Color Certification Online User's Guide

Office of Cosmetics and Colors (OCAC)

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About Color Certification Online

The Color Certification Online system is a new web interface that allows companies to submit color additives requests to the Office of Cosmetics and Colors (OCAC) without the need for paper forms. While a company must still mail the physical samples to the FDA for analysis, advance knowledge of the incoming samples can help expedite the testing process. It will also allow the companies to better track their color additives through the certification process.

Note that this document is intended for the companies submitting color additive requests. U.S. Agents representing foreign companies will have a different interface and set of options available to them.

Connecting to Color Certification Online

Open up your web browser and go to the following login screen at: <u>https://info1.cfsan.fda.gov/color</u>

At the login screen, type in your user name and password. Select the agreement stating that you understand that 18 U.S.C. 1001 code, and then select the Log In button.

(1905-2006 Centennial	U.S. Food and D	orug Administration	Department of Health and Human Services	
	CENTER FOR FOOD SAFE	TY AND APPLIED NUTRITION		
	FDA Home Page CFSAN Hom	e Search/Subject Index Q & A Help		
		netics and Colors fication Online		
	* Account Login		Forgot your password?	
	User Name:			
	Password:			
OMB Burden Statement		e who makes a materially false, f nment is subject to criminal pena		
Browser Requirements		log in	iities.	
* Data entry session times out after 60 minutes of inactivity.				
CFSAN		AN Disclaimers & Privacy Policy CFSAN Acce A Site FDA A-Z Index Contact FDA	ssibility/Help	

Figure 1 - Color Certification Online Login Screen

U.S. Food and	d Drug Administration Center	for Food Safety and Applied Nu and Colors	atrition (1996)
Color Certification Online	0» <u>Update Profile</u>	» <u>Update Preferences</u> »	Send Feedback Log Out
OCAC TEST COMPANY, limited	2 Balance: \$74,344.63	Bottles LG/SM: 70/32 Request bottles	Messages from OCAC HQ There are no recent messages from the OCAC HQ.
3 In Queue (50) Pending (47)	Certified (1) Reports		4 View old messages
Submit Color Additive Reque	est Repack		
6 FedEx Tracking Information	1		S

Color Certification Main Menu

Color Certification Online User Guide (274kb PDF)

Figure 2 – The Color Certification Online Main Menu

The main menu for the Color Certification Online program contains five key areas that you need to be aware of.

1. Navigation Bar

The navigation bar along the top of the screen contains links that allow you to update your company's profile and your online preferences. There is also a link to send feedback to the Office of Cosmetics and Colors (OCAC), as well as a button to log out of the Color Certification Online system.

All other pages of Color Certification Online include a "Menu" link in the navigation bar. Selecting that link will bring you back to this main menu.

2. Company Information

This box provides a brief snapshot of your current account balance with the OCAC. If you have received any sample bottles from the OCAC, they will also be displayed here. Below the box is a link to request more bottles.

3. Folders

These folders contain samples that you have submitted to the Color Certification Online system. A folder may not appear if it does not have any contents. If the FDA is currently analyzing a sample, it may temporarily not appear in any folders until our work is completed.

The following folders are possible:

• **In Queue** Samples in the queue are those that have been submitted online, but not yet received by the FDA.

- **Pending** Pending samples have been received by the FDA, but have not yet been analyzed.
- **Certified** Certified samples are those that have finished analysis and been certified by the FDA.
- **Unaccepted** Unaccepted samples are those that have not been accepted by the U.S. Agent. (Foreign companies only)
- **Reports** Selecting the reports folder will let you download a report of your company's account and sample information.

4. Messages from OCAC HQ

Important notices from the Office of Cosmetics and Colors will be displayed here.

5. Submit Color Additive Request

Selecting one of these buttons will allow you to enter information for a new color additive.

6. FedEx Tracking Information

This link allows you to enter in the FedEx tracking numbers for color sample packages that you ship to the FDA.

Updating Company Profile

Select the "Update Profile" link on the main menu to arrive at this screen. Here you can update your contact information, email address, and password. Once finished making changes, select the **Update** Update button.

<u>Menu</u> » Updat	enu » Update Profile		
Company Name	OCAC TEST COMPANY		
Account Name	TEST		
Prefix	Mr.		
First Name	Raymond L.		
Last Name	Decker, Jr.		
Title	Commander		
Phone	Area Phone Code Number 123 436-1141 ext. 012		
Fax	Area Phone Code Number 132 654-3210 ext.		
Email	adam.rixey@cfsan.fda.gov		
Password	•••••		
Password (confirm)	•••••		

Update Cancel

Figure 3 - Update Profile

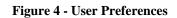
Updating Online Preferences

Select the "Update Preferences" link on the main menu to arrive at this screen. Here you can change whether you prefer metric or imperial measurements. You can also set up alerts so that you will be notified if your account balance or remaining bottles fall below your desired values. When finished, select the Update Update button.

Menu » User Preferences

Units	⊙lbs ⊚kgs	
Balance Minimum	ert when falls below \$60,000.00	
Small Bottles	rompt for reorder when 85 remaining	
Large Bottles	Prompt for reorder when 80 remaining	

Update Cancel



Submitting Color Additives

On the main menu, select one of the three buttons to create a Straight, Lake, or Repack color additive request. There are slight differences to each of the three types of color additives, so we will start with the simplest of the three.

Straight Color Additive

Step 1: Enter Color Additive Information

The first step is to enter the basic color information. The following fields are required:

- **Straight** The color additive.
- **Batch** # Your company's batch number.
- Weight The batch weight. Enter in the units (pounds or kilograms) that you specified when updating your preferences.
- **Bottle Size** Size of the bottle containing the sample you send to the FDA.
- **Manufactured By** Company that manufactured this color additive.
- **Storage Method** How you are storing the color additive while it is pending certification.
- **Location** Where you are storing the color additive.

There is also an optional **Notes** field where you may enter any additional information that you wish to include with your submission.

* Required Field				
* Straight		* Batch #	* Weight	Color Use Statement
D&C GREEN No. 3	5	XXXX-1	750 kgs	Drugs and Cosmetics - generally, including those intended for use in the
* Bottle Size	○Large (81 remaining) ⓒ Sma	all (57 remaining)		area of the eye, in amounts consistent with
* Manufactured By			~	current good manufacturing practice. Nylon 6 sutures, not to
* Storage Method			~	exceed 0.6% by weight of the suture for general surgery only.
Notes			~ >	Balance \$40529.56 Fee - \$578.81
* Location	5001 Paint Branch Parkway College Park, MD 20704 Change			Fee - \$578.81 New balance = \$39950.75

Figure 5 - Color Additive Information

The **Manufactured By**, **Storage Method**, and **Location** fields will each remember the values that you enter, to make future submissions easier. The first time you enter a submission, you will need to type in the value.

The next time you enter a submission, you will be able to select your previous choice from a pull-down menu. To create a new value, select the first option from the pull-down menu, such as "New Location". A text box will appear and allow you to enter in the new information. When finished, select the **Update Update** button. If you have accidentally chosen an incorrect value, you can select the **Change Change** button to make the pull-down menu reappear.

* Location	5001 Paint Branch Parkway College Park, MD 20704			
	New Location			
	123 Fake Street, Pittsburgh, PA 15215			
	5001 Paint Branch Parkway College Park, MD 20704			
	724 Evergreen Terrace, Springfield, ID 12345			
	987 New Address New Town, New York 12345			

Figure 6 - Location Pull-Down Menu

When you have filled out all the required fields for the color additive, select the **Next** Next button.

Step 2: Verification

Straight color additives do not require any additional information, so you arrive at a page to verify that all data is accurate. Select the **Previous Previous** button to make any changes to your information, or select the **Submit Request Submit Request** button to send the request to the Office of Cosmetics and Colors.

Verification of Sample Request

To make any changes, select the *Previous* button.

			Previous Cancel Submit Request	
Dye		Batch #	Weight	
D&C GREEN	No. 5	XXXX-1	750 kgs (1653.75 Lbs)	
Bottle Size	Small	Small		
Manufactured By	GloboChem Petrochemical Manufacturing, Inc.			
Storage Method	Sealed PVC barrels, stacked in our warehouse.			
Location	5001 Paint Branch Parkway College Park, MD 20704			
Balance	\$40,529.56			
Fee	- \$578.81			
New balance	\$39,950.75			

Figure 7 - Step 2: Verification

Receipt

Once a request has been submitted, you will be presented with a receipt that you may print out for your records. If you wish to submit another color additive request of the same type, select the **Add More** Add More button. You will be taken back to Step 1 of a new entry. As a time-saving measure, all information other than the **Batch** # and **Weight** will be exactly the same as the request that you just completed.

Previous Next

Lake Color Additive

Step 1: Initial Information

Lakes start out the same as entering a straight. You must enter the same basic information about the color additive, where it is stored, etc. The only changes are that the list of color additives is now made up of lakes instead of straights, and there is a new header at the top of the screen to show your position in the process.

 1		1	
Step 1	Step 2	Step 3	Step 4
Initial Information	Included Samples	Precipitant & Substratum	Confirmation

Figure 8 - Lake Header

Step 2: Included Samples

The second step is to add one or more samples included in the lake. Some lakes, such as all approved for use in foods, require a certified FDA Lot # to be used in the new lake. Others will accept either a Lot # or the name of the color. Depending upon the color additive that you have selected in Step 1, you will see one of two different forms.

This pag	ge is option	al when su	bmitting lakes.	
Enter C	olor Used:			
Lot #		Weight	kgs	
Add			•	•

Figure 9 - Certified FDA Lot # Required

To enter a certified FDA Lot #, type the value into the text box. Then type in the weight and select the **Add** button to enter the sample.

This page	is optional when su	bmitting lakes.	Previous Next
Enter Col	or Used:		
⊙ Lot #	O Name		Weight kgs
Add			

Figure 10 - Certified FDA Lot # or Color Name Allowed

To enter a certified FDA Lot # or Color Name, first select the button next to the appropriate label (Lot # or Name). Then type in the value and weight, and then select the Add Add button to enter the sample.

Once you enter a color, the screen will refresh to show a list of colors added to this sample. If you make a mistake, you can select the **B Edit** button next to a color to alter it or the **B Delete** button to remove that color.

	Name of Color	Weight (kgs)
30	D&C RED # 34	500

Figure 11 - Added Color List

When ready, select the **Next** Next button to continue on to the next stage of the process.

Step 3: Precipitant and Substratum

The third step allows you to enter the precipitant and substratum used in creating the lake. Select the values from the pull-down menus, enter the weight of the substratum, and then select the Add Substratum Add Substratum button. Note that depending upon the color chosen in Step 1, you may be able to add more than one substratum; however, you can only have one precipitant.

			Previous Next
Precipitant	~		
Substratum	~	Weight	kgs
Add Substratum			

Figure 12 - Precipitant and Substratum

When finished, select the **Next** Next button.

Step 4: Confirmation

This is just like the confirmation screen used when creating a straight color additive, only it now displays the included samples, precipitant, and substratum information. To make any changes, select the **Previous Previous** button to go back in the process. (As a shortcut, you my also select a completed step in the header to jump directly to that page; for instance, selecting "Step 1: Initial Information" will take you back to the beginning.)

When you are ready to submit the data to the FDA, select the **Submit Request** Submit Request button.

Repack Color Additive

Repacked color additives are handled similarly to lakes, only there are now three steps instead of four.

Step 1	Step 2	Step 3	
Initial Information	Included Samples	Confirmation	



Step 1: Initial Information

This first step is exactly the same as when submitting straights and lakes.

Step 2: Included Samples

The second step behaves the same as Step 2 for lakes; however, with repacks you must always supply a certified FDA Lot #. The total weight of repacked samples must also match up with the weight that you specified in Step 1.

Step 3: Confirmation

When you are ready to submit the data to the FDA, select the **Submit Request** Submit Request button.

Queue and Pending Folders

Selecting the **In Queue** or **Pending** folders on the main menu will allow you to view your samples sent to the FDA. While neither folder contains samples that have been analyzed, there is one very important distinction to make: items in the queue can *still be edited*, while those that are pending *cannot be edited*. If you submit a color additive request and then realize that you made a mistake, you can still go in and change it so long as it is still in the queue¹.

To view either the queue or pending color requests, select their folder from the main menu. If there are a large number of records, you will be able to filter by Batch # and/or Color Additive.

Menu » Color Requests Queue Pending Certifie						
Batch # Color Additive						
Kext Previous record 1 to 20 of 35 page 1 2 all pages Next >>						
Batch #	Color Additive	Weight (kgs)	Fee	Date		
XXXX-1	D&C GREEN No. 5	750	\$578.81	01/24/06		
<u>G3ALT</u>	D&C RED No. 6 LAKE	900	\$694.57	01/20/06		
ASDF1	D&C ORANGE No. 5 LAKE	123	\$224.00	01/17/06		
ASDE	D&C RED No. 27 LAKE	100	\$224.00	01/11/06		

Figure 14 - Color Requests in the Queue

Modifying a Color Request

To modify a color request, click on its Batch # in the queue. You will be taken back to Step 1 for that particular color type (straight, lake, or repack). Go through the steps as you normally would and make any necessary changes, and then re-submit on the confirmation page to update the sample request.

¹ Note that foreign companies must make any changes before their U.S. Agent accepts the sample request; once the Agent accepts responsibility for a sample, even if that sample is still in the queue, it may no longer be modified.

FedEx Tracking Information

Menu » FedEx Tracking Information

Enter New Tracking Information

 $\ensuremath{\mathsf{Please}}$ only enter shipping information if you use the FDA's FedEx account to send color samples for testing.

Tracking #:	
Date Sent:	

Submit

Figure 15 - FedEx Shipment Information

Select the **FedEx Tracking Information** link on the front page to enter information about your shipments to the FDA. There you can enter in your tracking numbers and the date sent. You do not need to specify which color samples are in each package.

If you have not yet received the FedEx account number, please contact Ray Decker at (301) 436-1148.