



United States
Environmental Protection Agency
Office of Transportation and Air Quality

TPEM Bonding Worksheet - Nonroad CI

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Bond Worksheet for TPEM - Nonroad CI

Please save this file with the title "TPEM worksheet XXXXXXXX20MY.xls" where XXXXXXXX is your company's name and 20MY is actually the Model Year that this Bond Worksheet represents.

All fields highlighted in yellow are CBI.

According to §1039.626, as an equipment manufacturer using the provisions of §1039.625 for equipment produced outside the United States, you may be required to post a bond to cover any potential enforcement actions under the Clean Air Act before you or anyone else imports your equipment with exempted engines. The bond requirements do not apply if (per §1039.626(a)(9)(ii)(B)) your long-term assets in the U.S. meet the thresholds cited at §1054.690(b)(1) - (b)(3). To determine whether you are required to post a bond, complete the Long-Term Asset Test below, which is based on the value of buildings, land, and fixed equipment from your most recent balance sheet, minus depreciation and long-term liabilities (such as mortgage). The value of the fixed assets are for the whole company, including any parent or subsidiary companies. If you do need to post a bond based on the Long-Term Asset Test, complete the Bond Value Calculation section of the Bonding Worksheet to determine the value of the bond. If your estimated or actual engine imports increase beyond the level appropriate for your current bond payment, you must post additional bond to reflect the increased sales within 90 days after you change your estimate or determine the actual sales. You may not decrease your bond. However, you may submit an updated worksheet if the value of the identified assets drops below the threshold value.

THIS WORKSHEET CONTAINS CBI!

Worksheet Filer's Name:

Worksheet Filer's Parent Company Name:

Certificate Holder's Name:

Equipment Manufacturer's Name:

(If different from Filer's Name)

Long-term Asset Test

CBI: Identify the asset threshold that applies to your company [see §1039.626(a)(9)(ii)(B)].

\$ 10,000,000	<input type="checkbox"/>	
\$ 6,000,000	<input type="checkbox"/>	For secondary engine manufacturers. Identify the company that supplies your engines: <input type="text"/>
\$ 3,000,000	<input type="checkbox"/>	For manufacturers that have held a certificate for each of the last 10 years with no finding of noncompliance.

CBI: Identify your level of fixed assets in the United States minus depreciation and related long-term liabilities [see §1039.626(a)(9)(ii)(B)].

Less than \$3,000,000	<input type="checkbox"/>
At least \$3,000,000 but less than \$6,000,000	<input type="checkbox"/>
At least \$6,000,000 but less than \$10,000,000	<input type="checkbox"/>
\$10,000,000 or more	<input type="checkbox"/>

Please identify the asset threshold that applies to your company.

Worksheet Filer's Name:

Long-term Asset Details

Identify the addresses where you have at least that much value of fixed assets (with corresponding photographs), as described below. If you need to add additional locations, please use a second file. Name the file, "Bond worksheet XXXXXXXX-Y.xls where XXXXXXXX is your company's name, and Y is the next sequential letter. For example, the second file is -A, the third file is -B.

Identify all the addresses where your fixed assets are located.

Address 1:

Address 2:

Address 3:

Include a photograph of each facility identified above. (Please embed files)

Address 1	Address 2	Address 3

CBI: Include the following data from the most recent balance sheet. Please include all Capital Leases.

	Address 1	Address 2	Address 3
Net PPE	<input type="text"/>	<input type="text"/>	<input type="text"/>
- or -	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total fixed assets	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mortgages on above assets	<input type="text"/>	<input type="text"/>	<input type="text"/>
Accumulated depreciation on above assets	<input type="text"/>	<input type="text"/>	<input type="text"/>
Net Assets	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

Worksheet Filer's Name:

Bond Value Calculation §1039.626 (Nonroad CI)

If you need to post bond under §1039.626, use the following worksheet to calculate the value of the bond. Report production volumes based on one of the following:

<input type="checkbox"/>	Most recent production reports for all engines produced from certified engine families.
<input type="checkbox"/>	Projected sales figures from all certified engine families from the previous model year.

Error: Please select the basis for production volumes.

CBI: Provide estimated total annual U.S.-directed production of Nonroad CI engines:

kW < 19	
19 ≤ kW < 56	
56 ≤ kW < 130	
130 ≤ kW < 225	
225 ≤ kW < 450	
kW ≥ 450	

This is your minimum bond value for 2010.

You must increase the value of your bond if your actual U.S.-directed production volumes for the current calendar year cause this calculated value to increase.

Paperwork Reduction Act Notice

The public reporting and recordkeeping burden for this collection of information is estimated to average 1.5 hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number (2060-0369) in any correspondence. Do not send the completed form to this address.

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