



## NOTIFICATION - EXEMPT

**From:** Jim Tebow  
**To:** Peter Hussey - PI  
**Cc:** Rachel Burns - Primary Contact  
Courtney Armstrong - Study Team  
Courtney Gidengil - Study Team  
**ID:** [2014-0059](#)  
**Title:** Updating and Expanding the AHRQ QI Toolkit for Hospitals  
**Description:** The above study is exempt from further HSPC review. This does **\*not\*** mean the research is exempt from meeting ethical requirements; rather it means the investigators are on their own recognizance to assure that basic ethical principles are met (for clarity on these principles, see <http://www.hhs.gov/ohrp/humansubjects/guidance/belmont.htm>). If there is another organization also "engaged" in the research, that organization may do its own review and may not exempt the study. Please contact the HSPC immediately if the research changes in any ways that may affect human subjects involvement.

**Action Required:** No action is required of you at this time. If you wish to view the status of your application, please login to RHINO, then click on the study ID above to navigate to the project workspace.

**Date of Determination:** 2/20/2014

## CURRENT STUDY REVIEW STATUS

**Project Number:** HQ365  
**Funding Source:** Agency for Healthcare Research and Quality  
**Prime Recipient:** RAND  
**RAND Unit(s):** Health  
**Human Subjects:** Yes  
**Type of Review:** Exempt

Exemption Categories:

Category 2

Exemption Category Adult Interviews and Surveys Exemption

Component Approvals:

managers and clinical staff at hospitals

Procedure	Approved	Motion	Category
Interviews with teams implementing quality improvement on the QIs	Thu Feb 20 16:57:15 PST 2014	Exempt - Determination	<b>Exempt Category:</b> <ul style="list-style-type: none"> <li>• 2 - Adult Interviews and Surveys Exemption</li> </ul>
Quarterly update interviews with implementation team leaders	Thu Feb 20 16:57:43 PST 2014	Exempt - Determination	<b>Exempt Category:</b> <ul style="list-style-type: none"> <li>• 2 - Adult Interviews and Surveys Exemption</li> </ul>

Assurance number: FWA00003425

IRB number: IRB00000051

Administrator: Jim Tebow

The HSPC is RAND's Institutional Review Board to review research involving human subjects, as required by federal regulations. RAND's "Federalwide Assurance for the Protection of Human Subjects" (FWA00003425, effective through July 1, 2018, at <http://intranet.rand.org/groups/hspc/fwa.pdf>) serves as our assurance of compliance with the regulations of 16 federal departments and agencies. According to this assurance, the Committee is responsible for review regardless of source of funding.