

SBM Weekly Indicators: Layout for State's Data Submission

This document is the submission format for states with state based marketplaces to submit data to the federal government. This format does not require the submission of individual-level, granular data. The data will be used to assess the Marketplace progress in operational performance, QHP plan premiums and subsidies, and enrollment/eligibility activity for initial years of operations.

QHP Data in this layout are specific to medical QHPs and stand-alone dental plans (not vision) and coverage offered through the marketplaces (not Medicaid or CHIP coverage).

If no data match the restrictions of a particular data element, enter "-777". If the data are believed to be reportable in the future, but are not reportable at the time that the data are submitted, enter "-888" to indicate the data are not available. If it is not possible to report a data element because of the way the marketplace is operated, enter "-999" to indicate the data elements are not applicable.

Reporting Schedule: Weekly during Open Enrollment; aggregated to 4 weeks of data during other parts of the year. For each report, states should report cumulative data from the start of Open Enrollment (November 15, 2014). The first scheduled reporting due date is November 25, 2014.

Questions should be directed to Nick Sukachevin at Nickom.Sukachevin@cms.hhs.gov or Carly Rhyne at Carly.Rhyne@cms.hhs.gov

State (select cell to reveal drop down box)	Select One:	
Reference Period (DD/MM/YY) - (DD/MM/YY)	Select One:	NOTE: First Reference Period is 11/15/14-11/22/14. ***If applicable, report any early 2015 QHP re-enrollments (performed before 11/15/14) during this reporting period.***
Date of Report (DD/MM/YY)	Select One:	Date of Report (if not listed in dropdown):

Indicator Number	Category	Measure	Indicator for Cell	Special Enrollment Period (SEP): 2014 Coverage (Applies only to 11/15/2014-12/31/2014, NOT including Stand-alone Dental Plans)	Enrollment: 2015 Coverage (Not including Stand-alone Dental Plans)	Enrollment: Stand-alone Dental Plans: 2015 Coverage	
1	Initial Applications - Application Level (see Glossary)	Number of Applications Completed, by Electronic and Paper	Compl Appl: Electronic				
2			Compl Appl: Paper				
3			Compl Appl: Total				
4			Number of Individuals Applying for Coverage through the Marketplace (Individual Level)	Individuals Appl: Total			
5	Determined Eligible QHP / Assessed Eligible Medicaid/CHIP For QHPs: An individual is QHP Eligible if they submitted a completed application (or had a complete application submitted on their behalf) and the SBM has deemed the individual eligible for QHP enrollment (either provisional or final eligibility) during the reference period. These individuals may or may not have enrolled in coverage (meaning picked a QHP or paid the first premium) by the end of the reference period.	Number of Individuals Determined Eligible for Enrollment into a QHP (whether or not applying for financial assistance, and whether or not enrolled with financial assistance) by Financial Assistance [FA] (APTC Only, APTC + CSRs)	Eligible: Total				
6			Eligible: Without FA				
7			Eligible with FA: APTC Only				
8			Eligible with FA: APTC + CSRs				
9		Number of Individuals Determined Eligible for Enrollment into a QHP (Individual Level) by Language Preference	Eligible by Language Preference: No Language Preference				
10			Eligible by Language Preference: Spanish				
11			Eligible by Language Preference: Other Language				
12		Number of Individuals Determined Eligible for Enrollment into a QHP by Gender	Eligible by Gender: Male				
13			Eligible by Gender: Female				
14		Distribution of Assessed Eligible Individuals by Medicaid and CHIP	Eligib assessment: Medicaid (if breakout possible)				
15			Eligib assessment: CHIP (if breakout possible)				
16			Eligib assessment: Medicaid + CHIP				
17		Plan Selection: Effective (not effectuated) Enrollment During the Reference Period. Individuals Who were deemed QHP eligible, selected a QHP and a financial assistance [FA] amount (if eligible), and the SBM approved the QHP selection during the reference period	Number of Individuals with QHP Plan Selection: TOTAL <i>Calculate Covered Lives</i>	Individuals Enrolled: Total			
18			Number of Individuals with QHP Plan Selection by Language Preference <i>Individual Level</i>	Plan Selection by Language Preference: No Language Preference			
19				Plan Selection by Language Preference: Language Spanish			
20				Plan Selection by Language Preference: Other Language			
21	Number of Individuals Selecting a 2015 QHP Who Were Not Enrolled in a 2014 Marketplace Plan (New Enrollment). Enter Stand Alone Dental Plan new enrollments separately in SADP column. <i>Calculate Covered Lives</i>		Plan Selection for New Enrollees: Total				
22			Plan Selection for New Enrollees by FA: Enrollment New without FA				
23			Plan Selection for New Enrollees by FA: Enrollment New with FA				
24	Number of Individuals Selecting a 2015 QHP Who Were Enrolled in a 2014 Marketplace Plan (Re-Enrollment). Enter Stand Alone Dental Plan Re-enrollments separately in SADP column. <i>Calculate Covered Lives</i>		Plan Selection for Re-enrollees: Total				
25			Plan Selection for Re-enrollees by FA: Re-enrollment without FA				
26			Plan Selection for Re-enrollees by FA: Re-enrollment with FA				
27	Number of Individuals Selecting a QHP by FA (No FA, APTC Only, and APTC+CSRs) <i>Calculate Covered Lives</i>		Plan Selection without FA: Total				
28			Plan Selection by FA: APTC Only				
29			Plan Selection by FA: APTC + CSRs				
30	Number of Individuals Selecting a QHP by Gender <i>Calculate Covered Lives</i>		Plan Selection by Gender: Male				
31			Plan Selection by Gender: Female				
32	Number of Individuals Selecting a QHP by Age Group <i>Calculate Covered Lives</i>		Plan Selection by Age: AGE1<18				
33			Plan Selection by Age: AGE2 18-25				
34			Plan Selection by Age: AGE3 26-34				
35			Plan Selection by Age: AGE4 35-44				
36			Plan Selection by Age: AGE5 45-54				
37			Plan Selection by Age: AGE6 55-64				
38			Plan Selection by Age: AGE7 >=65				
39	Number of Individuals Selecting a QHP by Gender and Age Group <i>Calculate Covered Lives</i>		Plan Selection by Gender: Male: AGE1<18				
40			Plan Selection by Gender and Age: Male: AGE2 18-25				
41			Plan Selection by Gender and Age: Male: AGE3 26-34				
42			Plan Selection by Gender and Age: Male: AGE4 35-44				
43			Plan Selection by Gender and Age: Male: AGE5 45-54				
44			Plan Selection by Gender and Age: Male: AGE6 55-64				
45			Plan Selection by Gender and Age: Male: AGE7 >=65				
46			Plan Selection by Gender and Age: Female: AGE1<18				
47			Plan Selection by Gender and Age: Female: AGE2 18-25				
48			Plan Selection by Gender and Age: Female: AGE3 26-34				
49		Plan Selection by Gender and Age: Female: AGE4 35-44					
50		Plan Selection by Gender and Age: Female: AGE5 45-54					
51		Plan Selection by Gender and Age: Female: AGE6 55-64					
52		Plan Selection by Gender and Age: Female: AGE7 >=65					
53	Number of Individuals Selecting a QHP by Metal Level <i>Calculate Covered Lives</i>	Plan Selection by Metal Level: Catastrophic					
54		Plan Selection by Metal Level: Bronze					
55		Plan Selection by Metal Level: Silver					
56		Plan Selection by Metal Level: Gold					
57		Plan Selection by Metal Level: Platinum					
58	Plan Selection by Age and Metal Level: AGE1<18: Catastrophic	Plan Selection by Age and Metal Level: AGE1<18: Catastrophic					
59		Plan Selection by Age and Metal Level: AGE1<18: Bronze					
60		Plan Selection by Age and Metal Level: AGE1<18: Silver					
61		Plan Selection by Age and Metal Level: AGE1<18: Gold					
62		Plan Selection by Age and Metal Level: AGE1<18: Platinum					
63		Plan Selection by Age and Metal Level: AGE2 18-25: Catastrophic					
64		Plan Selection by Age and Metal Level: AGE2 18-25: Bronze					
65		Plan Selection by Age and Metal Level: AGE2 18-25: Silver					
66		Plan Selection by Age and Metal Level: AGE2 18-25: Gold					

Indicator Number	Category	Measure	Indicator for Cell	Special Enrollment Period (SEP): 2014 Coverage (Applies only to 11/15/2014-12/31/2014, NOT Including Stand-alone Dental Plans)	Enrollment: 2015 Coverage (Not Including Stand-alone Dental Plans)	Enrollment: Stand-alone Dental Plans: 2015 Coverage		
67	Number of Individuals Selecting a QHP by Age and Metal Level Calculate Covered Lives	Number of Individuals Selecting a QHP by Age and Metal Level Calculate Covered Lives	Plan Selection by Age and Metal Level: AGE2 18-25: Platinum					
68			Plan Selection by Age and Metal Level: AGE3 26-34: Catastrophic					
69			Plan Selection by Age and Metal Level: AGE3 26-34: Bronze					
70			Plan Selection by Age and Metal Level: AGE3 26-34: Silver					
71			Plan Selection by Age and Metal Level: AGE3 26-34: Gold					
72			Plan Selection by Age and Metal Level: AGE3 26-34: Platinum					
73			Plan Selection by Age and Metal Level: AGE4 35-44: Catastrophic					
74			Plan Selection by Age and Metal Level: AGE4 35-44: Bronze					
75			Plan Selection by Age and Metal Level: AGE4 35-44: Silver					
76			Plan Selection by Age and Metal Level: AGE4 35-44: Gold					
77			Plan Selection by Age and Metal Level: AGE4 35-44: Platinum					
78			Plan Selection by Age and Metal Level: AGE5 45-54: Catastrophic					
79			Plan Selection by Age and Metal Level: AGE5 45-54: Bronze					
80			Plan Selection by Age and Metal Level: AGE5 45-54: Silver					
81			Plan Selection by Age and Metal Level: AGE5 45-54: Gold					
82			Plan Selection by Age and Metal Level: AGE5 45-54: Platinum					
83			Plan Selection by Age and Metal Level: AGE6 55-64: Catastrophic					
84			Plan Selection by Age and Metal Level: AGE6 55-64: Bronze					
85			Plan Selection by Age and Metal Level: AGE6 55-64: Silver					
86			Plan Selection by Age and Metal Level: AGE6 55-64: Gold					
87			Plan Selection by Age and Metal Level: AGE6 55-64: Platinum					
88			Plan Selection by Age and Metal Level: AGE7 >=65: Catastrophic					
89			Plan Selection by Age and Metal Level: AGE7 >=65: Bronze					
90			Plan Selection by Age and Metal Level: AGE7 >=65: Silver					
91			Plan Selection by Age and Metal Level: AGE7 >=65: Gold					
92			Plan Selection by Age and Metal Level: AGE7 >=65: Platinum					
93			Number of Individuals Selecting a QHP by FA (without FA, with FA) and Metal Level Calculate Covered Lives	Number of Individuals Selecting a QHP by FA (without FA, with FA) and Metal Level Calculate Covered Lives	Plan Selection by FA and Metal Level: Enrolled Without FA: Catastrophic			
94					Plan Selection by FA and Metal Level: Enrolled without FA: Bronze			
95					Plan Selection by FA and Metal Level: Enrolled without FA: Silver			
96					Plan Selection by FA and Metal Level: Enrolled without FA: Gold			
97					Plan Selection by FA and Metal Level: Enrolled without FA: Platinum			
98					Plan Selection by FA and Metal Level: Enrolled with FA: Catastrophic			
99					Plan Selection by FA and Metal Level: Enrolled with FA: Bronze			
100	Plan Selection by FA and Metal Level: Enrolled with FA: Silver							
101	Plan Selection by FA and Metal Level: Enrolled with FA: Gold							
102	Plan Selection by FA and Metal Level: Enrolled with FA: Platinum							
103	Number of Individuals with FA Selecting a QHP by FPL (Among Individuals Receiving FA) Calculate Covered Lives	Number of Individuals with FA Selecting a QHP by FPL (Among Individuals Receiving FA) Calculate Covered Lives	Plan Selection with FA by FPL: FA and FPL1					
104			Plan Selection with FA by FPL: FA and FPL2					
105			Plan Selection with FA by FPL: FA and FPL3					
106			Plan Selection with FA by FPL: FA and FPL4					
107			Plan Selection with FA by FPL: FA and FPL5					
108			Plan Selection with FA by FPL: FA and FPL6					
109			Plan Selection with FA by FPL: FA and FPL7					
110			Plan Selection with FA by FPL: FA and FPL8					
111	Plan Selection with FA by FPL: FA and FPL9 (unknown)							
119	Number of Individuals Selecting a QHP by Issuer Calculate Covered Lives	Number of Individuals Selecting a QHP by Issuer Calculate Covered Lives	Plan Selection by Issuer: Issuer 1					
120			Plan Selection by Issuer: Issuer 2					
121			Plan Selection by Issuer: Issuer 3					
122			Plan Selection by Issuer: Issuer 4					
123			Plan Selection by Issuer: Issuer 5					
124			Plan Selection by Issuer: Issuer 6					
125			Plan Selection by Issuer: Issuer 7					
126			Plan Selection by Issuer: Issuer 8					
127			Plan Selection by Issuer: Issuer 9					
128			Plan Selection by Issuer: Issuer 10					
129			Plan Selection by Issuer: Issuer 11					
130			Plan Selection by Issuer: Issuer 12					
131			Plan Selection by Issuer: Issuer 13					
132			Plan Selection by Issuer: Issuer 14					
133			Plan Selection by Issuer: Issuer 15					
134			Name Issuer 1		Enter Text Here	Enter Text Here	Enter Text Here	
135			Name Issuer 2		Enter Text Here	Enter Text Here	Enter Text Here	
136			Name Issuer 3		Enter Text Here	Enter Text Here	Enter Text Here	
137			Name Issuer 4		Enter Text Here	Enter Text Here	Enter Text Here	
138			Name Issuer 5		Enter Text Here	Enter Text Here	Enter Text Here	
139			Name Issuer 6		Enter Text Here	Enter Text Here	Enter Text Here	
140			Name Issuer 7		Enter Text Here	Enter Text Here	Enter Text Here	
141			Name Issuer 8		Enter Text Here	Enter Text Here	Enter Text Here	
142			Name Issuer 9		Enter Text Here	Enter Text Here	Enter Text Here	
143			Name Issuer 10		Enter Text Here	Enter Text Here	Enter Text Here	
144	Name Issuer 11		Enter Text Here	Enter Text Here	Enter Text Here			
145	Name Issuer 12		Enter Text Here	Enter Text Here	Enter Text Here			
146	Name Issuer 13		Enter Text Here	Enter Text Here	Enter Text Here			
147	Name Issuer 14		Enter Text Here	Enter Text Here	Enter Text Here			
148	Name Issuer 15		Enter Text Here	Enter Text Here	Enter Text Here			
149	Median Individual-Policy QHP Premium by Age Group- Calculate cumulative since November 15, 2014	Median Individual-Policy QHP Premium by Age Group- Calculate cumulative since November 15, 2014	Median Individ Age Level: AGE1<18					
150			Median Individ Age Level: AGE2 18-25					
151			Median Individ Age Level: AGE3 26-34					
152			Median Individ Age Level: AGE4 35-44					
153			Median Individ Age Level: AGE5 45-54					
154			Median Individ Age Level: AGE6 55-64					
155	Median Individ Age Level: AGE7 >=65							
156	Median Individual-Policy QHP Premium Before APTC (\$): (Only individuals who enroll with APTC) Calculate cumulative since November 15, 2014	Median Indiv Premium: Before APTC						
157	Median Individual-Policy QHP Premium After APTC Deducted (\$) (Only individuals who enroll with APTC) Calculate cumulative since November 15, 2014	Median individ Premium: After APTC						

Indicator Number	Category	Measure	Indicator for Cell	Special Enrollment Period (SEP): 2014 Coverage (Applies only to 11/15/2014-12/31/2014, NOT Including Stand-alone Dental Plans)	Enrollment: 2015 Coverage (Not Including Stand-alone Dental Plans)	Enrollment: Stand-alone Dental Plans: 2015 Coverage
158		Average Per Person Full Premium for Plans with APTC Individuals Before APTC Applied. [Average Individual Premium Before APTC Deducted (\$)] All QHPs	Ave indiv premium all QHPs:Before APTC			
159		Average Per Person Full premium for Plans With APTC Individuals, After APTC Applied. Average Individual Premium After APTC Deducted (\$) All QHPs	Ave indiv premium all QHPs:After APTC			
160		Average Per Person Full Premium for Plans With APTC Individuals, Before APTC Applied. [Average Individual Premium Before APTC Deducted (\$)]	Ave Indiv Premium: Before APTC			
161		Average Per Person Premium for Plans With APTC Individuals, After APTC Applied. Average Individual Premium After APTC Deducted (\$)	Ave Indiv premium: After APTC			
162		Average Per Person Full Premium Before APTC Deducted by Metal Level (\$) Calculate cumulative since November 15, 2014.	Ave Individ Premium: APTC: Catastrophic			
163			Ave Individ Premium: APTC: Bronze			
164			Ave Individ Premium: APTC: Silver			
165			Ave Individ Premium: APTC: Gold			
166			Ave Individ Premium: APTC: Platinum			
167		Average Per Person Premium Without APTC by Metal Level (\$) Calculate cumulative since November 15, 2014.	Ave Individ Premium: No APTC:Catastrophic			
168			Ave Individ Premium: No APTC:Bronze			
169			Ave Individ Premium: No APTC:Silver			
170			Ave Individ Premium: No APTC:Gold			
171			Ave Individ Premium: No APTC:Platinum			
172		Average APTC Payment Selected, by tax household (\$) See glossary. Calculate cumulative since November 15, 2014.	Ave APTC Selected			
173		Number of Enrollments Using Assistance (at Application Level)	Enrollment Using Assistance: Yes			
174			Enrollment Using Assistance: No			
175	Effectuated Enrollment Individuals who have submitted an application (or had application submitted on their behalf), were deemed QHP Eligible and selected a QHP, the SBM has approved the QHP selection, and the first premium payment was received (either directly by the SBM or by the issuer).	Number of Individuals with Effectuated Enrollment in QHP: TOTAL Calculate Covered Lives	Individ Effect:Total			
176		Number of Individuals with Effectuated Enrollment in QHP by Language Preference Individual Level	Effect by Language Preference: No Language Preference			
177			Effect by Language Preference: Spanish			
178			Effect by Language Preference: Other Language			
179		Effectuated Enrollment by New Enrollees: Number of Individuals with Effectuated Enrollment in a 2015 QHP who Were NOT Enrolled in a 2014 Marketplace Plan (New Enrollment) Calculate Covered Lives	Effectuated Enrollment New Enrollee: Total			
180			Effectuated Enrollment New Enrollee by FA: Effect New without FA			
181			Effectuated Enrollment New Enrollee by FA: Effect New with FA			
182		Effectuated Enrollment by Re-enrollees: Number of individuals with Effectuated Enrollment in a 2015 QHP who were Enrolled in a 2014 Marketplace Plan (Re-enrollments) Calculate Covered Lives	Effectuated Enrollment for Re-enrollees: Total			
183			Effectuated Enrollment for Re-enrollees by FA: Effect new without FA			
184			Effectuated Enrollment for Re-enrollees by FA: Effect new with FA			
185		Number of Individuals with Effectuated Enrollment in QHP by FA (no FA, APTC Only, and APTC + CSRs) Calculate Covered Lives	Effect without FA: Total			
186			Effect with FA: APTC Only			
187			Effect with FA: APTCs+CSRs			
188		Number of Individuals with Effectuated Enrollment in QHP by Gender Calculate Covered Lives	Effect by Gender: Male			
189			Effect by Gender: Female			
190		Number of Individuals with Effectuated Enrollment in QHP by Age Group Calculate Covered Lives	Effect by Age: AGE1<18			
191			Effect by Age: AGE2 18-25			
192			Effect by Age: AGE3 26-34			
193			Effect by Age: AGE4 35-44			
194			Effect by Age: AGE5 45-54			
195			Effect by Age: AGE6 55-64			
196			Effect by Age: AGE7 >=65			
197		Number of Individuals with Effectuated Enrollment in QHP by Gender and Age Group Calculate Covered Lives	Effect by Gender: Male: AGE1<18			
198			Effect by Gender and Age: Male: AGE2 18-25			
199			Effect by Gender and Age: Male: AGE3 26-34			
200			Effect by Gender and Age: Male: AGE4 35-44			
201			Effect by Gender and Age: Male: AGE5 45-54			
202	Effect by Gender and Age: Male: AGE6 55-64					
203	Effect by Gender and Age: Male: AGE7 >=65					
204	Effect by Gender and Age: Female: AGE1<18					
205	Effect by Gender and Age: Female: AGE2 18-25					
206	Effect by Gender and Age: Female: AGE3 26-34					
207	Effect by Gender and Age: Female: AGE4 35-44					
208	Effect by Gender and Age: Female: AGE5 45-54					
209	Effect by Gender and Age: Female: AGE6 55-64					
210	Effect by Gender and Age: Female: AGE7 >=65					
211	Number of Individuals with Effectuated Enrollment in QHP by Metal Level Calculate Covered Lives	Effect by Level: Catastrophic				
212		Effect by Level: Bronze				
213		Effect by Level: Silver				
214		Effect by Level: Gold				
215		Effect by Level: Platinum				
216	Number of Individuals with Effectuated Enrollment in a QHP by Age and Metal Level Calculate Covered Lives	Effect by Age and Metal Level: AGE1<18: Catastrophic				
217		Effect by Age and Metal Level: AGE1<18: Bronze				
218		Effect by Age and Metal Level: AGE1<18: Silver				
219		Effect by Age and Metal Level: AGE1<18: Gold				
220		Effect by Age and Metal Level: AGE1<18: Platinum				
221		Effect by Age and Metal Level: AGE2 18-25: Catastrophic				
222		Effect by Age and Metal Level: AGE2 18-25: Bronze				
223		Effect by Age and Metal Level: AGE2 18-25: Silver				
224		Effect by Age and Metal Level: AGE2 18-25: Gold				
225		Effect by Age and Metal Level: AGE2 18-25: Platinum				
226		Effect by Age and Metal Level: AGE3 26-34: Catastrophic				
227		Effect by Age and Metal Level: AGE3 26-34: Bronze				
228		Effect by Age and Metal Level: AGE3 26-34: Silver				
229		Effect by Age and Metal Level: AGE3 26-34: Gold				
230		Effect by Age and Metal Level: AGE3 26-34: Platinum				
231		Effect by Age and Metal Level: AGE4 35-44: Catastrophic				
232		Effect by Age and Metal Level: AGE4 35-44: Bronze				
233		Effect by Age and Metal Level: AGE4 35-44: Silver				
234		Effect by Age and Metal Level: AGE4 35-44: Gold				

Indicator Number	Category	Measure	Indicator for Cell	Special Enrollment Period (SEP): 2014 Coverage (Applies only to 11/15/2014-12/31/2014, NOT Including Stand-alone Dental Plans)	Enrollment: 2015 Coverage (Not Including Stand-alone Dental Plans)	Enrollment: Stand-alone Dental Plans: 2015 Coverage
235			Effect by Age and Metal Level: AGE4 35-44: Platinum			
236			Effect by Age and Metal Level: AGE5 45-54: Catastrophic			
237			Effect by Age and Metal Level: AGE5 45-54: Bronze			
238			Effect by Age and Metal Level: AGE5 45-54: Silver			
239			Effect by Age and Metal Level: AGE5 45-54: Gold			
240			Effect by Age and Metal Level: AGE5 45-54: Platinum			
241			Effect by Age and Metal Level: AGE6 55-64: Catastrophic			
242			Effect by Age and Metal Level: AGE6 55-64: Bronze			
243			Effect by Age and Metal Level: AGE6 55-64: Silver			
244			Effect by Age and Metal Level: AGE6 55-64: Gold			
245			Effect by Age and Metal Level: AGE6 55-64: Platinum			
246			Effect by Age and Metal Level: AGE7 >=65: Catastrophic			
247			Effect by Age and Metal Level: AGE7 >=65: Bronze			
248			Effect by Age and Metal Level: AGE7 >=65: Silver			
249			Effect by Age and Metal Level: AGE7 >=65: Gold			
250			Effect by Age and Metal Level: AGE7 >=65: Platinum			
251			Effect by FA and Metal Level: Enrolled without FA: Catastrophic			
252			Effect by FA and Metal Level: Enrolled without FA: Bronze			
253			Effect by FA and Metal Level: Enrolled without FA: Silver			
254			Effect by FA and Metal Level: Enrolled without FA: Gold			
255			Effect by FA and Metal Level: Enrolled without FA: Platinum			
256			Effect by FA and Metal Level: Enrolled with FA: Catastrophic			
257			Effect by FA and Metal Level: Enrolled with FA: Bronze			
258			Effect by FA and Metal Level: Enrolled with FA: Silver			
259			Effect by FA and Metal Level: Enrolled with FA: Gold			
260			Effect by FA and Metal Level: Enrolled with FA: Platinum			
261			Effect with FA by FPL: FA and FPL1			
262			Effect with FA by FPL: FA and FPL2			
263			Effect with FA and FPL: FA and FPL3			
264			Effect with FA by FPL: FA and FPL4			
265			Effect with FA by FPL: FA and FPL5			
266			Effect with FA by FPL: FA and FPL6			
267			Effect with FA by FPL: FA and FPL7			
268			Effect with FA by FPL: FA and FPL8			
269			Effect with FA and FPL: FA and FPL9 (unknown)			
270	SHOP	Number of Employers who completed an application through SHOP	SHOP: Employers Appl: Total			
271		Number of SHOP Participating Employers (Effectuated Enrollment) Calculate cumulative since January 1, 2015.	SHOP: Employers Effect: Total			
272		Average Number of SHOP Employees per Participating Employer (Effectuated Enrollment) Calculate cumulative since January 1, 2015.	SHOP: Ave Enrolled Employees in Empl Gr			
273		Average Employer Premium Contribution Percent (Effectuated Enrollment) Calculate cumulative since January 1, 2015.	SHOP: Ave Percent Empl Contrib			
274		Number of Employees (covered lives, including dependents) Enrolled through SHOP (Effectuated Enrollment) Calculate cumulative since January 1, 2015.	SHOP: Enrolled Employees: Total			
275	Operations	Call Center Volume	Call Ctr: Total Calls			
276		Call Center Wait Time (in seconds)	Call Ctr: Ave Wait Time			
277		Call Center Abandonment Rate	Call Ctr: Aband Rate			
278		Average Call Handle Time (in seconds)	Call Ctr: Ave Handle Time			
279		Number of Mail/Written Correspondence Received	Written+Fax: Total			
280		Number of Website Visits	Website: Visits: Total			
281		Number of Website Unique Visitors	Website: Visitors: Total			
282		Number of Website Page Views	Website: Views: Total			
283		Number of Trained/Certified Assistors Calculate cumulative since November, 2014.	Train+Cert Assist: Total			
284		Website Time Offline (in seconds)	Website Offline: Total Time			

Glossary of Data Elements for Weekly and Other Marketplace Metrics Reported by States		
Category	Measure/Indicator	Definition / Clarification
Data Coding	Applies to any numeric indicator	If no data match the restrictions of a particular data element, enter "-777". If the data are believed to be reportable in the future, but are not reportable at the time that the data are submitted, enter "-888" to indicate the data are not available. If it is not possible to report a data element because of the way the marketplace is operated, enter "-999" to indicate the data elements are not applicable.
Initial Applications-- Application level Individual Market Only	Initiated Electronic Applications	An initiated application submitted via electronic channels includes applications where data is provided through the web portal by the applicant or inputted into the system electronically by call center staff or other assisters. The applicant must have a registered account, started the application, and provided enough information to hit "save."
	Number of Applications Completed, by Electronic and Paper	A completed application is defined as an application that was submitted during the reference period with sufficient information to begin processing eligibility for any type of coverage (QHP or Medicaid/CHIP). For electronic applications, these applications where the applicant has 'hit submit' and the application is accepted for further processing.
Determined Eligible QHP / Assessed Eligible Medicaid/CHIP Individual Market Only	Eligible for enrollment into a QHP	An individual is QHP Eligible if s/he submitted a completed application (or had a complete application submitted on their behalf) and the SBM has deemed the individual eligible for QHP enrollment (either provisional or final eligibility) during the reference period. These individuals may or may not have enrolled in coverage (meaning picked a QHP or paid the first premium) by the end of the reference period. • Individuals deemed eligible for Medicaid or CHIP are not considered QHP Eligible.
	Eligible without Financial Assistance (FA)	Unit/population of interest includes all of the following: • Individuals deemed ineligible for QHP coverage with financial assistance (APTC and/or CSR) • Individuals that requested their application not be considered for financial assistance • Individuals deemed eligible for QHP coverage with financial assistance (APTC and/or CSR) but did not select financial assistance. Individuals that do not fall into "Total Eligible with FA: APTC only" or "Total Eligible with FA: APTCs+CSRs" should be counted in "Eligible without FA"
	Eligible with FA: APTC Only: Total	Number of individuals determined eligible for enrollment to a QHP with <i>only</i> an APTC
	Eligible with APTCs + CSRs: Total	Number of individuals determined eligible for enrollment to a QHP with <i>both</i> an APTC and CSR
	Eligib assessment: Medicaid	
	Eligib assessment: CHIP	For SBMs with integrated eligibility systems: report the number of individuals assessed for Medicaid or CHIP eligibility based on Medicaid MAGI during the reference period. This data element includes all Medicaid MAGI assessments, whether the individuals are found to be eligible or ineligible.
	Eligib assessment: Medicaid + CHIP	If a state does not break out Medicaid or CHIP, please provide the number of individuals determined eligible for Medicaid or CHIP in this cell.
	Number of Individuals enrolled in QHP	Number of individuals that received effective enrollment during the reference period. These individuals were deemed QHP eligible, selected a QHP and a financial assistance amount (if eligible), and the SBM approved the QHP selection during the reference period.
	Number of individuals Selecting a 2015 QHP Who Were Not Enrolled in a 2014 Marketplace Plan (New Enrollment)	Units/population of interest: Individuals enrolled in any 2015 Marketplace QHP who were not enrolled in ANY Marketplace QHP at any time during the 2014 coverage year. For Stand Alone Dental Plans (SADP): Individuals enrolled in any 2015 Marketplace SADP who were not enrolled in any Marketplace SADP at any time in 2014. Calculate SADP new enrollments and report separately in SADP column.

Enrollment Activity
Individual Market Only

Number of individuals Selecting a 2015 QHP Who Were Enrolled in a 2014 Marketplace Plan (Re-Enrollments)	Units/population of interest: Individuals enrolled in any 2015 Marketplace QHP who were enrolled in a Marketplace QHP at some point during the 2014 coverage year (regardless of current status of the 2014 policy). For Stand Alone Dental Plans (SADP): Individuals enrolled in any 2015 Marketplace SADP who were enrolled in a Marketplace SADP at some point in 2014 (regardless of current status of 2014 policy). Calculate SADP re-enrollments and report separately in SADP column.
Enrolled without FA	Total Number of Individuals enrolled in a QHP without Financial Assistance (FA) Individuals that do not fall into "Total Enrolled with FA: APTC only" or "Total Enrolled with FA: APTCs+CSRs" should be counted in "Enrolled without FA"
Enrolled with FA	Total Number of Individuals Submitting Enrollment in a QHP with APTC only OR with <i>both</i> APTC and CSRs
Total Enrolled with FA: APTC only	Total Number of Individuals Submitting Enrollment in a QHP with APTC <i>only</i>
Total Enrolled with FA: APTCs+CSRs	Total Number of Individuals Submitting Enrollment in a QHP with <i>both</i> APTC and CSR
Median Individual-Policy QHP Premium - By Age Group	Unit/population of interest: Individuals enrolled in Individual-only QHPs (excludes individuals enrolled in multi-member/family policies). Full Premium Before Any Financial Assistance Applied. (All Individual-only QHPs; Include those with and Financial Assistance.)
Median Individual Premium Before APTC deducted (\$)	Unit/population of interest: Individuals enrolled in Individual-only QHPs (excludes individuals enrolled in multi-member/family policies) and who enroll with APTC. Calculate based on full premium amount, before the APTC is deducted.
Median Individual Premium After APTC Deducted (\$)	Unit/population of interest: Individuals enrolled in Individual-only QHPs (excludes individuals enrolled in multi-member/family policies) and who enroll with APTC. Calculate based on the balance of the premium owed, after the APTC is deducted. (Full premium minus APTC.)
Average Per Person Full Premium for Plans with APTC Individuals Before APTC Applied. [Average Individual Premium Before APTC Deducted (\$)] All QHPs	Unit/population of interest: All enrolled individuals (= # in Indicator 17). Sum the full premiums of all QHPs <i>before</i> the APTC is deducted. Then divide by the number of individuals covered by all QHPs (Indicator 17).
Average Per Person Full premium for Plans With APTC Individuals, After APTC Applied. Average Individual Premium After APTC Deducted (\$) All QHPs	Unit/population of interest: All enrolled individuals (= # in Indicator 17). Sum the premiums for all QHP enrollees; <i>after</i> the APTC is deducted. Then divide by the number of individuals covered by all QHPs (Indicator 17).
Average Per Person Full Premium for Plans With APTC Individuals, Before APTC Applied. [Average Individual Premium Before APTC Deducted (\$)]	Unit/population of interest: Individuals who enroll with an APTC (includes enrollees in multi-enrollee policies). Sum the premiums of QHPs which are associated with an APTC <i>before</i> the APTC is deducted. Then divide by the number of individuals covered by those plans associated with an APTC (sum of indicators 28 and 29).
Average Per Person Premium for Plans With APTC Individuals, After APTC Applied. Average Individual Premium After APTC Deducted (\$)	Unit/population of interest: Individuals who enroll with an APTC (includes enrollees multi-enrollee policies). Sum the premiums of QHPs which are associated with an APTC <i>after</i> the APTC is deducted. Then divide by the number of individuals covered by those plans associated with an APTC (sum of indicators 28 and 29).
Average APTC payment selected, by tax household.	Reflects the average monthly APTC that the tax household selects. The population included are tax households with individuals that received effective enrollment during the reference period, and qualified for APTC, including those who qualify for an APTC but select \$0 .

<p>Enrollment Using Assistance (Yes/no)</p>	<p>Please provide data at the application level; if the State can capture only at the individual level, please indicate this. This metric is intended to capture all recorded types of assistance either with submission of application for QHP enrollment or with selection of a QHP. Describes whether individuals received assistance with either submission of application for QHP enrollment or with selection of a QHP. **This does not include insurance agents and brokers.**</p> <p>1) Any (i.e. at least one type of the assistors list below) 2) None (i.e., no recorded assistance)</p> <p>CCIIO recognizes that some assistance is provided but not recorded, and therefore cannot be reported. Also, not all states have each of these types of assistance. We are not distinguishing between certified and non-certified assistors; they are considered equivalent for the purposes of this layout. Individuals may have more than one type of assistance.</p> <ul style="list-style-type: none"> - Navigator - In-Person Assistor (IPA) - Certified Application Counselor (CAC) - Authorized Representative - Other (includes Community Health Center and other types of assistance not categorized above) <p>Describes whether individuals received assistance with either submission of application for QHP enrollment or with selection of a QHP.</p>
<p>Special Enrollment Period: 2014 Coverage</p>	<p>Units/population of interest: Total number of Individuals who enrolled in 2014 QHP after Nov. 15, 2014 for coverage ending on December 31, 2014. Do not include enrollments in stand-alone dental plans. Record Using Special Enrollment Period Column.</p>
<p>Stand-alone Dental Plans: 2015 Coverage</p>	<p>Units/population of interest: Total number of Individuals who enrolled in a 2015 Stand-Alone Dental Plan. Record Using Stand-Alone Dental Plan column.</p>
<p>Number of Individuals with Effectuated Enrollment in QHP</p>	<p>Effectuated enrollment occurs when an individual has submitted an application (or had application submitted on their behalf), was deemed QHP Eligible and selected a QHP, the SBM has approved the QHP selection, and the first premium payment was received (either directly by the SBM or by the issuer).</p>
<p>Number of Individuals with Effectuated Enrollment with APTCs only, and APTCs and CSRs:</p>	<p>Please exclude individuals eligible for APTC but where no APTC is not applied to the premium. Also, please exclude individuals eligible for CSR, but is not in a plan with CSR.</p>

New Columns

Effectuated Enrollment
Individual Market Only

	Number of Employers who completed an application through SHOP	Unit of interest: Report the number of employers who completed an application for a SHOP QHP for coverage beginning in the 2015 coverage year (January 1, 2015 and thereafter).
	Number of SHOP Participating Employers (Effectuated Enrollment) <u>Calculate cumulative since January 1, 2015.</u>	Unit of interest: Report the number of employers with at least one employee who has effectuated enrollment in a SHOP QHP beginning on January 1, 2015.
	Average Number of SHOP Employees per Participating Employer (Effectuated Enrollment) <u>Calculate cumulative since January 1, 2015.</u>	Calculate the average number of employees who have effectuated coverage in a SHOP QHP for 2015 coverage out of the total employees on the employee rosters submitted by employers.
	Average Employer Premium Contribution Percent (Effectuated Enrollment) <u>Calculate cumulative since January 1, 2015.</u>	Calculate the average percent that all employers participating in SHOP in 2015 are contributing to their employees' premiums.
	Number of Employees (covered lives, including dependents) Enrolled through SHOP (Effectuated Enrollment) <u>Calculate cumulative number of employees that effectuate coverage beginning on January 1, 2015.</u>	Unit of interest: Total number of covered lives (including employees and their dependents) across all SHOP QHPs who have effectuated coverage for the 2015 coverage year.
Operations	# Enrollment Assisters completing Marketplace training	Number of enrollment assisters who completed Marketplace training during the reference period.
	# Enrollment Assisters registered and certified	Number of enrollment assisters who were registered and certified during the reference period. Please exclude agents and brokers.
	Total call volume	Number of calls that entered the integrated voice response system during the reference period.
	Average call wait time (Average speed of answer- ASA)	Average length of time for which a call is connected to automated call distribution system before answered (average time a caller waits in queue before being connected to an agent) during the reference period. Average is for the reporting time period. Reported in timestamp format (hh:mm:ss).
	Average call handle time (AHT)	Average amount of time an agent spends on call. Average is for the reporting time period. Quarterly reporting is a Quarterly average. Reported in timestamp format (mm:ss).
	Abandonment Rate	Count of total number of calls abandoned (numerator)in the queue (Calls that terminate or drop before reaching an agent or being answered) divided by total call volume (denominator). Carry answer to 2 decimal points.
	Total website page views	Count of page views across the whole Marketplace site during the reference period.
	Total website visits	Count of unique visits to the marketplace website during the reference period.
	Total website unique visitors	Count of unique visitors to the marketplace website during the reference period.
	# of registered users	Count of secure accounts established (registered users) during the reference period.
	Number of Mail/Written Correspondence Received	Number of letters or written inquiries received via mail or fax; please exclude correspondence <u>not</u> related to Marketplace functions, health insurance, or coverage for any insurance affordability program.
Website Time Offline	Please include only unplanned time offline.	
FPL	<p>FPL (Federal Poverty Level) is calculated based on the projected, total, annual modified adjusted gross income (MAGI) for the taxpayer's family. FPL is based on the same MAGI as the SBM uses to determine eligibility of APTC.</p> <ul style="list-style-type: none"> - MAGI includes the sum of the income of the taxpayer and the lawfully present individuals for whom the taxpayer properly claims a deduction for personal exemption for the taxable year. For additional information see Health Insurance Premium Tax - To report FPL, MAGI should be compared to the HHS poverty guidelines (current levels found here http://aspe.hhs.gov/poverty/13poverty.cfm), which is adjusted for the size of the family and state of residence. - For the purposes of the SBM Supplemental Data Submission, MAGI may or may not be verified. States should report FPL based on incomes as of the most recent eligibility determination. - For individuals that do not request an eligibility determination for financial assistance, MAGI may not be available. If MAGI is unavailable, populate the cell for the number of people with unknown FPL and enter -888 for each FPL category to signal data unavailable. Entering zero would signify no individuals at that income level. <p>The breakouts of FPL based on annual household income are:</p>	

Data Breakouts		<ul style="list-style-type: none"> 1) <100% 2) ≥100 - ≤138% 3) >138 - ≤150% 4) >150 - ≤200% 5) >200 - ≤250% 6) >250 - ≤300% 7) >300- ≤400% 8) > 400% 9) Unkown
	Age	<p>Age of the individual as of the most recent effective enrollment date.</p> <ul style="list-style-type: none"> 1. < 18 years 2) 18-25 3) 26-34 4) 35-44 5) 45-54 6) 55-64 7) ≥65 8) Unknown