2525	NOID COP	RRECTED			
TRANSFEROR'S name, street address, city or to and ZIP or foreign postal code	own, province or state, country,	1 Date option granted	OMB No. 1545-2129  Form 3921	Exercise of an Incentive Stock	
		2 Date option exercised	(Rev. August 2013)	Option Under Section 422(b)	
TRANSFEROR'S federal identification number EMP	PLOYEE'S identification number	3 Exercise price per share	Fair market value per share on exercise date	Copy A For	
EMPLOYEE'S name		\$	\$	Internal Revenue Service Center	
		5 No. of shares transferred		File with Form 1096.	
Street address (including apt. no.)		6 If other than TRANSFEROR, name, address, and EIN of corporation whose stock is being transferred		For Privacy Act and Paperwork Reduction Act	
City or town, province or state, country, and ZIP or foreign postal code				Notice, see the current version of the General	
Account number (see instructions)				Instructions for Certain Information Returns.	

Form 3921 (Rev. August 2013)

Cat. No. 411790

www.irs.gov/form3921

Department of the Treasury - Internal Revenue Service

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	☐ COF	RRECTED (if checked)		
TRANSFEROR'S name, street address, city or town, province or state, country, and ZIP or foreign postal code		1 Date option granted	OMB No. 1545-2129  Form 3921	Exercise of ar Incentive Stock Option Under Section 422(b)
		2 Date option exercised	(Rev. August 2013)	
TRANSFEROR'S federal identification number	EMPLOYEE'S identification number	3 Exercise price per share	4 Fair market value per share on exercise date	Copy E For Employee
EMPLOYEE'S name		\$	\$	This is important ta
		5 No. of shares transferred		being furnished to the Internal Revenue
Street address (including apt. no.)		6 If other than TRANSFEROR, name, address, and EIN of corporation whose stock is being transferred		Service. If you are required to file a return a negligence penalty o
City or town, province or state, country, and ZIP or foreign postal code				other sanction may be imposed on you if this item is required to be
Account number (see instructions)				reported and the IRS determines that it had not been reported
Form <b>3921</b> (Rev. August 2013)	(keep for your records)	www.irs.gov/form3921	Department of the Treasu	ry - Internal Revenue Service

## **Instructions for Employee**

You have received this form because your employer (or transfer agent) transferred your employer's stock to you pursuant to your exercise of an incentive stock option (ISO). You must recognize (report) gain or loss on your tax return for the year in which you sell or otherwise dispose of the stock. Keep this form and use it to figure the gain or loss. For more information, see Pub. 525, Taxable and Nontaxable Income.

When you exercise an ISO, you may have to include in alternative minimum taxable income a portion of the fair market value of the stock acquired through the exercise of the option. For more information, see Form 6251, Alternative Minimum Tax—Individuals, and its instructions.

**Account number.** May show an account or other unique number your employer or transfer agent assigned to distinguish your account.

- **Box 1.** Shows the date the option to purchase the stock was granted to you.
- **Box 2.** Shows the date you exercised the option to purchase the stock.
- Box 3. Shows the exercise price per share of stock.
- **Box 4.** Shows the fair market value (FMV) of a share of stock on the date the option was exercised.
- **Box 5.** Shows the number of shares of stock transferred to you pursuant to the exercise of the option.
- **Box 6.** Shows the name, address, and employer identification number (EIN) of the corporation whose stock is being transferred (if other than the corporation shown in TRANSFEROR boxes in the upper left corner of the form).

**Future developments.** For the latest information about developments related to Form 3921 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form3921.

Department of the Treasury - Internal Revenue Service

	☐ COF	RRECTED (if checked)		
TRANSFEROR'S name, street address, city or town, province or state, country, and ZIP or foreign postal code		1 Date option granted	OMB No. 1545-2129  Form 3921	Exercise of an Incentive Stock Option Under Section 422(b)
		2 Date option exercised	(Rev. August 2013)	
TRANSFEROR'S federal identification number	EMPLOYEE'S identification number	3 Exercise price per share	4 Fair market value per share on exercise date	Copy C For Corporation
EMPLOYEE'S name	•	\$	\$	
		5 No. of shares transferred		This copy should
Street address (including apt. no.)  City or town, province or state, and ZIP or foreign postal code		6 If other than TRANSFEROR, name, address, and EIN of corporation whose stock is being transferred		be retained by the corporation whose stock has beer transferred under
Account number (see instructions)				Section 422(b)

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(keep for your records)

Form **3921** (Rev. August 2013)

		RECTED		
TRANSFEROR'S name, street address, city or town, province or state, country		1 Date option granted	OMB No. 1545-2129	
and ZIP or foreign postal code			Form 3921	Exercise of an Incentive Stock
		2 Date option exercised		Option Under
			(Rev. August 2013)	Section 422(b)
TRANSFEROR'S federal identification number	EMPLOYEE'S identification number	3 Exercise price per share	4 Fair market value per share on exercise date	Copy D For Transferor
EMPLOYEE'S name		\$	\$	<u> </u>
		5 No. of shares transferred		For Privacy Act and Paperwork Reduction Act
Street address (including apt. no.)		6 If other than TRANSFEROR, name, address, and EIN of corporation whose stock is being transferred		Notice, see the current version of
City or town, province or state, country and ZIP or foreign postal code				the General Instructions for Certain Information
Account number (see instructions)				Returns.

Form **3921** (Rev. August 2013)

www.irs.gov/form3921

Department of the Treasury - Internal Revenue Service

## **Instructions for Transferor**

To complete Form 3921, use:

- The most current General Instructions for Certain Information Returns, and
- The most current Instructions for Forms 3921 and 3922.

To order these instructions and additional forms, go to *www.irs.gov/forms3921* or call 1-800-TAX-FORM (1-800-829-3676).

Caution: Because paper forms are scanned during processing, you cannot file with the IRS Forms 1096, 1097, 1098, 1099, 3921, 3922, or 5498 that you print from the IRS website.

**Due dates.** Furnish Copy B of this form to the employee by January 31 of the year following the year of exercise of the ISO.

Furnish Copy C of this form to the corporation whose stock is being transferred by January 31 of the year following the year of exercise of the ISO.

File Copy A of this form with the IRS by February 28 of the year following the year of exercise of the ISO. If you file electronically, the due date is March 31 of the year following the year of exercise of the ISO. To file electronically, you must have software that generates a file according to the specifications in Pub. 1220, Specifications for Filing Forms 1097, 1098, 1099, 3921, 3922, 5498, 8935, and W-2G Electronically. IRS does not provide a fill-in form option.

**Need help?** If you have questions about reporting on Form 3921, call the information reporting customer service site toll free at 1-866-455-7438 or 304-263-8700 (not toll free). Persons with a hearing or speech disability with access to TTY/TDD equipment can call 304-579-4827 (not toll free).