

FR Y-14A Schedule E - Operational Risk

Institution Name:

RSSD ID:

Source:

Submission Date (MM/DD/YYYY):

BHC Legal Reserves Reporting Worksheets		
	BHC Name:	0
	RSSD:	0
	Source:	BHC
	Submission Date:	1/0/1900

Section 2 - Annual Reporting of Legal Reserves

a. For each year in the chart below, report the total dollar value of the institution’s legal reserve balance, representing the total legal reserve balance that was included on the institution’s financial statements as of September 30. The BHC’s initial submission should contain annual legal reserve balances from Q3 of the as-of reporting quarter as well as Q3 from the prior four years.

Total Legal Reserve Balance	
Year	\$ Balance (Millions)
As-of Q3	
Q3 - HY1	
Q3 - HY2	
Q3 - HY3	
Q3 - HY4	

Total Legal Reserves:

b. Legal Reserves Pertaining to Repurchase Litigation (Voluntary): For Q3 2013, on a voluntary basis, report the total dollar value of the institution’s legal reserves pertaining to repurchase litigation which was included on the institution’s financial statements as part of the total legal reserve on September 30. Also please report the subset of this amount which is related only to contractual Representation and Warranty (R&W) claims (excluding any amounts set aside for damages, penalties, etc).

Total Repurchase Litigation Reserve Balance		Repurchase Litigation Reserve Balance related only to contractual R&W claims
As of Quarter	\$ Balance (Millions)	\$ Balance (Millions)
Q3		

Voluntary Reporting of Legal Reserves Pertaining to Repurchase Litigation: