting new observers to the plant, assisting in the resolution of observer concerns, and informing NMFS if changes must be made to the CMP. All offload and weighing locations detailed in a CMP must be located on the same vessel or in the same geographic location. If a CMP describes facilities for the offloading of vessels at more than one location, it must be possible to see all locations simultaneously.

An RCR that processes only CR crab harvested under a CPO or CPC IFQ permit is not required to prepare a CMP. NMFS will approve a CMP for 1 year if it meets the performance standards.

Mail completed CMP, Printed Record and Drawing to:

National Marine Fisheries Service P. O. Box 21668 Juneau, AK 99802-1668

For more information contact: Jennifer Watson at (907)586-7537 or <u>Jennifer.Watson@noaa.gov</u> or Alan Kinsolving at (928) 7 74-4362 or <u>Alan.Kinsolving@noaa.gov</u>.

Crab Monitoring Plan

Date of CMP

Name of RCR

RCR Contact number, fax number, and e-mail address

Plant liaison

Crab sorting and weighing procedures

List all locations where crab can be offloaded

Describe how crab are removed from vessel

Describe how and where crab are sorted

Describe how crab are transported from the vessel to the scale

Describe how crab are weighed on the scale (include procedure for taring container for holding crab)

Describe how dead loss, crab parts or unmarketable crab are sorted and weighed (if different from above)

Describe any other steps involved in sorting and weighing of crab

Scales used for weighing crab

Identify each scale used for weighing crab and the reason for its use.

Manufacturer

Model

Serial Number

Type

Scale Test Procedures (Refer to §680.23(f)(4) Inseason Scale Testing)

Describe how each scale used for weighing crab is tested. Include the maximum capacity of the scale.

Scale Serial Number

Testing Procedure

List all test weights

Where will the test weights be stored

List personnel responsible for conducting scale tests

Observation Area

Describe the location where an individual can monitor the entire offloading, sorting and weighing of crab.

The observation area must

Be freely accessible at any time during an offload

Provide an unobstructed view of the entire offload between the 1st location where crab are offloaded and a location where all sorting and weighing of each species has taken place

Be sheltered from the weather and not exposed to unreasonable safety hazards

Printed record

The scale must produce a complete and accurate printed record of the weight of each species in a landing. All of the crab in a delivery must be weighed on a scale capable of producing a complete printed record. A printed record of each landing must be printed before the RCR submits a CR crab landing report (see OMB 0648-0515).

Include an example of a printed record of a delivery. The printout should include

RCR Name

Total weight of crab in each landing

Date and time information is printed

Name and ADF&G number of each delivering vessel (may be handwritten).

Attachment

Drawing to scale of the delivery location or vessel showing

Where and how crab are removed from the delivering vessel

The observation area

The location of each scale used to weigh crab

Each location where crab is sorted

Location of printer

Three catcher/processors are required to have an RCR but are not required to have a CMP. The other RCRs use one of 15 shore based crab processing facilities to process their crab and follow that processor's approved CMP.

Changed personnel cost form \$25/hr to \$37/hr. Miscellaneous cost changed from \$11 to \$25 due to postage increase.

Crab CMP, Respondent	
Number of respondents	15
Total annual responses	15
Responses per respondent = 1	
Total burden hours (15 x 16)	240 hr
Hours per response = 16	
Total personnel cost (\$37/hr x 240)	\$8,800
Total miscellaneous cost (24.75)	\$25
Photocopy 0.05 x 15 pp x 15 = \$11.25	
Postage .90 x 15 = 13.50	

Crab CMP, Federal Government	
Total annual responses	15
Total burden hours	240 hr
Hours per response = 16	
Total personnel cost (\$37/hr x 240)	\$8,800
Total miscellaneous cost	0

b. CMP Addendum

An RCR may change an approved CMP by submitting a CMP addendum to NMFS. Depending on the nature and magnitude of the change requested, NMFS may require a CMP inspection.

Mail CMP Addendum to:

National Marine Fisheries Service PO Box 21668 Juneau, AK 99802-1668

CMP addendum

Name and signature of the submitter Address, telephone number, fax number and e-mail address (if available) of submitter Complete description of the proposed CMP change

Changed personnel cost from \$25/hr to \$37/hr.

CMP Addendum, Respondent	
Number of respondents	3
Total annual responses	3
Responses per respondent = 1	
Total burden hours	24 hr
Time per response = 8 hr	
Total personnel cost (\$37/hr x 24)	\$888
Total miscellaneous cost (4.20)	\$4
Photocopy (0.05 x 10 pp x 3 = 1.5	
Postage (.90 x 3 = 2.70)	

CMP Addendum, Federal Government	
Total annual responses	3
Total burden hours	3
Time per response = 1 hr	
Total personnel cost (\$37/hr x 3)	\$111
Total miscellaneous cost	0

c. Inspection Request, Catch Monitoring Plan (CMP) [ADJUSTED]

The location or vessel identified in the CMP must be inspected by NMFS prior to approval of the CMP to ensure that the location conforms to the elements addressed in the CMP. If NMFS disapproves a CMP, the plant owner or manager may resubmit a revised CMP.

An annual CMP inspection may be arranged by submitting a request for a CMP inspection to NMFS by telephone or e-mail.

An inspection must be requested no less than 10 working days before the requested inspection date. NMFS staff will conduct CMP inspections in any port located in the United States that can be reached by regularly scheduled commercial air service. This inspection request is usually done by telephone or email.

Inspection request, CMP

Name of the submitter
Date of the request
Business mailing address
Telephone number, fax number, and e-mail address of submitter
Proposed CMP

Labor cost was changed from \$25 to \$37 hourly rate, and miscellaneous cost from \$1 to \$2.

Inspection Request, CMP, Respondent	
Number of respondents	15
Total annual responses	15
Frequency of response = 1	
Total burden hours (1.25)	1 hr
Time per response = 5 min	
Total personnel cost (\$37/hr x 1)	\$37
Total miscellaneous cost (1.50)	\$2
Photocopy $0.05 \times 1 \text{ pp } \times 15 = 0.75$)	
Online $(0.05 \times 15 = 0.75)$	

Inspection Request, CMP, Federal Government	
Total annual responses	15
Total burden hours (7.5)	8 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 8)	\$296
Total miscellaneous cost	0

d. Installation of hopper scale [inactive]

NMFS has not required installation of hopper scales recently.

1. Notify observer of hopper scale test [NEW, formerly subset of "notification of at-sea scale test"

Each vessel operator must notify the observer at least 15 minutes before the time that a scale test will be conducted and must conduct the test while the observer is present. No form exists for this notice, which consists of vessel personnel verbally informing the observer that a scale test is scheduled. This item was not visible due to the format of the analysis. The notification previously was for "scale tests" without breaking it down into hopper scales and flow scales.

Notify Observers of hopper scale tests, Respondent	
Number of respondents	3
Total annual responses	405
Frequency of response = 135	
Total burden hours (13.5)	14 hr
Time per response = 2 minutes	
Total personnel cost (\$37/hr x 14)	\$518
Total miscellaneous cost	0

Notify Observer of hopper scale tests, Federal	
Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

2. Daily records from hopper scale tests [NEW, formerly subset of at-sea scales]

To verify that the scale meets the MPEs, the vessel operator must test each scale or scale system used to weigh CR crab one time during each 24-hour period when use of the scale is required.

The vessel owner must ensure that these tests are performed in an accurate and timely manner. An automatic hopper scale must be tested at its minimum and maximum capacity with approved test weights. Test weights must be placed in the bottom of the hopper unless an alternative testing method is approved by NMFS.

This action would require eligible catcher/processors to use eLandings or seaLandings electronic logbook (eLog) to record and report the results and timing of daily scale tests electronically each day to NMFS (see OMB Control No. 0648-0515). This addition would allow NMFS staff to continuously monitor daily scale tests by vessels when they are at sea and work with vessel crew to ensure that any bias in daily scale tests could be discovered and corrected quickly. This makes it possible for NMFS to identify potential scale problems during, rather than after, a fishing year, and to more effectively analyze overall trends in scale testing, at a small additional cost to most vessels, and the cost to NMFS of updating its web-based data collection. A small number of vessels may be required to modify their business practices to use electronic logbooks.

Daily Record of hopper scale test

Vessel name

Vessel operator signature

Date

Time test started to the nearest minute

Minimum capacity of scale

Test weights (A)

Weight on scale indicator (B)

Error [(B) - (A)] (C)

% error [C / (A) x 100]

Maximum capacity of scale

Test weights (A)

Weight on scale indicator (B)

Error [(B) - (A)] (C)

% error [C / (A) x 100]

Sea Conditions at time of test (Beaufort Scale--between 1 and 12):

Daily Record of hopper scale tests, Respondent	
Number of respondents	3
Total annual responses (3 x 135)	405
Frequency of response = 135	
Total burden hours (303.75)	304 hr
Time per response = 45 min	
Total personnel cost (\$37/hr x 304)	\$11,248
Total miscellaneous costs	0

Daily Record of hopper scale tests, Federal Government	
Total annual responses	3
Total burden hours (0.5)	1 hr
Time per response = 10 min	
Total personnel cost (\$37/hr x 1)	\$37
Total miscellaneous cost	0

e. Printed report from hopper scales [NEW, formerly subset of at-sea scales]

1. Printed report for catch weight

The scale must produce a complete and accurate printed report of the weight of each species in a landing. All of the crab in a delivery must be weighed on a scale capable of producing a complete printed report. A report of each landing must be printed before the RCR submits a CR crab landing report (see OMB 0648-0515).

Reports must be printed at least once every 24 hours prior to submitting a CR crab landing report as described in § 680.5. Reports must also be printed before any information stored in the scale computer memory is replaced.

Printed reports from the scale must be maintained on board the vessel until the end of the year during which the reports were made and be made available to NMFS or NMFS authorized personnel. In addition, the vessel owner must retain printed reports for 3 years after the end of the year during which the printouts were made.

Printed report from hopper scales

Vessel name

Federal crab vessel permit number

Weight of each load in the weighing cycle

Date and time the information was printed

Total amount weighed since the last printout was made

Total cumulative weight of all crab or other material weighed on the scale

Printed report, hopper catch weight, Respondent	
Number of respondents	3
Total annual responses	405
Frequency of response = 135	
Total burden hours (6.75)	7 hr
Time per response = 1 min	
Total personnel cost (\$37/hr x 7)	\$259
Total miscellaneous cost	0

Printed report, hopper catch weight, Federal	
Government	
Total annual responses	3
Total burden hours (1.05)	1 hr
Time per response = 15 min	
Total personnel cost (\$37/hr x 1)	\$37
Total miscellaneous cost	0

2. Printed report for the crab audit trail [NEW, formerly subset of at-sea scales]

An audit trail in the form of an event logger must be provided to document changes made using adjustable components. The following information must be provided in an electronic form that cannot be changed or erased by the scale operator, can be printed at any time, and can be cleared by the scale manufacturer's representative upon direction by NMFS or by an authorized scale inspector.

The printed report must be provided to the authorized scale inspector at each scale inspection and must also be printed at any time upon request of NMFS staff or other NMFS-authorized personnel.

Printed report, audit trail

Vessel name
FFP or FPP number
Haul or set number
Date and time (to the nearest minute) that the adjustment was made
Name or type of adjustment being made
Initial and final values of the parameter being changed

Printed report, audit trail, Respondent	
Number of respondents	3
Total annual responses	405
Frequency of response = 135	
Total burden hours (6.75)	7 hr
Time per response = 1 min	
Total personnel cost (\$37/hr x 7)	\$259
Total miscellaneous cost	0

Printed report, audit trail, Federal Government	
Total annual responses	3
Total burden hours (1.05)	1 hr
Time per response = 15 min	
Total personnel cost (\$37/hr x 1)	\$37
Total miscellaneous cost	0

III. CATCH MONITORING AND CONTROL PLAN (CMCP) FOR SHORESIDE PROCESSORS AND STATIONARY FLOATING PROCESSORS (SFPS)

a. CMCP Plan [ADJUSTED]

A CMCP is a plan submitted by the owner or manager of each shoreside processor or SFP and approved by NMFS, detailing how the processing plant will meet the catch monitoring and control standards detailed in §679.28(g)(7). The owner or manager of a shoreside processor or SFP receiving fish harvested in the following fisheries must prepare, submit, and have approved a CMCP prior to the receipt of fish harvested in these fisheries:

- ♦ AFA pollock,
- ♦ Aleutian Islands directed pollock,
- ◆ Rockfish Program, unless those fish are harvested under the entry level rockfish fishery as described under § 679.83.

The CMCP must be maintained on the premises and made available to authorized officers or NMFS-authorized personnel upon request.

CMCP

Catch Sorting and weighing

All groundfish delivered to the plant must be sorted and weighed by species. The CMCP must detail

Amount and location of space for sorting catch

Number of staff assigned to catch sorting

Maximum rate that catch will flow through the sorting area

Scales used for weighing groundfish.

Identify by serial number each scale used to weigh groundfish and describe the rational for its use Scale testing procedure

Scales identified in the CMCP must be accurate within the specified limits.

For each scale identified in the CMCP, testing plan must

Describe the procedure the plant will use to test the scale

List the test weights and equipment required to test the scale

List where the test weights and equipment are stored

Lists the plant personnel responsible for conducting the scale testing

Printed record

Request for exemption

Identification of any scale that cannot produce a complete printed record

Explain how the processor will use the scale

Explain how the plant intends to produce a complete record of the total weight of each delivery

Delivery point

The delivery point is the first location where fish removed from a delivering catcher vessel can be sorted or diverted to more than one location.

If the catch is pumped from the hold of a catcher vessel or a codend,

the delivery point is where the pump first discharges the catch.

If catch is removed from a vessel by brailing,

the delivery point normally is the bin or belt where the brailer discharges the catch.

Observation area.

Observation area is location designated on CMCP where individual may monitor the flow of fish during delivery

Must be freely accessible to NMFS staff or NMFS-authorized personnel at any time a valid CMCP is required

Must have an unobstructed view or otherwise be able to monitor the entire flow of fish between the delivery point and a location where all sorting has taken place and each species has been weighed

Observer work station

Must identify an observer work station for the exclusive use of NMFS-certified observers.

The observer area must be located near the observer work station.

The plant liaison must be able to walk between the work station and the observation area in less than 20 seconds without encountering safety hazards.

The work station must meet the following criteria

Be located in an area protected from the weather where the observer has access to unsorted catch

Provide a platform scale of at least 50 kg capacity

Include

a workspace at least 4.5 sq m

a table

a secure and lockable cabinet or locker of at least 0.5 cu m.

Communication with observer

Describe communication equipment (such as radios, pagers or cellular telephones) used to facilitate communications within the plant and provide the NMFS-certified observer with the same communications equipment

Plant liaison

Each CMCP must designate a plant liaison responsible for

Orienting new observers to the plant

Assisting in the resolution of observer concerns

Informing NMFS if changes must be made to the CMCP

Attachment

Scale drawing of inshore processor plant_showing
Delivery point
Observation area
Observer work station
Location of each scale used to weigh catch
Each location where catch is sorted

Changed personnel cost from \$25/hr to \$37/hr.

CMCP, Respondent	
Number of respondents	13
8 AFA	
5 GOA Rockfish	
Total annual responses	13
Responses per respondent = 1	
Total burden hours	520 hr
Time per response = 40 hr	
Total personnel cost (\$37/hr x 520)	\$19,240
Total miscellaneous cost (\$9.75)	
Photocopy 0.05 x 15 pp x 13	\$10

CMCP, Federal Government	
Total annual responses	13
Total burden hours	65 hr
Time per response = 5 hr	
Total personnel cost (\$37/hr x 65)	\$2,405
Total miscellaneous cost	0

b. CMCP Addendum [ADJUSTED]

An owner or manager of a shoreside processor or SFP must notify NMFS in writing if changes are made in plant operations or layout that does not conform to the CMCP. An owner or manager may change an approved CMCP by submitting a CMCP addendum to NMFS. NMFS will approve the modified CMCP if it continues to meet the performance standards.

CMCP Addendum

Name and signature of the submitter Address, telephone number, fax number and email address (if available) of submitter Describe proposed CMCP change

Changed personnel cost from \$25/hr to \$37/hr.

CMCP Addendum, Respondent	
Number of respondents	4
Total annual responses	4
Responses per respondent = 1	
Total burden hours	32 hr
Time per response = 8 hr	
Total personnel cost (\$37/hr x 32)	\$1,184
Total miscellaneous cost	
Photocopy 0.05 x 10 pp x 4 = 2	\$2

CMCP Addendum, Federal Government	
Total annual responses	4
Total burden hours	4
Time per response = 1 hr	
Total personnel cost (\$37/hr x 4)	\$148
Total miscellaneous cost	0

Scale requirements in this section are in addition to those requirements set forth by the State of Alaska, and nothing in this paragraph may be construed to reduce or supersede the authority of the State of Alaska to regulate, test, or approve scales within the State of Alaska or its territorial sea. Scales used to weigh groundfish catch that are also required to be approved by the State of Alaska under Alaska Statute 45.75 must meet the following requirements:

- ♦ The scale must display a valid State of Alaska sticker indicating that the scale was inspected and approved within the previous 12 months.
- ♦ The scale and scale display must be visible simultaneously to the observer. Observers, NMFS personnel, or an authorized officer must be allowed to observe the weighing of fish on the scale and be allowed to read the scale display at all times.
- Printouts of the scale weight of each haul, set, or delivery must be made available to
 observers, NMFS personnel, or an authorized officer at the time printouts are generated
 and thereafter upon request for the duration of the fishing year.

c. Inspection Request, CMCP [ADJUSTED]

The owner or manager may arrange for a CMCP inspection by contacting NMFS to request a CMCP inspection. No form exists for the CMCP inspection request; the request is made by telephone or e-mail.

NMFS will annually approve a CMCP if it meets all the performance standards and requirements. The processor must be inspected by NMFS prior to approval of the CMCP to ensure that the processor conforms to the elements addressed in the CMCP.

NMFS will complete its review of the CMCP within 14 working days of receiving a complete CMCP and conducting a CMCP inspection.

Inspection Request, CMCP

Name of the submitter
Date of the request
Business mailing address
Business telephone number, fax number, and e-mail address of submitter
Proposed CMCP

Changed personnel cost from \$25/hr to \$37/hr.

Inspection Request, CMCP, Respondent	
Number of respondents	13
Total annual responses	13
Frequency of response = 1	
Total burden hours (1.08)	1 hr
Time per response = 5 min	
Total personnel cost (\$37/hr x 1)	\$37
Total miscellaneous cost 1.30)	\$1
Photocopy (0.05 x 1 pp x 13 = 0.65)	
E-mail $(0.05 \times 13 = 0.65)$	

nspection Request, CMCP, Federal Government	
Total annual responses	13
Total burden hours	52 hr
Time per response = 4 hr	
Total personnel cost (\$37/hr x 52)	\$1,924
Total miscellaneous cost	0

d. Shoreside processor or SFP inseason scales

Scales in shoreside processors plants and SFPs are under the jurisdiction of the State of Alaska Division of Measurement Standards. The State of Alaska (Alaska) requires that fish delivered shoreside be weighed on a scale approved under Alaska statutes, because the buying and selling of fish is commerce. The State of Alaska determines what constitutes an approved scale, how often the scale has to be tested, what tests must be conducted, and what performance requirements shoreside processors and SFPs must meet. Because these performance requirements are designed for a more stable environment (without wind and wave action) and are conducted inside a plant on solid ground, they are significantly more restrictive with maximum permissible errors than those scales used at sea. The environment in which the weighing occurs is different from at-sea, and, therefore, the design of the land-based versus at-sea scales is different. Once calibrated and sealed, land-based scales are expected to hold their calibration over an extended period.

e. NMFS test of State scales [NEW, was included in the regulations but not in the PRA]

Scales identified in an approved CMCP must be tested in accordance with the CMCP when testing is requested by NMFS-staff or NMFS- authorized personnel. NMFS must provide plant personnel no less than 20 minutes' notice that a scale is to be tested. No form exists for this notice. This notice consists of NMFS staff or NMFS-authorized personnel verbally informing the plant personnel that a scale test is scheduled.

NMFS or NMFS-authorized personnel will test the State scales annually during the CMCP and CMP review, after the State approves the scales, and will approve or pass an inseason test of a shoreside processor or SFP scale by verifying that:

- Scale display and printed information are clear and easily read under all conditions of normal operation.
- Weight values are visible on the display until the value is printed.

• Scale does not exceed the maximum permissible errors.

There is no respondent burden for these tests.

NMFS test of State scales, Respondent	
Number of respondents	0
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

NMFS test of State scales, Federal Government	
Total annual responses	13
Total burden hours	13
Time per response = 1 hr	
Total personnel cost (\$37/hr x 13)	\$481
Total miscellaneous cost	0

f. Printed record from the State of Alaska scale [ADJUSTED]

A scale identified in a CMCP must produce a printed record for each delivery, or portion of a delivery, weighed on that scale. All of the groundfish in a delivery must be weighed on a scale capable of producing a complete printed record. Printouts must be retained and made available to NMFS-authorized personnel including observers.

NMFS may exempt scales not designed for automatic bulk weighing from some or all of the printed record requirements if the CMCP identifies any scale that cannot produce a complete printed record, states how the processor will use the scale, and states how the plant intends to produce a complete record of the total weight of each delivery.

Printed output from the State of Alaska scale

Processor name

Weight of each load in the weighing cycle

Total weight of fish in each delivery, or portion of the delivery that was weighed on that scale

Total cumulative weight of all fish or other material weighed on the scale since the last annual inspection Date and time the information is printed

Name and ADF&G number of the vessel making the delivery (This information may be written on the scale printout in pen by the scale operator at the time of delivery.)

Changed personnel cost from \$25/hr to \$37/hr. Hours corrected from 35 to 29.

Printed output, State scale, Respondent	
Number of respondents	13
Total annual responses	1,755
Frequency of response = 135	
Total burden hours (29.25)	29 hr
Time per response = 1 min	
Total personnel cost (\$37/hr x 29)	\$1,073
Total miscellaneous cost (13 x 35)	\$455
Binders, paper = \$35	

Printed output, State scale, Federal Government	
Total annual responses	13
Total burden hours (3.25)	3
Time per response = 15 min	
Total personnel cost (\$37/hr x 3)	\$111
Total miscellaneous cost	0

f. Notify observer of BSAI pollock delivery [ADUSTED]

The plant manager or plant liaison must notify the observer of the offloading schedule for each delivery of BSAI pollock by an AFA catcher vessel at least 1 hour prior to offloading. No form exists for this notice. This notice consists of plant personnel verbally informing the observer that a pollock delivery is scheduled. An observer must monitor each delivery of BSAI pollock from an AFA catcher vessel and be on site the entire time the delivery is being weighed or sorted.

There are eight shoreside processors and stationary floating processors that accept deliveries of BSAI pollock. Labor cost changed from \$25 to \$37.

Notify Observer of pollock delivery, Respondent	
Number of respondents	8
Total annual responses	1,080
Responses per respondent = 135	
Total burden hours	90 hr
Time per response = 5 min	
Total personnel cost (\$37/hr x 90)	\$3,330
Total miscellaneous cost	0

Notify Observer of pollock delivery, Federal Government	
Total annual responses	0
Total burden hours	0
Total personnel cost	0
Total miscellaneous cost	0

h. Notify observer of CDQ delivery [ADJUSTED]

The manager of each shoreside processor or SFP that is required to have a CDQ observer must notify the level 2 observer of the offloading schedule of each CDQ delivery at least 1 hour prior to offloading. This notification would provide the level 2 observer an opportunity to monitor the sorting and weighing of the entire delivery. This notice typically consists of plant personnel verbally informing the observer that an incoming vessel will make a CDQ delivery. No form exists for this notice.

Respondents changed from 8 to 4. Labor cost changed from \$25 to \$37.

Notify Observer of CDQ delivery, Respondent		
Number of respondents	4	
Total annual responses (4 x 14)	56	
Frequency of response = 14		
Total burden hours (1.87)	2 hr	
Time per response = 2 minutes		
Total personnel cost (\$37/hr x 2)	\$74	
Total miscellaneous cost	0	

Notify Observer of CDQ delivery, Federal		
Government		
Total responses 0		
Total burden hours 0		
Total personnel cost		
Total miscellaneous cost 0		

i. Notify observer of Rockfish Program delivery [ADJUSTED]

The plant manager or plant liaison must notify the observer of the offloading schedule for each delivery of groundfish harvested in a Rockfish Program fishery at least 1 hour prior to offloading. This notice consists of plant personnel verbally informing the observer that a Rockfish Program delivery is scheduled. No form exists for this notice.

The observer must be available to monitor each delivery of groundfish harvested in a Rockfish Program fishery and must be available the entire time the delivery is being weighed or sorted.

There are five processors eligible to accept deliveries of GOA Rockfish.

Labor cost changed from \$25 to \$37.

Notify Observer of Rockfish delivery, Respondent		
Number of respondents	5	
Total annual responses	675	
Responses per respondent = 135		
Total burden hours (56.25)	56 hr	
Time per response = 5 min		
Total personnel cost (\$37/hr x 56)	\$2,072	
Total miscellaneous cost	0	

Notify Observer of Rockfish delivery, Federal Government		
Total annual responses	0	
Total burden hours	0	
Total personnel cost	0	
Total miscellaneous cost	0	

IV. SCALE TYPE EVALUATION [inactive – removed]

The owner of an offshore processor must select an at-sea scale from the list of scales approved by NMFS for weighing catch at-sea. This list is displayed on the NMFS Alaska Region website at http://www.fakr.noaa.gov/scales/default.htm#approved

Type evaluation and testing must be conducted by a laboratory accredited by the government of the country in which the tests are conducted. Before NMFS can approve a model of scale for use, the manufacturer must submit the scale to a certified laboratory for evaluation and testing to insure that the scale meets international scale standards. Scales must meet the performance and technical requirements specified in appendix A to 50 CFR part 679. The number of hours required to document a scale's characteristics varies, depending on the type of scale and the similarity to models that have already been approved.

Evaluation information identifies and describes the scale, sets forth contact information regarding the manufacturer, and sets forth the results of required type evaluations and testing. This information is collected once for each scale type or model. It is used by NMFS scale-evaluation staff to determine if a model of scale meets the requirements for type approval.

NMFS received no requests for scale evaluation in the past few years. A scale type evaluation is only triggered if someone wants a new type of scale approved for use at sea.

a. Platform and hanging scales evaluation

A platform scale by the nature of its physical size, arrangement of parts, and relatively small capacity (generally 220 kg or less) is adapted for use on a bench or counter or on the floor. A platform scale can be self contained. That is, the indicator and load receiver and weighing elements are all comprised of a single unit, or the indicator can be connected by cable to a separate load receiver and weighing element. The technology used may be mechanical, electromechanical, or electronic. Loads are applied manually.

A platform scale could be used as an observer sampling scale and to verify the weight of fish used to test the belt or automatic hopper scales on trawl catcher/processors and motherships. Or, a platform scale could be used to weigh total catch. A platform scale must be equipped with automatic means to compensate for the motion of a vessel at sea so that the weight values indicated are within the MPEs.

A platform scale must be rigidly installed in a level condition. When in use, a hanging scale must be freely suspended from a fixed support or a crane.

Platform and hanging scales evaluation

Block I. Information about the scale tested.

This block supplies basic background and contact information so that NMFS can maintain accurate contact records. Name, mailing address, telephone number, and fax number of scale manufacturer Name, mailing address (if different from manufacturer), telephone and fax numbers of representative Model and serial number of scale submitted for evaluation.

Block II. Information about all scales.

Frequently scale manufacturers produce the same basic scale with different sizes, capacities or model numbers. This block allows the manufacturer to describe a "family" of similar scales so that all can be approved at one time. It also sets out the basic meterological characteristics of the scales.

Provide information about the scale submitted for evaluation at #1.

Identify all other models of scales of the same type of scale that will be covered by laboratory evaluation.

Model designation

Maximum capacity

Value and number of scale divisions

Minimum load

Accuracy class

Block III. Information about the certifying laboratory.

This block gives NMFS information on the independent laboratory that evaluated the scale for future reference Name of laboratory

Mailing address, telephone and fax numbers of laboratory

Name and Address of Government Agency accrediting laboratory

Block IV. Certification of compliance with NMFS at-sea scale requirements.

This block is to certify that the manufacturer's representative believes the scale or scale component is in compliance with regulations at 50 CFR 679 as indicated in the checklist and test report forms.

Printed name and signature of representative

Date

Block V. List of Attachments.

This block is a checklist of attachments intended to help the manufacturer's representative include the correct documentation needed for scale approval.

Block VI. General Requirements Checklist

This checklist helps the manufacturer's representative to review the requirements for approval and to note any possible problems.

b. Belt-conveyor (flow) scale evaluation

Flow scales are used to weigh catch at sea. This scale or scale system employs a conveyor belt in contact with a weighing element to determine the weight of a bulk commodity being conveyed across the scale. A belt scale must be equipped with automatic means to compensate for the motion of a vessel at sea so that the weight values indicated are within the MPEs. An operator generally directs the flow of product onto the input conveyor.

Belt-conveyor (flow) scale evaluation

Block I. Information about the scale tested.

This block supplies basic background and contact information so that NMFS can maintain accurate contact records.

Name, mailing address, telephone number, and fax number of scale manufacturer

Name, mailing address (if different from manufacturer), telephone and fax numbers of representative

Model and serial number of scale submitted for evaluation.

Block II. Information about all scales.

Frequently scale manufacturers produce the same basic scale with different sizes, capacities or model numbers. This block allows the manufacturer to describe a "family" of similar scales so that all can be approved at one time. It also sets out the basic meterological characteristics of the scales.

Provide information about the scale submitted for evaluation.

Identify all other models of scales of the same type of scale that will be covered by laboratory evaluation.

Model designation

Maximum capacity

Value of scale divisions

Maximum flow rate, minimum flow rate, minimum totalized load

Belt speed

Weigh length

Maximum capacity

Block III. Information about the certifying laboratory.

This block gives NMFS information on the independent laboratory that evaluated the scale for future reference. Name of laboratory

Mailing address, telephone and fax numbers of laboratory

Name and Address of Government Agency accrediting laboratory

Block IV. Certification of compliance with NMFS at-sea scale requirements.

This block is to certify that the manufacturer's representative believes the scale or scale component is in compliance with regulations at 50 CFR 679 as indicated in the checklist and test report forms.

Printed name and signature of manufacturer's representative

Date

Block V. List of Attachments.

This block is a checklist of attachments intended to help the manufacturer's representative include the correct documentation needed for scale approval.

Block VI. General Requirements Checklist – Belt scale.

This checklist helps the manufacturer's representative to review the requirements for approval and to note any possible problems.

c. Automatic hopper scales evaluation

Automatic hopper scales are used to weigh catch at sea. An automatic hopper scale is adapted to the automatic weighing of a bulk commodity (fish) in predetermined amounts. Capacities vary from 20 kg to 50 mt. It is generally equipped with a control panel, with functions to be set by an operator, including the start of an automatic operation.

A scale manufacturer or their representative may request that NMFS approve a custom-built automatic hopper scale under the following conditions:

- ♦ The scale electronics are the same as those used in other scales on the Regional Administrator's list of scales eligible for approval;
- ♦ Load cells have received Certificates of Conformance from National Type Evaluation Program or International Organization of Legal Metrology;
- ◆ The scale compensates for motion in the same manner as other scales made by that manufacturer which have been listed on the Regional Administrator's list of scales eligible for approval;
- ♦ The scale, when installed, meets all of the requirements set forth in paragraph 3 of Appendix A to § 679.28, except those requirements set forth in paragraph 3.2.1.1.

Automatic hopper scales evaluation

Block I. Information about the scale tested.

This block supplies basic background and contact information so that NMFS can maintain accurate contact records. Name, mailing address, telephone number, and fax number of scale manufacturer

Name, mailing address (if different from manufacturer), telephone and fax numbers of representative Model and serial number of scale submitted for evaluation.

Block II. Information about all scales.

Frequently scale manufacturers produce the same basic scale with different sizes, capacities, or model numbers. This block allows the manufacturer to describe a "family" of similar scales so that all can be approved at one time. It also sets out the basic meterological characteristics of the scales.

Provide information about the scale submitted for evaluation at #1.

Identify all other models of scales of the same type of scale that will be covered by laboratory evaluation. Model designation

Maximum capacity

Value and number of scale divisions

Minimum weighment

Minimum totalized load

Block III. Information about the certifying laboratory.

This block gives NMFS information on the independent laboratory that evaluated the scale for future reference.

Name of laboratory

Mailing address, telephone and fax numbers of laboratory

Name and Address of Government Agency accrediting laboratory

Block IV. Certification of compliance with NMFS at-sea scale requirements.

This block is to certify that the manufacturer's representative believes the scale or scale component is in compliance with regulations at 50 CFR 679 as indicated in the checklist and test report forms.

Printed name and signature of manufacturer's representative

Date

Block V. List of Attachments.

This block is a checklist of attachments intended to help the manufacturer's representative include the correct documentation that NMFS needs to approve the scale.

Block VI. General Requirements Checklist – Automatic hopper scale.

This checklist helps the manufacturer's representative to review the requirements for approval and to note any possible problems.

d. Potential, undefined scale

NMFS received no requests for scale evaluation in the past three years. A scale type evaluation is only triggered if someone wants a new type of scale approved for use at sea.

It is anticipated that the information collected be disseminated to the public or used to support publicly disseminated information. NMFS will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with National Oceanic and Atmospheric Administration (NOAA) standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to Section 515 of Public Law 106-554.

3. <u>Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.</u>

The following table presents the use of automated, electronic, and online techniques used in this collection.

Name of form	Paper/fax or email	Online	eLog	Automatic
Inspection request, at-sea scale	√	\checkmark		
Record of daily flow scale test			\checkmark	
Printed report of groundfish catch weight				\checkmark
Printed report for groundfish audit trail				\checkmark
Printed report from groundfish calibration log				$\sqrt{}$
Printed report from groundfish fault log				$\sqrt{}$

Name of form	Paper/fax or email	Online	eLog	Automatic
Inspection request, observer sampling station	√	√		
Video monitoring of flow scale area				\checkmark
Inspection request, flow scale video monitoring		\checkmark		
Inspection request, Chinook Salmon Bycatch video monitoring	√	√		
Inspection request, Freezer Longline video monitoring	√	√		
Inspection request, Bin video monitoring	$\sqrt{}$	\checkmark		
Inspection request, Crab Catch monitoring (CMP)	√	√		
Record of daily hopper scale test			\checkmark	
Printed report of crab catch weight on hopper scale				\checkmark
Printed report for crab audit trail on hopper scale				\checkmark
Crab Catch monitoring plan (CMP)	V			
CMP Addendum	√			
Printed report from State of Alaska scale				√

4. Describe efforts to identify duplication.

None of the information collected as part of this information collection duplicates other collections. This information collection is part of a specialized and technical program that is not like any other.

5. <u>If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.</u>

This action would directly regulate firms with catcher/processors that are required to use scales to account for catch at sea under various management programs. These programs include: trawl catcher/processors permitted to fish for pollock in the BSAI under the AFA; motherships permitted to receive pollock in the BSAI under the AFA; trawl catcher/processors permitted to fish for groundfish under Amendment 80 to the BSAI FMP or rockfish in the Central Gulf of Alaska (GOA); longline catcher/processors with a license limitation program license endorsed for catcher/processor operations, Pacific cod, hook-and-line gear, and BS or AI areas; and catcher/processors that harvest catch in the BSAI under the MS-CDQ program.

NMFS has examined these vessels and their corporate and cooperative affiliations and has determined these vessels are predominately fishing for finfish and that their size for the purposes of the RFA is governed by the \$19 million threshold. NMFS has also determined that all of these vessels have corporate and cooperative affiliations whose combined gross revenues exceed the \$19 million threshold. All of these firms are affiliated through cooperative arrangements, whether through the AFA catcher/processor Pollock Conservation Cooperative, one of the two cooperatives formed under the terms of Amendment 80 to the BSAI FMP, or the privately organized Freezer Longline Conservation Cooperative. Thus, none of the firms directly regulated by this action are small entities for the purpose of the RFA.

6. <u>Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.</u>

If this collection were not conducted, NMFS would continue to be inconsistent with the Magnuson-Stevens Act.

This action would revise the at-sea scales program for catcher/processors and motherships that are required to weigh catch at sea. This action would make three major changes to current regulations. First, this action would change regulations concerning daily scale testing and require electronic reporting of daily scale test results. Second, this action would require that scales used to weigh catch have electronics capable of logging and printing the frequency and magnitude of scale calibrations as well as the time and date of each scale fault and scale startup. Third, this action would require that the area around the scale be monitored using video. This action is being proposed to reduce the possibility of scale tampering and to improve the accuracy of catch estimation by the catcher/processor and mothership sector.

Although this action would apply to catcher/processors and motherships that harvest and/or receive catch in the BSAI under the CDQ program, these catcher/processors and motherships also participate in one of the four other listed fisheries and would be subject to the provisions applicable for those fisheries. All catcher/processors and motherships that harvest catch in the BSAI under the CDQ program would be subject to the same requirements as all other vessels that are required to weigh groundfish catch at sea under this action. This action would be consistent with section 305(i)(1)(B)(iv) of the Magnuson-Stevens Act, that requires that CDQ fisheries "shall be regulated by the Secretary [NMFS] in a manner no more restrictive than for other participants in the applicable sector."

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

No special circumstances are associated with this information collection.

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

A proposed rule (RIN 0648-BD90) will be published coincident with this analysis to request public comments.

NMFS has conducted other activities to inform the public and to request public comment. **Electronic Monitoring/Electronic Reporting Strategic Plan**NMFS, working with the Council, has developed an <u>Electronic Monitoring/Electronic Reporting Strategic plan</u> that will guide development and implementation of electronic monitoring tools in the North Pacific.

Electronic Monitoring/Electronic Reporting Pilot Project

In 2013 and 2014, NMFS is conducting an <u>electronic monitoring pilot project</u> in Alaska that provides EM equipment (video cameras) to vessels that volunteer. The EM pilot project evaluates the efficacy of video cameras to collect catch, discard, and fishing effort data in Alaska and is focused on vessels between 40-57.5 ft. in length that fish with hook and line gear.

Use of Video Monitoring, Research Results

NMFS and industry have conducted several case studies and pilot projects exploring the use of electronic monitoring in Alaska:

Assessment of Electronic Monitoring to quantify discards in the Central GOA Rockfish Fishery
-- Phase 1 Project Report

Phase 2 Project Report is presented at

<u>Case study on the applicability of video technology for longline fisheries management</u>

Bycatch characterization in the Pacific halibut fishery: A field test of electronic monitoring technology: Final Report on project to test Trainable Video Analytic Software

Alaska Longline Fishermen's Association Project to test video on small fixed gear vessels in southeast Alaska

Bycatch characterization in the Pacific halibut fishery: A field test of electronic monitoring technology

Final Report on project to test Trainable Video Analytic Software

<u>Alaska Longline Fishermen's Association Project</u> to test video on small fixed gear vessels in southeast Alaska

Workshops & Discussion Papers

79 FR 23944, April 29, 2014 196 kb

NMFS announces a workshop to solicit input from owners and operators of catcher/processor vessels and motherships that are required to weigh catch at sea. The workshop concerns proposed changes to equipment and operational equipment for motion compensating scales. The workshop will be held May 16, 2014.

NOAA Fisheries Office of Policy and EM Working Group <u>Electronic Monitoring White Papers</u>, February 2013

Discussion paper presented by NMFS to North Pacific Fishery Management Council: <u>Use of Electronic Monitoring (EM) Technologies in Alaskan Fisheries</u>, June 2011

An Electronic Fisheries Monitoring Workshop was conducted July 29-30, 2008 to assess the current status of video monitoring technology for fisheries, its applicability to research and management in the North Pacific, its future potential, and research and development needs. Electronic Fisheries Monitoring Workshop Proceedings

Discussion paper presented by NMFS to Council: <u>Issues Associated with Large Scale Implementation of Video Monitoring</u>, May 2006

NMFS Policy

Policy on Electronic Technologies and Fishery-Dependent Data Collection

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payment or gift will be provided under this program.

10. <u>Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.</u>

As stated on the forms, the information collected under Magnuson-Stevens Act, as amended in 2006, is confidential under section 402(b). The information is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

11. <u>Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.</u>

This information collection does not involve information of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

Estimated total unique respondents: 140 (78 groundfish catcher/processors, 15 crab catcher/processors, 13 shoreside processors, 34 freezer longline catcher/processors) increased from 94. Estimated total responses: 69,038, increased from 38,221. Estimated total time burden: 11,037 hours, decreased from 11,259 hours. Estimated total personnel cost: \$409,057, up from \$267,575. Personnel labor costs are estimated to the average wage equivalent to a GS-7 employee in Alaska, including COLA, at \$37 per hour.

13. <u>Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).</u>

Total operational and maintenance costs: \$349,706, down from \$485,963.

Total capital costs, \$546,000.

Total costs: \$895,706.

The benefits from improved accuracy of scale estimates pay off ultimately with improvements in fisheries stock management and cooperative management that increase the value of the fish stock to society. The magnitude of these benefits cannot be quantitatively estimated at this time.

Summary of costs and benefits

Action	Costs	Benefits
Use sand bags for tests	About 1/3 of the regulated vessels (23 vessels) will have to start to use sand bags. Tests may take longer, sand bags need to be stored, small initial purchase price.	Improve scale-testing accuracy; eliminate a potential way to manipulate test results; tests can take place when relatively few fish are aboard.
Daily electronic reporting	Some additional time required to input – into an existing daily electronic report - a small amount of information for each test. Some vessels will have to adopt use of electronic logbooks and will incur costs for this. Some training required, including a workshop estimated to cost about \$3,000.	Reduced potential for fraud and improved ability for NMFS to monitor scale status during the year.
Document failed tests	Additional record keeping when multiple tests take place.	Less bias in overall test results. Ability to monitor scale results.
Clarify regulations on testing frequency	Number of tests should not be affected, but a small number of vessels may be required to suspend fishing for testing more often.	Better consistency in reporting through time. Enhanced regulatory clarity.
Change maximum allowable percent error	Costs of changing the maximum permissible error to 2 percent or to 1 percent are difficult to estimate. They could be substantial if vessels are forced to end trips prematurely and return to port for flow scale adjustments or repairs. Costs would be greater for the 1 percent threshold than for the 2 percent threshold (depending upon the precision of the instrumentation).	If catch estimates are made more accurate, there would be benefits for stock management and for cooperative management. Benefits would be greater for the 1 percent threshold than for the 2 percent threshold (depending upon the precision of the instrumentation). Benefits may be limited if vessels keep testing until they reach the threshold, but actual scale performance reverts to mean during operations.
Log calibrations and faults	The estimated cost of new software for the fleet is about \$136,000. Ten vessels will need to purchase new scale heads, because their current scale heads cannot run the new calibration software. They do not need to purchase the entire scale though, just the scale head. The new scale heads are estimated to cost \$30,400 each. The total cost for all of these 10 vessels to replace scale heads or update software is estimated to be about \$41,000 (i.e., \$4,100/vessel). The rest of the fleet has the new scale heads already, but they will need to upgrade to the new software.	Automatic recording of flow scale fault conditions and calibrations will enhance the audit trail, provide useful diagnostic information to vessels and NMFS staff, and highlight patterns of improper scale calibration for NOAA investigators.
Require video monitoring of scales	Costs may vary, depending on existing video installations. Aggregate fleetwide costs were expected to range between \$108,000 and \$630,000, with a midpoint estimate of about \$369,000. Estimated costs of \$7,000 would be incurred for NMFS inspections. Costs for use of the video by OLE in enforcement cases are unknown.	NMFS will be able to verify that all catch is being weighed, that no one is tampering with the scale, and that the scale is operating correctly.

14. Provide estimates of annualized cost to the Federal government.

Estimated total responses: 548, up from 389. Estimated total time burden: 463 hr, up from 432. Estimated total personnel cost: \$17,051, up from \$10,675. Estimated total miscellaneous cost: \$33,000.

15. Explain the reasons for any program changes or adjustments.

Capital costs are fixed, one-time expenses incurred on the purchase of land, buildings, construction, and equipment used in the production of goods or in the rendering of services.

Program changes

Scale heads & calibration software are required. Includes purchase and installation, \$177,000

A new Flow scale video monitoring system is required. Includes purchase and installation, \$ 369,000.

Inspection request for flow scale video monitoring system

an increase of 78 respondents and responses, 78 instead of 0

an increase of 156 hours, 156 instead of 0 hours

an increase of \$5,772 personnel costs, \$5,772 instead of \$0

an increase of \$26 miscellaneous costs, \$26 instead of \$0

Printed output flow scale, audit trail [NEW]

an increase of 78 respondents, 78 instead of 0

an increase of 10,530 responses, 10,530 instead of 0

an increase of 176 hours, 176 instead of 0

an increase of \$6,512 personnel costs, \$6,512 instead of \$0

Printed output flow scale, calibration log [NEW]

an increase of 78 respondents, 78 instead of 0

an increase of 10,530 responses, 10,530 instead of 0

an increase of 176 hours, 176 instead of 0

an increase of \$6,512 personnel costs, \$6,512 instead of \$0

Printed output flow scale, fault log [NEW]

an increase of 78 respondents, 78 instead of 0

an increase of 10,530 responses, 10,530 instead of 0

an increase of 176 hours, 176 instead of 0

an increase of \$6,512 personnel costs, \$6,512 instead of \$0

Electronic monitoring system –REMOVED

a decrease of 648 responses, 0 instead of 648

a decrease of 648 hours, 0 instead of 648

a decrease of \$435,737 in capital costs, 0 instead of \$435,737.

Net program changes: Increases of 31,020 responses, 36 hours and \$110,289.

Adjustments (mostly increase in hourly rate)

Notify observer of flow scale tests

- a decrease of 1 respondent, 78 instead of 79
- a decrease of 101 responses, 10,530 instead of 10,665
- a decrease of 5 hours, 351 instead of 356 hours
- an increase of \$4,087 personnel costs, \$12,987 instead of \$8,900

Daily records from flow scale tests

- a decrease of 1 respondent, 78 instead of 79
- a decrease of 101 responses, 10,530 instead of 10,665
- a decrease of 54 hours, 7,898 instead of 7,999 hours
- a decrease of 92,251 personnel costs, \$199,975instead of \$29,226
- a decrease of \$2,765 miscellaneous costs, \$0 instead of \$2,765

Printed output flow scale, catch weight

- a decrease of 1 respondent, 78 instead of 79
- a decrease of 135 responses, 10,530 instead of 10,665
- a decrease of 2 hours, 176 instead of 178 hours
- an increase of \$2,062 personnel costs, \$6,512 instead of \$4,450
- a decrease of \$2,765 miscellaneous costs, \$0 instead of \$2,765

<u>Inspection request for observer sampling station</u>

- a decrease of 1 respondents and responses, 78 instead of 79
- a decrease of 2 hours, 156 instead of 158
- an increase of \$1,822 personnel costs, \$5,772 instead of \$3,950
- an increase of \$56 miscellaneous costs, \$26 instead of \$13

<u>Inspection request</u>, bin video monitoring system

- an increase of 1 respondents and responses, 22 instead of 21
- an increase of 2 hours, 44 instead of 42
- an increase of \$578 personnel costs, \$1,628 instead of \$1,050
- an increase of \$12 miscellaneous costs, \$14 instead of \$2

Inspection request for Chinook salmon bycatch video monitoring system

- a decrease of 32 respondents and responses, 22 instead of 54
- a decrease of 64 hours, 44 instead of 108
- a decrease of \$1,072 personnel costs, \$1,628 instead of \$2,700
- an increase of \$9 miscellaneous costs, \$14 instead of \$5

Inspection request for Freezer longline video monitoring system

- a decrease of 20 respondents and responses, 34 instead of 54
- a decrease of 40 hours, 68 instead of 108
- a decrease of \$184 personnel costs, \$2,516 instead of \$2,700
- an increase of \$22 miscellaneous costs, \$27 instead of \$5

Notify NMFS Pacific cod monitoring option

an increase of \$204 personnel costs, \$629 instead of \$425

Inspection request for CMP (includes hopper scale)

an increase of \$12 personnel costs, \$37 instead of \$25

an increase of \$1 miscellaneous costs, \$2 instead of \$1

Notify observer of hopper scale tests (was in existing regulations, but not in PRA)

an increase of 1 respondent, 3 instead of 0

an increase of 405 responses, 405 instead of 0

an increase of 14 hours, 14 instead of 0

an increase of \$518 personnel costs, \$518 instead of \$0

Daily records of hopper scale tests (was in existing regulations, but not in PRA)

an increase of 3 respondents, 3 instead of 0

an increase of 405 responses, 405 instead of 0

an increase of 304 hours, 304 instead of 0

an increase of \$1,258 personnel costs, \$1,258 instead of \$0

Printed output hopper scale, catch weight tests (was in existing regulations, but not in PRA)

an increase of 3 respondents, 3 instead of 0

an increase of 405 responses, 405 instead of 0

an increase of 7 hours, 7 instead of 0

an increase of \$259 personnel costs, \$259 instead of \$0

Printed output hopper scale, audit trail tests (was in existing regulations, but not in PRA)

an increase of 3 respondents, 3 instead of 0

an increase of 405 responses, 405 instead of 0

an increase of 7 hours, 7 instead of 0

an increase of \$259 personnel costs, \$259 instead of \$0

Inspection request CMCP

an increase of \$12 miscellaneous costs, \$37 instead of \$25

Printed output State scale

an increase of 6 hours, 29 instead of 35

an increase of \$198 personnel costs, \$1,073 instead of \$875

Notify observer of Bering Sea pollock delivery

an increase of 4 hours, 90 instead of 86

an increase of \$1,180 personnel costs, \$3,330 instead of \$2,150

Notify observer of CDQ delivery

a decrease of 4 respondents, 4 instead of 8

a decrease of 1,024 responses, 56 instead of 1,080

a decrease of 84 hours, 2 instead of 86

a decrease of \$2,076 personnel costs, \$74 instead of \$2150

Notify observer of Rockfish delivery

an increase of 8 hours, 56 instead of 64 an increase of \$722 personnel costs, \$2,072 instead of \$1,350

Crab CMP plan

an increase of \$2,800 personnel costs, \$8,800 instead of \$6,000 an increase of \$14 miscellaneous costs, \$25 instead of \$11

Crab CMP addendum

an increase of \$288 personnel costs, \$888 instead of \$600 an increase of \$2 miscellaneous costs, \$4 instead of \$2

CMCP plan

an increase of \$6,240 personnel costs, \$19,240 instead of \$13,000

CMCP addendum

an increase of \$384 personnel costs, \$1,184 instead of \$800

<u>Testing of new scales – REMOVED temporarily</u>

- a decrease of 2 respondents and responses, 0 instead of 2
- a decrease of 100 hours, 0 instead of 100
- a decrease of \$24,850 in miscellaneous costs, 0 instead of \$24,850.

Net adjustments: Decreases of 203 responses, 258 hours and \$4,156,663.

16. For collections whose results will be published, outline the plans for tabulation and publication.

The information collected will not be published.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

Not Applicable.

18. Explain each exception to the certification statement.

Not Applicable.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This information collection does not employ statistical methods.