United States
US Environmental Protection Agency
Office of Air and Radiation, Office of Transportation and Air Quality

Transition Program for Equipment Manufacturers (40 CFR Part 1039)

Equipment Manufacturer Notification

Last Revision: April 2014 Version Number: 1.2

Please submit forms to: TPEM-CI@epa.gov DO NOT MAIL HARD COPIES.

Please note that you must submit an annual report for each year you use the TPEM provisions. The report is due by March 31 of the year after you used a TPEM allowance. (§1039.625(g)(2))

Submit your reports using the electronic template found at: http://www.epa.gov/otaq/certdat2.htm.

Equipment Manufacturer Basic Information

Submission Date:

Manufacturer (Company) Name:
Manufacturer Contact Name:
Manufacturer Email Address:
Manufacturer Phone #:
Manufacturer Address:
Address 1:
Address 2:
City:
State:
ZIP:
Country:

If Notification submitted by 3rd Party, provide the following information:
3rd Party Contact Name:
3rd Party Email Address:
3rd Party Phone #:
3rd Party Address:
Address 1:
Address 2:
City:
State:
ZIP:
Country:

If your company is part of another (Parent Company), provide the following information:
Parent Company Name:
Parent Company Address:
Address 1:
Address 2:
City:
State:
ZIP:
Country:

Other Companies Operating under Parent Company:

All Companies:

Does this Notification contain Confidential Business Information (CBI)?

Small Volume Manufacturer:
Foreign Equipment Manufacturer:

Are you planning on applying for additional exemptions for technical or engineering hardship? (§1039.625(m))
Are you generating additional TPEM allowances under the provisions of §1039.627 (early Tier 4 engine incentive)?
Did you use the allowances under §1039.625 for engines that are not yet subject to Tier 4 standards (early allowances)? (§1039.625(d)(4))
Did you use the technical or engineering hardship provisions of §89.102(i)?

Comments:

TPEM Notification Data

For all equipment manufacturers:
Please provide the name and address of each company you expect to produce engines for the equipment you manufacture under the TPEM provisions of Part 1039.

Engine Manufacturer		
Manufacturer Name	Contact	Corporate Address

For future Calendar Years, please provide your best estimate of the number of units in each power category that you will produce under TPEM.

Expected TPEM Equipment Volume for Part 1039				
TPEM Start Year	TPEM End Year	Power Category	TPEM Production Volume	TPEM Allowance Type

For previous model years, enter the number of units in each power category you have sold under §89.102(d).

Historical TPEM Equipment Volume Under Part 89				
TPEM Start Year	TPEM End Year	Power Category	TPEM Production Volume	TPEM Allowance Type

Paperwork Reduction Act Notice
The public reporting and recordkeeping burden for this collection of information is estimated to average 9 hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB Number 2060-0369 in any correspondence. Do not send the completed Form 5900-242 to this address.

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