

NATIONAL CREDIT UNION ADMINISTRATION  
ALEXANDRIA, VA 22314  
OFFICIAL BUSINESS

# 5310 CORPORATE CREDIT UNION CALL REPORT FORM AND INSTRUCTIONS

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MUST BE RECEIVED BY XXXXX OF THE MONTH  
FOLLOWING THE CYCLE END DATE  
THIS FORM IS EFFECTIVE 1/31/2014 UNTIL SUPERSEDED BY ANOTHER FORM

## **To the Board of Directors and Chief Executive Officer of the Credit Union:**

This booklet contained the monthly 5310 Call Report effective January 31, 2014. All corporate credit unions must complete all applicable pages of this form within NCUA's online data collection system. Once you complete the non-financial data, you only need to update it the next reporting cycle if there are additions, changes, or deletions.

If you have non-technical questions, contact your National Credit Union Administration examiner, supervisory examiner or Office of National Examinations and Supervision, as appropriate. For technical questions, contact NCUA Customer Technical Support at 800-827-3255 or [csdesk@NCUA.gov](mailto:csdesk@NCUA.gov)

**Programming Note:** Call Report instructions for each data input field should be hyperlinked.

# INSTRUCTIONS FOR REPORTING REQUIREMENTS

The form is divided into two sections - a financial data section and a non-financial data section. Corporate credit unions must complete all pages applicable to them within the online system. Once all non-financial data is complete, this data will populate each cycle with the most recent information. Credit unions for review this information for accuracy and update these fields as needed.

## 5310 Financial Data

In accordance with NCUA Rules and Regulations §741.6, corporate credit unions must file financial and other reports in accordance with the instructions in the notice. Credit unions with the capacity to do so must use NCUA's information management system to submit their data online. If a credit union is unable to use the information system, it must file written reports in accordance with the instructions. Corporate credit unions must file a Corporate Credit Union Call Report with NCUA monthly in accordance with the instructions in the NCUA Form 5310.

**Programming note:** Call Report Foms should allow for fields to be designated as numeric to text

## INSTRUCTIONS FOR CORRECTING A SUBMITTED CALL REPORT

If a submitted Call Report is not accurate and requires a correction, a credit union is required to submit a corrected Call Report immediately upon notification or a credit union's discovery of the need for a correction. Credit unions will make these corrections in the online system.

## STATEMENT OF FINANCIAL CONDITION

Credit Union Name \_\_\_\_\_  
 As of \_\_\_\_\_

Charter Number \_\_\_\_\_

**Assets**

1 Cash, Cash Equivalents & Balances Due

Hyperlink or pull/click down to detail

a. Deposits in Banks (U.S. and Foreign)	
b. Federal Reserve Banks (Master Account non-fed funds)	
c. Deposits in credit unions (corporate and NPCU)	
d. Other Cash	
e. Uncollected Funds from Banks	
f. Uncollected Funds from Federal Reserve Banks	
g. Other Items Due	

2 Total Investments

Hyperlink or pull/click down to detail

a. Total Securities Held-to-Maturity	
b. Total Securities Available for Sale	
c. Total Securities in Trading Portfolio	
d. Total Non-FASB 115 Investments	
e. Derivative Contracts (Fair Value of contracts with a gain)	
f. Unconsolidated CUSO investments	
g. Retirement Investment Accounts	
h. Securities purchased under agreement to resell	

3 Loans - Net

4 Fixed Assets (net)

Hyperlink or pull/click down to detail

	Purchase Amount	Accumulated Depreciation
a. Land and Building	0	0
b. Data Processing Equipment	0	0
c. Furniture and Equipment	0	0
d. Leasehold Improvements	0	0
e. Leased Assets (per GAAP)	0	0
f. Other Fixed Assets	0	0

5 Goodwill and Intangibles

Hyperlink or pull/click down to detail

a. Goodwill	
b. Intangibles	

6 Accrued Income and Other Assets

Hyperlink or pull/click down to detail

a. Accrued Income on Loans	
b. Accrued Income on Investments	
c. Other Accrued Income	
d. Other Assets	
e. NCUSIF Capitalization Deposit	

**TOTAL ASSETS**



15 **MEMBER CAPITAL**

Hyperlink or pull/click down to detail

- a. Perpetual Contributed Capital (PCC)- Members
- b. PCC- Nonmembers
- c. Paid-In Capital (Members)
- d. Paid-In Capital (Non-Members)


- e. NCA
- f. MCA

Balance	Amortized
0	0
0	0

- 16 Corporate Reserves
- 17 Undivided Earnings
- 18 Other Reserves
- 19 Equity Acquired in Merger
- 20 Accumulated Unrealized G/L on AFS Securities
- 21 Accumulated FASB 133 Adjustments
- 22 Other Comprehensive Income Items
- 23 Accumulated Net Income/Loss

**TOTAL LIABILITIES, SHARES, AND EQUITY**

24 ***Total Insured Shares (\$250,000 Insurable Limit)***

	Amount	ACCT Code	Hyper Link
	0	5378	
0		5370	
0		5371	
0		5441	
0		5372	
0		5374	
0		5375	
0		5376	
	0	5439	
0		5450A	HTM
0		5451	AFS
0		5452	Trading
0		5940	NON 115
0		5436	
0		5490 or 5900	
0		5437	
0		5426	
	0	5407	Loans
	0	5472	
Net		Purchase Amount	
0		5470A	
0		5470B	
0		5470C	
0		5470D	
0		5470E	
0		5470F	
	0	5901	
0		5474	
0		5475	
	0	5902	
0		5473A	
0		5474B	
0		5473C	
0		5476	
0		5477	
	0	5600	

0	5479	
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0	5479B	
0	5479C	
0	5479D	
0	5479F	
0	5479I	
0	5479J	
0	5479M	
0	5479N	
0	5479P	

0	5480	
0	5481	
0	5482	
0	5483	
0	5484	
0	5485	
0	5610	

0	5903	
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0	5490A	
0	5904	
0	5490B	
0	5490H	
0	5490I	
0	5490	

0	5491A	
0	5491B	
0	5905	
0	5491	

0	5493A	
0	5493B	
0	5493C	
0	5493D	
0	5493	

0	5500J	
0	5500J1	
0	5500M	
0	5500N	

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Net	Balance	
0	5906	
0	5492	

0	5500B	
0	5500H	
0	5500C	
0	5500D	
0	5500E	
0	5500F	
0	5500G	
0	5500I	

0	5630	
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0	5495	
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## INCOME STATEMENT

Credit Union Name \_\_\_\_\_  
 As of \_\_\_\_\_

Charter Number \_\_\_\_\_

**Interest Income**

		Amount	ACCT Code
1	Investment Income	0	5300
	<a href="#">Hyperlink or pull/click down to detail</a>		
a.	US. Government and Government Guaranteed Obligations	0	5301
b.	Obligations of US. Government Sponsored Enterprises	0	5302
c.	Federal Reserve Bank Interest Income (master account)	0	5930
d.	Natural Person and Corporate Credit Unions	0	5306
e.	U.S. and Foreign Banks	0	5307
f.	Securities Purchased under Agreement to Resell	0	5309
g.	Spread Trade (Income derived from reverse repo/repo transactions qualifying for netting).	0	5310
h.	Government and Agency Related Issues	0	5311
i.	Private Mortgage Related Issues	0	5312
j.	Asset Backed Securities	0	5313
k.	Mutual Funds	0	5314
l.	Corporate Debt	0	5315
m.	Municipal Securities	0	5316
n.	Corporate CUSOs (Do not include income that was eliminated due to consolidation)	0	5317
o.	Other Investments	0	5318
2	Loan Interest Income	0	5100
3	Trading Gains/Losses(Realized and Unrealized)	0	5319
	<b>TOTAL INTEREST INCOME</b>	<b>0</b>	<b>5105</b>

**Cost of Funds**

2	Cost of Funds	0	5323
	<a href="#">Hyperlink or pull/click down to detail</a>		
a.	Dividends on Shares and Certificates	0	5323A
b.	Interest on Borrowings	0	5323B
c.	Interest/Dividends Paid to Others on Repurchase Transactions	0	5322D
	<b>NET INTEREST INCOME</b>	<b>0</b>	<b>5111</b>

**Other Income**

3 a.	Fee Income	0	5110
b.	Miscellaneous Operating Income	0	5120
c.	Gain (Loss) on Investments sales	0	5320
d.	Gain (Loss) on Disposition of Assets	0	5130
e.	Gain (Loss) on Hedged Transactions	0	5140
f.	Gain from Bargain Purchase (Merger)	0	5160
g.	Loss on Investments - Other Than Temporary Impairment (OTTI)	0	5321
g.1	Total OTTI		5321a
g.2	Less OTTI losses in Other Comprehensive Income (per GAAP)		5321b
h.	Non operating income/expense	0	5150

**Expenses**

4	Operating Expenses	0	5331
	<a href="#">Hyperlink or pull/click down to detail</a>		
	<b>Personnel</b>	0	5324
	<a href="#">Hyperlink or pull/click down to detail</a>		
a	Salaries	0	5324A
b	Employee Benefits	0	5324B
c	Other	0	5324C
	<b>Office Occupancy and Operations</b>	0	5328
	<a href="#">Hyperlink or pull/click down to detail</a>		
d	Building Depreciation	0	5328F
e	Office Occupancy Lease Costs	0	5328A
f	Leasehold Improvements Depreciation	0	5328G
g	Utilities including telephone/communication	0	5931
h	Hazard Insurance	0	5328C
i	Building Maintenance	0	5328D
j	Real Estate Taxes	0	5328E
k	Data Processing Expenses - Professional Fees	0	5932
l	Depreciation of Data Processing Equipment	0	5327D
m	Maintenance of Furniture and Equipment	0	5327C
n	Depreciation of Furniture and Equipment	0	5327E
o	League and Trade Association	0	5326A
p	Professional and Outside Services	0	5326C
q	Travel and Conference	0	5325A
r	Education and Promotion	0	5325B
s	Investment Advisory Fees	0	5326D
t	Other Operating Expenses	0	5330
5	<b>Other</b>	0	5933
	<a href="#">Hyperlink or pull/click down to detail</a>		
a	Intangible/Goodwill Amortization	0	5934
b	NCUSIF Premium Expense	0	5170
c	Temporary Corporate CU Assessment	0	5180
d	Other Member Insurance	0	5190
e	Operating Fee (Exam and/or supervision fees)	0	5195
f	Minority Interest (CUSO)	0	5200
	<b>NET INCOME</b>	0	5205
6	Extraordinary Items	0	5210
7	Cumulative Effect of Changes in Acctg. Principle	0	5220
8	<b>NET INCOME AFTER EXTRAORDINARY ITEMS &amp; OTHER ADJUSTMENTS</b>	0	5225
9	Equity Transfer for PCC and PIC Dividends	0	5230
10	<b>NET CONTRIBUTION TO EQUITY</b>	0	5235

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WIP Comments

New acct code

New acct code

New acct code

New acct code

New acct code

**NON FASB 115**

Credit Union Name \_\_\_\_\_ Charter Number \_\_\_\_\_  
 As of \_\_\_\_\_

**Non FASB 115**

**Non-FASB 115**

Acct Code	Total	Acct Code
	0	5940

New acct code

**1 U.S. Banks and Credit Unions (not reported as cash equivalents)**

a	Fed Funds Sold	0	5424A
b	Certificates of Deposit (including SimpliCD)	0	5424B
c	Deposit Notes	0	5424C
d	Compensating Balances	0	5424D
e	Other	0	5424E
	Subtotal	0	5424

**2 Foreign Banks**

a	Fed Funds Sold	0	5425A
b	Certificates of Deposit	0	5425B
c	Deposit Notes	0	5425C
d	Compensating Balances	0	5425D
e	Other	0	5425E
	Subtotal	0	5425

**3 Other**

0	5941
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New acct code

HTM INVESTMENTS

Credit Union Name \_\_\_\_\_  
As of \_\_\_\_\_

Charter Number \_\_\_\_\_

HTM INVESTMENTS

- 1 U.S. Government and Government Guaranteed Obligations
- 2 Obligations of U.S. Government Sponsored Enterprises

Fair Value	Acct Code	Book Value	Acct Code	Yield	Acct Code	WAL	Acct Code	AWAL	Acct Code	Duration	Acct Code
0	6000	0	6000a	0	6000b	0	6000c	0	6000d	0	6000e
0	6001	0	6001a	0	6001b	0	6001c	0	6001d	0	6001e

Government and Agency Mortgage Related Issues

- 3a. Fixed Rate CMOs/REMICs
- b. Variable Rate CMOs/REMICs
- c. Mortgage Backed Pass Throughs
- d. Asset Backed Securities
- e. Other
- SUBTOTAL

0	6002	0	6002a	0	6002b	0	6002c	0	6002d	0	6002e
0	6003	0	6003a	0	6003b	0	6003c	0	6003d	0	6003e
0	6004	0	6004a	0	6004b	0	6004c	0	6004d	0	6004e
0	6005	0	6005a	0	6005b	0	6005c	0	6005d	0	6005e
0	6006	0	6006a	0	6006b	0	6006c	0	6006d	0	6006e
0	6007	0	6007a								

Can't think of any Govt ABS securities except SBA ???

Privately Issued Mortgage Related Issues

- 4a. Fixed Rate CMOs/REMICs
- b. Variable Rate CMOs/REMICs
- c. Mortgage Backed Pass Throughs
- d. Other
- SUBTOTAL

0	6008	0	6008a	0	6008b	0	6008c	0	6008d	0	6008e
0	6009	0	6009a	0	6009b	0	6009c	0	6009d	0	6009e
0	6010	0	6010a	0	6010b	0	6010c	0	6010d	0	6010e
0	6011	0	6011a	0	6011b	0	6011c	0	6011d	0	6011e
0	6012	0	6012a								

Asset Backed Securities

- 5a. Fixed Rate Credit Cards
- b. Variable Rate Credit Cards
- c. Fixed Rate Autos
- d. Variable Rate Autos
- e. Fixed Rate Home Equity
- f. Variable Rate Home Equity
- g. Fixed Rate Student Loans (FELP guaranteed)
- h. Variable Rate Student Loans (FELP guaranteed)
- i. Fixed Rate Student Loans (non FELP)
- j. Variable Rate Student Loans (non FELP guaranteed)
- k. Fixed Rate Other
- l. Variable Rate Other
- SUBTOTAL

0	6013	0	6013a	0	6013b	0	6013c	0	6013d	0	6013e
0	6014	0	6014a	0	6014b	0	6014c	0	6014d	0	6014e
0	6015	0	6015a	0	6015b	0	6015c	0	6015d	0	6015e
0	6016	0	6016a	0	6016b	0	6016c	0	6016d	0	6016e
0	6017	0	6017a	0	6017b	0	6017c	0	6017d	0	6017e
0	6018	0	6018a	0	6018b	0	6018c	0	6018d	0	6018e
0	6019	0	6019a	0	6019b	0	6019c	0	6019d	0	6019e
0	6020	0	6020a	0	6020b	0	6020c	0	6020d	0	6020e
0	6021	0	6021a	0	6021b	0	6021c	0	6021d	0	6021e
0	6022	0	6022a	0	6022b	0	6022c	0	6022d	0	6022e
0	6023	0	6023a	0	6023b	0	6023c	0	6023d	0	6023e
0	6024	0	6024a	0	6024b	0	6024c	0	6024d	0	6024e
0	6025	0	6025a								

Commercial Mortgage Backed Securities (CMBS)

- 6a. Fixed Rate CMBS
- b. Variable Rate CMBS
- SUBTOTAL

0	6026	0	6026a	0	6026b	0	6026c	0	6026d	0	6026e
0	6027	0	6027a	0	6027b	0	6027c	0	6027d	0	6027e
0	6028	0	6028a								

7 Mutual Funds

0	6029	0	6029a	0	6029b	0	6029c	0	6029d	0	6029e
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Commercial Debt Obligations

- 8a. Commercial Paper
- b. Notes
- c. Bonds
- d. NCUA Guaranteed Notes (NGN Notes)
- e. Other
- SUBTOTAL

0	6030	0	6030a	0	6030b	0	6030c	0	6030d	0	6030e
0	6031	0	6031a	0	6031b	0	6031c	0	6031d	0	6031e
0	6032	0	6032a	0	6032b	0	6032c	0	6032d	0	6032e
0	6033	0	6033a	0	6033b	0	6033c	0	6033d	0	6033e
0	6034	0	6034a	0	6034b	0	6034c	0	6034d	0	6034e
0	6035	0	6035a								

9 Municipal Securities

0	6036	0	6036a	0	6036b	0	6036c	0	6036d	0	6036e
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TOTAL HTM INVESTMENTS  
HTM Portfolio Averages

0	5450B	0	5450A								
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Taking into account possibility of OTTI gain in values - these sum could be different so we need a new code for FV

AFS INVESTMENTS

Credit Union Name \_\_\_\_\_ As of \_\_\_\_\_ Charter Number \_\_\_\_\_

Yes but the OTTI is what would make the difference. Not following premium/discount issue.

AFS INVESTMENTS

- 1 U.S. Government and Government Guaranteed Obligations
- 2 Obligations of U.S. Government Sponsored Enterprises

Fair Value	Acct Code	Book Value	Acct Code	Yield	Acct Code	WAL	Acct Code	AWAL	Acct Code	Duration	Acct Code
0	6100	0	6100a	0	6100a	0	6100a	0	6100a	0	6100a
0	6101	0	6101a	0	6101a	0	6101a	0	6101a	0	6101a

Government and Agency Mortgage Related Issues

- 3a. Fixed Rate CMOs/REMICs
- b. Variable Rate CMOs/REMICs
- c. Mortgage Backed Pass Throughs
- d. Asset Backed Securities
- e. Other

0	6102	0	6102a	0	6102a	0	6102a	0	6102a	0	6102a
0	6103	0	6103a	0	6103a	0	6103a	0	6103a	0	6103a
0	6104	0	6104a	0	6104a	0	6104a	0	6104a	0	6104a
0	6105	0	6105a	0	6105a	0	6105a	0	6105a	0	6105a
0	6106	0	6106a	0	6106a	0	6106a	0	6106a	0	6106a
0	6107	0	6107a	0	6107a	0	6107a	0	6107a	0	6107a

Can't think of any Govt ABS securities except SBA ???

Privately Issued Mortgage Related Issues

- 4a. Fixed Rate CMOs/REMICs
- b. Variable Rate CMOs/REMICs
- c. Mortgage Backed Pass Throughs
- d. Other

0	6108	0	6108a	0	6108a	0	6108a	0	6108a	0	6108a
0	6109	0	6109a	0	6109a	0	6109a	0	6109a	0	6109a
0	6110	0	6110a	0	6110a	0	6110a	0	6110a	0	6110a
0	6111	0	6111a	0	6111a	0	6111a	0	6111a	0	6111a
0	6112	0	6112a	0	6112a	0	6112a	0	6112a	0	6112a

Asset Backed Securities

- 5a. Fixed Rate Credit Cards
- b. Variable Rate Credit Cards
- c. Fixed Rate Autos
- d. Variable Rate Autos
- e. Fixed Rate Home Equity
- f. Variable Rate Home Equity
- g. Fixed Rate Student Loans (FELP guaranteed)
- h. Variable Rate Student Loans (FELP guaranteed)
- i. Fixed Rate Student Loans (non FELP)
- j. Variable Rate Student Loans (non FELP guaranteed)
- k. Fixed Rate Other
- l. Variable Rate Other

0	6113	0	6113a	0	6113a	0	6113a	0	6113a	0	6113a
0	6114	0	6114a	0	6114a	0	6114a	0	6114a	0	6114a
0	6115	0	6115a	0	6115a	0	6115a	0	6115a	0	6115a
0	6116	0	6116a	0	6116a	0	6116a	0	6116a	0	6116a
0	6117	0	6117a	0	6117a	0	6117a	0	6117a	0	6117a
0	6118	0	6118a	0	6118a	0	6118a	0	6118a	0	6118a
0	6119	0	6119a	0	6119a	0	6119a	0	6119a	0	6119a
0	6120	0	6120a	0	6120a	0	6120a	0	6120a	0	6120a
0	6121	0	6121a	0	6121a	0	6121a	0	6121a	0	6121a
0	6122	0	6122a	0	6122a	0	6122a	0	6122a	0	6122a
0	6123	0	6123a	0	6123a	0	6123a	0	6123a	0	6123a
0	6124	0	6124a	0	6124a	0	6124a	0	6124a	0	6124a
0	6125	0	6125a	0	6125a	0	6125a	0	6125a	0	6125a

Commercial Mortgage Backed Securities (CMBS)

- 6a. Fixed Rate CMBS
- b. Variable Rate CMBS

0	6126	0	6126a	0	6126a	0	6126a	0	6126a	0	6126a
0	6127	0	6127a	0	6127a	0	6127a	0	6127a	0	6127a
0	6128	0	6128a	0	6128a	0	6128a	0	6128a	0	6128a

7 Mutual Funds

0	6129	0	6129a	0	6129a	0	6129a	0	6129a	0	6129a
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Commercial Debt Obligations

- 8a. Commercial Paper
- b. Notes
- c. Bonds
- d. NCUA Guaranteed Notes (NGN Notes)
- e. Other

0	6130	0	6130a	0	6130a	0	6130a	0	6130a	0	6130a
0	6131	0	6131a	0	6131a	0	6131a	0	6131a	0	6131a
0	6132	0	6132a	0	6132a	0	6132a	0	6132a	0	6132a
0	6133	0	6133a	0	6133a	0	6133a	0	6133a	0	6133a
0	6134	0	6134a	0	6134a	0	6134a	0	6134a	0	6134a
0	6135	0	6135a	0	6135a	0	6135a	0	6135a	0	6135a

9 Municipal Securities

0	6136	0	6136a	0	6136a	0	6136a	0	6136a	0	6136a
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TOTAL AFS INVESTMENTS  
AFS Portfolio Averages

0	5451	0	5451a	0	5451a	0	5451a	0	5451a	0	5451a
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Taking into account possibility of OTTI gain in values - these sum could be different so we need a new code for FV

**TRADING INVESTMENTS**

Credit Union Name \_\_\_\_\_  
As of \_\_\_\_\_

Charter Number \_\_\_\_\_

**TRADING INVESTMENTS**

	Fair Value	Acct Code	Book Value	Acct Code	Yield	Acct Code	WAL	Acct Code	AWAL	Acct Code	Duration	Acct Code
1 U.S. Government and Government Guaranteed Obligations	0	6200	0	6200a	0	6200b	0	6200c	0	6200d	0	6200e
2 Obligations of U.S. Government Sponsored Enterprises	0	6201	0	6201a	0	6201b	0	6201c	0	6201d	0	6201e
<b>Government and Agency Mortgage Related Issues</b>												
3 a. Fixed Rate CMOs/REMICs	0	6202	0	6202a	0	6202b	0	6202c	0	6202d	0	6202e
b. Variable Rate CMOs/REMICs	0	6203	0	6203a	0	6203b	0	6203c	0	6203d	0	6203e
c. Mortgage Backed Pass Throughs	0	6204	0	6204a	0	6204b	0	6204c	0	6204d	0	6204e
d. Asset Backed Securities	0	6205	0	6205a	0	6205b	0	6205c	0	6205d	0	6205e
e. Other	0	6206	0	6206a	0	6206b	0	6206c	0	6206d	0	6206e
<b>SUBTOTAL</b>	0	6207	0	6207a								
<b>Privately Issued Mortgage Related Issues</b>												
4 a. Fixed Rate CMOs/REMICs	0	6208	0	6208a	0	6208b	0	6208c	0	6208d	0	6208e
b. Variable Rate CMOs/REMICs	0	6209	0	6209a	0	6209b	0	6209c	0	6209d	0	6209e
c. Mortgage Backed Pass Throughs	0	6210	0	6210a	0	6210b	0	6210c	0	6210d	0	6210e
d. Other	0	6211	0	6211a	0	6211b	0	6211c	0	6211d	0	6211e
<b>SUBTOTAL</b>	0	6212	0	6212a								
<b>Asset Backed Securities</b>												
5 a. Fixed Rate Credit Cards	0	6213	0	6213a	0	6213b	0	6213c	0	6213d	0	6213e
b. Variable Rate Credit Cards	0	6214	0	6214a	0	6214b	0	6214c	0	6214d	0	6214e
c. Fixed Rate Autos	0	6215	0	6215a	0	6215b	0	6215c	0	6215d	0	6215e
d. Variable Rate Autos	0	6216	0	6216a	0	6216b	0	6216c	0	6216d	0	6216e
e. Fixed Rate Home Equity	0	6217	0	6217a	0	6217b	0	6217c	0	6217d	0	6217e
f. Variable Rate Home Equity	0	6218	0	6218a	0	6218b	0	6218c	0	6218d	0	6218e
g. Fixed Rate Student Loans (FELP guaranteed)	0	6219	0	6219a	0	6219b	0	6219c	0	6219d	0	6219e
h. Variable Rate Student Loans (FELP guaranteed)	0	6220	0	6220a	0	6220b	0	6220c	0	6220d	0	6220e
i. Fixed Rate Student Loans (non FELP)	0	6221	0	6221a	0	6221b	0	6221c	0	6221d	0	6221e
j. Variable Rate Student Loans (non FELP guaranteed)	0	6222	0	6222a	0	6222b	0	6222c	0	6222d	0	6222e
k. Fixed Rate Other	0	6223	0	6223a	0	6223b	0	6223c	0	6223d	0	6223e
l. Variable Rate Other	0	6224	0	6224a	0	6224b	0	6224c	0	6224d	0	6224e
<b>SUBTOTAL</b>	0	6225	0	6225a								
<b>Commercial Mortgage Backed Securities (CMBS)</b>												
6 a. Fixed Rate CMBS	0	6226	0	6226a	0	6226b	0	6226c	0	6226d	0	6226e
b. Variable Rate CMBS	0	6227	0	6227a	0	6227b	0	6227c	0	6227d	0	6227e
<b>SUBTOTAL</b>	0	6228	0	6228a								
7 <b>Mutual Funds</b>	0	6229	0	6229a	0	6229b	0	6229c	0	6229d	0	6229e
<b>Commercial Debt Obligations</b>												
8 a. Commercial Paper	0	6230	0	6230a	0	6230b	0	6230c	0	6230d	0	6230e
b. Notes	0	6231	0	6231a	0	6231b	0	6231c	0	6231d	0	6231e
c. Bonds	0	6232	0	6232a	0	6232b	0	6232c	0	6232d	0	6232e
d. NCUA Guaranteed Notes (NGN Notes)	0	6233	0	6233a	0	6233b	0	6233c	0	6233d	0	6233e
e. Other	0	6234	0	6234a	0	6234b	0	6234c	0	6234d	0	6234e
<b>SUBTOTAL</b>	0	6235	0	6235a								
9 <b>Municipal Securities</b>	0	6236	0	6236a	0	6236b	0	6236c	0	6236d	0	6236e
<b>TOTAL TRADING INVESTMENTS</b>	0	6452	0	6452a								
<b>TRADING Portfolio Averages</b>												

Can't think of any Govt ABS securities except SBA ???

Taking into account possibility of OTTI gain in values - these sum could be different so we need a new code for FV

## Additional Investment Information

Credit Union Name \_\_\_\_\_

Charter Number \_\_\_\_\_

As of \_\_\_\_\_

### Additional Investment Information

1 Market Value of investments that fail to meet the requirements of Part 704 for your corporate's investment authority. (List both those included in investment action plans and those within the 30-day reporting period).

	Acct Code
	5440
	5459

2 Book Value of the Aggregate of Investments with Unmatched Embedded Options

### **DERIVATIVE INSTRUMENTS**

	Total Notional / Premium	Acct Code	Fair Value	Acct Code
<b>Contract Type</b>				
3 a. Futures		5540A		5541A
b. Swaps		5540B		5541B
c. FRAs		5540C		5541C
d. Options		5540D		5541D
e. Other		5540E		5541E
<b>TOTAL</b>	<b>0</b>	5540	<b>0</b>	5541

<b>Weighted Average Maturity</b>	<b>Acct Code</b>	<b>Net Credit Exposure</b>	<b>Acct Code</b>
	5542A		
	5542B		5543B
	5542C		5543C
	5542D		5543D
	5542E		5543E
		0	5543

## LOANS

Credit Union Name \_\_\_\_\_  
 As of \_\_\_\_\_

Charter Number \_\_\_\_\_

**Guaranteed Loans to Member Credit Unions**

- 1 CLF Lines of Credit(NCUSIF Guaranteed)
- 2 Other
- SUBTOTAL**

Amount	Acct Code
0	5380
0	5382
<b>0</b>	<b>5383</b>

**Credit Union Loans**

- 3 Share Secured
- 4 Loans Secured by Other Collateral
- 5 Unsecured
- 6 Loans Derived from Securities Purchased from Members with Agreement to resell
- 7 **Other**
- SUBTOTAL**

Member CU	Acct Code
0	5384
0	5385
0	5386
0	5387
0	5388
<b>0</b>	<b>5389</b>

**Loan Participations**

- 8 Loan Participations Purchased
- 9 Loan Participations Sold (Enter as Negative Amount)

Corp CU	Acct Code
0	5395
0	5397

**All Other Loans**

- 10 Loans to Corporate CUSOs
- 11 Share Secured
- 12 Loans Secured by Other Collateral
- 13 Unsecured
- 14 Other
- SUBTOTAL**

0	5398
0	5399
0	5400
0	5401
0	5403
<b>0</b>	<b>5404</b>

**TOTAL LOANS**

<b>0</b>	<b>5405</b>
----------	-------------

- 15 **Allowance for Loan and Lease Losses**

<b>0</b>	<b>5406</b>
----------	-------------

**NET LOANS**

<b>0</b>	<b>5407</b>
----------	-------------

**Loan Background Information**

- 16 Outstanding Loans to Credit Unions of Corporate Officials
- 17 Approved Lines of Credit to Credit Unions of Corporate Officials
- 18 Outstanding Loans to Leagues and Affiliated Parties
- 19 Approved Lines of Credit to Leagues and Affiliated Parties
- 20 Total Lines to Watch list Institutions and Other Parties

Amount	Acct Code
0	5408
0	5409
0	5411
0	5412
0	5413

### DELINQUENT LOANS

- 21 Guaranteed Loans to Member Credit Unions
- 22 All Other Loans to Member Credit Unions
- 23 Loans to Credit Unions that are not Members
- 24 All Other Loans (including participation loans)
- TOTAL DELINQUENT LOANS**

30 to 60 Days	Acct Code
0	5414A
0	5414B
0	5414C
0	5414D
<b>0</b>	<b>5414</b>

### LOANS CHARGED OFF AND RECOVERIES

25 Loans to Member Credit Unions  
 26 Loans to Credit Unions that are not Members  
 27 All Other Loans  
**TOTAL LOANS CHARGED OFF & RECOVERIES**

<b>Charge-Offs</b>	
<b>This Month(negative)</b>	<b>Acct Code</b>
0	5417A
0	5417B
0	5417C
<b>0</b>	<b>5417</b>

Non-Members CU	Acct Code	Total	Acct Code
0	5390	0	6300
0	5391	0	6301
0	5392	0	6302
0		0	6303
0	5393	0	6304
0	5394	0	6305

NPCU	Acct Code	Other	Acct Code	Total	Acct Code
0	6306	0	5396	0	6307
0	6308	0	6309	0	6310

Ensure this cell displays with ( ) around input to indicate it is a contra asset account.

61 to 90 Days	Acct Code	91 + Days	Acct Code
0	5415A	0	5416A
0	5415B	0	5416B
0	5415C	0	5416C
0	5415D	0	5416D
0	5415	0	5416

<b>Recoveries This Month</b>	<b>Acct Code</b>	<b>Net Charge-Offs</b>	<b>Acct Code</b>
0	5418A	0	5419A
0	5418B	0	5419B
0	5418C	0	5419C
<b>0</b>	<b>5418</b>	<b>0</b>	<b>5419</b>

**SCHEDULE LR: LIQUIDITY REPORT**

Credit Union Name \_\_\_\_\_

Charter Number \_\_\_\_\_

As of \_\_\_\_\_

**1. REMAINING MATURITY SCHEDULE**

Maturity	ASSETS						Shares
	Cash	Acct Code	Investments	Acct Code	Loans	Acct Code	
1 1- day		5343h		5343A		5342A	
2 2-30 days		5343i		5343B		5342B	
3 31-90 days		5343J		5343C		5342C	
4 91-180 days				5343D		5342D	
5 181 days < 1 yr.				5343E		5342E	
6 1-3 years				5343F		5342F	
7 > 3 years				5343G		5342G	
<b>Totals</b>		<b>5343k</b>		<b>5343</b>		<b>5342</b>	

**2. LIQUIDITY COMMITMENTS**

	Contractual Amt	Acct Code	Outstanding Amt
<b>a. Inflows:</b>			
Advised / Revocable LOC.....		5344A	
Committed / Irrevocable LOC.....		5345A	
Commercial Paper.....		5346A	
MTN Program.....		5347A	
Fed Funds Line.....		5348A	
Repurchase Agreements Lines.....		5349A	
Federal Home Loan Bank Lines.....		5350A	
Other Inflows.....		5351A	
<b>TOTALS</b>		<b>5352A</b>	
<b>b. Outflows:</b>			
Advised / Revocable LOC.....		5353A	
Committed / Irrevocable LOC.....		5354A	
Loan Participation Commitments.....		5355A	
Irrevocable Stand-by Letters of Credit.....		5356A	
Forward Commitment to Purchase an Asset or Perform under a Lease Package.....		5357A	
Other outflows.....		5358A	
<b>TOTALS</b>		<b>5359A</b>	

LIABILITIES		
Acct Code	Borrowings	Acct Code
5341A		5340A
5341B		5340B
5341C		5340C
5341D		5340D
5341E		5340E
5341F		5340F
5341G		5340G
5341		5340

Acct Code	Last Test Date	Acct Code
5344B		5344C
5345B		5345C
5346B		5346C
5347B		5347C
5348B		5348C
5349B		5349C
5350B		5350C
5351B		5351C
5352B		
5353B		5353C
5354B		5354C
5355B		5355C
5356B		5356C
5357B		5357C
5358B		5358C
5359B		

### INDIVIDUAL INVESTMENT

Credit Union Name \_\_\_\_\_ Charter Number \_\_\_\_\_

As of \_\_\_\_\_

New - Only for Corporate Cus - must be able to enter 500 entries

Need ability to add, edit, and delete

This information will not be released to the public

6051A	6051B	6051C	6051D	6051E
CUSIP	Current PAR Amount	Original PAR Amount	Current Price	Book Price

**SCHEDULE ICL : INVESTMENT CONCENTRATION LIMITS**

Credit Union Name \_\_\_\_\_  
As of \_\_\_\_\_

Charter Number \_\_\_\_\_

**INVESTMENTS BY SECTORS**

- 1a. Mortgage-Backed Securities (MBS) (not including Commercial MBS)
- 2a. Commercial MBS
- 3a. FFELP Student Loan Asset-Backed Securities (ABS)
- 4a. Private Student Loan ABS
- 5a. Auto Loan/Lease ABS
- 6a. Credit Card ABS
- 7a. Other ABS, Not Listed in Items 3a to 6a
- 8a. Corporate Debt Obligations
- 9a. Municipal Securities
- 10a. Registered Investment Companies
- 11a. All Other Investments Not Listed in Items 1a through 10a
- TOTAL**

Book Value (BV)	Acct Code	Fair Value (FV)	Acct Code	Diff BV to FV	Acct Code	WAL	Acct Code	AWAL	Acct Code	Duration	Acct Code
	5460A		5461A		5462A		5463A		5831A		5464A
	5460B		5461B		5462B		5463B		5831B		5464B
	5460C		5461C		5462C		5463C		5831C		5464C
	5460D		5461D		5462D		5463D		5831D		5464D
	5460E		5461E		5462E		5463E		5831E		5464E
	5460F		5461F		5462F		5463F		5831F		5464F
	5460G		5461G		5462G		5463G		5831G		5464G
	5460H		5461H		5462H		5463H		5831H		5464H
	5460I		5461I		5462I		5463I		5831I		5464I
	5460J		5461J		5462J		5463J		5831J		5464J
	5460K		5461K		5462K		5463K		5831K		5464K
	5460		5461		5462		5463		5831		5464

Note: Investments in other federally insured credit unions, deposits and federal funds investments in other federally insured depository institutions, and investment repurchase agreements are excluded from the concentration limits in numbers 1a - 11a, above.

Note: Include the underlying assets in each registered investment company in the relevant sectors described in numbers 1a - 9a when calculating the sector concentration limits.

CALCULATIONS	Capital	Acct Code	Corporate Concentration			Regulatory Minimum				
			Capital Based	Acct Code	Asset Based	Acct Code	% Capital	Acct Code	% Assets	Acct Code
1b. Mortgage-Backed Securities (MBS) (not including Commercial MBS)		5500Q		5466A		5467A	1000%	5468A	50%	5469A
2b. Commercial MBS		5500Q		5466B		5467B	300%	5468B	15%	5469B
3b. FFELP Student Loan Asset-Backed Securities (ABS)		5500Q		5466C		5467C	1000%	5468C	50%	5469C
4b. Private Student Loan ABS		5500Q		5466D		5467D	500%	5468D	25%	5469D
5b. Auto Loan/Lease ABS		5500Q		5466E		5467E	500%	5468E	25%	5469E
6b. Credit Card ABS		5500Q		5466F		5467F	500%	5468F	25%	5469F
7b. Other ABS, Not Listed in Items 3 to 6		5500Q		5466G		5467G	500%	5468G	25%	5469G
8b. Corporate Debt Obligations		5500Q		5466H		5467H	1000%	5468H	50%	5469H
9b. Municipal Securities		5500Q		5466I		5467I	1000%	5468I	50%	5469I
10b. Registered Investment Companies		5500Q		5466J		5467J	1000%	5468J	50%	5469J
11b. All Other Investments Not Listed in Items 1b through 10b		5500Q		5466K		5467K	100%	5468K	5%	5469K

**SCHEDULE A-3C : INVESTMENT ISSUER CONCENTRATION LIMITS**

Credit Union Name \_\_\_\_\_ Charter Number \_\_\_\_\_  
 As of \_\_\_\_\_

***Obligators and Counterparties***

- 1 {text added by corporate}
- 2 {text added by corporate}
- 3 {text added by corporate}
- 4 {text added by corporate}
- 5 {text added by corporate}
- 6 {text added by corporate}
- 7 {text added by corporate}
- 8 {text added by corporate}
- 9 {text added by corporate}
- 10 {text added by corporate}
- 11 {text added by corporate}
- 12 {text added by corporate}
- 13 {text added by corporate}
- 14 {text added by corporate}
- 15 {text added by corporate}

**TOTALS**

Acct Code	Investments Exempt Under 704.6(b)	Acct Code	Investments Subject to 704.6 (c)	Acct Code	Total Investments Listed to \$5 Million	Acct Code	Total Investments Limited to 25% of Capital	Acct Code	Capital Limitation at 25%	Acct Code	Total Investments Limited to 50% of Capital	Acct Code
5801A		5802A		5803A		5804A		5805A		5806A		5807A
5801B		5802B		5803B		5804B		5805B		5806B		5807B
5801C		5802C		5803C		5804C		5805C		5806C		5807C
5801D		5802D		5803D		5804D		5805D		5806D		5807D
5801E		5802E		5803E		5804E		5805E		5806E		5807E
5801F		5802F		5803F		5804F		5805F		5806F		5807F
5801G		5802G		5803G		5804G		5805G		5806G		5807G
5801H		5802H		5803H		5804H		5805H		5806H		5807H
5801I		5802I		5803I		5804I		5805I		5806I		5807I
5801J		5802J		5803J		5804J		5805J		5806J		5807J
5801K		5802K		5803K		5804K		5805K		5806K		5807K
5801L		5802L		5803L		5804L		5805L		5806L		5807L
5801M		5802M		5803M		5804M		5805M		5806M		5807M
5801N		5802N		5803N		5804N		5805N		5806N		5807N
5801P		5802P		5803P		5804P		5805P		5806P		5807P
		5802		5803		5804		5805				5807

## Capital and NEV Measures

Credit Union Name \_\_\_\_\_  
 As of \_\_\_\_\_

Charter Number \_\_\_\_\_

### NEV and CAPITAL

<u>NEV</u>		
1 a.	Date of Most Recent NEV Simulation	12/31/1899
b.	Base Case NEV (\$)	0
c.	NEV Ratio	0.00%
d.	Percentage NEV Change - Plus (+) 300bp	0.00%
e.	Percentage NEV Change - Minus (-) 300bp	0.00%

<u>WAL and other regulatory data</u>		
		Weighted Avg Life
a.	Effective WAL of Assets	
b.	Effective WAL of Investments	
c.	Effective WAL of Liabilities	
d.	Effective WAL of Loans	
		Amount
e.	Reverse Repo/Repo Transactions Qualifying for Netting	
f.	Limited Liquidity Securities	
g.	Total Secured Borrowing	

<u>Capital</u>		
<b>3 Retained Earnings for Regulatory Capital Purposes</b>		Dollars
a.	Corporate Reserves	0
b.	Undivided Earnings	0
c.	Other Reserves	0
d.	Retained Earnings Acquired in Merger	0
e.	Accumulated Net Income/Loss (not closed to retained earnings)	0

<b>4 Core Capital</b>		Dollars
a.	Retained Earning (from above)	0
b.	Perpetual Contributed Capital (PCC)	0
c.	The retained earnings of an acquired credit union or of an integrated set of activities and assets calculated at the point of acquisition	0
d.	Enter the dollar amount of minority interests in the equity accounts of CUSOs that are fully consolidated. Do not include minority interests in consolidated asset backed commercial paper (ABCP) programs of your corporate if you exclude the consolidated assets of such program from risk-weighted assets pursuant to Appendix C of Part 704.	0

		Dollars
5	<b>Adjusted Core Capital (used to calculate the leverage ratio which i</b>	0
a.	Core Capital (from above)	0
b.	Enter the dollar amount of the corporate's intangible assets that exceed one half percent of its moving daily average net assets	0
c.	Enter the dollar amount of the corporate's investments, <u>both equity and debt</u> , in unconsolidated CUSOs	0
d.	Enter the dollar amount of all perpetual contributed capital contributed to another corporate credit union, and all nonperpetual capital accounts maintained at another corporate credit union.	0

		Dollars
6	<b>Total Capital</b>	0
a.	Adjusted Core Capital	0
b.	Supplemental Capital (calculated below)	0
b1	<b>Nonperpetual Capital Accounts</b>	0
b2	Allowance for loan and lease losses under GAAP to a maximum of 1.25% of risk weighted assets.	0
b3	45% of unrealized gains on available for sale " <u>equity securities</u> " (as defined by Part 704.1) with readily determinable fair values.	0
c.	Less the corporate credit unions <u>equity investments</u> not otherwise deducted when calculating adjusted core capital.	0

7	<b>Summary of Calculated Regulatory Capital Ratios</b>	
a.	Retained Earnings Ratio (RE)	0.00%
b.	Core Capital Ratio	0.00%
c.	Capital Ratio	0.00%
d.	Leverage Ratio	0.00%
e.	Tier One Risk Based Capital Ratio	0.00%
f.	Total Risk Based Capital Ratio	0.00%

8	<b>Additional Merger Related Information</b>	
	Adjusted Retained Earnings acquired through Business Combinations	
a.	Prior Month-End Adjusted Retained Earnings acquired through Business Combinations	0
b.	Adjustments made to Retained Earnings acquired through Business Combinations during current month	0
c.	Current Month's Total Adjusted Retained Earnings acquired through Business Combinations	0

**DANA and EBA Information**

- 9 Daily Average Net Assets (DANA)
  - a. Current reporting period DANA
  - b. Twelve month moving DANA
  - c. Moving monthly average net risk-weighted assets (MMANRA)

	0
	0
	0

10 Average daily balance transfers to the FRB under the EBA program

	0
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Acct Code
5507
5508
5509
5510
5511

Acct Code
5360
5361
5362
5363
Acct Code
5365
5366
5367

Acct Code	
5500N	
5500B	
5500H	
5500C	
5520	
5500I	

Acct Code	
5502	
5500N	
5500J	
5520	
5830	

Acct Code	
6400	
5502	
5562	
5563	
5564	

Acct Code	
6405	
6401	
6402	
5500K	
6403	
6404	
5565	

Acct Code
5501
5502
5503
5506A
5505
5506

Acct Code
5520A
5520B
5520

Acct Code
5544A
5544B
5544C

5841
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**RISK WEIGHTING**

Credit Union Name \_\_\_\_\_ Charter Number \_\_\_\_\_  
 As of \_\_\_\_\_

**Balance Sheet Asset Categories**

- 1 Cash and Balances Due
- 2 Loans - Net
- 3 Total Securities Held to Maturity
- 4 Total Securities Available for Sale
- 5 Total Securities in Trading Portfolio
- 6 Total Non-FASB 115 Investments
- 7 Derivative Contracts
- 8 Fixed Assets - Net
- 9 Accrued Income and Other Assets
- 10 **Total Balance Sheet Items (1 - 9)**

Totals from Schedule SFC	Acct Code	0%	Acct Code	20%	Acct Code	50%	Acct Code	100%	Acct Code	200%	Acct Code
	5378		5814A		5815A		5816A		5817A		5818A
	5407		5814B		5815B		5816B		5817B		5818B
	5450A		5814C		5815C		5816C		5817C		5818C
	5451		5814D		5815D		5816D		5817D		5818D
	5452		5814E		5815E		5816E		5817E		5818E
	5700		5814F		5815F		5816F		5817F		5818F
	5436		5814G		5815G		5816G		5817G		5818G
	5472		5814H		5815H		5816H		5817H		5818H
	5478		5814I		5815I		5816I		5817I		5818I
	5813		5814		5815		5816		5817		5818

Allocation of Risk Weight Categories

Off Balance Sheet Categories	Face Value or Notional Amt (A)	Acct Code	Credit Conversion Factor (B)	Acct Code	Credit Equivalent Amount (C)	Acct Code	Allocation of Risk Weight Categories									
							0% (D)	Acct Code	20% (E)	Acct Code	50% (F)	Acct Code	100% (G)	Acct Code	200% (H)	Acct Code
11 Off-balance sheet derivative contracts		5819A		5820A		5821A		5822A		5823A		5824A		5825A		5826A
12 Advised/Revocable Lines of Credit (LOC)		5819B		5820B		5821B		5822B		5823B		5824B		5825B		5826B
13 Committed/Irrevocable LOC		5819C		5820C		5821C		5822C		5823C		5824C		5825C		5826C
14 Loan Participation Commitments		5819D		5820D		5821D		5822D		5823D		5824D		5825D		5826D
15 Forward Commitments		5819E		5820E		5821E		5822E		5823E		5824E		5825E		5826E
16 Irrevocable Standby Letters of Credit		5819F		5820F		5821F		5822F		5823F		5824F		5825F		5826F
17 Indemnification of Members/Securities Lent		5819G		5820G		5821G		5822G		5823G		5824G		5825G		5826G
18 Other Off-balance sheet items		5819H		5820H		5821H		5822H		5823H		5824H		5825H		5826H
19 Total Off-balance sheet items (11 - 18)		5819		5820		5821		5822		5823		5824		5825		5826
20 Total Balance Sheet and Off-Balance Sheet items by risk category (10 - 18)								5822J		5823J		5824J		5825J		5826J
21 Risk Weight factor							0%	5822K	20%	5823K	50%	5824K	100%	5825K	200%	5826K
22 Risk-weight assets by risk-weight category (item 20*21)								5822L		5823L		5824L		5825L		5826L
23 Total risk-weights Assets (sum 21C, D, E, & F)																5829



### CUSO Information

Credit Union Name \_\_\_\_\_ Charter Number \_\_\_\_\_  
 As of \_\_\_\_\_

#### Credit Union Service Organizations

1 For Call Reports containing consolidated CUSO information, list the net income attributed to consolidated CUSO activity?

0

2 For Call Reports containing consolidated CUSO information, list the net assets attributed to consolidated CUSO activity?

0
---

3 Dollar amount of loans to corporate CUSOs (If the corporate prepares consolidated financial statement)?

0
---

4 Investments in CUSOs - Minority Interest (cost method)

Book Value
0

5 Investments in CUSOs - Wholly Owned or Influential Interest (Equity Method)

0
---

Acct Code
6500
6501
5560

Acct Code	Fair Value	Acct Code
6502	0	6503
6504	0	6505



**SCHEDULE PSI : PAYMENT SYSTEMS INFORMATION**

**CURRENT MONTH** *(insert or drop down month)*

**ACH (Debit)**

	<b>Number of Debit Transactions</b>	<b>\$ Amount of Debit Transactions</b>
1 Originations	0	0
2 Receipt	0	0
3 Returns	0	0

**ACH (Credit)**

	<b>Number of Credit Transactions</b>	<b>\$ Amount of Credit Transactions</b>
4 Originations	0	0
5 Receipt	0	0
6 Returns	0	0

**ACH (Totals)**

	<b>Total Number of Transactions</b>	<b>Total \$ Amount of Transactions</b>
7 Originations	<i>(calculate)</i>	<i>(calculate)</i>
8 Receipt	<i>(calculate)</i>	<i>(calculate)</i>
9 Returns	<i>(calculate)</i>	<i>(calculate)</i>
10 Settlement Only		
11 No. of cus subscribed to Origination		
12 No. of cus subscribed to Receipt		
13 No. of cus subscribed to Settlement only		

**ACH - IAT**

	<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
14 Outgoing		
15 Incoming		

**Funds Transfer**

	<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
1 Incoming Wires - Domestic	0	0
2 Outgoing Wires - Domestic	0	0
3 Incoming Wires - International	0	0
4 Outgoing Wires - International	0	0
5 Settlement Only	0	0

**Item Processing (Paper)**

	<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
1 Inclearings	0	0
2 Return items - Inclearings	0	0
3 Deposit Services	0	0

**Item Processing (Image Capture)**

	<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
1 Inclearings	0	0

2 Return items - Inclearings	0	0
3 Deposit Services	0	0

	<b>Count</b>	<b>Total \$ Amount</b>
4 Settlement - Credit / Deposit		
No. of credit unions subscribed		
5 Settlement - Debit / Withdrawal		
No. of credit unions subscribed		

<b>Card Programs</b>	<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
1 ATM Card Program		
Description / No. of Credit Unions	<i>(enter description)</i>	<i>(enter no. of cus)</i>
2 Debit Card Program		
Description / No. of Credit Unions	<i>(enter description)</i>	<i>(enter no. of cus)</i>
3 Other Card Program		
Description / No. of Credit Unions	<i>(enter description)</i>	<i>(enter no. of cus)</i>
Description / No. of Credit Unions	<i>(enter description)</i>	<i>(enter no. of cus)</i>
4 Driving ATM Terminals		

<b>Suspicious Activity Report (SAR) Filings</b>	<b>Current Month</b>	<b>Year to Date</b>
1 Structuring		
2 Terrorist Financing		
3 Fraud		
4 Money Laundering		
5 Other Suspicious Activity		

**CHANGES MADE:**

- a. For trending purposes: Isolate current month, prior month, percent change in dollar amount of transaction
- b. Include drop down or field to enter current month and year.

**c. Add a % Change column- system generated (MAY BE MORE VALUABLE TO SHOW %CHANGE BETWEEN ACH**

- a. Change ACH "Received" to ACH "Receipt" to match corporate terminology
- b. Isolate credit and debit transactions
- c. System calculate totals for debits and credits
- d. Track ACH IAT due to high risk

**Funds Transfer**

- a. Remove "Third Party" from Funds Transfer descriptions
- b. Isolate Domestic Incoming and Outgoing Wires
- c. Isolate International Incoming and Outgoing Wires
- d. Isolate Settlement Only services

**Item Processing**

- a. Change "Electronic" to "Image Capture"
- b. Change "Check Processing" to "Item Processing" to match corporate terminology
- c. Isolate Inclearings, Return items - Inclearings, Deposit Services
- d. Isolate Settlement Credits, Debits and No. of credit unions utilizing each

**Added Card Section**

**Added Suspicious Activity Report (SAR) Filings Section**

<b>Number of Debit Transactions</b>	<b>\$ Amount of Debit Transactions</b>
6604	6601
6605	6602
6606	6603

6601

<b>Number of Credit Transactions</b>	<b>\$ Amount of Credit Transactions</b>
6610	6607
6611	6608
6612	6609

<b>Total Number of Transactions</b>	<b>Total \$ Amount of Transactions</b>
6617	6513
6618	6514
6619	6515
6620	6516
6621	
6622	
6623	

<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
6624	6626
6625	6627

<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
6628	6633
6629	6634
6630	6635
6631	6636
6632	6637

<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
6638	6641
6639	6642
6640	6643

<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
6644	6647

6645	6648
6646	6649

<b>Count</b>	<b>Total \$ Amount</b>
6650	6651
6652	
6653	6654
6655	

<b>Number of Transactions</b>	<b>\$ Amount of Transactions</b>
6656	6657
6658	6659
6660	6661
6662	6663
6664	6665
6666	6667
6668	6669

<b>Current Month</b>	<b>Year to Date</b>
6670	6671
6672	6673
6674	6675
6676	6677
6678	6679

*ns, current year-to-date, and prior year.*

*VEEN AN ANNUALIZED YTD and PRIOR YEAR?)*