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# H-1B Technical Skills Training, Jobs and Innovation Accelerator Challenge, & Ready to Work Grants Program Reporting Handbook Quarterly Performance Reporting & Instructions

**\* Modified version for H-1B Ready to Work grantees  
ONLY**

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Employment and Training Administration  
**Office of Workforce Investment**

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## SECTION I - GENERAL OVERVIEW

### 1.1 - GENERAL INSTRUCTIONS

The H-1B Technical Skills Training (TST) ~~and~~ Jobs Accelerator (JA), and Ready to Work Grantees are required to submit quarterly program progress reports to the United States Department of Labor's, Employment and Training Administration (USDOL/ETA) to comply with the reporting and record keeping requirements of the grant. Each grantee must submit a Quarterly Progress Report containing updates on the implementation and progress specified in each grant Statement of Work (SOW). A Progress Report contains both a Quarterly Performance and Quarterly Narrative Report.

ETA will provide H-1B Grantees with a web-based reporting system "HUB" that will allow grantees to submit their Quarterly Progress Reports. The HUB system will generate a Quarterly Performance Report (QPR) form OMB #1205-0507 using participant records that grantees will upload as one data file into the HUB system. HUB will also allow grantees the ability to upload/input a Quarterly Narrative Report (QNR). Grantees will need to certify both a QPR and QNR before quarterly progress reports will be considered fully submitted.

Quarterly Progress Reports will assist ETA in tracking grant activities and outcomes as well as provide a "snapshot" of grant-funded activities for the quarter and cumulative quarters throughout the grant period of performance. Each reporting quarter, ETA will produce a performance outcomes report of the H-1B Grants Program using both QPR data and QNR reports that grantees submit to ETA. This report will be used to inform the Department of Labor Secretary, Congress and the general public on the progress of performance outcomes for all H-1B TST and JA grants.

H-1B grantees must also submit a Final Performance Report that incorporates both Quarterly Performance data and a Final Narrative report.

The instructions and performance reporting forms for completing these reports can be found in Section I General Instructions, [1.3 Instructions for Quarterly Progress Reports](#); [1.4 Submission Process](#), and [Section III Instructions for Completing H-1B Quarterly Performance Reports \(QPR\) and How a QPR is Generated](#) and [Section IV Instructions for Quarterly Narrative Report Submissions](#) of this handbook.

### 1.2 - COVERED PROGRAMS

Quarterly Progress Reports will cover participants who receive services under the following ETA programs:

- H-1B Technical Skills Training (TST) Grants - all rounds, including TST Rounds 1 & 2 and Ready to Work
- H-1B Jobs and Innovation Accelerator Challenge (JIAC) Grants - all rounds
- Make it in American (MIIA) Grants

### 1.3 - INSTRUCTIONS FOR QUARTERLY PROGRESS REPORTS

Quarterly Progress Reports will have two key components, a Quarterly Performance Report and a Quarterly Narrative Report:

- **Quarterly Performance Report (QPR) Form OMB #1205-0507** – The quarterly performance report provides aggregated performance data used to determine the levels of participation and program accomplishments for the most recent quarter and cumulative to-date. The QPR form #1205-0507 will be generated from the web-based reporting system HUB once data is validated and edit checks are complete. Once a QPR form is generated and a QNR is submitted, both reports will need to be certified by the Grant Authorized Representative in HUB for final submission to DOL.
- **Quarterly Narrative Performance Report/~~Integrated Work Plan (QNR/IWP) for H-1B JA Only~~** – In addition to providing a Quarterly Performance Report each quarter, grantees will provide a narrative account of performance activities that occurred during the reporting quarter. This report provides ETA information on program activities including information that cannot easily be captured using data alone. For example, this is the place to provide any supplemental data that is not captured on the QPR form. It is also appropriate to describe any activities, events and/or partnership successes or hurdles that impact your grant during the reporting quarter. Once a QPR form is generated and a QNR is submitted, both reports will need to be certified by the Grant Authorized Representative in HUB for final submission to DOL.
- **Final Performance Report** – The last Quarterly Performance and Narrative Reports will serve as the grantee’s Final Performance Report. Together, these reports will provide both quarterly and cumulative information that reflect the grantee’s activities for the entire period of performance. These reports must summarize project activities, employment outcomes, other deliverables, and related results of the project, and should thoroughly document the training approaches used by the grantee. Grantees will be required to report on post-program outcomes for all participants, as well as on post-program follow-up and tracking activities for all participants during the period of performance. Applicants must follow Federal guidelines on record retention, which requires grantees to maintain all records pertaining to grant activities for a period of not less than three years from the time of final award close-out.

Additional instructions and sample templates for all reports can be found in [Section III](#) and [Section IV](#) of this Handbook and on the [H-1B Online Resource Page/Community of Practice \(CoP\)](#).

## 1.4 - SUBMISSION PROCEDURES

Information contained in the H-1B Quarterly Progress and Final Performance Reports must be submitted directly to ETA via technical instructions issued through the USDOL/ETA national office.

ETA will provide a web-based system, *HUB* for grantees to submit their Quarterly Progress Reports. Grantees are expected to collect information and performance outcomes for each individual receiving H-1B grant-funded services. Demographic and performance outcomes data reported to ETA should be based on individual-level participant records maintained by each grantee.

Grantees will develop their own internal systems to collect and track participant records that will be submitted as one data file by **uploading** the file into the web-based system, HUB. Acceptable data files that will be uploaded into the HUB reporting system are data files (**.dat**), **comma-separated values (.csv)**, and **text files or flat files (.txt)**. [View sample participant records and data files on the H-1B grantee on-lien resource page.](#)

The HUB system will validate the participant records of a grantee’s data file and any inconsistencies will be reported to the grantee to modify or rectify. When the data file is validated and certified in HUB, the

system will generate the Quarterly Performance Report which will include quarterly and cumulative-to-date performance outcomes. Once a QPR form is generated and a QNR is submitted, both reports will need to be certified by the Grant Authorized Representative in HUB for final submission to DOL/ETA.

Once data is validated, certified and submitted to DOL/ETA, reports will be reviewed by ETA National and Regional staff. This “snapshot” will be the basis for a certified Quarterly Performance Report that ETA will use to measure grantee outcomes and to provide quarterly technical assistance.

### 1.5 - DUE DATES and QUARTERLY REPORTING CYCLE

All ETA **Quarterly Reports** are due to ETA, via HUB **no later than 45 days** after the end of each reporting quarter. The table below shows the expected due dates for each reporting quarter. Reports not submitted by the due date will be considered delinquent and not in compliance with your grant agreement.

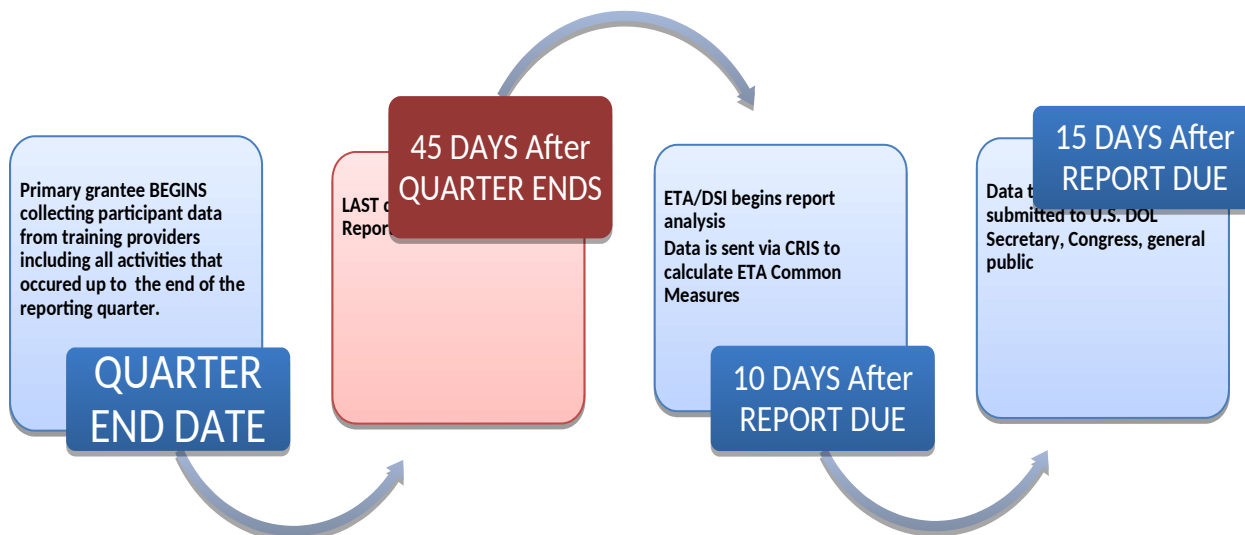
**FIGURE 1: Quarterly Report Deadlines**

Quarter Start Date	Quarter End Date	Report Submission Due Date*
January 1	March 31	May 15
April 1	June 30	August 14
July 1	September 30	November 14
October 1	December 31	February 14

\* Should the due date of the report fall on a Saturday, Sunday, or holiday, the report is due on the next business day.

### ETA Quarterly Reporting Cycle Flow Chart

**FIGURE 2: Reporting Cycle Flow Chart**







## SECTION II - INSTRUCTIONS FOR TRACKING PARTICIPANT OUTCOMES

### 2.1 – BASELINE DATA METRICS

H-1B Grantees are expected to collect participant-level data and submit, in electronic form, a data file of participant records into the ETA provided web-based portal, HUB.

~~Both the H-1B Technical Skills Training (TST) Ready to Work and Jobs Accelerator (JA)~~ Grantees are required, per grant award, to report on the following metrics:

- Total participants served;
  - Includes sub-totals for long-term unemployed workers, other unemployed workers, and incumbent workers to be served;
- Total participants ~~beginning-enrolled in~~ education/training activities;
- Total participants completing education/training activities;
- Total participants who complete education/training activities that receive a degree, or other type of credential;
- ~~Total number of credentials each participant is expected to receive;~~
- Total number of Unemployed Participants who Obtain Employment
  - Note: All LTU and other unemployed served who gain employment regardless of enrollment or completion of training
- Total number of Incumbent Worker Participants that Advance into a New Position
- ~~Total participants who complete education/training activities who enter unsubsidized employment;~~
- ~~Total participants who complete education/training activities who are placed into unsubsidized employment, who retain an employed status in the first and second quarters following initial placement (this includes incumbent workers who retained their positions after the program); and~~
- The average wage that participants will earn at placement into unsubsidized employment (this includes incumbent workers who retain their positions and get wage gains after the program).
 

*\*This measure is captured via wage records by ETA on behalf of the grantee. ETA will collect this data element using all valid social security numbers (SSNs) submitted to ETA via the secure online reporting system HUB that will encrypt all personally identifiable information.*

### 2.2 – COMMON PERFORMANCE MEASURES

The Employment and Training Administration (ETA) collaborated with several federal agencies and the Office of Management and Budget (OMB) to develop a set of performance metrics called “common measures” for federal employment and job training programs with similar goals. The value of these common performance measures is the ability to describe in a similar manner the core purposes of the workforce system. Three common measures were developed for programs serving adults and are defined below.

1. **Entered Employment Rate**—of those individuals who were not employed at the time of program participation, the percentage who are employed in the first quarter after they exit.
2. **Employment Retention Rate**—of those who are employed in their first quarter after exit, the percentage employed in *both* the second and third quarters after they exit.
3. **Average Six-Month Earnings**—of those who are employed in their first, second and third quarters after exit, the average gross earnings from the second and third quarters after exit.

ETA's H-1B Technical Skills Training and Jobs Accelerator Grantees will use the *Adult Common Measures* to assess outcomes. The definitions and associated calculations for each of the adult common measures reference "calendar quarters" because State Unemployment Insurance (UI) wage records – which are based on calendar quarters – are the preferred data source to demonstrate these employment-related outcomes. In addition, all of the adult common measures are "exit-based," meaning that the measures are applied after the exit quarter.

To reduce Grantee burden, ETA will track **Common Measures** on behalf of all TST and JA H-1B Grantees. To assist in this process, ETA requests that grantees include the following data elements in a participant record:

- **Social Security Number**
- **Employment Status at Participation**
- **Date of Program Exit**
- **Reason for Program Exit**

**IMPORTANT NOTE:** It is still the responsibility of each grantee to track employment outcomes for each training participant per the data element collections required.

### 2.3A – PERSONALLY IDENTIFIABLE INFORMATION

Grantees must secure **Personally Identifiable Information**, or PII, and should ensure that when they are collecting this information from participants that they are informed of why they are being asked to provide their Social Security numbers, in accordance with the American Competitiveness and Workforce Improvement Act\*.

\*The American Competitiveness and Workforce Improvement Act of 1998, Title IV, Section 414 (c), as amended by the Consolidated Appropriations Act of 2005, Division J, Title IV, Subtitle B, Section 428 and WIA Section 171(a) direct the Secretary to require grantees to report on the employment outcomes obtained by workers receiving training under this subsection using indicators of performance that are consistent with other indicators used for employment and training programs administered by the Secretary, such as entry into employment, retention in employment, and increases in earnings. The principal purpose for collecting this information is to administer the program, including tracking and evaluating participant progress. Providing this information, including a social security number (SSN) is voluntary; failure to disclose a SSN will not result in the denial of any right, benefit or privilege to which the participant is entitled. The information that is collected on this form will be retained in the program files of the grantee and may be released to other Department officials in the performance of their official duties.

*For further guidance on handling the protection of Personally Identifiable Information (PII) please refer to:*

[Training and Employment Guidance Letter \(TEGL\) NO. 39-11 – Guidance on the Handling and Protection of Personally Identifiable Information](#)

**Important Note:** Should changes in definitions resulting from new legislation or related regulations occur, appropriate revisions will be issued to reflect these changes.

## 2.3B – TECHNICAL ASSISTANCE FOR COLLECTING SOCIAL SECURITY NUMBERS FROM PROGRAM PARTICIPANTS

**Please Note:** Providing a social security number (SSN) is voluntary; failure to disclose a SSN will not result in the denial of any right, benefit or privilege to which the participant is entitled.

### What is the Purpose of Collecting SSNs?

All H-1B TST ~~and~~, JA, and Ready to Work Grantees are asked to collect Social Security Numbers (SSNs) for all participants served with grant funds and report outcomes to ETA. ETA, on behalf of the grantees, will work with its State partners to match SSNs with employment data available from State Unemployment Insurance (UI) and other administrative wage records (e.g., Federal and Military employment). This matching procedure is necessary in order for ETA to collect consistent and reliable aggregate outcome information for each grantee by calculating a set of **Common Performance Measures** that includes entry into employment, employment retention, and six-month average earnings. The collection of this information assists ETA and the grantee track the long-term success of the training program.

### Technical Assistance Tips for Collecting SSNs from Program Participants

For grantees encountering issues in collecting SSNs from training participants, ETA grantees successful in collecting this information have recommended the following:

1. Increase the “ask” at intake or enrollment.
2. Include statement/disclaimer language directly under the SSN field of an intake or enrollment form identifying: why this information is requested, security of this information, what it is used for, and how it benefits the program to measure program success (see two examples below).
3. Ask Case Managers (or other appropriate staff) to follow-up in person or by phone with any participant that does not disclose their SSN as a part of the enrollment process. Individuals may not provide their SSN because they don’t understand the need for this information. Staff familiar with the enrollment process can explain to participants the value this information provides the grantee and ETA with measuring outcomes and how this information is used.

### Disclaimer Language Examples:

**Sample #1)** *This project was funded by a grant awarded under the XXXX Grants, as implemented by the U.S. Department of Labor's Employment and Training Administration. The collection of this information helps to track the long-term success of this training program. Your personal information is kept confidential and secure and will not be shared with any outside agencies other than those involved with the support or oversight of the XXXX Grant received by Sample Community College and issued by the U.S. Department of Labor. Your information will never be sold or shared with third party agencies through your participation in grant supported training activities. Please direct any additional questions concerning the use of your personal information to Jane Green, Program Director at 856-691-XXXX.*

**Sample #2)** *The Energy-Related program in which you are enrolled is made possible in part through a grant from the United States Department of Labor Employment and Training Administration. This grant is a XXXX Grant titled “Health Care for Tomorrow” a partnership between Any College of Technology and Where Community College. As part of Health Care for Tomorrow’s federal grant, we are required to obtain information from project participants and asked to track how well the students who participate in the*

*program succeed in the workforce and other related outcomes. The information gathered will be used to help determine if the training is successful and in what areas we might need to improve.*

*In addition to requesting a range of information from project participants, including demographic information, the use of your Social Security Number is also requested in order to access wage and employment information through state data bases. Although you cannot be denied service for failure to provide your Social Security Number, we strongly encourage you to do so in order to enable the project to quantify specific employment-related outcomes. Your personal information will be kept confidential.*

## **2.4 - TRACKING INDIVIDUAL PARTICIPANT OUTCOMES**

This handbook contains two significant resources related to tracking and submitting individual data. These resources include:

- **Instructions, definitions and edit check rules** for collecting and tracking participant-level data. Each data element (DE) includes a number, name, definition and instruction, code values, and field type and length, edit check logic and error type detail.
- **A Quarterly Performance Report (QPR) form No. 1205-0507** is a 'rollup' of all of the grantee participant-level data records and provides an overview on how the data is aggregated each quarter. This QPR form No. 1205-0507 will be generated by the HUB reporting system based on data files submitted quarterly to ETA by each grantee.

Grantees will develop their own internal management information system to collect and track participant records as outlined in the [Data Elements and Edit Checks](#) document. Each data element has a specific code value that grantees will indicate a response for each participant served through the H-1B Grant.

These participant records will be saved as one data file and submitted to ETA by using the file-upload reporting system HUB. Acceptable data files include [\(.dat\)](#), [comma-separated values \(.csv\)](#), and [text file or flat files \(.txt\)](#).

**PLEASE NOTE:** Continuous technical assistance will be provided to grantees on reporting and using the electronic reporting system HUB. These resources include tutorials, webcasts, tip sheets, a user manual and conference calls.

## **2.5 - DATA ELEMENTS AND EDIT CHECKS FOR INDIVIDUAL DATA COLLECTION TABLE**

The [Data Elements and Edit Checks](#) document for individual data collection offers guidelines for grantees to follow when tracking participant outcomes and establishing the foundation of your grant's quarterly performance data files. This document contains all of the individual data elements and code values needed to track H-1B program participants. These data records will be compiled into one file, as mentioned above, and uploaded to HUB.

**Please note:** This document is not designed to be used as an intake form.

**2.5A - H-1B DATA ELEMENTS AND EDIT CHECKS**

- **Section I - Individual Information (101-114)**
- **Section II - Program Activities and Services Information (200-205)**
  - II.A – Program Participation Data (301-303)
  - II.B - Training Related Assistance Data (400-430)
- **Section III – Program Outcomes Information (501 – 622)**
  - III.A – Employment and Job Retention Data (501-525)
  - III.B – Education, Credential, and Skill Attainment Data (601-622)

The table below is not indicative of the full H-1B Data Elements and Edit Checks required for H-1B data collection. Click here to review the [H-1B Data Elements and Edit Checks](#) and to learn about the edit checks included for each data element to determine the validation of each code value entered for each participant record.

**FIGURE 3: Data Elements and Edit Checks**

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
<b>SECTION I - INDIVIDUAL INFORMATION</b>					
101	Social Security Number	<p><b>Record the social security number</b> assigned to the individual. At a minimum, this number for a person must be the same for every period of participation in the H-1B Grant programs. "Non-participant" records, including those identified and referred through other WIA programs that may or may not receive a participant service should also be recorded.</p> <p><b>Record 999999999</b> if the individual does not wish to disclose his/her social security number</p>	<p>XXXXXXXXXX</p> <p>999999999 = Individual did not disclose</p>	IN 9	No
102	Selective Service Status	<p><b>Record 1</b> if the individual is registered for Selective Service.</p> <p><b>Record 0</b> if the individual is not registered for Selective Service.</p> <p><b>Record 9</b> if the individual does not self-identify Selective Service registration.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>9 = Participant did not disclose</p> <p>Blank = Individual is not a participant</p>	IN 1	Yes
103	Date of Birth	<p><b>Record the individual's date of birth.</b></p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>YYYYMMDD</p> <p>Blank = Individual is not a participant</p>	DT 8	No

For further guidance on Selective Service for H-1B participants please see the [TEGL on the CoP: 11-11](#)

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
104	Gender	<p><b>Record 1</b> if the individual indicates that he is male.</p> <p><b>Record 2</b> if the individual indicates that she is female.</p> <p><b>Record 9</b> if the individual does not self-identify gender.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>1 = Male</p> <p>2 = Female</p> <p>9 = Individual did not self-identify</p> <p>Blank = Individual is not a participant</p>	IN 1	No
105	Individual with a Disability	<p><b>Record 1</b> if the individual indicates that he/she has any "disability," as defined in Section 3(2) (a) of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102). Under that definition, a "disability" is a physical or mental impairment that substantially limits one or more of the person's major life activities. (For definitions and examples of "physical or mental impairment" and "major life activities," see paragraphs (1) and (2) of the definition of the term "disability" in 29 CFR 37.4, the definition section of the WIA non-discrimination regulations.).</p> <p><b>Record 0</b> if the participant indicates that he/she does not have a disability that meets the definition above.</p> <p><b>Record 9</b> if the individual does not wish to disclose his/her disability status</p> <p><b>Leave "blank"</b> if the individual is not a participant.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>9 = Individual did not disclose</p> <p>Blank = Individual is not a participant</p>	IN 1	No
106	Ethnicity Hispanic/ Latino	<p><b>Record 1</b> if the individual indicates that he/she is a person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture in origin, regardless of race.</p> <p><b>Record 0</b> if the individual indicates that he/she does not meet any of these conditions.</p> <p><b>Record 9</b> if the individual does not self-identify his/her ethnicity.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>9 = Individual did not self-identify his/her race</p> <p>Blank = Individual is not a participant</p>	IN 1	No
107	American Indian or Alaska Native	<p><b>Record 1</b> if the individual indicates that he/she is a person having origins in any of the original peoples of North America and South America (including Central America), and who maintains cultural identification through tribal affiliation or community recognition.</p> <p><b>Record 0</b> if the individual indicates that he/she</p>	<p>1 = Yes</p> <p>0 = No</p> <p>9 = Individual did not self-identify his/her race</p>	IN 1	No

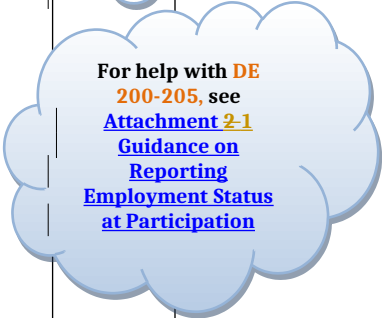
Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p>does not meet any of these conditions.</p> <p><b>Record 9</b> if the individual does not self-identify his/her race.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	Blank = Individual is not a participant		
108	Asian	<p><b>Record 1</b> if the individual indicates that he/she is a person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent (e.g., India, Pakistan, Bangladesh, Sri Lanka, Nepal, Sikkim, and Bhutan). This area includes, for example, Cambodia, China, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.</p> <p><b>Record 0</b> if the individual indicates that he/she does not meet any of these conditions.</p> <p><b>Record 9</b> if the individual does not self-identify his/her race.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>9 = Individual did not self-identify his/her race</p> <p>Blank = Individual is not a participant</p>	IN 1	No
109	Black or African American	<p><b>Record 1</b> if the individual indicates that he/she is a person having origins in any of the black racial groups of Africa.</p> <p><b>Record 0</b> if the individual indicates that he/she does not meet any of these conditions.</p> <p><b>Record 9</b> if the individual does not self-identify his/her race.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>9 = Individual did not self-identify his/her race</p> <p>Blank = Individual is not a participant</p>	IN 1	No
110	Native Hawaiian or other Pacific Islander	<p><b>Record 1</b> if the individual indicates that he/she is a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.</p> <p><b>Record 0</b> if the individual indicates that he/she does not meet any of these conditions.</p> <p><b>Record 9</b> if the individual does not self-identify his/her race.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>9 = Individual did not self-identify his/her race</p> <p>Blank = Individual is not a participant</p>	IN 1	No
111	White	<p><b>Record 1</b> if the individual indicates that he/she</p>	1 = Yes	IN 1	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p>is a person having origins in any of the original peoples of Europe, the Middle East, or North Africa.</p> <p><b>Record 0</b> if the individual indicates that he/she does not meet any of these conditions.</p> <p><b>Record 9</b> if the individual does not self-identify his/her race.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>0 = No</p> <p>9 = Individual did not self-identify his/her race</p> <p>Blank = Individual is not a participant</p>		
112	More than One Race	<b>This data element is not required in your data file.</b>			
113	Eligible Veteran Status	<p><b>Record 1</b> if the individual is a person who served in the active U.S. military, naval, or air service for a period of less than or equal to 180 days, and who was discharged or released from such service under conditions other than dishonorable.</p> <p><b>Record 2</b> if the individual served on active duty for a period of more than 180 days and was discharged or released with other than dishonorable discharge; or was discharged or released because of a service connected disability; or as a member of a reserve component under an order to active duty pursuant to section 167 (a), (d), or (g), 673 (a) of Title 10, U.S.C., served on active duty during a period of war or in a campaign or expedition for which a campaign badge was authorized and was discharged or released from such duty with other than a dishonorable discharge.</p> <p><b>Record 3</b> if the individual is a person who is;                      (a) the spouse of any person who died on active duty or of a service-connected disability;                      (b) the spouse of any member of the Armed Forces serving on active duty who at the time of application under this part, is listed, pursuant to 38 U.S.C. 101 and the regulations issued under, by the Secretary concerned, in one or more of the following categories and has been so listed for more than 90 days:                      (i) missing in action;                      (ii) captured in the line of duty by a hostile force; or                      (iii) forcibly detained or interned in the line of duty by a foreign government or power; or                      (c) the spouse of any person who has a total disability permanent in nature resulting from a</p>	<p>1 = Yes, &lt;= 180 days</p> <p>2 = Yes, Eligible Veteran</p> <p>3 = Yes, Other Eligible Person</p> <p>0 = No</p> <p>Blank = Individual is not a participant</p>	IN 1	No



Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p>service-connected disability or the spouse of a veteran who died while a disability so evaluated was in existence.</p> <p><b>Record 0:</b> If the individual does not meet any one of the conditions described above.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>			
114	Highest School Grade Completed	<p>Use the appropriate code to record the highest school grade completed by the individual.</p> <p><b>Record 87</b> if the individual completes the 12th grade and attained a high school diploma.</p> <p><b>Record 88</b> if the individual completes the 12th grade and attained a GED or equivalent.</p> <p><b>Record 89</b> if the individual with a disability receives a certificate of attendance/completion.</p> <p><b>Record 90</b> if the individual attained other post-secondary degree or certification.</p> <p><b>Record 91</b> if the individual attained an associates diploma or degree (AA/AS).</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p> <p><b>Additional Note:</b> The following code values will not be aggregated in the QPR form:</p> <p>17 = Education Beyond the Bachelor's Degree</p> <p>89 = Attained Certificate of Attendance or Completion (individual with a disability).</p> <p>You may continue to use these code values to note the highest education attained for participants served in your grant program.</p> <p>DOL will capture this information through the data files submitted in HUB.</p>	<p>0 = No school grades completed</p> <p>1 - 12 = Number of elementary/secondary school grades completed</p> <p>13 - 15 = Number of college, or full-time technical or vocational school years completed</p> <p>16 = Bachelor's degree or equivalent</p> <p>17 = Education beyond the Bachelor's degree</p> <p>87 = Attained High School Diploma</p> <p>88 = Attained GED or Equivalent</p> <p>89 = Attained Certificate of Attendance/Completion</p> <p>90 = Attained Other Post-Secondary Degree or Certification</p> <p>91 = Attained Associates Diploma or Degree</p> <p><u>92 = Attained postsecondary education certificate or diploma (non-degree)</u></p>	IN 2	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
			Blank = individual is not a participant		
200	Employment Status at Participation	<p><b>Record 1</b> if the participant is a person who either (a) did any work at all as a paid employee, (b) did any work at all in his or her own business, profession, or farm, (c) worked as unpaid worker in an enterprise operated by a member of the family, or (d) is one who was not working, but has a job or business from which he or she was temporarily absent because of illness, bad weather, vacation, labor-management dispute, or personal reasons, whether or not paid by the employer for time-off, and whether or not seeking another job, or e) is an incumbent worker who needs training to secure full-time employment, advance in their careers, or retain their occupation.</p> <p><b>Record 2</b> if the participant is a person who, although employed, either (a) has received a notice of termination of employment or the employer has issued a Worker Adjustment and Retraining Notification (WARN) or other notice that the facility or enterprise will close, or (b) is a transitioning service member.</p> <p><b>Record 0</b> if the individual does not meet any one of the conditions described above.</p> <p>Leave "blank" if the individual is not a program participant and the data is not available.</p>	<p>1 = Employed (<a href="#">Incumbent Worker Only and underemployed</a>)</p> <p>2 = Employed, but Received Notice of Termination of Employment or Military Separation</p> <p>0 = Not Employed</p> <p>Blank = not a program participant</p>	IN 1	No
201	Incumbent Worker	<p><b>Record 1</b> if the participant is an employed worker <u>in need of skills upgrade to (a) obtain a new job, who needs industry-related training to (a) secure full-time employment, or (b) retain their current position in the same field job that is requiring new or different skills in an H-1B industry/occupation, or (c) advance in their career or along their current career pathway.</u></p> <p><b>Record 0</b> if the individual does not meet any of the conditions described above.</p> <p>Leave "blank" if the individual is not a program participant and the data is not available.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>Blank = not a program participant</p>	IN 1	No
202	Underemployed Worker	<p><b>Record 1</b> if the participant is a person who <u>lost their job during or after the recent secession and have obtained only episodic.</u></p>	<p>1 = Yes</p> <p>0 = No</p>	IN 1	No



Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p><del>short-term, or part-time employment but have not reconnected with a full-time job commensurate with the individual's loss of permanent employment.</del></p> <p><del>Dislocated workers may be included in this data element, if they meet the above criteria.</del></p> <p><del>though employed, has not yet connected with a job that provides responsibility and pay commensurate with their previous experience and educational qualifications or is working part-time as they are seeking full-time employment.</del></p> <p><b>Record 0</b> if the participant does not meet any of the conditions described above.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	Blank = not a program participant		
203	Dislocated-Worker	<p><b>Record 1</b> if the participant is a person who is unemployed and received services financially-assisted under WIA section 133(b)(2)(A).</p> <p><b>Record 0</b> if the participant did not receive services under the condition described above.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>1=Yes</p> <p>0=No</p> <p>Blank = not a program participant</p>	IN 1	No
204	Long-term Unemployed	<p><b>Record 1</b> if the individual is a person who <u>has been unemployed for more 27 consecutive weeks or more.</u> <del>is not working and is without a job for 27 weeks or more and wants and is available to work.</del></p> <p><b>Record 2</b> if the individual is without a job and meets the other conditions established in the SGA for unemployed, long-term unemployed individuals:</p> <ul style="list-style-type: none"> <li>lost their job during the recent recession (commencing <u>from December 1, 2007 forward- January 1, 2008 forward</u>), and have exhausted <u>or nearly exhausted</u> unemployment benefits</li> <li><u>lost their job during or after the recent secession and have obtained only episodic, short-term, or part-time employment but have not reconnected with a full-time job commensurate with the individual's loss of permanent employment.</u> <u>Individuals considered underemployed under this data</u></li> </ul>	<p>1 = Yes; Without job for 27 <u>consecutive</u> weeks or more</p> <p>2 = Yes; Meets <u>other</u> conditions of <u>underemployed</u></p> <p>0 = No</p> <p>Blank = not a program participant</p>	IN 1	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p><a href="#">element should also be reported in data element 202.</a></p> <p><a href="#">Dislocated workers may be included in this data element, if they meet the above criteria.</a></p> <p><b>Record 0</b> if the individual does not meet any of the conditions described above.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>			
205	Date of Separation	<p><b>Record the individual's date of separation</b> from employment. This date is the last day of employment at the most recent, applicable job.</p> <p><b>Leave "blank"</b> if there is no separation (e.g., incumbent worker) or the individual is not participant, or this data element does not apply to the individual.</p>	<p>YYYYMMDD</p> <p>Blank = Individual is not a participant</p>	DT 8	No
<b>SECTION II - PROGRAM ACTIVITIES AND SERVICES INFORMATION</b>					
<b>SECTION II.A - PROGRAM PARTICIPATION AND SERVICES DATA</b>					
301	Date of <a href="#">Participation/</a> <a href="#">Date of Program</a> <a href="#">first Service</a> <a href="#">Participation</a>	<p><b>Record the date</b> on which the individual begins receiving his/her first service funded by the program following a determination of eligibility to participate in the program.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>YYYYMMDD</p> <p>Blank = Individual is not a participant</p>	DT 8	No
<a href="#">301.a</a>	<a href="#">Most Recent</a> <a href="#">Date Received</a> <a href="#">Case</a> <a href="#">Management</a> <a href="#">Service</a>	<p><b>Record the most recent date</b> on which the individual begins receiving his/her first case management service funded by a program following a determination of eligibility to participate in the program.</p> <p><b>Leave "blank"</b> if the data element does not apply to the individual.</p>	<p>YYYYMMDD</p> <p>Blank = Individual did not receive these services, or is not a participant</p>	DT 8	No
<a href="#">301.b</a>	<a href="#">Most Recent</a> <a href="#">Date Received</a> <a href="#">Assessment</a> <a href="#">Services</a>	<p><b>Record the most recent date</b> on which the participant's began receiving assessment services funded by the program.</p> <p><b>Leave "blank"</b> if the data element does not apply to the individual.</p>	<p>YYYYMMDD</p> <p>Blank = Individual did not receive these services, or is not a participant</p>	DT 8	No
<a href="#">301.c</a>	<a href="#">Most Recent</a> <a href="#">Date Received</a> <a href="#">Supportive</a> <a href="#">Services</a>	<p><b>Record the most recent date</b> on which the participant received supportive services (WIA section 134(e)(2)) which include, but are not limited to, assistance with transportation, child care, dependent care, and housing that are necessary to enable the individual to participate in activities authorized under WIA.</p>	<p>YYYYMMDD</p> <p>Blank = Individual did not receive these services, or is not a participant</p>	DT 8	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p><u>title IB.</u></p> <p><u>Leave "blank" if the data element does not apply to the individual.</u></p>			
301.d	<u>Most Recent Date Received Specialized Participant Services</u>	<p><u>Record the most recent date on which the participant received specialized participant services which include, but are not limited to, financial counseling, behavioral health counseling, mentoring, assistance with relocation, job coaching, networking, and job search assistance.</u></p> <p><u>Leave "blank" if the data element does not apply to the individual.</u></p>	<p>YYYYMMDD</p> <p><u>Blank = Individual did not receive these services, or is not a participant</u></p>	DT 8	No
301.e	<u>Most Recent Date Participated in Work Experience</u>	<p><u>Record the most recent date on which the individual participated in work experience, including internships.</u></p> <p><u>Leave blank if the individual did not participate in work experience or this data element does not apply to the individual.</u></p>	<p>YYYYMMDD</p> <p><u>Blank = Individual did not receive these services, or is not a participant</u></p>	DT 8	
302	Date of Exit	<p><b>Record the date</b> on which the last service funded by the program or a partner program is received by the participant. Once a participant has not received any services funded by the program or a partner program for 90 consecutive calendar days and has no gap in service and is not scheduled for future services, the date of exit is applied retroactively to the last day on which the individual received a service funded by the program or a partner program.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p>	<p>YYYYMMDD</p> <p>Blank = Individual is not a participant <u>or is receiving services.</u></p>	DT 8	No
303	Other Reasons for Exit (at time of exit or during 3-quarter measurement period following the quarter of exit)	<p><b>Record 1</b> if the participant is residing in an institution or facility providing 24-hour support such as a prison or hospital and is expected to remain in that institution for at least 90 days.</p> <p><b>Record 2</b> if the participant is receiving medical treatment that precludes entry into unsubsidized employment or continued participation in the program. Does not include temporary conditions expected to last for less than 90 days.</p> <p><b>Record 3</b> if the participant was found to be deceased or no longer living.</p> <p><b>Record 4</b> if the participant is providing care for a family member with a health/medical</p>	<p>1 = Institutionalized</p> <p>2 = Health/Medical</p> <p>3 = Deceased</p> <p>4 = Family Care</p> <p>5 = Reserve Forces Called to Active Duty</p> <p><del>6 = Relocated to Mandated Residential Program</del></p> <p>98 = Retirement</p> <p>99 = Not a Valid SSN</p> <p>0 = Other. <u>Participant</u></p>	IN 2	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p>condition that precludes entry into unsubsidized employment or continued participation in the program. Does not include temporary conditions expected to last for less than 90 days.</p> <p><b>Record 5</b> if the participant is a member of the National Guard or other reserve military unit and is called to active duty for at least 90 days.</p> <p><b>Record 6</b> if the youth participant is in the foster care system or any other mandated residential program and has moved from the area as part of such a program or system (exclusion for youth participants only).</p> <p><b>Record 98</b> if the participant retired from employment.</p> <p><b>Record 99</b> if the participant either disclosed an invalid social security number (SSN) or chose not to disclose a SSN.</p> <p><b>Record 0</b> if the participant exited for a reason other than one of the conditions described above, including successful program completion or unsuccessful program withdrawal.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available.</p> <p><b>Additional Note:</b> The code value <b>0 = Other</b> is used to indicate that these individuals are included in the Common Measures outcomes.</p> <p>The code value <b>98 = Retirement</b> has been added for program management purposes only and individuals who exit the program based on this reason will not be excluded from calculation of the performance measures. Rather, these individuals will be included in the performance measure calculations.</p>	<p><u>does not meet the criteria for any of the exit reasons listed.</u></p> <p>Blank = Individual is not a participant <u>or is still receiving services.</u></p>		
304	Date of <u>Education/ Training</u> Program Completion	<p><b>Record the date</b> on which the last education/job training activity funded by the program or a partner program is received by the participant who has completed their program.</p> <p><b>Additional Notes:</b> A participant may enroll in several discrete education/job training activities that apply towards the completion of their course of study. A participant is considered to have COMPLETED when they have earned all the formal award units needed</p>	<p>YYYYMMDD</p> <p>Blank = Individual has not completed, <u>has not enrolled in training,</u> or is not a participant</p>	DT 8	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p>towards the degree, certificate, or certification that was the goal of their enrollment or has met other program-specific definition of successful completion.</p> <p>Once a participant has completed their program of study, they may continue to receive certain services funded by the grant program or a partner program for 90 consecutive calendar days, as described in the SOW, before they are considered to have EXITED the program.</p> <p>The date of completion may be applied retroactively to the last day on which the individual received an education/job training activity/service funded by the program or a partner program.</p> <p><b>Leave "blank"</b> if the participant has not yet completed the program, <u>is not enrolled in training</u>, or if the individual is not a program participant, <u>and the data is not available</u>.</p>			
<b>SECTION II.B - SERVICES AND TRAINING RELATED ASSISTANCE DATA</b>					
<u>Receive</u> <u>d-400</u>	Date Entered/Began Receiving Education/Job Training Activities #1	<p><b>Record the date</b> on which the participant's first education or job training activities began.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available <u>or if this data element does not apply</u>.</p>	<p>YYYYMMDD</p> <p>Blank = Individual is <u>not enrolled in training</u> or is not a participant <del>Blank = Individual is not a participant</del></p>	DT 8	No
401	Occupational Skills Training Code #1	<p><b>Enter the 8 digit O*Net 4.0</b> (or later versions) code that best describes the training occupation for which the participant received first training services.</p> <p><b>Record 00000000</b> or leave "blank" if occupational code is not available or not known <u>or if this data element does not apply</u>.</p> <p><b>Additional Note:</b> If the individual receives multiple training services, use the occupational skills training code for the most recent training. HUB will only accept an 8 digit occupational skills code.</p>	00000000	IN 8	No
402	Type of Training Service #1 - Primary	<p><b>Use the appropriate code</b> to indicate the primary type of training being provided to the participant.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available <u>or if this data element does not</u></p>	<p>1 = On the Job Training</p> <p>2 = Classroom Occupational Training</p> <p>3 = Contextualized</p>	IN 1	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<a href="#">apply.</a>	Learning 4 = Distance Learning 5 = Customized Learning 6 = Incumbent Worker Training 7 = Other Occupational Skills Training 8 = <a href="#">Registered Apprenticeship</a> Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant		
403	Type of Training Service #1 - Secondary	<p><b>Use the appropriate code</b> to indicate the secondary type of training being provided to the participant, if applicable.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data are not available or if this data element does not apply.</p>	1 = On the Job Training 2 = Classroom Occupational Training 3 = Contextualized Learning 4 = Distance Learning 5 = Customized Learning 6 = Incumbent Worker Training 7 = Other Occupational Skills Training 8 = <a href="#">Registered Apprenticeship</a> Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant	IN 1	No
404	Type of Training Service #1 - Tertiary	<p><b>Use the appropriate code</b> to indicate the tertiary type of training being provided to the participant, if applicable.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data are not available or if this data element does not apply.</p>	1 = On the Job Training 2 = Classroom Occupational Training 3 = Contextualized Learning	IN 1	No



Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
			4 = Distance Learning 5 = Customized Learning 6 = Incumbent Worker Training 7 = Other Occupational Skills Training <a href="#">8 = Registered Apprenticeship</a> Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant		
405	Date Completed, or Withdrew from, Training #1	<b>Record the date</b> when the participant completed training or withdrew permanently from their first training service.  <b>Leave "blank"</b> if the individual is not a program participant and the data is not available <a href="#">or if this data element does not apply.</a>	YYYYMMDD  Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant	DT 8	No
406	Training Completed #1	<b>Record 1</b> if the participant completed approved training program.  <b>Record 0</b> if the individual did not complete training (withdrew)  <b>Leave "blank"</b> <del>if the individual did not receive training services, or</del> if the participant has not yet completed training, <del>or</del> if the individual is not a participant, <a href="#">or if this data element does not apply.</a>	1 = Yes 0 = No (withdrew)  Blank = did not yet complete or did not receive training services, or not a program participant	IN 1	No
410	Date Entered/Began Receiving Education/Job Training Activities #2	<b>Record the date</b> on which the participant's second education or job training activities began.  <b>Leave "blank"</b> if the individual is not a program participant and the data is not available <a href="#">or if this data element does not apply.</a>	YYYYMMDD  Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant	DT 8	Yes
411	Occupational Skills Training Code #2	<b>Enter the 8 digit O*Net 4.0</b> (or later versions) code that best describes the training occupation for which the participant received during second training services.  <b>Record 00000000</b> or <b>leave "blank"</b> if occupational code is not available or not known <a href="#">or if this data element does not apply.</a>	00000000	IN 8	Yes

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p><b>Additional Note:</b> If the individual receives multiple training services, use the occupational skills training code for the most recent training. HUB will only accept an 8 digit occupational skills code.</p>			
412	Type of Training Service #2 - Primary	<p><b>Use the appropriate code</b> to indicate the primary type of training being provided to the participant during their second training service.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available <u>or if this data element does not apply.</u></p>	<p>1 = On the Job Training</p> <p>2 = Classroom Occupational Training</p> <p>3 = Contextualized Learning</p> <p>4 = Distance Learning</p> <p>5 = Customized Learning</p> <p>6 = Incumbent Worker Training</p> <p>7 = Other Occupational Skills Training</p> <p>8 = <a href="#">Registered Apprenticeship</a></p> <p>Blank = Individual is <u>not enrolled in training or is</u> not a participant</p>	IN 1	Yes
413	Type of Training Service #2 - Secondary	<p><b>Use the appropriate code</b> to indicate the secondary type of training being provided to the participant during their second training service, if applicable.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data are not available or if this data element does not apply.</p>	<p>1 = On the Job Training</p> <p>2 = Classroom Occupational Training</p> <p>3 = Contextualized Learning</p> <p>4 = Distance Learning</p> <p>5 = Customized Learning</p> <p>6 = Incumbent Worker Training</p> <p>7 = Other Occupational Skills Training</p> <p>8 = <a href="#">Registered Apprenticeship</a></p> <p>Blank = Individual is <u>not enrolled in</u></p>	IN 1	Yes

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
			<u>training or is</u> not a participant		
414	Type of Training Service #2 - Tertiary	<p><b>Use the appropriate code</b> to indicate the tertiary type of training being provided to the participant during their second training service, if applicable.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data are not available or if this data element does not apply.</p>	<p>1 = On the Job Training</p> <p>2 = Classroom Occupational Training</p> <p>3 = Contextualized Learning</p> <p>4 = Distance Learning</p> <p>5 = Customized Learning</p> <p>6 = Incumbent Worker Training</p> <p>7 = Other Occupational Skills Training</p> <p>8 = <a href="#">Registered Apprenticeship</a></p> <p>Blank = Individual is <u>not enrolled in training or is</u> not a participant</p>	IN 1	Yes
415	Date Completed, or Withdrew from, Training #2	<p><b>Record the date</b> when the participant completed training or withdrew permanently from their second training service.</p> <p><b>Leave "blank"</b> if the participant is not enrolled in a second Education/Job Training Activity or the individual is not a program participant and the data is not available.</p>	<p>YYYYMMDD</p> <p>Blank = Individual is <u>not enrolled in training or is</u> not a participant</p>	DT 8	Yes
416	Training Completed #2	<p><b>Record 1</b> if the participant completed approved training.</p> <p><b>Record 0</b> if the individual did not complete training (withdrew).</p> <p><b>Leave "blank"</b> if the individual did not receive training services, or if the participant has not yet completed training, or if the individual is not a participant.</p>	<p>1 = Yes</p> <p>0 = No (withdrew)</p> <p>Blank = did not yet complete <del>or</del>, did not receive training services, or not a program participant</p>	IN 1	Yes
420	Date Entered/Began Receiving Education/Job Training Activities #3	<p><b>Record the date</b> on which the participant's third education or job training activities began.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available <u>or if this data element does not apply.</u></p>	<p>YYYYMMDD</p> <p>Blank = Individual is <u>not enrolled in training or is</u> not a participant</p>	DT 8	Yes

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
421	Occupational Skills Training Code #3	<p><b>Enter the 8 digit O*Net 4.0</b> (or later versions) code that best describes the training occupation for which the participant received third training services.</p> <p><b>Record 00000000 or leave "blank"</b> if occupational code is not available or not known <u>or if this data element does not apply.</u></p> <p><b>Additional Note:</b> If the individual receives multiple training services, use the occupational skills training code for the most recent training. HUB will only accept an 8 digit occupational skills code.</p>	00000000	IN 8	Yes
422	Type of Training Service #3 - Primary	<p><b>Use the appropriate code</b> to indicate the primary type of training being provided to the participant during their third training service.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available <u>or if this data element does not apply.</u></p>	<p>1 = On the Job Training</p> <p>2 = Classroom Occupational Training</p> <p>3 = Contextualized Learning</p> <p>4 = Distance Learning</p> <p>5 = Customized Learning</p> <p>6 = Incumbent Worker Training</p> <p>7 = Other Occupational Skills Training</p> <p><u>8 = Registered Apprenticeship</u></p> <p>Blank = Individual is <u>not enrolled in training or is</u> not a participant</p>	IN 1	Yes
423	Type of Training Service #3 - Secondary	<p><b>Use the appropriate code</b> to indicate the secondary type of training being provided to the participant during their third training service, if applicable.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data are not available or if this data element does not apply.</p>	<p>1 = On the Job Training</p> <p>2 = Classroom Occupational Training</p> <p>3 = Contextualized Learning</p> <p>4 = Distance Learning</p> <p>5 = Customized Learning</p> <p>6 = Incumbent Worker Training</p>	IN 1	Yes

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
			<p>7 = Other Occupational Skills Training</p> <p>8 = <a href="#">Registered Apprenticeship</a></p> <p>Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant</p>		
424	Type of Training Service #3 - Tertiary	<p><b>Use the appropriate code</b> to indicate the tertiary type of training being provided to the participant during their third training service, if applicable.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data are not available or if this data element does not apply.</p>	<p>1 = On the Job Training</p> <p>2 = Classroom Occupational Training</p> <p>3 = Contextualized Learning</p> <p>4 = Distance Learning</p> <p>5 = Customized Learning</p> <p>6 = Incumbent Worker Training</p> <p>7 = Other Occupational Skills Training</p> <p>8 = <a href="#">Registered Apprenticeship</a></p> <p>Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant</p>	IN 1	Yes
425	Date Completed, or Withdrew from, Training #3	<p><b>Record the date</b> when the participant completed training or withdrew permanently from their third training. If multiple training services were received, record the most recent date on which the individual completed training.</p> <p><b>Leave "blank"</b> if the individual is not a program participant and the data is not available <a href="#">or if this data element does not apply.</a></p>	<p>YYYYMMDD</p> <p>Blank = Individual is <a href="#">not enrolled in training or is</a> not a participant</p>	DT 8	Yes
426	Training Completed #3	<p><b>Record 1</b> if the participant completed third approved training.</p> <p><b>Record 0</b> if the individual did not complete training (withdrew).</p>	<p>1 = Yes</p> <p>0 = No (withdrew)</p> <p>Blank = did not yet complete, <del>or</del> did not receive training</p>	IN 1	Yes

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		Leave "blank" if the individual did not receive training services, or if the participant has not yet completed training, or if the individual is not a participant.	services, or not a program participant		
<b>SECTION III - PROGRAM OUTCOMES INFORMATION</b>					
<b>SECTION III.A - EMPLOYMENT AND JOB RETENTION DATA</b>					
501	Employed in 1st Quarter After Training Program Completion	<p><b>Record the date when the participant</b> <del>Record-1</del> <b>if the was employed in the first quarter after the quarter of training program completion, if the participant was unemployed at program participation. Underemployed individuals may also be reported in this data element, if they are employed in a new position, and was employed in the first quarter after the quarter of program completion.</b></p> <p><b>Leave "blank" Record-0</b> if the participant was unemployed at program participation and was not employed in the first quarter after the quarter of training program completion.</p> <p><b>Record-3</b> if information on the participant's employment status in the first quarter after the quarter of training program completion is not yet available.</p> <p><b>Leave "blank"</b> if the individual has not completed the program <del>or</del> is not a program participant <del>or has not enrolled in training.</del></p>	<p>YYYYMMDD</p> <p>1=Yes</p> <p>0=No</p> <p>3=Information not yet available</p> <p>Blank = individual has not yet completed, was not employed in the 1<sup>st</sup> quarter after training program completion, is not a program participant, has not enrolled in training, or information is not yet available, or is not a program participant</p>	#N-1DT 8	Conditional
501.a	Employed After Receiving a Service	<p><b>Record the date of employment when 1</b> <del>if the participant was unemployed at program participation and was employed after receiving a service and did not enroll in training activities, if the participant was unemployed at program participation. Underemployed individuals may also be reported in this data element, if they are employed in a new position.</del></p> <p><u>Individuals reported in 501 cannot be reported in 501.a</u></p>	<p>YYYYMMDD</p> <p>Blank = Individual is enrolled in training, is not a program participant, or information is not yet available</p>	DT 8	Conditional
502	Occupational Code (if available)	<p><b>Record the 8-digit occupational code</b> that best describes the individual's employment using the O*Net Version 4.0 (or later versions) classification system. This information can be based on any job held after exit from the program. <u>This data element applies to participants reported in 501 and 501.a.</u></p> <p><b>Leave "blank"</b> if occupational code is not available or if the individual is not a program participant.</p>	00000000	AN 8	No

For a refresher on defining employment outcomes for DE 501 – 525, see Section 3.5 Program Outcomes

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p><b>Additional Notes:</b> This information can be based on any job held after completion <u>of a training program or after receiving a service</u> and only applies to <del>adults, dislocated workers and older youth who entered employment in the quarter after the completion-</del> <u>quarter individuals reported as long-term unemployed, unemployed, and underemployed.</u> If the individual had multiple jobs, use the occupational code for the most recent job held. HUB will only accept an 8 digit occupational skills code.</p>			
503	Entered Training-Related Employment	<p><b>Record 1</b> if the employment in which the individual entered uses a substantial portion of the skills taught in the training received by the individual. This information can be based on any job held after <u>training completion</u> and only applies <u>to individuals employed in the first quarter after the quarter of training program completion, if the participant was unemployed or underemployed at program participation.</u> <del>to adults, dislocated workers and older youth who entered employment in the quarter after the completion quarter.</del></p> <p><b>Record 0</b> if the employment in which the individual entered does not use a substantial portion of the skills taught in the training received by the individual.</p> <p><b>Record 9</b> if not known.</p> <p>Leave <b>"blank"</b> if this data element does not apply to the individual.</p> <p><u>Individuals reported in 503 must also be reported in 501.</u></p>	<p>1 = Yes 0 = No 9 = Unknown Blank = does not apply to individual</p>	IN 1	No
504	Retained Current Position	<p><b>Record 1</b> if the participant was employed at the start of <del>program</del> participation (<u>incumbent worker</u>) and retained their current position in the first quarter after the quarter of program completion.</p> <p><b>Record 0</b> if the participant was employed at the start of <del>program</del> participation (<u>incumbent worker</u>) and did not retain their current position in the first quarter after the quarter of program completion.</p> <p>Leave <b>"blank"</b> if this data element does not apply to the individual (<u>individual is not an incumbent worker</u>).</p>	<p>1 = Yes 0 = No Blank = does not apply to individual</p>	IN 1	Conditional
505	Advanced into a	<p><b>Record 1</b> if the participant was employed at</p>	1 = Yes	IN 1	Conditional

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
	New Position with Current or New Employer in the 1st Quarter after Completion	<p>the start of <del>program</del> participation (<u>incumbent worker</u>) and advanced into a new position requiring a higher skill level either with their current employer or a new employer, as a result of grant-funded activities in the first quarter after the quarter of <u>training</u> program completion.</p> <p><b>Record 0</b> if the individual was employed at the start of <del>program</del> participation (<u>incumbent worker</u>) and did not advance into a new position as a result of the grant-funded activities.</p> <p><b>Record 3</b> if information on the participant's employment status in the first quarter after the quarter of <u>training</u> program completion is not yet available.</p> <p><b>Leave "blank"</b> if the individual has not completed the <u>training</u> program, is not an incumbent worker, or is not a program participant.</p>	<p>0 = No</p> <p>3 = Information not yet available</p> <p>Blank = does not apply to individual</p>		
511	Employed in 2nd Quarter After <u>Training</u> Program Completion	<p><b>Record the date</b> when the participant was <u>employed in the 2<sup>nd</sup> quarter after the quarter of training program completion</u>, <b>Record 1</b> if the participant was not employed at <del>program</del> participation and was employed in the second quarter after the quarter of <u>training</u> program completion. <u>Underemployed individuals may also be reported in this data element, if they are employed in a new position.</u></p> <p><del><b>Record 0</b> if the participant was not employed at program participation and was not employed in the second quarter after the quarter of program completion.</del></p> <p><del><b>Record 3</b> if information on the participant's employment status in the second quarter after the quarter of program completion is not yet available.</del></p> <p><b>Leave "blank"</b> if the <u>participant was unemployed at program participation and was not employed in the 2nd quarter after the quarter of training program completion, individual has not completed the program or is not a program participant, information is not yet available, or if data element does not apply.</u></p>	<p><u>YYYYMMDD</u></p> <p><u>Blank = individual has not yet completed, was not employed in the 2nd quarter after training program completion, is not a program participant, information is not yet available, or data element does not apply.</u> 1 = Yes</p> <p>0 = No</p> <p>3 = Information not yet available</p> <p>Blank = individual has not yet exited or is not a program participant</p>	<u>DT 8N-1</u>	<b>No</b>
511.a	<u>Employed in 2<sup>nd</sup> Quarter Following Initial</u>	<p><b>Record the date</b> of employment when the participant was employed in the second quarter after receiving a service and reported</p>	<p><u>YYYYMMDD</u></p> <p><u>Blank = Individual is</u></p>	<u>DT 8</u>	<u>Conditional</u>



Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
	<a href="#">Placement Employment After Receiving a Service</a>	<p>as employed in 501.a, if the participant did not enroll in training and was unemployed at program participation. Underemployed individuals may also be reported in this data element, if they are employed in a new position.</p> <p>Individuals reported in 511.a must have been previously reported in 501.a</p> <p>Leave "blank" if the individual has not been reported as employed in 501.a after receiving a service, is enrolled in training, or is not a program participant.</p>	enrolled in training, is not a program participant, or information is not yet available.		
514	Retained Current Position in the 2 <sup>nd</sup> Quarter after Program Completion	<p><b>Record 1</b> if the participant was employed at the start of program participation (<a href="#">incumbent worker</a>) and retained their current position in the second quarter after the quarter of <a href="#">training</a> program completion.</p> <p><b>Record 0</b> if the participant was employed at the start of program participation (<a href="#">incumbent worker</a>) and did not retain their current position in the second quarter after the quarter of <a href="#">training</a> program completion.</p> <p><b>Record 3</b> if information on the participant's employment status in the second quarter after the quarter of <a href="#">training</a> program completion is not yet available.</p> <p>Leave "blank" if this data element does not apply to the individual.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>3 = Information not yet available</p> <p>Blank = does not apply to individual</p>	IN 1	Conditional
515	Advanced into a New Position with Current Employer or New Employer in the 2 <sup>nd</sup> Quarter after <a href="#">Training</a> Program Completion	<p><b>Record 1</b> if the participant was employed at the start of program participation and advanced into a new position requiring a higher skill level either with their current employer or a new employer, as a result of grant-funded activities in the second quarter after the quarter of <a href="#">training</a> program completion.</p> <p><b>Record 0</b> if the individual was employed at the start of program participation and did not advance into a new position as a result of the grant-funded activities.</p> <p><b>Record 3</b> if information on the participant's employment status in the second quarter after the quarter <a href="#">of training</a> program completion is not yet available.</p> <p>Leave "blank" if the individual has not completed the program or is not a program</p>	<p>1 = Yes</p> <p>0 = No</p> <p>3 = Information not yet available</p> <p>Blank = does not apply to individual</p>	IN 1	Conditional

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		participant.			
521	Employed in 3 <sup>rd</sup> Quarter After Training Program Completion	<p><b>Record the date</b> when the participant was employed in the 3<sup>rd</sup> quarter after the quarter of training program completion, if the participant was not employed at participation and was employed in the 3<sup>rd</sup> quarter after the quarter of training program completion. Underemployed individuals may also be reported in this data element, if they are employed in a new position.</p> <p><b>Leave "blank"</b> if the participant was unemployed at program participation and was not employed in the 3<sup>rd</sup> quarter after the quarter of training program completion, is not a program participant, information is not yet available, or data element does not apply.</p> <p><b>Record 1</b> if the participant was not employed at program participation and was employed in the third quarter after the quarter of program completion.</p> <p><b>Record 0</b> if the participant was not employed at program participation and was not employed in the third quarter after the quarter of program completion.</p> <p><b>Record 3</b> if information on the participant's employment status in the third quarter after the quarter of program completion is not yet available.</p> <p><b>Leave "blank"</b> if the individual has not completed the program or is not a program participant.</p>	<p>YYYYMMDD</p> <p>Blank = individual has not yet completed, was not employed in the 3<sup>rd</sup> quarter after training program completion, is not a program participant, information is not yet available, or data element does not apply. 1=Yes 0=No 3=Information not yet available</p> <p>Blank = individual has not yet completed or is not a program participant</p>	IN-1DT 8	No
521.a	Employed in 3 <sup>rd</sup> Quarter Following Initial Employment After Receiving a Service	<p><b>Record the date</b> of employment when the participant was employed in the 3<sup>rd</sup> quarter after receiving a service and reported as employed in 501.a, if the participant did not enroll in training and was unemployed at program participation. Underemployed individuals may also be reported in this data element, if they are employed in a new position.</p> <p>Individuals reported in 521.a must have been previously reported in 501.a</p> <p><b>Leave "blank"</b> if the individual has not been reported as employed in 501.a after receiving a service, is enrolled in training, or is not a program participant.</p>	<p>YYYYMMDD</p> <p>Blank = Individual is enrolled in training, is not a program participant, or information is not yet available</p>	DT 8	Conditional
524	Retained Current	<b>Record 1</b> if the participant was employed at	1 = Yes	IN 1	Conditional

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
	Position in the 3rd Quarter After Program Completion	<p>the start of <del>program</del> participation (<u>incumbent worker</u>) and retained their current position in the third quarter after the quarter of <u>training</u> program completion.</p> <p><b>Record 0</b> if the participant was employed at the start of <del>program</del> participation (<u>incumbent worker</u>) and did not retain their current position in the third quarter after the quarter of <u>training</u> program completion.</p> <p><b>Record 3</b> if information on the participant's employment status in the third quarter after the quarter of <u>training</u> program completion is not yet available.</p> <p><b>Leave "blank"</b> if this data element does not apply to the individual.</p>	<p>0 = No</p> <p>3 = Information not yet available</p> <p>Blank = does not apply to individual</p>		
525	Advanced into a New Position with Current or New Employer in the 3rd Quarter after <u>training</u> Program Completion	<p><b>Record 1</b> if the participant was employed at the start of <del>program</del> participation (<u>incumbent worker</u>) and advanced into a new position requiring a higher skill level either with their current employer or a new employer, as a result of grant-funded activities, in the third quarter after the quarter of <u>training</u> program completion.</p> <p><b>Record 0</b> if the individual was employed at the start of <del>program</del> participation (<u>incumbent worker</u>) and did not advance into a new position as a result of the grant-funded activities, in the third quarter after the quarter of <u>training</u> program completion.</p> <p><b>Record 3</b> if information on the participant's employment status in the second quarter after the quarter of <u>training</u> program completion is not yet available.</p> <p><b>Leave "blank"</b> if the individual has not completed the program or is not a program participant.</p>	<p>1 = Yes</p> <p>0 = No</p> <p>3 = Information not yet available</p> <p>Blank = <del>individual has not yet completed or is not a program participant</del> <u>does not apply to individual</u></p>	IN 1	Conditional
<b>SECTION III.B - EDUCATION, CREDENTIAL, AND SKILL ATTAINMENT DATA</b>					
601	Type of Recognized Credential #1	<p><b>Use the appropriate code</b> to record the type of recognized educational or occupational certificate/credential/diploma /degree attained by the individual who <del>received-completed</del> <u>received-completed</u> training services.</p> <p><b>Record 0</b> if the individual <del>received-completed</del> training services, but did not attain a recognized credential.</p> <p><b>Leave "blank"</b> if the data element does not</p>	<p>1 = High School Diploma/GED</p> <p>2 = AA or AS Diploma/Degree</p> <p>3 = BA or BS Diploma/Degree</p> <p>4 = Occupational Skills Licensure</p>	IN 1	No

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
		<p>apply to the individual.</p> <p><b>Additional Notes:</b> <del>Credentials <u>must reported are completion-based, meaning they must be awarded upon completion of a training program or after.</u> be attained either during participation or by the end of the third quarter after the quarter of exit from services (other than follow-up services).</del></p>	<p>5 = Occupational Skills Certificate/<del>Credential</del></p> <p>6 = <del>Other-Recognized-Educational-or-Occupational-Skills-Certificate/Industry-recognized Certification</del> Credential</p> <p>7 = <del>Other-Recognized-Diploma, Degree, or Certificate</del></p> <p>0 = No recognized credential</p> <p>Blank = Individual is not a participant <u>or this data element does not apply</u></p>		
602	Date Attained Recognized Credential #1	<p><b>Record the date</b> on which the individual attained a recognized credential.</p> <p><b>Leave "blank"</b> if the individual did not attain a recognized credential, or if this data element does not apply.</p>	<p>YYYYMMDD</p> <p>Blank = Individual did not attain a recognized credential or this data element does not apply</p>	DT 8	No
611	Type of Recognized Credential #2	<p><b>Use the appropriate code</b> to record the second type of recognized educational or occupational certificate/credential/diploma /degree attained by the individual who received training services.</p> <p><b>Record 0</b> if the individual received training services, but did not attain a second recognized credential.</p> <p><b>Leave "blank"</b> if the data element does not apply to the individual.</p> <p><b>Additional Note:</b> <del>Credentials <u>reported are completion-based, meaning they must be awarded upon completion of a training program or after.</u> must be attained either during participation or by the end of the third quarter after the quarter of exit from services (other than follow-up services).</del></p>	<p>1 = High School Diploma/GED</p> <p>2 = AA or AS Diploma/Degree</p> <p>3 = BA or BS Diploma/Degree</p> <p>4 = Occupational Skills Licensure</p> <p>5 = Occupational Skills Certificate/Credential</p> <p>6 = <u>Industry-recognized Certification</u> <del>Other-Recognized-Educational-or-Occupational-Skills-Certificate/Credential</del></p> <p>7 = <del>Other-Recognized-Diploma, Degree, or Certificate</del></p> <p>0 = No recognized credential</p> <p>Blank = Individual is not a participant <u>or this</u></p>	IN 1	Yes

Data Element Number	Data Element Name	Data Definition and Instruction	Code Value	Field Type / Length	Optional (Y/N/Conditional)
			<u>data element does not apply</u>		
612	Date Attained Recognized Credential #2	<p><b>Record the date</b> on which the individual attained a second recognized credential.</p> <p><b>Leave "blank"</b> if the individual did not attain a recognized credential, or if this data element does not apply.</p>	<p>YYYYMMDD</p> <p>Blank = Individual did not attain a recognized credential or this data element does not apply</p>	DT 8	Yes
621	Type of Recognized Credential #3	<p><b>Use the appropriate code</b> to record the third type of recognized educational or occupational certificate/credential/diploma /degree attained by the individual who received training services.</p> <p><b>Record 0</b> if the individual received training services, but did not attain a second recognized credential.</p> <p><b>Leave "blank"</b> if the data element does not apply to the individual.</p> <p><b>Additional Note:</b> <u>Credentials reported are completion-based, meaning they must be awarded upon completion of a training program or after must be attained either during participation or by the end of the third quarter after the quarter of exit from services (other than follow up services).</u></p>	<p>1 = High School Diploma/GED</p> <p>2 = AA or AS Diploma/Degree</p> <p>3 = BA or BS Diploma/Degree</p> <p>4 = Occupational Skills Licensure</p> <p>5 = Occupational Skills Certificate/Credential</p> <p>6 = <u>Industry-recognized Certification Other-Recognized Educational or Occupational Skills-Certificate/Credential</u></p> <p>7 = <u>Other-Recognized-Diploma, Degree, or-Certificate</u></p> <p>0 = No recognized credential</p> <p>Blank = Individual is not a participant <u>or this data element does not apply</u></p>	IN 1	Yes
622	Date Attained Recognized Credential #3	<p><b>Record the date</b> on which the individual attained a third recognized credential.</p> <p><b>Leave "blank"</b> if the individual did not attain a recognized credential, or if this data element does not apply.</p>	<p>YYYYMMDD</p> <p>Blank = Individual did not attain a recognized credential or this data element does not apply</p>	DT 8	Yes

## SECTION III – INSTRUCTIONS FOR COMPLETING H-1B QUARTERLY PERFORMANCE REPORTS (QPR) AND HOW A QPR IS GENERATED

Each reporting quarter a grantee will upload a participant data file based on the activities and outcomes of participants served each quarter using grant funds. As a result of each validated and error free data file uploaded, HUB will generate a Quarterly Performance Report (QPR) form No. 1205-0507. The Quarterly Performance Report (QPR) form is a quarterly aggregate of the individual participant records that the grantee has collected and uploaded as a data file into the HUB system. [Click here for the full sample of the H-1B QPR Form.](#)

**Please Note:** The QPR form is not designed to be used as a participant intake/enrollment form. This form is designed to aggregate participant activities based on the information collected using the data element questions provided.

### 3.1 – GRANTEE INFORMATION

#### Section A. Grantee Identifying Information

- A.1 **Grantee Name:** Grantee name as it appears on the appropriate Notice of Obligation (NOO) or equivalent official document from the U.S. Department of Labor.
- A.2 **Grant Number:** Grant number as it appears on the appropriate Notice of Obligation (NOO) or equivalent official document from the U.S. Department of Labor.
- A.3 **Program/Project Name:** Name of the H-1B Grant program or project.
- A.4 **Grantee Address:** Mailing address as it appears on the appropriate NOO or equivalent official document from the U.S. Department of Labor.
- A.5 **Report Quarter End Date:** The last month, day, and year (*mm/dd/yyyy*) of the quarter on which the report is being prepared. For example, if the report is being prepared for the quarter ending September 30<sup>th</sup>, 2012, the Report Quarter End Date format should be represented as 09/30/2012.
- A.6 **Report Due Date:** The month, day, and year (*mm/dd/yyyy*) on which the report is due to the Department. For example, if the report is being prepared for the quarter ending 09/30/2012, the Report Due Date format should be represented as 11/14/2012.

**FIGURE 4: QPR Form 1205-0507 Section A.1 – A.6**

OMB No: 1205-0507 Expires: 03/31/2016		
<b>A. GRANTEE IDENTIFYING INFORMATION</b>		
1. Grantee Name:		
2. Grant Number:		
3. Program/Project Name:		
4. Grantee Address:		
City:	State:	Zip Code:
5. Report Quarter End Date:		
6. Report Due Date:		

### 3.2 – GRANT SUMMARY

#### Section B. Grant Summary Information (ALL GRANT PARTICIPANTS)

- ❖ **Previous Quarter:** Represents outcomes using data submitted the previous quarter only.
- ❖ **Current Quarter (most recent):** Represents the most recent quarter of outcomes data for participants served during the current quarter only.
- ❖ **Cumulative Grant-to-Date:** Represents the cumulative total of performance outcomes to-date through the current quarter.

**B.1 Total Exiters:** The total number of participants who exited the program during the applicable reporting period. Exit from the program occurs when a participant has not received any services funded by the program for **90 consecutive calendar days** and has no gap in service and is not scheduled for future services. The date of exit is applied retroactively to the last day on which the individual received a service funded by the program.

**Note:** The total number of exits should equal to the total number of participants served.

**B.2 Total Participants Served:** The count of the total number of unique participants (new and current) who were participants for at least one day during the relevant reporting period. A participant is any individual who is determined eligible to participate in the grant program and receives a service funded by the grant. Individuals who receive only a determination of eligibility to participate in the program but do not begin receiving services are NOT considered participants.

**B.3 New Participants Served:** The total number of unique participants who, following a determination of eligibility, began receiving their **first grant-funded service** during the applicable quarterly reporting period. Participants should only be included **once**, even if they enroll in multiple [services and/or](#) training programs.

**FIGURE 5: QPR Form 1205-0507 Section B.1 – B.3**

Performance Items	Previous Quarter (A)	Current Quarter (B)	Cumulative Grant-to-Date (C)
<b>B. CUSTOMER SUMMARY INFORMATION</b>			
1. Total Exiters	0		
2. Total Participants Served	0		
3. New Participants Served	0		

### 3.3 – PARTICIPANT SUMMARY

#### Section C. Participant Summary Information

Demographic characteristics of new participants contained in this section should be based on information collected from the individual **at the time of participation/enrollment** in the program and reported for new participants cumulatively through the end of the reporting period. Grantees should submit all of the information below for the participants enrolled and funded by the H-1B Technical Skills Training, [and/or](#) Jobs Accelerator [Grants, and Ready to Work Grants](#). For all data collection items contained within this section, the data format is an *integer* with a maximum field length of *6-digits*.

- C.1a **Male:** The count of the total number of new participants who self-identify their gender as male.
- C.1b **Female:** The count of the total number of new participants who self-identify their gender as female.
- C.2a **Hispanic/Latino:** The count of the total number of new participants who self-identify their ethnicity as Hispanic/Latino. The term Hispanic/Latino includes persons of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture in origin, regardless of race.
- C.2b **American Indian or Alaskan Native:** The count of the total number of new participants who self-identify their race as American Indian or Alaskan Native. The racial category American Indian or Alaska Native

includes persons having origins in any of the original peoples of North America and South America (including Central America), and who maintains cultural identification through tribal affiliation or community recognition.

C.2c **Asian:** The count of the total number of new participants who self-identify their race as Asian. The racial category Asian includes persons having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent (e.g., Bangladesh, Bhutan, India, Nepal, Pakistan, Sri Lanka, and Sikkim). This area includes, for example, Cambodia, China, Japan, Korea, Malaysia, the Philippine Islands, Thailand, and Vietnam.

C.2d **Black or African American:** The count of the total number of new participants who self-identify their race as Black or African American. The racial category Black or African American includes persons having origins in any of the black racial groups of Africa.

C.2e **Native Hawaiian or Other Pacific Islander:** The count of the total number of new participants who self-identify their race as Native Hawaiian or Other Pacific Islander. The racial category Hawaiian Native or Other Pacific Islander includes persons having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

C.2f **White:** The count of the total number of new participants who self-identify their race as White. The racial category White includes persons having origins in any of the original peoples of Europe, the Middle East, or North Africa.

C.2g **More Than One Race:** The count of the total number of new participants who self-identify more than one of the racial categories outlined in Rows C.2b through C.2f above.

C.3a **Eligible Veterans:** The count of the total number of new participants who are veterans, as defined, at the time of participation, who meets one of the following conditions as a veteran:

1. Is a person who served on active duty in the armed forces for a period of less than or equal to 180 days, and who was discharged or released from such service under conditions other than dishonorable.
2. Is a person who served on active duty for a period of more than 180 days and was discharged or released with other than a dishonorable discharge; or was discharged or released because of a service connected disability; or as a member of a reserve component under an order to active duty pursuant to section 12301 (a), (d), or, (g), 12302, or 12304 of Title 10, U.S.C., served on active duty during a period of war or in a campaign or expedition for which a campaign badge is authorized and was discharged or released from such duty with other than a dishonorable discharge; or
3. Is a person who is (a) the spouse of any person who died on active duty or of a service-connected disability, (b) the spouse of any member of the Armed Forces serving on active duty who at the time of application for assistance under this part, is listed, pursuant to 38 U.S.C 101 and the regulations issued there under, by the Secretary concerned, in one or more of the following categories and has been so listed for more than 90 days: (i) missing in action; (ii) captured in the line of duty by a hostile force; or (iii) forcibly detained or interned in the line of duty by a foreign government or power; or (c) the spouse of any person who has a total disability permanent in nature resulting from a service-connected disability or the spouse of a veteran who died while a disability so evaluated was in existence.

C.3b **Individuals with a Disability:** The count of the total number of new participants, who self-identify that they have any "disability," as defined in Section 3(2)(a) of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102), at the time of participation.

~~C.3c **Employed Individuals:** The total number of employed individuals who were considered employed, at time of enrollment, and meets at least one of the following conditions:-~~

- ~~(a) Did any work at all as a paid employee,-~~
- ~~(b) Did any work at all in his or her own business, profession, or farm,-~~
- ~~(c) Worked as an unpaid worker in an enterprise operated by a member of the family, or~~
- ~~(d) is one who was not working, but has a job or business from which he or she was temporarily absent because of illness, bad weather, vacation, labor management dispute, or personal~~



~~reasons, whether or not paid by the employer for time off, and whether or not seeking another job, or~~

~~(c) Is an incumbent worker who needs training to secure full-time employment, advance in their careers, or retain their occupation.~~

C.3d **Incumbent Workers:** The count of the total number of new participants who are incumbent workers, as defined, at the time of participation. An Incumbent Worker is an employed worker in need of skills upgrades who needs industry-related training to (a) secure full-time employment to obtain a new job, or (b) retain a their current position in the same field job that is requiring new or different skills in an H-1B industry/occupation. Training must developed with an employer or employer association to upgrade skills training. This definition includes newly hired workers and workers whose hours have been reduced and/or earnings have declined. An employed worker whose employer does not have a training agreement with the grantee (where training is developed with an employer or employer association to upgrade skills training) is not eligible to be served under this SGA, ~~or (c) advance in their career or along their current career pathway.~~

C.3e **Unemployed Individuals:** The count of the total number of new participants who are considered unemployed, as defined, at the time of participation. ~~And is not reported in..., and are not reported in C.3g Long-Term Unemployed. An unemployed individual is an individual who is without a job for fewer than 27 consecutive weeks (not long-term unemployed), is not underemployed., and who wants and is available to work. Dislocated workers that received services financially assisted under WIA section 133(b)(2)(A) may be included in this definition, if they meet the criteria for unemployed.~~

C.3f ~~**Dislocated Workers:** The count of the total number of new participants who were dislocated, as defined, at the time of participation. A Dislocated Worker is a participant that received services financially assisted under WIA section 133(b)(2)(A).~~

C.3g **Long-term Unemployed:** The count of the total number of new participants who were considered long-term unemployed, as defined, at the time of participation. A Long-term unemployed individual is a participant that is without a job for 27 consecutive weeks or more, ~~and wants and is available to work.~~ They may also be considered Long-term unemployed if the individual is without a job and meets the other conditions established in the SGA for underemployed, long-term unemployed individuals:

\* Lost their job during or after the recent recession (commencing January-December 1, 2008-2007 forward), and have exhausted or nearly exhausted unemployment benefits (if they were eligible to receive such benefits); and

\* Underemployed individuals who lost their job during or after the recent recession and have obtained only episodic, short-term, or part-time employment but have not yet reconnected with a full-time job commensurate with the individual's level of education, skills, and previous wage or salary earned prior to the individual's loss of permanent employment.

Dislocated workers that received services financially assisted under WIA section 133(b)(2)(A) may be included in this definition, if they meet the criteria for long-term unemployed.

C.4a **High School Graduate or Equivalent:** The count of the total number of new participants who have obtained a high school diploma or equivalent at the time of participation.

C.4b **1-4 Years or More of College, or Full-time Technical or Vocational School:** The count of the total number of new participants that have 1-4 years or more years of college, full-time technical or vocational school, and have not attained a certificate or degree at the time of participation.

C.4b.i **Postsecondary Education Certificate or Diploma (non-degree):** The count of the total number of new participants who have obtained a postsecondary certificate or diploma (non-degree) and have not attained a degree at the time of participation.

C.4c **Associates Degree:** The count of the total number of new participants that have attained an Associate's Degree at time of participation.

- C.4d **Bachelor's Degree or Equivalent:** The count of the total number of new participants that have attained a Bachelor's Degree at time of participation.
- C.4e **Education Beyond Bachelor's Degree:** The count of the total number of new participants that have attained education beyond a Bachelor's Degree.
- C5a. **Received Case Management Services:** The count of the total number of participants who received case management services during the relevant reporting quarter. Case management services are defined as the provision of a client-centered approach in the delivery of services, designed: (a) to prepare and coordinate comprehensive employment plans, such as service strategies, for participants to ensure access to necessary workforce investment activities and supportive services using, where feasible, computer-based technologies; and (b) to provide job and career counseling during program participation and after job placement.
- C5b. **Received Assessment Services:** The total number of participants who, following a determination of eligibility, received assessment services during the relevant reporting quarter. Assessments to determine a participant's of eligibility to participate in the program but do not begin receiving services should NOT considered participants and are therefore not included in this definition.
- C5c. **Received Supportive Services:** The total number of participants who received supportive services during the relevant reporting quarter. This includes services such as transportation, child care, dependent care, housing, and needs-related payments, as defined at WIA sections 101(46) and 134(e)(2) and (3), that are necessary to enable an individual to participate in activities in accordance with the funding opportunity in which the grant was awarded.
- C5d. **Received Specialized Participant Services:** The total number of participants who received specialized participant services during the relevant reporting quarter. Specialized Participant Services are defined as group-based or one-on-one services that address specific barriers to unemployment facing the long-term unemployed target population and other unemployed workers. Such services may include but are not limited to: financial counseling, behavioral health counseling, mentoring, assistance with re-location, job coaching, networking, and job search assistance. Specialized Participant Services should not duplicate available WIA supportive services.
- C5e. **Received Work Experience Opportunities:** The total number of participants that participated in work experience opportunities during the relevant reporting quarter, including internships. (1) Work experience is defined as a planned and structured learning experience that takes place in a workplace for a limited period of time; and, (2) Internships provide a monitored or supervised work or service experience in an individual's career field where he or she has intentional learning goals and reflects actively on what is learned throughout the experience. These learning goals can include: academic learning, career development, and skill development. Internships are part of a structured program where the grantee establishes the criteria for determining who will participate in these programs; are for a set period of time that is generally limited in duration, but may be flexible to allow interns to spend limited time in the classroom; support the attainment of credentials in the individual's expected career field (where such credentials exist); relate to training provided through the grant and help participants prepare for employment opportunities on which the grant focuses; and, do not necessarily carry an offer of regular employment upon successful completion of the internship.

### 3.4 - PROGRAM SERVICES

#### Section D. Program Services - Participant Services and Activities

- D.1 **Number Began Receiving Education/Job Training Activities:** The count of the total number of participants who entered an education or job training program during the relevant reporting quarter.
- D.2 **Total Number of Participants that Participated in On-the-Job Training Activities:** The count of the total number of participants that entered a training program during the relevant reporting quarter which provided On-the-Job Training activities.

**Important Note:** Incumbent workers are not eligible for On-the-Job training activities under this grant solicitation.

D.3a **Total Number of Participants that Participated in Classroom Occupational Training Activities:** The count of the total number of participants that entered a training program during the relevant reporting quarter which provided classroom occupational training activities. Classroom occupational training is conducted in an institutional setting or worksite setting and is designed to provide or upgrade individuals with technical skills and information required to perform a specific job, and participants should be able to achieve employment for a specific occupation upon completion.

D.3b **Total Number of Participants that Participated in Contextualized Learning Activities:** The count of the total number of participants that entered a training program during the relevant reporting quarter which provided contextualized learning activities\*.

*\*Contextualized (or Contextual) learning activities are defined as learning that builds meaningful relationships between abstract ideas and practical applications in the context of the real world, and occurs when students process information or knowledge in such a way that it makes sense to them in their frame of reference. Contextual learning is usually a reality-based, outside of the classroom experience, within a specific context and may include paid internships, paid work experience, among others.*

D.3c **Total Number of Participants that Participated in Distance Learning Activities:** The count of the total number of participants that entered a training program during the relevant reporting quarter which provided distance learning activities. Distance learning activities are defined as a formal teaching and learning system that uses technology to connect learners with educational resources.

D.3d **Total Number of Participants that Participated in Customized Training Activities:** The count of the total number of participants that entered a training program during the relevant reporting quarter which provided customized training activities\*.

*\*Customized training is defined as training that is designed to meet the special requirements of an employer (or group of employers); is conducted with a commitment by the employer to employ, or in the case of incumbent workers, continue to employ, the individual on successful completion of the training; ~~and, for which the employer pays for not less than 50% of the cost of the training.~~*

D.3di **Total Number of Participants that participated in Incumbent Worker Training Activities:** The count of the total number of participants that entered an incumbent worker training program during the relevant reporting quarter. An incumbent worker is an employed worker who needs training to secure full-time employment, advance in their careers, or retain their occupation. Incumbent worker training is provided to individuals whose skills have been outdated by the development of new technologies or processes, and skills training is provided to those individuals who require new skills set to obtain, retain, or advance in their careers.

**Important Note:** Incumbent workers are not eligible for On-the-Job training activities under this grant solicitation.

D.3e **Number Participated in Registered Apprenticeship:** The count of the total number of participants that entered a Registered Apprenticeship program during the relevant reporting quarter. Registered Apprenticeship programs are developed through partnership with the Office of Apprenticeship or a State Apprenticeship Agency. These programs are sponsored and operated on a voluntary basis by individual employers, employer associations, or jointly through labor/management agreements (<http://doleta.gov/oa/>).

D.4 **Number of Participants Completing Education/Job Training Program Activities:** The count of the total number of participants that entered an education or job training program that completed all the training activities required of the program during the relevant reporting quarter. Completion is defined as having earned all of the credit hours (formal award units) needed for the award of a degree or certificate as applicable.

D.5 **Number of Participants Completing On-the-Job Training Program Activities:** The count of the total number of participants that entered into an On-the-Job training program and completed all of the On-the-Job

Training (OJT) activities required of the OJT program during the relevant reporting quarter. Completion for OJT is defined as a participant that completes training and is proficient in the occupation for which the training is being provided. OJT is defined at WIA section 101(31) as training by an employer that is provided to a paid participant while engaged in productive work in a job that 1) provides knowledge or skills essential to the full adequate performance of the job; 2) provides reimbursement to the employer of up to 50 percent of the wage rate of the participant, for the extraordinary costs of providing the training and additional supervision related to the training; and 3) is limited in duration as appropriate to the occupation for which the participant is being trained, taking into account the content of the training, the prior work experience of the participant, and the service strategy of the participant, as appropriate.

### 3.5 - PROGRAM OUTCOMES

#### Section E. Program Outcomes - Performance Indicators

E.1 **Number Completed Program Training Activities and Obtained a Credential:** The count of the total number of participants who were enrolled and completed an education or training program, who earned all of the credit hours (formal award units) needed for the award of a degree or certificate during the relevant period.

E.2 **Total Number of Credentials Received:** The count of the total number of credentials earned by all training program completers within the relevant period.

E.3 **Total Number Entered Unsubsidized Employment:** Of the total number of participants who were **not employed or underemployed** at the time of participation, the total number of participants who entered unsubsidized employment in the first, second, or third quarter ~~in the first quarter~~ after completing training program activities, or after receiving a service (for participants not enrolled in a training program). Underemployed individuals may be reported in this data element, if they are employed in a new position.

*This data element captures employment outcomes for unemployed, long-term unemployed, and under employed individuals that found employment (or entered a new position). This includes individuals that are not enrolled in training, but entered employment after receiving services (reported in data element 501.a), and individuals that found employment after completing a training program (reported in data element 501).*

E.3a **Total Number Entered Unsubsidized Training-Related Employment:** Of the total number of participants who were **not employed or underemployed** at the time of participation, the total number of participants who entered unsubsidized employment in the in the first, second, or third quarter ~~first quarter~~ after completing the program training and whose employment is related to the industry, occupation, or skills of the training program completed. Participants that are reported in E.3 as entering employment after receiving a service (for participants not enrolled in a training program) should not be reported in this data element. Underemployed individuals may be reported in this data element, if they are employed in a new position, of which is training-related.

*This data element is a sub-field of E.3 Number Entered Unsubsidized Employment. Participants considered for this count must be recorded in the same reporting quarter as E.3 to be counted in this reporting item. Employment is considered training-related if the position is for the same occupation or within the same industry as the training provided or if the employer recognizes the credential received by the participant as a result of the grant.*

E.3b **Total Number Retained Employment:** The total number of participants that were **unemployed or underemployed** at participation that entered employment ~~in the first quarter~~ after ~~program completion~~ completing training or receiving a service (for participants not enrolled in a training program) and remain employed for at least one day in both the second and third quarters following initial employment after completing program activities.

*This data element is a sub-field of E.3 Number Entered Unsubsidized Employment. Participants considered for this count must have previously been reported as entered employment in E.3. Additionally, this data element captures employment retention outcomes for unemployed, long-term unemployed, and under employed individuals that found employment (or entered a new position) and have been previously reported*

*in E.3. This includes individuals that are not enrolled in training, but entered employment after receiving services (reported in data element 501.a), and individuals that found employment after completing a training program (reported in data element 501).*

- E.4a **Total Number of Employed-Incumbent Workers Retained Current Position:** Of the total participants who were employed-incumbent workers at the time of participation, the total number of program-training completers who retained their current position for at least one day in the second and/or third quarters after completing program-training activities.
- E.4b **Total Number of Employed-Incumbent Workers that Advanced into New Position:** Of the total participants who were incumbent workers-employed at the time of participation, the total number of program-training completers who entered a new position (requiring a higher level of skills) with their current or a new employer in the first, second, or third quarter after training program completion.

### 3.6 – COMMON MEASURES

#### Section F. Common Performance Measures

*\*Common Measures will be calculated via wage records using the data entered into the performance reporting system. The collection of Social Security Numbers will allow wage records to be collected and sent to DOL. DOL will calculate and track the following measures on behalf of grantees. **These definitions and elements are included for your information only.***

- F.1 **Entered Employment Rate:** Of the total number of participants who were not employed at the time of participation, the total number of participants who are employed in the first quarter after the quarter of exit divided by the total number of participants who exit during that quarter.
- F.2 **Employment Retention:** Of the total number of participants who were employed during the first quarter after program exit, the total number of participants who were employed for at least one day in the second and third quarters after the quarter after the quarter of exit divided by the total number of exiters.
- F.3 **Average Earnings (gross):** Of those participants who are employed in the first, second, and third quarters after exit, the total earnings in the second and third quarters after exit divided by the total number of exiters.

**FIGURE 9: QPR Form 1205-0507 Section F.1 – F3**

F. COMMON PERFORMANCE MEASURES			Cumulative 4 Quarters
3.7	1. Entered Employment Rate	0	
-	2. Employment Retention	0	
-	3. Average Earnings	0	

### REPORT CERTIFICATION

#### Section G. Report Certification/Additional Comments

- G.1 **Report Comments/Narrative** – Grantees should provide any additional information not captured as part of the report format in a separate document. See Section IV for further details. Grantees may submit up to two supporting documents in HUB as a supplement to the narrative report.
- G.2 **Name of Grantee Certifying Official/Title** – Enter the name and title of the grantee official that is certifying submission of the report to the Department. This contact is usually the Grant Signatory. This person must be available at the time of report submission and available to re-validate data that may be returned with errors. This available time-frame may include up-to three weeks after initial report submission.
- G.3 **Telephone Number** – Enter the *area code (999)* and *telephone number (999-9999)* of the authorized official.

G.4 **Email Address** – Enter the email address of the authorized official.

**FIGURE 10: QPR Form 1205-0507 Section G.1 – G.4**

G. REPORT CERTIFICATION/ADDITIONAL COMMENTS	
1. Report Comments/Narrative: Attach a separate document that provides a discussion of the grant narrative items outlined in the reporting instructions found in the accompanying DOL H-1B Quarterly Performance Handbook.	
2. Name of Grantee Certifying Official/Title:	3. Telephone Number:
4. Email Address:	
Persons are not required to respond unless this form displays a currently valid OMB number. Obligation to respond is required to obtain or retain benefits (Workforce Investment Act [Section 185(a)(2)]. Public reporting burden for this collection of information, which is to assist with planning and program management and to meet Congressional and statutory requirements, averages 2.66 hours per record, including time to review instructions, search existing data sources, gather and maintain the data needed, and complete and review the collection of information. Send comments regarding this burden estimate to the U.S. Department of Labor, ETA, Room C-4518, 200 Constitution Avenue, NW, Washington, DC 20210-0001	

### 3.8 – ADDITIONAL REPORTING DEFINITIONS/GLOSSARY

**PARTICIPANT:** A participant is any individual who is determined eligible to participate in the grant program and receives a service funded by the grant. Individuals who receive only a determination of eligibility to participate in the program but do not begin receiving services are NOT considered participants. Grant-funded services are allowable [services and](#) education and training activities applicable to the grantees Statement of Work (SOW) and the Solicitation of Grant Agreement (SGA)/~~Federal Funding Opportunity (FFO)~~ applicable.

**NEW PARTICIPANTS:** The total number of unique participants who, following a determination of eligibility, began receiving their *first grant-funded service* during the applicable quarterly reporting period. Participants should only be included **once**, even if they enroll in multiple ~~trainings or services, programs.~~

#### Quarterly Performance Report (QPR) Form

- **CURRENT QUARTER:** Section B.3 New Participants Served (Line 3) Current Quarter (Column B), is a calculation of the total number of **new** participants that receive grant-funded services during that **current quarter's** reporting period. **HINT:** New participants are only ever counted once in this column.
- **CUMMULATIVE GRANT-TO-DATE:** Is the cumulative number of **all new** participants served to date by the grant. A new participant is only ever new, once.

**HINT:** A participant is only counted as **new** in the current and cumulative column for your first report and **new** in the previous and cumulative count in all reports subsequent thereafter. However, they are never counted more than once.

**TRAINING PROGRAM COMPLETION:** A [training](#) program completer is a participant who is enrolled in a grant-funded training **program** and has completed all training activities necessary towards successful [program](#) completion and exit. Successful completion is determined by the grantee and could constitute as a certain grade or passing a pass/fail program. Some grantees education/training activities are comprised of a series of courses or activities and the intent of their education/training activities is for individuals to complete the entire series of courses or activities. In this case, “successful completion” should be defined as finishing the entire series of courses or activities and is no longer receiving grant-funded services.

**TRAINING COMPLETION:** A program may include several training activities that include primary, secondary and tertiary training activities. Grantees may report up to nine types of training activities for three trainings (primary, secondary, tertiary) in HUB for each participant served. Training is considered

completed once each training component/activity is complete. For example, if the primary training is completed first, then this date and activity will be recorded and considered complete. **Please note:** Training completion does not equal [training](#) program completion.

**PROGRAM EXIT:** Exit from the program occurs when a participant has not received any services funded by the program for **90 consecutive calendar days** and has no gap in service and is not scheduled for future services. The date of exit is applied retroactively on the last day in which the individual received a service funded by the program.

**HINT:** If a participant returns to your training program after they have exited the program, you would enroll this participant as a new participant.

~~ADDITIONAL TRAINING AFTER EXIT: Participants can be re-enrolled in the training program once they are considered a program exiter, and it is determined that the individual requires additional training in order to maintain their current position/job, or to advance to a new position or project; however they will be counted as a new participant. Provide any detailed notation of re-enrollment strategies in your narrative report.~~

**GAP IN SERVICE:** A *Gap in Service* refers to reasons a participant may be enrolled in grant activities but delayed 90 days or more from participating. Reasons for inactivity 90 days or more would include one of the following scenarios:

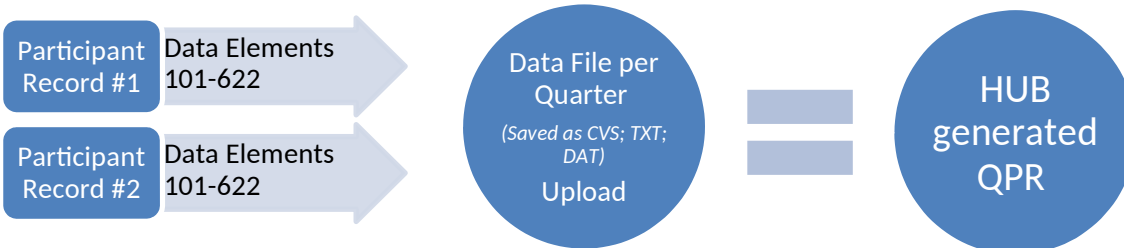
1. Delay before beginning of training (*Acceptable reasons for the delay should be related to the grantee's training program, not for personal reasons of the participant.*)
2. Health/medical reason or family care
3. Temporary move from the area

After 90 days of inactivity, a participant would be considered as exited from the program unless an allowable gap in service had been documented. In some cases, an individual may leave your program without completing the training courses. If an individual returns after exiting your program, this individual will be enrolled as a new participant.

**GAP IN SERVICE FOR INCUMBENT WORKERS:** Incumbent workers with a training and career plan that require a planned gap in service longer than a consecutive 90-day period between training activities and any other grant-funded services, AND are planning to enroll in future H-1B grant-funded training, **do not need** to be exited from grant-funded program activities. If the above applies, a gap in training may exceed 90 days and not result in program exit.

~~**GAP IN SERVICE FOR INCUMBENT WORKERS:** Incumbent workers with a training and career plan that require a planned gap in service longer than a consecutive 90-day period between training activities and any other grant-funded services, AND are planning to enroll in future H-1B grant-funded training, **do not need** to be exited from grant-funded program activities. If the above applies, a gap in training may exceed 90 days and not result in program exit.~~

**PARTICIPANT RECORDS:** Each individual that is determined eligible for your program and participates in a grant-funded service will be tracked according to the data elements and edit checks provided. Based on information tracked in a participant's case file, each individual will have a single record detailing their demographics, training activities and program outcomes in your data file.



**DATA FILE:** A set of individual participant records with data elements that describe the activities of each participant that will be tracked and submitted in **one data file**, per reporting quarter, to ETA. **Data files** are files that store data pertaining to a specific application, for later use. Grantees should upload one comprehensive data file of all participant records served to-date. This file can be updated each quarter and resubmitted to HUB for the appropriate reporting quarter.

**TIPS:**

- Your data file should include all new participants served in the current reporting quarter, including all participants served in the previous quarters of your grant program.
- Updates to a participant's record will be entered in the data file you upload in HUB. If you are using an Excel data spreadsheet, updates will be made to the data elements in the columns across your data spreadsheet. New participants served will be added as a new participant record, entered as a new row of your data spreadsheet.
- A blank code value entry is also called a "null value" to indicate that this data element should be left blank in your data file.
- Acceptable files are data files (.dat), comma-separated values (.csv), and text file or flat files (.txt). This file will look something similar to the sample diagram below. See sample Data File Upload for Two Participant Records:

**TIPS:**

- ~~Your data file should include all new participants served in the current reporting quarter, including all participants served in the previous quarters of your grant program.~~
- ~~Updates to a participant's record will be entered in the data file you upload in HUB. If you are using an Excel data spreadsheet, updates will be made to the data elements in the columns across your data spreadsheet. New participants served will be added as a new participant record, entered as a new row of your data spreadsheet.~~
- ~~A blank code value entry is also called a "null value" to indicate that this data element should be left blank in your data file.~~
- ~~Acceptable files are data files (.dat), comma-separated values (.csv), and text file or flat files (.txt). This file will look something similar to the sample diagram below. See sample Data File Upload for Two Participant Records.~~

**Sample Data File Upload for Two Participant Records**

111111111	1	19681106	1	9	0	0	1	0
222222222	0	19660420	2	9	0	0	0	0

**IMPORTANT NOTE:** *This sample file displays two participant records with only a few data elements recorded. Therefore, this file does not display all data elements that would be collected and recorded. For a full sample of what a typical file upload would look like, please refer to our Sample File Upload and tip sheets located on the H-1b [on-line resource page](#). [Community of Practice \(CoP\) located here:](#) <https://etagrantees.workforce3one.org>.*



**3.9 – ~~SAMPLE~~ H-1B Quarterly Performance Report (QPR) Form**

(To download the entire form, please [visit the H-1B on-line resource page](#). ~~see Attachment 5 for details on how to access our ONLINE RESOURCES~~)

**STANDARDIZED QUARTERLY PERFORMANCE PROGRESS REPORT**  
 Employment and Training Administration  
**H-1B TECHNICAL SKILLS TRAINING GRANTS**  
 and  
 H-1B ~~JOBS AND INNOVATION ACCELERATOR CHALLENGE~~ Ready to Work GRANTS  
 Quarterly Report Form

OMB No. 1205-0507  
 Expiration 03/31/2016

**A. GRANTEE IDENTIFYING INFORMATION**

1. Grantee Name:
2. Grant Number:
3. Program/Project Name:
4. Grantee Address: City: _____ State: _____ Zip Code: _____
5. Report Quarter End Date: <i>mm/dd/yyyy</i>
6. Report Due Date: <i>mm/dd/yyyy</i>

Performance Items	Previous Quarter (A)	Current Quarter (B)	Cumulative Grant-to-Date (C)
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**B. GRANT SUMMARY INFORMATION**

1. Total Exiters			
2. Total Participants Served			
3. New Participants Served			

**C. PARTICIPANT SUMMARY AND SERVICE INFORMATION**

Gender	1a. Male			
	1b. Female			
Ethnicity	2a. Hispanic/Latino			
	2b. American Indian or Alaskan Native			
Race	2c. Asian			
	2d. Black or African American			
	2e. Native Hawaiian or Other Pacific Islander			
	2f. White			
	2g. More Than One Race			
Other Demographics	3a. Eligible Veterans			
	3b. Individuals with a Disability			
	<del>3c. Employed Individuals</del>			
	3d. Incumbent Workers			
	3e. Unemployed Individuals			
	<del>3f. Dislocated Workers</del>			
	3g. Long-term Unemployed			

Education Level	4a. High School Graduate or Equivalent			
	4b. 1 - 4 Years or More of College, or Full-time Technical or Vocational School			
	<u>4b.i Postsecondary Education Certificate or Diploma (non-degree)</u>			
	4c. Associates Diploma or Degree			
	4d. Bachelor's Degree or Equivalent			
	4e. Advanced Degree Beyond Bachelor's			
Services	<u>5a. Received Case Management Services</u>			
	<u>5b. Received Assessment Services</u>			
	<u>5c. Received Supportive Services</u>			
	<u>5d. Received Specialized Participant Services</u>			
	<u>5e. Participated in Work Experience</u>			
<b>D. TRAINING PROGRAM SERVICES</b>				
Training Indicators	1. Number Began Receiving Education/Job Training Activities			
	2. Number Participated On-the-Job Training Activities			
	3a. Number Participated in Classroom Occupational Training Activities			
	3b. Number Participated in Contextualized Training Activities			
	3c. Number Participated in Distance Learning Activities			
	3d. Number Participated in Customized Training Activities			
	3di. Number Participated in Incumbent Worker Training Activities			
	<u>3e. Number Participated in Registered Apprenticeship</u>			
	4. Number Completed Education/Job Training Program Activities			
5. Number Completed On-the-Job Training Program Activities				
<b>E. TRAINING PROGRAM OUTCOMES - PERFORMANCE INDICATORS</b>				
Education Training Performance	1. Number Completed <u>Training</u> Program Activities and Obtained a Credential			
	2. Total Number of Credentials Received			
ITW/Unemployed Worker Employment	3. Number Entered Unsubsidized Employment			
	3a. Number <u>Completed Training &amp;</u> Entered Unsubsidized Training-Related Employment			
Employed Incumbent	3b. Number Retained Employment			
	4a. Total Number of <u>Employed Incumbent Workers</u> Retained Current Position			
	4b. Total Number of <u>Employed Incumbent Workers</u> that Advanced into New Position			
<b>F. COMMON PERFORMANCE MEASURES</b>				
	1. Entered Employment Rate			
	2. Employment Retention			
	3. Average Earnings			
<b>G. REPORT CERTIFICATION/ADDITIONAL COMMENTS</b>				
<b>1. Report Comments/Narrative:</b>				
Attach a separate document that provides a discussion of the grant narrative items outlined in the reporting instructions found in the accompanying DOL H-1B Quarterly Performance Handbook.				

<b>2. Name of Grantee Certifying Official/Title:</b>	<b>3. Telephone Number:</b>
<b>4. Email Address:</b>	
<p>Persons are not required to respond unless this form displays a currently valid OMB number. Obligation to respond is required to obtain or retain benefits (Workforce Investment Act [Section 185(a)(2)]. Public reporting burden for this collection of information, which is to assist with planning and program management and to meet Congressional and statutory requirements, averages 2.66 hours per record, including time to review instructions, search existing data sources, gather and maintain the data needed, and complete and review the collection of information. Send comments regarding this burden estimate to the U.S. Department of Labor, ETA, Room C-4518, 200 Constitution Avenue, NW, Washington, DC 20210-0001</p>	

## SECTION IV – INSTRUCTIONS FOR QUARTERLY NARRATIVE REPORT SUBMISSIONS

### 4.1 - H-1B ~~TST~~ Ready to Work QNR SUBMISSION

**Identifying Grant Information** (This information MUST be submitted with the QNR)

**SGA Type:** ~~H-1B TST~~ Ready to Work

**Grantee Name:** *Official DOL Grant Name*

**Project Name:** *Official Project Name*

**Grant Number:** *Official DOL Grant Number*

**Report Quarter Ending:** *Example 3/31/2012*

**Date of Submission:** *Example 5/15/2012* ~~2015~~

**Program Contact Information:** *Name, Title, Location, Email, Phone Number*

H-1B Ready to Work Technical Skills Training Grantees will enter their **H-1B ~~TST~~ Ready to Work Quarterly Narrative Report (QNR)** using the input fields under the Narrative tab in HUB. Grantees may upload up to two supplemental documents to support their QNR submission. The QNR should include a discussion of the following items:

#### **Section A – Summary of Grant Activities**

This section serves as an executive summary for the quarterly grant activities. ~~In one page or less, please~~ Provide a short summary of all service and training activities supported by the grant for the current quarter, highlighting key activities. This update may include additional information about service and training activities and outcomes to supplement the data submitted on the performance report.

Grantees that are providing supportive services and specialized participant services, in accordance with the funding opportunity in which the grant was awarded, should include a description of the type(s) of services offered, how they were delivered, and how they contributed to a participants' ability to fully participate in grant-funded activities.

#### **Section B – Status Update on ~~Match and~~ Leveraged Resources**

Report the cumulative amount of ~~match and~~ leveraged resources provided by the grantee and partners along with expenditures each quarter. Leveraged resources are those resources the grantee and its partners may be providing to support the implementation of the H-1B Technical Skills Training grants. ~~Match requirements are contingent upon the grantee's proposed activities and whether it is serving incumbent workers. Grantees that incorporate incumbent worker training activities must provide resources equivalent to 50 percent of the grant award amount as matching funds. Leveraged and or match resources may take the form of cash or in-kind donations. However, grantees that include incumbent worker training activities, 50 percent of the matching funds may be provided in cash or in kind, however half of the total matching funds must be in cash.~~ Please note ~~the distinction between~~ leveraged resources and required match resources: both must also be reported on the Financial Status Report (ETA-9130) quarterly. ~~Match does not include the use of federal funds while leveraged resources have a broader definition that may include federal funds. Leveraged and match resources should also be reported in greater detail in the narrative section.~~

Please use this section of the narrative to provide an update on the status of all leveraged ~~and match~~ resources. The update may include:

- The organizations that contributed the resources
- The ways in which the resources were used during the current quarter
- Cumulative amount of leveraged resources

- Type of leveraged resources contributed to the project

### Section C – Status Update on Strategic Partnership Activities

Report the critical aspects of the grant partnership activities during the reporting period. The purpose of this section is to describe how the partnership is working together to implement the project and to communicate the dynamic growth and development of the strategic partnership. This section is not intended to be a list of every partner meeting or communication. Completing this section of the report allows grantees to reflect critically on their partnerships and contributes to broader discussions among grantees on partnership development and management.

This section may: (1) Discuss how partners have been engaged during the current phase of the project; (2) Outline specific roles and contributions of each partner during this quarter; (3) Identify any challenges encountered/resolved in the development and management of the partnership; and (4) Report new partners that may have been brought into the project.

### Section D – Timeline for Grant Activities and Deliverables

Provide a timeline of the progress of grant activities, key deliverables for this quarter and future quarters, and products available this quarter and in future quarters for broad dissemination to the workforce system. This includes identifying products and deliverables available for broad distribution via ETA-hosted web sites and other communication vehicles. Utilize the timeline in the grant’s statement of work to identify all major program activities and training, for the entire life of the grant. The timeline will paint a picture of project flow that includes start and end dates, schedule of activities, and projected outcomes. To benefit from the timeline, it is important that it be updated each quarter noting the actual date of completion as each activity is accomplished. Items to incorporate in the timeline include: project goals, benchmarks, milestones, special events, important deadlines and deliverables.

### Section E – Status of Deliverables

Use this section to collect additional information that details the status of capacity building activities and/or the development of deliverable occurring under the grant, highlights those that have been completed, and assesses how well the capacity building strategies of the program are meeting the training needs of the targeted industries through impact measures.

### Section F – Key Issues and Technical Assistance Needs

Summarize any significant issues or problems encountered this quarter and resolution of previous issues and challenges identified in previous quarters. Describe any actions taken or plans for addressing issues, any questions you have for ETA, and any need for assistance from ETA, technical assistance providers, or others.

### Section G – Best Practices and Success Stories

Please describe in detail promising approaches, innovative processes, lessons learned and grant-level and participant-level success stories in this section each quarter, as appropriate. This section is intended to provide additional, more in-depth information than the summary section about promising approaches, new processes, and/or lessons learned. Additionally, if appropriate, please highlight one or two “success stories” from the grant per quarter, with the participant’s express permission. [Grantees can also include best practices and success stories as additional documents for upload in HUB.](#)

### Section H – Additional Information (optional)

Provide any other [grant-specific](#) information considered to be important [and not captured in other sections of the quarterly performance and/or narrative report, including but not limited to, any specific](#)

[outcomes included in the statement of work](#). Two additional documents that you consider strengthens the overall program progression for your grant will be permitted for upload [in HUB](#).

## **SECTION V—INSTRUCTIONS FOR ADDITIONAL RESOURCES**

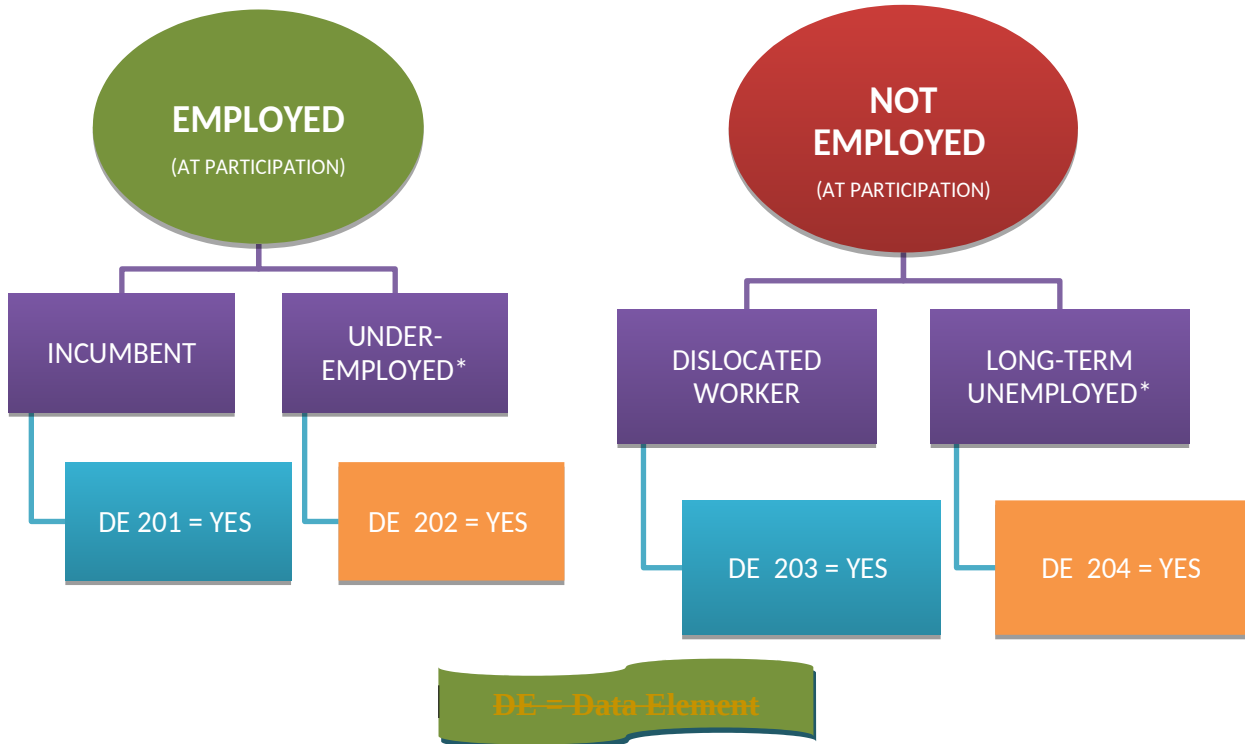
### **5.1—TEMPLATES, TIP SHEETS, FACT SHEETS, TECHNICAL ASSISTANCE**

For access to [all](#) of the most up-to-date guidance and resources regarding H-1B reporting, please visit our H-1B Online Resource (also known as our Community of Practice (CoP)) portal located here:—  
<https://etagrantees.workforce3one.org>.

#### **Current CoP Resource Index**

- ❖ **Performance Reporting (Folder)**
  - [ETA H-1B Sample QPR Form](#)
  - [H-1B Data Elements and Edit Checks—Modified January 2013](#)
- ❖ **H-1B JA ONLY Reporting Documents(Folder)**
  - [H-1B JA Quarterly Narrative Report/IWP Template](#)
- ❖ **H-1B TST ONLY Reporting Documents(Folder)**
  - [H-1B TST Quarterly Narrative Report \(QNR\) Template](#)
- ❖ **Performance TA Materials(Folder)**
  - [TEGL No. 39-11 Guidance on the Handling and Protection of Personally Identifiable Information \(PII\)](#)
  - [TEGL No. 11-11 Selective Service Registration Requirements for ETA Funded Programs](#)
  - [TEGL No. 15-10 Increasing Credential, Degree and Certificate Attainment by Participants of the Public Workforce System](#)
  - [H-1B Reporting Schedule](#)
  - [H-1B Long Term Unemployed Eligibility](#)
  - [H-1B Tip Sheet: Employment Status at Participation](#)
  - [H-1B FAQ: Performance Reporting FAQ November 2012](#)
  - [H-1B Tip Sheet—Performance Quick Guide](#)
  - [H-1B Tip Sheet—Data Files & Records](#)
  - [H-1B Sample—Data File Upload xls format](#)
  - [H-1B Sample—Data File Upload csv format](#)
  - [H-1B Sample—Participant Records xls format](#)
- ❖ **Performance Webcasts and Self-Paced Tutorials**
  - [H-1B Webinar: Performance Reporting](#)
  - [H-1B Performance Refresher—How to Avoid Common Reporting Errors](#)
  - [H-1B Reporting System Tutorial #1](#)
  - [H-1B Reporting System Tutorial #2](#)

**Attachment 1: Guidance on Reporting Employment Status at Participation  
 Technical Assistance Tool for H-1B Technical Skills Training and Jobs Accelerator Grantees**



**IMPORTANT NOTE:** The definition of Long-Term Unemployed as provided in the H-1B SGA is broader than the traditional definition of Long-Term Unemployed described in DE 204 (without a job for 27 weeks or more). The SGA allows any individual to be considered Long-Term Unemployed, if they fit this traditional definition, but also if they are employed, but underemployed as tracked in DE 202.

\*Underemployed participants will count towards the Long-Term Unemployed goals

**REPORTING DEFINITIONS**

**DE-200: Employment Status at Participation**

A participant is considered Employed, at time of enrollment, if the individual meets any of the following conditions:-

- A. Did any work at all as a paid employee,-
- B. Did any work at all in his or her own business, profession, or farm,-
- C. Worked as unpaid worker in an enterprise operated by a member of the family, or
- D. Is one who was not working, but has a job or business from which he or she was temporarily absent because of illness, bad weather, vacation, labor management dispute, or personal reasons, whether or not paid by the employer for time off, and whether or not seeking another job, or
- E. Is an incumbent worker who needs training to secure full-time employment, advance in their careers, or retain their occupation

If any of the conditions above are met, the answer is YES, the individual is considered employed at enrollment.

~~**Note:** Even if the participant is considered Employed, as defined above, the individual can still be documented as incumbent and/or underemployed. This determination is recorded once, at time of enrollment. A participant's placement outcomes will be determined based on the information provided and tracked accordingly. Therefore, if a participant is an incumbent or an underemployed worker, they will be recorded as employed and either retained their current position OR advanced in a new position after program completion.~~

~~**DE 201: Incumbent Worker**~~

~~A participant is considered an Incumbent Worker, at the time of enrollment, if the individual meets the following conditions:~~

~~If the participant is an employed worker who needs industry-related training to:~~

~~A. Secure full-time employment,~~

~~Retain their current position in the same field, or~~

~~B. Advance in their career or along their current career pathway.~~

~~**DE 202: Underemployed Worker**~~

~~A participant is considered underemployed, at the time of enrollment, if the individual meets the following conditions:~~

~~If the participant is a person who, though employed;~~

~~Has not yet connected with a job that provides responsibility and pay commensurate with their previous experience and educational qualifications, or~~

~~Is working part time as they are seeking full time employment.~~

~~**DE 203: Dislocated Worker**~~

~~A participant is considered a Dislocated Worker, at the time of enrollment, if the individual meets the following conditions:~~

~~A. If the participant received services financially assisted under WIA section 133(b)(2)(A)~~

~~**DE 204: Long-term Unemployed**~~

~~A participant is considered Long-term Unemployed, at the time of enrollment, if the individual meets the following conditions:~~

~~A. If the individual is without a job for 27 weeks or more and wants and is available to work;~~

~~B. If the individual is without a job and meets the other conditions established in the SGA for unemployed, long-term unemployed individuals:~~

~~• Lost their job during the recent recession (commencing January 1, 2008 forward), and have exhausted unemployment benefits.~~





**Attachment 2: Guidance on Reporting Employment Outcomes for Unemployed and Incumbent Workers**

SECTION  
V



**Employment Outcomes**

Employment outcomes for **UNEMPLOYED** participants **ONLY**

**REINDER:** Employment Outcomes are **ONLY** recorded once a participant has completed program training.

Employment outcomes for **INCUMBENT WORKER** participants **ONLY**

Employment Outcomes	3. Number Entered Unsubsidized Employment			
	3a. Number Entered Unsubsidized Training-Related Employment			
	3b. Number Retained Employment			
Incumbent Worker Outcomes	4a. Total Number of Employed Retained Current Position			
	4b. Total Number of Employed that Advanced into New Position			

Source: ETSI, ETSI, ETSI

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**INSTRUCTIONS FOR ADDITIONAL RESOURCES**

**5.1—TEMPLATES, TIP SHEETS, FACT SHEETS, TECHNICAL ASSISTANCE**