FR Y-14Q Schedule C: Regulatory Capital Instruments Quarterly Schedule

Institution Name:

RSSD ID:

Date of Data Submission:

As of Date:

FR Y-14Q Schedule C.1—Regulatory Capital Instruments as of Quarter End

A	B	C	D	E	F	G	H	I
	CUSIP or unique identifier provided by BHC	Instrument type	General risk based capital rules treatment	Revised regulatory capital rule (July 2013) treatment	Cumulative / noncumulative	Notional amount ($Millions)	Amount recognized in regulatory capital ($Millions)	Comments
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
...								

FR Y-14Q Schedule C.2—Regulatory Capital Instrument Repurchases/Redemptions During Quarter

A	B	C	D	E	F	G	H	I	J	K	L
	CUSIP or unique identifier provided by BHC	Instrument type	General risk based capital rules treatment	Revised regulatory capital rule (July 2013) treatment	Redemption action	Date on which action was executed (mm/dd/yyyy)	Notional amount transacted ($Millions)	Regulatory capital amount transacted ($Millions)	Notional amount remaining at quarter end ($Millions)	Amount recognized in regulatory capital remaining at quarter end	Comments
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											
…											

A	B	C	D	E	F	G	H	I	J	K	L	M
	CUSIP or unique identifier provided by BHC	**Instrument type**	**Is issuance result of conversion?**	**If conversion, indicate CUSIP of original instrument**	**Date of issuance (mm/dd/yyyy)***	**General risk based capital rules treatment**	**Revised regulatory capital rule (July 2013) treatment**	**Cumulative / noncumulative**	**Notional amount transacted ($Millions)**	**Regulatory capital amount transacted ($Millions)**	**Perpetual / dated**	**If dated, date of maturity (mm/dd/yyyy)***
1												
2												
3												
4												
5												
6												
7												
8												
9												
10												
…												

A	N	O	P	Q	R	S	T	U	V	W	X
	Issuer call	**If callable, optional call date (mm/dd/yyyy)***	**Fixed / floating**	**Coupon / dividend rate (bps)**	**Index**	**Spread over index (bps)**	**Existence of step up or other incentive to redeem**	**Convertible / non-convertible**	**If convertible, mandatory or optional conversion?**	**If convertible, specify instrument type into which it will convert**	**Comments**
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											
…											