

## HAGUE AGREEMENT

## CONCERNING THE INTERNATIONAL REGISTRATION OF INDUSTRIAL DESIGNS

**APPLICATION FOR INTERNATIONAL REGISTRATION****IMPORTANT**

1. The international application may contain **several industrial designs (but may not exceed 100)**. However, all the industrial designs and/or products in relation to which such designs are to be used must belong to the **same class** of the International (Locarno) Classification. The list of classes and subclasses of the Locarno Classification together with Explanatory Notes is available on the following web site: <http://www.wipo.int/classifications/nivilo/>.
2. Photographs and other graphic representations of the industrial designs should be pasted or printed directly onto a separate sheet of A4 paper, white and opaque, in compliance with the instructions made available on document DM/1.inf.
3. A **Fee Calculator** is available on the WIPO web site: <http://www.wipo.int/hague/en/fees/calculator.jsp>.
4. Annex A allows the applicant to indicate that some or all of the industrial designs are "related designs" in respect of a designation of the Republic of Korea.
5. Annex B and B-docs allow the applicant to make a declaration concerning an exception to lack of novelty in respect of a designation of the Republic of Korea.
6. Annex C allows the applicant to submit a *Declaration of Inventorship*, or, if not possible, a *Substitute Statement in Lieu of a Declaration of Inventorship* in respect of a designation of the United States of America. It is mandatory if the United States of America is designated.
7. Annex D-docs allows the applicant to identify information known by the applicant to be material to the eligibility for protection of the industrial design(s) concerned in respect of a designation of the United States of America.
8. Annex E and E-docs allow the applicant to claim a reduction of the individual designation fee in respect of a designation of the United States of America.

This cover page must not be sent to the International Bureau.

World Intellectual Property Organization  
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APPLICATION FOR INTERNATIONAL REGISTRATION

<u>For use by the applicant</u>	<u>For use by the International Bureau</u>
<p>This international application contains the following number of continuation sheets:</p> <p>.....</p> <p>This international application is accompanied by the following Annexes:</p> <p><input type="checkbox"/> A</p> <p><input type="checkbox"/> B      <input type="checkbox"/> B-docs</p> <p><input type="checkbox"/> C</p> <p><input type="checkbox"/> D-docs</p> <p><input type="checkbox"/> E      <input type="checkbox"/> E-docs</p> <p>Reference: .....</p>	<p>Registration No.: .....</p> <p>Filing date: ..... Color: <input type="checkbox"/></p>

**1** APPLICANT

(a) Name: .....

(b) Address: .....

.....

(c) Telephone: ..... Fax: .....

E-mail address: .....

If there are several applicants, check box and use a continuation sheet, giving the above required information for each applicant

**2** ADDRESS FOR CORRESPONDENCE

(where there are **several applicants** with different addresses and no representative is appointed, an address for correspondence must be indicated. Where no such address has been indicated, the address of the person named above shall be treated as the address for correspondence. If there is **only one applicant** and no representative is appointed, this item should be completed only if the address for correspondence is different from the address given in item 1(b))

Address for correspondence: .....

.....

**3** ENTITLEMENT TO FILE

(With respect to each of the entitlement criteria (a) to (d) below, indicate the corresponding Contracting Party or Parties. If any item is not applicable, write "None". A list of the Contracting Parties bound by the 1999 Act and/or the 1960 Act is attached to the present form. Under item (d), only a Contracting Party bound by the **1999 Act** may be indicated. Where entitlement is derived from a connection with a Contracting Party that is a member State of an intergovernmental organization (European Union or African Intellectual Property Organization (OAPI)), both that member State and that intergovernmental organization should be indicated (such as "France, European Union") with respect to any of the corresponding criteria; where entitlement is derived from a connection with a member State of an intergovernmental organization that is not a Contracting Party, only that intergovernmental organization should be indicated.)

(a) Nationality: .....

(b) Domicile: .....

(c) Real and effective industrial or commercial establishment: .....

(d) Habitual residence: .....

If there are several applicants, check box and use a continuation sheet, giving the above required information for each applicant

**4**

**APPLICANT'S CONTRACTING PARTY** (where the 1999 Act applies)  
(indicate the Contracting Party or one of the Contracting Parties, bound by the 1999 Act and mentioned in item 3, that is to be considered as the applicant's Contracting Party.)

Applicant's Contracting Party: .....

If there are several applicants, check box and use a continuation sheet, giving the above required information for each applicant

**5**

**APPOINTMENT OF A REPRESENTATIVE** (if any)

(a) Name: .....

(b) Address: .....

Telephone: ..... Fax: .....

E-mail address: .....

(c) To appoint a representative, the present international application must either be signed by the applicant or be accompanied by a power of attorney (check the appropriate box):

item 14 of the international application is signed by the applicant

a power of attorney is attached to the present form

**6**

**IDENTITY OF THE CREATOR**

(This information is necessary if Bulgaria, Finland, Ghana, Hungary, Iceland, Norway, Republic of Korea, Romania, Serbia, Tajikistan or the United States of America is designated in item 11 – see paragraphs 35 to 38 of document DM/1.inf.)

(a) Name: .....

(b) Address: .....

**7**

**NUMBER OF INDUSTRIAL DESIGN(S), REPRODUCTION(S) AND/OR SPECIMEN(S)**

(a) Total number of industrial designs (maximum of 100): .....

(b) Total number of reproductions: .....

(i) in black and white: .....

(ii) in color: .....

(c) Total number of A4 pages comprising reproductions: .....

(d) Total number of specimens (if any): .....

**8**

**PRODUCTS WHICH CONSTITUTE THE INDUSTRIAL DESIGN OR IN RELATION TO WHICH IT IS TO BE USED**  
(see note 1 on cover page)

Class to which the industrial design(s) belong(s): .....

No. of the design (in numerical order)	Product(s)	Subclass (optional)

If the space provided is not sufficient, check this box and use a continuation sheet.

**9**

**DESCRIPTION**

(A brief description of the reproduction or of the characteristic features of the industrial design is required if the Syrian Arab Republic is designated.)

- (a) Characteristic features of the design **and/or** matter for which protection is not sought  
 (A brief description of the characteristic features of the design is required if Romania is designated.)

(Only the characteristic features of the industrial design(s) that appear in (a) reproduction(s) should be described. In addition, matter which is shown in a reproduction but for which protection is not sought may be indicated in the description (and/or by means of dotted or broken lines or coloring in the reproduction). It can furthermore disclose the operation or possible use of the industrial design as long as this description is not technical. If the description exceeds 100 words, an additional fee of 2 Swiss francs per word exceeding 100, shall be payable.)

-----  
 -----  
 -----  
 -----  
 -----

- (b) Reproductions (legends) (optional – necessary for a designation of the United States)  
 Associate the number in the margin of your reproduction with the corresponding code:  
**1** Perspective; **2** Front; **3** Back; **4** Top; **5** Bottom; **6** Left; **7** Right; **8** Reference; **9** Unfolded; **10** Exploded; **11** Cross-sectional; **12** Enlarged; **00** Other (limited to 50 characters)

(example)

No	Code	Other (indicate code 00)
1.1	1	

No	Code	Other (indicate code 00)	No	Code	Other (indicate code 00)	No	Code	Other (indicate code 00)

If the space provided is not sufficient, check this box and use a continuation sheet.

**10****CLAIM** (Required for the designation of the United States)

Claim:

The ornamental design for ..... as shown and described.

(Fill in the claim by indicating an article of manufacture in the blank space provided. If this is left blank, the International Bureau will insert the first product indicated in item 8 as the article of manufacture.)

**11****DESIGNATED CONTRACTING PARTIES**

Indicate, by ticking the appropriate box, each Contracting Party where protection is sought. The designated Contracting Party must be bound by an Act – the 1999 Act and/or the 1960 Act – to which one of the Contracting Parties indicated in item 3 is also bound (a list of Contracting Parties is annexed to the present form):

- |   |  |  |   |
|---|--|--|---|
| <input type="checkbox"/> AL Albania                   | <input type="checkbox"/> EG Egypt                | <input type="checkbox"/> KP Democratic People's<br>Republic of Korea     | <input type="checkbox"/> OA African Intellectual<br>Property Organization |
| <input type="checkbox"/> AM Armenia                   | <input type="checkbox"/> EM European Union       | <input type="checkbox"/> KR Republic of Korea <sup>2</sup>               | <input type="checkbox"/> OM Oman  |
| <input type="checkbox"/> AZ Azerbaijan                | <input type="checkbox"/> ES Spain                | <input type="checkbox"/> LI Liechtenstein                                | <input type="checkbox"/> PL Poland  |
| <input type="checkbox"/> BA Bosnia and<br>Herzegovina | <input type="checkbox"/> FI Finland <sup>1</sup> | <input type="checkbox"/> LT Lithuania                                    | <input type="checkbox"/> RO Romania                                       |
| <input type="checkbox"/> BG Bulgaria                  | <input type="checkbox"/> FR France               | <input type="checkbox"/> LV Latvia                                       | <input type="checkbox"/> RS Serbia  |
| <input type="checkbox"/> BJ Benin                     | <input type="checkbox"/> GA Gabon                | <input type="checkbox"/> MA Morocco                                      | <input type="checkbox"/> RW Rwanda  |
| <input type="checkbox"/> BN Brunei Darussalam         | <input type="checkbox"/> GE Georgia              | <input type="checkbox"/> MC Monaco                                       | <input type="checkbox"/> SG Singapore                                     |
| <input type="checkbox"/> BW Botswana                  | <input type="checkbox"/> GH Ghana <sup>1</sup>   | <input type="checkbox"/> MD Republic of Moldova                          | <input type="checkbox"/> SI Slovenia                                      |
| <input type="checkbox"/> BX Benelux                   | <input type="checkbox"/> GR Greece               | <input type="checkbox"/> ME Montenegro                                   | <input type="checkbox"/> SN Senegal                                       |
| <input type="checkbox"/> BZ Belize                    | <input type="checkbox"/> HR Croatia              | <input type="checkbox"/> MK The former Yugoslav<br>Republic of Macedonia | <input type="checkbox"/> SR Suriname                                      |
| <input type="checkbox"/> CH Switzerland               | <input type="checkbox"/> HU Hungary <sup>1</sup> | <input type="checkbox"/> ML Mali   | <input type="checkbox"/> ST Sao Tome and Principe                         |
| <input type="checkbox"/> CI Côte d'Ivoire             | <input type="checkbox"/> IS Iceland <sup>1</sup> | <input type="checkbox"/> MN Mongolia                                     | <input type="checkbox"/> SY Syrian Arab Republic                          |
| <input type="checkbox"/> DE Germany                   | <input type="checkbox"/> IT Italy                | <input type="checkbox"/> NA Namibia                                      | <input type="checkbox"/> TJ Tajikistan                                    |
| <input type="checkbox"/> DK Denmark                   | <input type="checkbox"/> KG Kyrgyzstan           | <input type="checkbox"/> NE Niger  | <input type="checkbox"/> TN Tunisia                                       |
| <input type="checkbox"/> EE Estonia                   |  | <input type="checkbox"/> NO Norway                                       | <input type="checkbox"/> TR Turkey  |
|   |  |  | <input type="checkbox"/> UA Ukraine                                       |
|   |  |  | <input type="checkbox"/> US United States of<br>America                   |

<sup>1</sup> If **Finland, Ghana, Hungary** and/or **Iceland** is/are designated, it is compulsory to indicate, in item 6, the identity of the creator. The latter declares that he believes himself to be the creator of the industrial design. Where the person identified as the creator is a person other than the applicant, it is hereby stated that the present international application has been assigned by the creator to the applicant.

<sup>2</sup> Products belonging to class 32 (of the Locarno classification) cannot receive protection under the law of the Republic of Korea. Consequently, any designation of the Republic of Korea in an international registration for industrial designs in class 32 would be the subject of a refusal by the Office of the Republic of Korea (KIPO).

**12**

**PRIORITY CLAIM (optional)**

If the space provided is not sufficient, check this box and use a continuation sheet

The applicant claims the priority of the earlier filing mentioned below

Indicate the number of each industrial design for which the priority is, or is not claimed. If no industrial design is indicated, it will be understood that the priority claim relates to all industrial designs included in the present application:

Priority is **claimed** for the designs indicated below    **or**     Priority is **not claimed** for the designs indicated below

Office of earlier filing	No. of earlier filing (if available)	Date of earlier filing (dd/mm/yyyy)	No. of the industrial design(s)
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

**13**

**INTERNATIONAL EXHIBITION (optional)**

If the space provided is not sufficient, check this box and use a continuation sheet

The applicant claims that one or more designs were shown at an official, or officially recognized, international exhibition

(a) Place where exhibition was held: .....

(b) Name of the exhibition: .....

(c) Date on which product(s) was (were) first exhibited: .....

(d) Number of each industrial design shown, or not shown, at the exhibition concerned (if no industrial design is indicated, it will be understood that all industrial designs were shown at the above indicated exhibition):

The industrial designs indicated below were **shown** at the exhibition concerned    **or**     The industrial designs indicated below were **not shown** at the exhibition concerned

.....

**14**

**PUBLICATION OF THE INTERNATIONAL REGISTRATION**

(a) If the reproductions, or some of the reproductions, are in color, they will be published in color.

If the applicant requests the publication of the reproductions **in black and white** despite the fact that they are presented in color, check this box

(b) Timing of publication (publication will take place six months after the date of the international registration, unless the applicant requests one of the options below):

(i) The applicant requests the immediate publication of the international registration

(ii) The applicant requests a deferment of publication

• Period of deferment requested (in months): .....

Warning:

The period of deferment of publication cannot exceed 30 months counted from the date of the international application, or if priority is claimed, from the priority date. However:

- if **Iceland, Poland, Singapore** or the United States is designated, or if **Hungary, Monaco** or **Ukraine** is designated under the 1999 Act, the applicant may NOT request deferment of publication;
- if **Denmark, Finland** or **Norway** is designated, the period of deferment cannot exceed **6 months**;
- if a Contracting Party is designated under the 1960 Act, or if **Croatia, Estonia, OAPI, Slovenia** or the **Syrian Arab Republic** is designated, the period of deferment cannot exceed **12 months**.

**15**

## SIGNATURE BY THE APPLICANT OR HIS REPRESENTATIVE

ApplicantRepresentative of the applicant

Name: .....

Signature and/or seal: .....

Date of signature (dd/mm/yyyy): .....

Name of the person to contact, if necessary: .....

Telephone: ..... E-mail address: .....

**16**

## OFFICE PRESENTING THE REQUEST (if applicable)

Name of the Office: .....

Date of receipt of the international application by the Office: .....

Signature and/or seal of the Office: .....

## PAYMENT OF FEES

The applicant has requested a deferment in publication (item 13(b)(ii)) and wishes to pay the publication fees at a later date but not later than three months before the period of deferment expires.

### 1. INSTRUCTION TO DEBIT FROM A CURRENT ACCOUNT

(if this box is completed, it is not necessary to complete items 2 and 3 below)

The International Bureau is hereby instructed to debit the required amount of fees from the following current account opened with the International Bureau:

Holder of the account: ..... Account number: .....

Identity of the party giving the instruction: .....

### 2. METHOD OF PAYMENT

Identity of the party effecting the payment: .....

Payment made to WIPO bank account  
 IBAN No. CH51 0483 5048 7080 8100 0  
 Credit Suisse, CH-1211 Geneva 70  
 Swift/BIC: CRESCHZZ80A

Payment identification

dd/mm/yyyy

Payment made to WIPO postal account  
 IBAN No. CH03 0900 0000 1200 5000 8  
 Swift/BIC: POFICHBE

Payment identification

dd/mm/yyyy

**GRAND TOTAL IN SWISS FRANCS**  
 (see note 3 on cover page or use the fee calculation sheet attached herewith) .....



## FEE CALCULATION SHEET

This sheet is provided for the convenience of users.  
It is not necessary to complete it if the fee calculator has been used.

3. AMOUNT OF FEES (see Fee Calculator: <a href="http://www.wipo.int/hague/en/fees/calculator.jsp">www.wipo.int/hague/en/fees/calculator.jsp</a> )	
(a) <u>Basic fees</u> <sup>3</sup>	
for one design .....	397.--
for each additional design	
19 Swiss francs × ..... designs in addition to the first .....	
(b) <u>Designation fees</u>	
(i) <u>Standard designation fees</u> <sup>2</sup> (only for Contracting Parties that do not require individual designation fees)	
There are three levels of standard designation fees. The list following the present calculation sheet indicates which level applies for each Contracting Party.	
Level 1:	
42 Swiss francs for the first design × ..... Contracting Parties	
+ 2 Swiss francs × ..... designs in addition to the first × ..... Contracting Parties .....	
Level 2:	
60 Swiss francs for the first design × ..... Contracting Parties	
+ 20 Swiss francs × ..... designs in addition to the first × ..... Contracting Parties .....	
Level 3 <sup>4</sup> :	
90 Swiss francs for first design × ..... Contracting Parties	
+ 50 Swiss francs × ..... designs in addition to the first × ..... Contracting Parties .....	
(ii) <u>Individual designation fee</u> (payable only for the following Contracting Parties)	
European Union:	67 Swiss francs for first design + 67 Swiss francs × ..... designs in addition to the first .....
Hungary:	84 Swiss francs for first design + 20 Swiss francs × ..... designs in addition to the first .....
Kyrgyzstan:	129 Swiss francs for first design + 64 Swiss francs × ..... designs in addition to the first .....
OAPI:	83 Swiss francs for a single design / 124 Swiss francs for a multiple deposit (more than one design). <u>Applicants from Least Developed Countries:</u> 8 Swiss francs for a single design / 12 Swiss francs for a multiple deposit (more than one design) .....
Republic of Korea <sup>4</sup> :	210 Swiss francs × ..... designs .....
Republic of Moldova:	89 Swiss francs for first design + 9 Swiss francs × ..... designs in addition to the first .....
United States:	682 Swiss francs (or where Annex E applies, 341 Swiss francs for a small entity or 171 Swiss francs for ..... a micro entity) .....
(c) <u>Publication fees</u> <sup>2</sup>	
(i) Fee per reproductions	17 Swiss francs × ..... reproductions .....
(ii) Fee per page on which reproductions are presented	150 Swiss francs × ..... page(s) in addition to the first .....
(d) <u>Additional fee where the description exceeds 100 words</u> <sup>2</sup>	
2 Swiss francs × ..... words exceeding 100 .....	
<b>GRAND TOTAL (SWISS FRANCS)</b> .....	

<sup>3</sup> For international applications filed by applicants whose sole entitlement is a connection with a Least Developed Country (LDC), in accordance with the list established by the United Nations ([www.wipo.int/ldcs/en/country](http://www.wipo.int/ldcs/en/country)), these fees are reduced to 10% of the prescribed amounts. For the exact applicable amounts, see the Fee Calculator: [www.wipo.int/hague/en/fees/calculator.jsp](http://www.wipo.int/hague/en/fees/calculator.jsp)

<sup>4</sup> For international applications for designs belonging to any class of the Locarno classification other than 2, 5 and 19, the individual designation fee applies in respect of a designation of the Republic of Korea. For those designs belonging to classes 2, 5 and 19, level 3 of the standard designation fee applies.

## CONTRACTING PARTIES OF THE HAGUE AGREEMENT

(in respect of each Contracting Party, the table below provides indications of the Act or Acts binding that Contracting Party and further indicates what kind of designation fee is payable for the designation of that Contracting Party<sup>5</sup>)

Contracting Parties of the Hague Agreement		Acts of the Hague Agreement	
		<i>1999 Act</i>	<i>1960 Act</i>
(AL)	Albania	1	1
(AM)	Armenia	1	
(AZ)	Azerbaijan	1	
(BA)	Bosnia and Herzegovina	1	
(BG)	Bulgaria	2	2
(BJ)	Benin		1
(BN)	Brunei Darussalam	1	
(BW)	Botswana	1	
(BX)	Benelux		1
(BZ)	Belize		1
(CH)	Switzerland	2	2
(CI)	Côte d'Ivoire		1
(DE)	Germany	2	2
(DK)	Denmark	2	
(EE)	Estonia	2	
(EG)	Egypt	1	
(EM)	European Union	<i>IF</i>	
(ES)	Spain	3	
(FI)	Finland	3	
(FR)	France	1	1
(GA)	Gabon		1
(GE)	Georgia	3	3
(GH)	Ghana	3	
(GR)	Greece		1
(HR)	Croatia	2	2
(HU)	Hungary	<i>IF</i>	<i>IF</i>
(IS)	Iceland	3	
(IT)	Italy		1
(KG)	Kyrgyzstan	<i>IF</i>	<i>IF</i>
(KP)	Democratic People's Republic of Korea		3
(KR)	Republic of Korea	<i>IF/3</i> <sup>6</sup>	
(LI)	Liechtenstein	1	1
(LT)	Lithuania	3	
(LV)	Latvia	2	
(MA)	Morocco		2
(MC)	Monaco	1	1
(MD)	Republic of Moldova	<i>IF</i>	<i>IF</i>
(ME)	Montenegro	1	1
(MK)	The former Yugoslav Republic of Macedonia	1	1
(ML)	Mali		1
(MN)	Mongolia	1	1
(NA)	Namibia	1	
(NE)	Niger		1
(NO)	Norway	2	

<sup>5</sup> A numeral (1, 2 or 3) indicates the applicable level of standard designation fee and the letters "IF" indicate that individual designation fees are applicable (the amounts of all these fees are indicated in the Fee Calculation Sheet). Where no indication (numeral or letters) appears, this means that the Contracting Party is not bound by the Act at hand.

<sup>6</sup> For international applications for designs belonging to any class of the Locarno classification other than 2, 5 and 19, the individual designation fee applies in respect of a designation of the Republic of Korea. For those designs belonging to classes 2, 5 and 19, level 3 of the standard designation fee applies.

Contracting Parties of the Hague Agreement		Acts of the Hague Agreement	
		<i>1999 Act</i>	<i>1960 Act</i>
(OA)	African Intellectual Property Organization (OAPI)	<i>IF</i>	
(OM)	Oman	<i>1</i>	
(PL)	Poland	<i>2</i>	
(RO)	Romania	<i>3</i>	<i>3</i>
(RS)	Serbia	<i>3</i>	<i>3</i>
(RW)	Rwanda	<i>1</i>	
(SG)	Singapore	<i>1</i>	
(SI)	Slovenia	<i>1</i>	<i>1</i>
(SN)	Senegal		<i>1</i>
(SR)	Suriname		<i>1</i>
(ST)	Sao Tome and Principe	<i>1</i>	
(SY)	Syrian Arab Republic	<i>3</i>	
(TJ)	Tajikistan	<i>1</i>	
(TN)	Tunisia	<i>2</i>	
(TR)	Turkey	<i>1</i>	
(UA)	Ukraine	<i>2</i>	<i>2</i>
(US)	United States of America	<i>IF</i>	

HAGUE AGREEMENT  
CONCERNING THE INTERNATIONAL REGISTRATION OF INDUSTRIAL DESIGNS

**ANNEX A**

**TO THE APPLICATION FOR INTERNATIONAL REGISTRATION (FORM DM/1)**

**IMPORTANT**

1. This Annex is to indicate that some or all of the industrial designs contained in the international application are to be registered as "related designs" as provided for under the design law of the Republic of Korea.
2. This item concerns a situation that may only arise in respect of a designation of the Republic of Korea (see paragraphs XX to YY of document DM/1.inf.).
3. This Annex must be submitted with the DM/1 form. This Annex cannot be submitted alone.
4. For detailed information concerning the related design system under the design law of the Republic of Korea, please visit the web site of the Korean Intellectual Property Office (KIPO) available at: <http://www.wipo.int/directory/en/urls.jsp>.

This cover page must not be sent to the International Bureau.

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ANNEX A

<p style="text-align: center;"><u>For use by the applicant</u></p> <p>This annex concerns the international application referred by the applicant as below:</p> <p>Reference: .....</p>	<p style="text-align: center;"><u>For use by the International Bureau</u></p>
---	---

**A** RELATION WITH A PRINCIPAL DESIGN, APPLICATION OR REGISTRATION (OPTIONAL)

(a) Check the appropriate box:

- The applicant requests **all** the industrial designs which are contained in the present international application to be registered as a related design, or
- The applicant requests **the following** industrial designs which are contained in the present international application to be registered as a related design(s):

(Indicate the number(s) of the industrial design(s) concerned.)

.....

.....

.....

- If the applicant checks the second box above, and further requests one or some other industrial designs contained in the present international application to be registered as a related design(s) which should be in relation to another “principal design”, also check this box and use a continuation sheet, in which the number(s) of the industrial design(s) concerned must be indicated, and the information as required in item (b) must be provided. Accordingly, this Annex is followed by a total of ..... continuation sheets.

(b) Information concerning the **principal design** (check the appropriate box, and provide the required information)

(i) The principal design is the subject of:

- the present international application
- a prior international application designating the Republic of Korea: WIPO reference<sup>1</sup>: .....
- a prior international registration designating the Republic of Korea: International Registration No.: .....
- a prior national application filed with the Office of the Republic of Korea: Application No.: .....  
(If the number of the national application has not been given, the applicant’s reference: .....) )
- a prior national registration at the Office of the Republic of Korea: National registration No.: .....

(ii) If the above national or international application or registration contains more than one industrial design, indicate the number of the industrial design to be considered as the principal design:

Design number of the principal design: .....

<sup>1</sup> WIPO reference is given to the applicant by the International Bureau. It is indicated, in the latter’s acknowledgement of receipt of an international application, either as “WIPO xxxx (4 digits)” or “xxxxxxxx (8 digits)”.

HAGUE AGREEMENT  
CONCERNING THE INTERNATIONAL REGISTRATION OF INDUSTRIAL DESIGNS

**ANNEX B**

**TO THE APPLICATION FOR INTERNATIONAL REGISTRATION (FORM DM/1)**

**IMPORTANT**

1. This Annex is to make a declaration concerning exception to lack of novelty, in order to benefit from exceptional treatment provided for under the law of the Republic of Korea.
2. The above declaration only concerns a designation of the Republic of Korea (see paragraphs 117 to 121 of document DM/1.inf.) made in an international application.
3. This Annex must be submitted with the DM/1 form. This Annex cannot be submitted alone.
4. To submit documentation in support of the declaration contained in this Annex, please use DM/1 – Annex B-docs.
5. For detailed information on the declaration concerning exception to lack of novelty under the design law of the Republic of Korea, please visit the web site of the Korean Intellectual Property Office (KIPO) available at: <http://www.wipo.int/directory/en/urls.jsp>.

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e-mail: [intreg.mail@wipo.int](mailto:intreg.mail@wipo.int) – Internet: <http://www.wipo.int>

ANNEX B

<u>For use by the applicant</u>	<u>For use by the International Bureau</u>
This annex concerns the international application referenced by the applicant as below: Reference: .....	Contracting Party: KR

<b>B</b>	DECLARATION CONCERNING EXCEPTION TO LACK OF NOVELTY (OPTIONAL)
<input type="checkbox"/>	The applicant claims to benefit from exceptional treatment provided for in the design law of the Republic of Korea, for disclosure of all the industrial designs, or the industrial designs indicated below, included in the present application.  ..... ..... ..... ..... .....

**ANNEX B-docs: SUPPORTING DOCUMENT(S) SLIP**

**IMPORTANT**

1. This Annex is to submit supporting documentation accompanying an international application in support of a declaration concerning exception to lack of novelty (DM/1 Annex B).
2. This Annex must be submitted with the DM/1 and DM/1 B form. It cannot be submitted alone.
3. Please number your pages.

<p><u>For use by the applicant</u></p> <p>This annex concerns the international application referenced by the applicant as below:</p> <p>Reference: .....</p>	<p><u>For use by the International Bureau</u></p> <p>Document type: DDD</p>
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<div style="display: flex; align-items: center;"> <div style="background-color: black; color: white; padding: 2px 5px; font-weight: bold; margin-right: 5px;">B-docs</div> <div>SUPPORTING DOCUMENTS TO SUBMIT TO AN OFFICE</div> </div>		
Contracting Party concerned	Design(s) number(s) (leave empty if the documents concern all designs)	Number of pages
<b>KR</b>		

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HAGUE AGREEMENT

CONCERNING THE INTERNATIONAL REGISTRATION OF INDUSTRIAL DESIGNS

**ANNEX C**

**TO THE APPLICATION FOR INTERNATIONAL REGISTRATION (FORM DM/1)**

**IMPORTANT**

1. This Annex is mandatory content for an international application designating the United States of America.
2. This Annex is to submit a *Declaration of Inventorship* (Declaration), following Rule 8(1)(ii) or, in the impossibility to provide such declaration, a *Substitute Statement in Lieu of a Declaration of Inventorship* (Substitute Statement). Please provide a Declaration OR a Substitute Statement for each creator indicated under item 6 of the DM/1 form.
3. The legal concepts found in these two forms relate to the national laws of the United States of America. In particular, please note that the “inventor” should be the same as the “creator” indicated under item 6 of the DM/1 form.
4. For detailed information on the inventor’s oath or declaration, please visit the USPTO website.
5. This Annex must be submitted with the DM/1 form. It cannot be submitted alone.

This cover page must not be sent to the International Bureau.

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ANNEX C - DECLARATION

<p style="text-align: center;"><u>For use by the applicant</u></p> <p>This annex concerns the international application referenced by the applicant as below:</p> <p>Reference: .....</p>	<p style="text-align: center;"><u>For use by the International Bureau</u></p> <p>Contracting Party: US</p>
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DECLARATION OF INVENTORSHIP FOR THE PURPOSES OF THE DESIGNATION OF THE UNITED STATES OF AMERICA

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

This declaration is directed to the attached international application *(if filing declaration with application)*.

This declaration is directed to international application ..... *(if furnishing declaration after filing the application, the declaration must identify the international application by the application number assigned by the International Bureau or the office of indirect filing, or by applicant's reference number which was on the application as filed)*.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Name of Inventor: .....

Inventor's Signature: .....

Date (Optional): .....

*(The signature must be that of the inventor, not that of the agent)*

Residence *(required only if the inventor lives at a location different from the mailing address contained in the international application)*:

.....

*(city and either U.S. state, if applicable, or country)*

ANNEX C – SUBSTITUTE STATEMENT (Page 1 of 2)

<p style="text-align: center;"><u>For use by the applicant</u></p> <p>This annex concerns the international application referenced by the applicant as below:</p> <p>Reference: .....</p>	<p style="text-align: center;"><u>For use by the International Bureau</u></p> <p>Contracting Party: US</p>
---	--

C

SUBSTITUTE STATEMENT IN LIEU OF A DECLARATION OF INVENTORSHIP  
FOR THE PURPOSES OF THE DESIGNATION OF THE UNITED STATES OF AMERICA

This statement is directed to:

The attached international application,

OR

international application \_\_\_\_\_ *(if furnishing statement after filing the application, the statement must identify the international application by the application number assigned by the International Bureau or the office of indirect filing, or by applicant's reference number which was on the application as filed).*

**NAME of inventor to whom this substitute statement applies:**

Residence *(not required if the inventor lives at the same location as the mailing address contained in the international application, or the inventor is deceased or legally incapacitated):*

City	U.S. State (if applicable)	Country
------	----------------------------	---------

I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Relationship to the inventor to whom this substitute statement applies:

Legal Representative (for deceased or legally incapacitated inventor only),

Assignee,

Person to whom the inventor is under an obligation to assign,

Person who otherwise shows a sufficient proprietary interest in the matter (a petition under 37 CFR 1.46 is required and should be submitted directly to the USPTO after publication of the international registration), or

Joint Inventor.

**ANNEX C – SUBSTITUTE STATEMENT (Page 2 of 2)**

Circumstances permitted execution of this substitute statement:				
<input type="checkbox"/> Inventor is deceased,				
<input type="checkbox"/> Inventor is under legal incapacity,				
<input type="checkbox"/> Inventor cannot be found or reached after diligent effort, or				
<input type="checkbox"/> Inventor has refused to execute the oath or declaration under 37 CFR 1.63.				
<b>PERSON EXECUTING THIS SUBSTITUTE STATEMENT</b>				
Name:		Date (Optional):		
Signature:				
<b>The party who is signing the substitute statement must be an applicant. If the applicant is a juristic entity (legal entity), list the applicant name and the title of the signer below.</b>				
Applicant Name:				
Title of Person Executing this Substitute Statement:				
<i>The signer, whose title is supplied above, is authorized to act on behalf of the applicant.</i>				
<b>Residence of the signer</b> (not required if the signer's mailing address is contained in the international application or indicated below and the signer lives at the same location as the mailing address)				
City	U.S. State (if applicable)		Country	
<b>Mailing Address of the signer</b> (not required if contained in the international application)				
City	U.S. State (if applicable)	Zip	Country	

**ANNEX D-docs: Information known by the applicant  
to be material to the eligibility for protection of the industrial design  
concerned**

**IMPORTANT**

1. This Annex is optional and serves to submit a statement under Rule 7(5)(g) that identifies information known by the applicant to be material to the eligibility for protection of the industrial design concerned.
2. This Annex is only relevant for the designation of the United States of America and serves to submit an *Information Disclosure Statement* and relevant accompanying documentation as prescribed under the United States national laws.
3. The *Information Disclosure Statement* forms (SB08a/SB08b/SB08a-EFS-web) are available on United States Patent and Trademark Office (USPTO) website: <[http://www.uspto.gov/forms/aia\\_forms.jsp](http://www.uspto.gov/forms/aia_forms.jsp)>.
4. For detailed information on the *Information Disclosure Statement*, please visit the USPTO website: <<http://www.uspto.gov/web/offices/pac/mpep/s609.html>>.
5. This Annex must be submitted with the DM/1. It cannot be submitted alone.
6. Please number your pages.

For use by the applicant

This annex concerns the international application referenced by the applicant as below:

Reference: .....

For use by the International Bureau

Document type:

**D-docs**

DECLARATION

Number of pages : .....

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HAGUE AGREEMENT

CONCERNING THE INTERNATIONAL REGISTRATION OF INDUSTRIAL DESIGNS

**ANNEX E: REDUCTION OF INDIVIDUAL DESIGNATION FEES**  
**Section 408(b) of the Administrative Instructions**

TO THE APPLICATION FOR INTERNATIONAL REGISTRATION (FORM DM/1)

**IMPORTANT**

1. This optional Annex is to claim a reduction of individual designation fees, following Section 408(b) of the Administrative Instructions, for the purpose of a designation of the United States of America.
2. The requirements to qualify for a reduction for **small entity** status are set forth in 37 CFR 1.27 (United States national law) and detailed in Section 509.03 of the Manual of Patent Examining Procedure available on United States Patent and Trademark Office website: <<http://www.uspto.gov/web/offices/pac/mpep/s509.html#d0e31381>>
3. The requirements to qualify for a reduction for **micro entity** status are set forth in 37 CFR 1.29 (United States national law) and detailed in Section 509.04 of the Manual of Patent Examining Procedure available on United States Patent and Trademark Office website: <[http://www.uspto.gov/web/offices/pac/mpep/s509.html#ch500\\_d1ff69\\_210b3\\_1ca](http://www.uspto.gov/web/offices/pac/mpep/s509.html#ch500_d1ff69_210b3_1ca)>. To establish **micro entity** status, a certification of micro entity status must be submitted using an Annex E-docs form.
4. This Annex must be submitted with the DM/1 form. This Annex cannot be submitted alone.

This cover page must not be sent to the International Bureau.

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ANNEX E

For use by the applicant

This annex concerns the international application referenced by the applicant as below:

Reference: .....

For use by the International Bureau



REDUCTION OF INDIVIDUAL DESIGNATION FEES (OPTIONAL)

Check the appropriate box:

- The applicant asserts **small entity** status. The United States individual designation fee is reduced by 50% for small entities.
- The applicant certifies **micro entity** status. The United States individual designation fee is reduced by 75% for micro entities. The applicant must submit the micro entity certification form PTO/SB/15A or PTO/SB/15B using Annex E-docs .

**ANNEX E-docs: MICRO ENTITY CERTIFICATION**

**IMPORTANT**

1. This Annex is mandatory content if the applicant claims micro entity status in Annex E. It cannot be submitted alone.
2. This Annex is to submit a certification following Section 408(b) of the Administrative Instructions.
3. The certification form (PTO/SB/15A or PTO/SB/15B), along with the instructions for its completion and signature are available on the United States Patent and Trademark Office website: <[http://www.uspto.gov/forms/aia\\_forms.jsp](http://www.uspto.gov/forms/aia_forms.jsp)>
4. Please number your pages.

For use by the applicant

This annex concerns the international application referenced by the applicant as below:

Reference: .....

For use by the International Bureau

Document type:  
Contracting Party: US

**E-docs**

MICRO-ENTITY CERTIFICATION

Number of pages: .....

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