

STATUTORY AUTHORITY

The statutory authority for Rule 10A-1 includes sections 10A, 12, 13, 14, 15(d), and 23(a) of the Securities Exchange Act of 1934, 15 U.S.C. 78j-1, 78l, 78m, 78n, 78o(d), 78w(a) and sections 8, 30, 31, and 38(a) of the Investment Company Act of 1940, 15 U.S.C. 80a-8, 80a-29, 80a-30, 80a-37(a).