

PART C - SIGNATURE AND CERTIFICATION

I certify under penalty of perjury that the information provided herein is true and correct to the best of my knowledge and belief. The United States is not liable to any person for the improper payment of securities. I bind myself, my heirs, legatees, successors and assigns, jointly and severally, to hold the United States harmless on account of the transaction requested, to indemnify unconditionally and promptly repay the United States in the event of any loss which results from this request, including interest, administrative costs, and penalties. I consent to the release of any information regarding this transaction, including information contained in this application, to any party having an ownership or entitlement interest in the securities or payments.

You must wait until you are in the presence of a certifying officer to sign this form.

(SEAL)*

(Signature of individual creditor or name of organizational creditor)

By _____
(Signature and title of officer of organizational creditor)

* There is no seal

Instructions to Certifying Officer:

1. Name of the person(s) who appeared and date of appearance **MUST** be completed.
2. Medallion stamps require an original signature.
3. Person(s) must sign in your presence.

I certify that _____, whose identity(ies) is/are known or
(Name[s] of Person[s] Who Appeared)

proven to me, personally appeared before me this _____ day of _____,
(Month/Year)

at _____, and signed this form.
(City, State)

(Signature and Title of Certifying Officer)

(OFFICIAL STAMP OR SEAL)

(Name of Financial Institution)

ACCEPTABLE CERTIFICATIONS:

Financial Institution's Official Seal or Stamp
(such as Corporate Seal, Signature Guaranteed
Stamp, or Medallion Stamp). **Brokers must
use a Medallion Stamp.**

(Address)

(City/State/ZIP Code)

(Notary certification is NOT acceptable.)

(Telephone)

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

The collection of the information you are requested to provide on this form is authorized by 31 U.S.C. CH. 31 relating to the public debt of the United States. The furnishing of a Social Security Number, if requested, is also required by Section 6109 of the Internal Revenue Code (26 U.S.C. 6109).

The purpose of requesting the information is to enable the Bureau of the Public Debt and its agents to issue securities, process transactions, make payments, identify owners and their accounts, and provide reports to the Internal Revenue Service. Furnishing the information is voluntary; however, without the information Public Debt may be unable to process transactions.

Information concerning securities holdings and transactions is considered confidential under Treasury regulations (31 CFR, Part 323) and the Privacy Act. This information may be disclosed to a law enforcement agency for investigation purposes; courts and counsel for litigation purposes; others entitled to distribution or payment; agents and contractors to administer the public debt; agencies or entities for debt collection or to obtain current addresses for payment; agencies through approved computer matches; Congressional offices in response to an inquiry by the individual to whom the record pertains; as otherwise authorized by law or regulation.

We estimate it will take you about 06 minutes to complete this form. However, you are not required to provide information requested unless a valid OMB control number is displayed on the form. Any comments or suggestions regarding this form should be sent to the Bureau of the Public Debt, Forms Management Officer, Parkersburg, WV 26106-1328. DO NOT SEND completed form to the above address; send to the address shown in the instructions.

INSTRUCTIONS

USE OF FORM – An unpaid creditor must complete this form to request payment of United States Securities and/or related payments belonging to a decedent's estate unless:

- A legal representative has been or will be appointed through the court.
- The estate will be settled in accordance with the law of the decedent's domicile (such as Summary Administration, Small Estates Act, Texas Muniment of Title, Louisiana Judgment of Possession, etc.).
- The debt has been barred by any applicable law.
- The total of all securities and/or related payments owned by the decedent as of the date of death exceeds \$100,000 redemption and/or par value.
- There is an individual entitled to the estate according to the law of the decedent's domicile.

If any of these circumstances apply, do not complete this form. Instead, send the securities and all evidence and/or documentation concerning the estate to the address shown in "WHERE TO SEND" on the last page of these instructions. Upon review of the submission, we will provide additional instructions, if necessary.

If more space is needed for any item, use a plain sheet of paper or make photocopies, as necessary, and attach to the form.

PART A – ESTATE INFORMATION

Provide the requested information regarding the decedent. If more than one deceased person is named on the securities, provide the information for the person who died last. **Submit certified copies of the death certificates for all deceased registrants.**

Insert the following information:

- Decedent's name
- Decedent's Social Security Number
- Jurisdiction (state, district, or territory) of decedent's last legal residence

Note: The decedent's Social Security Number will be used to report the interest earned to the Internal Revenue Service for Federal income tax purposes.

PART B – PAYMENT TO CREDITOR

Provide the information below and submit any supporting documentation (e.g. receipts, statements, invoices). Payment will be limited to the amount of the debt as shown in the documentation submitted and will not exceed the value of the securities. (Reissue is not permitted.)

1. Provide the amount owed.
2. Provide the nature of the claim (how the debt was incurred).
3. Provide the creditor's name, phone number, and mailing address.
4. Describe the securities and/or checks:
 - TITLE OF SECURITY – Identify each security by series, interest rate, type, CUSIP, and call and maturity date, as appropriate. If describing a check, insert the word "check."
 - ISSUE DATE – Provide the issue date of each security or check.
 - FACE AMOUNT – Provide the face amount (par or denomination) of each security or check.
 - IDENTIFYING NUMBER (if applicable) – Provide the serial number of each security, the confirmation number, or the check number.
 - REGISTRATION – Provide the registration of each security, check, or account; also provide the account number, if any.

PART B – PAYMENT TO CREDITOR (Continued)**EXAMPLES:**

TITLE OF SECURITY	ISSUE DATE	FACE AMOUNT	IDENTIFYING NUMBER	REGISTRATION
<i>Paper Marketable Security</i> 9 1/8 % TREASURY BOND OF 2004-2009 MATURES 5/15/09 CUSIP 912810CG1	5/15/79	\$5,000	<i>Serial #</i> 123	JOHN DOE AND JANE DOE SSN 222-22-2222
<i>Electronic Marketable Security</i> CUSIP 912795QW4	2/5/04	\$1,000		ACCT # 4800-123-1234 JOHN DOE SSN 222-22-2222
<i>Electronic Series I Savings Bond</i> SERIES I	1/1/02	\$100	<i>Confirmation #</i> IAAAB	ACCT # N-111-11-1111 JOHN DOE
<i>Paper Series EE Savings Bond</i> SERIES EE	7/99	\$100	<i>Serial #</i> C-123,456,789-EE	SSN 222-22-2222 JOHN DOE OR JANE DOE
<i>Check</i> CHECK	7/26/04	\$351.02	<i>Check #</i> 502123456	JOHN DOE

If unsure what to provide in each of the areas, furnish all identifying information in the space for REGISTRATION.

PART C – SIGNATURES AND CERTIFICATIONS

SIGNATURES – The application must be signed in ink.

If the creditor is an...	The form must be signed by...	And the following must be provided...
Individual	The individual	N/A
Organization	A person authorized to sign on behalf of the organization	Evidence of the signer's authority, his/her title, and the name and seal of the organization
Partnership	A general partner	Signer's title and the name and seal of the partnership
Corporation	An authorized officer of the corporation	Evidence of the signer's authority, his/her title, and the name and seal of the corporation

Note: If the organization, partnership, or corporation has no seal, mark the box provided.

Where evidence of your authority is required, please provide a resolution or other similar documentation.

CERTIFICATION – You must appear before and establish identification to the satisfaction of an authorized certifying officer. The form must be signed in the officer's presence. The certifying officer must affix the seal or stamp that is used when certifying requests for payment. Authorized certifying officers are available at most financial institutions, including credit unions.

ADDITIONAL REQUIREMENTS – The Commissioner of the Public Debt, as designee of the Secretary of the Treasury, reserves the right in any particular case to require the submission of additional evidence and/or the formal administration of the estate.

RETURN OF EVIDENCE – If you want the evidence submitted with this form returned to you, please provide a written request when you submit the form and evidence.

WHERE TO SEND – Unless otherwise instructed in accompanying correspondence, send this form, all securities and/or related checks, and any necessary evidence to: Department of the Treasury, Bureau of the Public Debt, using the appropriate address below:

- Definitive (paper) savings bonds only – PO Box 7012, Parkersburg, WV 26106-7012
- Marketable securities or electronic savings bonds – PO Box 426, Parkersburg, WV 26106-0426
- Definitive (paper) savings bonds **and** either marketable securities or electronic savings bonds – PO Box 426, Parkersburg, WV 26106-0426

Note: You must use only one form and describe all of the securities.