

RES and EEI Grants - REAP Interim Rule
7 CFR Part 4280-B

| Section of Interim 4280.XXX | Title | Form No. (if Any) | Est. No. of Respondents | Reports Filed Annually | Total Annual Responses (D) x (E) | Est. No. of Man hours Per Response | Est. Total Man-hours (F) x (G) | Wage Class | Total Cost (H) x (I) |
|-----------------------------|--|--------------------------------------|-------------------------|------------------------|----------------------------------|------------------------------------|--------------------------------|------------|----------------------|
| (A) | (B) | (C) | (D) | (E) | (F) | (G) | (H) | (I) | (J) |
| | REPORTING REQUIREMENTS - NO FORMS | | | | | | | | |
| 105 | Appeals | written | 5 | 1 | 5 | 12 | 60 | 60 | \$3,600 |
| FR Notice | SAM number for application | written | 3,619 | 1 | 3,619 | 1 | 3,619 | 60 | \$217,140 |
| 116(b)(1)(vi) | DUNS | written | 3,619 | 1 | 3,619 | 1 | 3,619 | 60 | \$217,140 |
| | Full Applications | | | | | | | | |
| 116(b)(1)(ii)(C) | Cerification for contracts, grants, and loans* 7 CFR 3018.110, grant exceeds \$100,000 | written | 366 | 1 | 366 | 0.08 | 29 | 60 | \$1,757 |
| 116(b)(1)(ii)(H) | Association or Relationship with Rural Development Employees | written | 366 | 1 | 366 | 0.25 | 92 | 60 | \$5,490 |
| 116(b)(2), (3), and (5) | Application Narrative - RES | written | 285 | 1 | 285 | 40 | 11,400 | 60 | \$684,000 |
| 116(b)(2), (3), and (5) | Application Narrative - EEI | written | 81 | 1 | 81 | 40 | 3,240 | 60 | \$194,400 |
| 116(b)(4) | Financial information (past, present and future) | Balance sheets and income statements | 366 | 1 | 366 | 20 | 7,320 | 60 | \$439,200 |
| 116(b)(6) | Self-scoring documentation | written | 183 | 1 | 183 | 1.5 | 275 | 60 | \$16,470 |
| 116(b)(7)(ii)(A) | Technical Reports - RES | Appendix B, C, or D | 285 | 1 | 285 | 25 | 7,125 | 60 | \$427,500 |
| 116(b)(7)(ii)(A) | Technical Reports - EEI | Appendix B | 81 | 1 | 81 | 25 | 2,025 | 60 | \$121,500 |
| 116(b)(7)(ii)(B) | Energy Audit - EEI (>\$50,000) | written | 81 | 1 | 81 | 16 | 1,296 | 60 | \$77,760 |
| 116(b)(8) | Feasibility study - RES | | 285 | 1 | 285 | 40 | 11,400 | 60 | \$684,000 |
| 118 | Insurance (flood, business interruption) | written | 73 | 1 | 73 | 1.5 | 110 | 60 | \$6,588 |
| | Simplified Applications | | | | | | | | |
| 116(b)(1)(ii)(C) | Cerification for contracts, grants, and loans* 7 CFR 3018.110, grant exceeds \$100,000 | written | 3,253 | 1 | 3,253 | 0.08 | 260 | 60 | \$15,614 |
| 116(b)(1)(ii)(H) | Association or Relationship with Rural Development Employees | written | 3,253 | 1 | 3,253 | 0.25 | 813 | 60 | \$48,795 |
| 116(b)(2) through (5) | Application Narrative - RES | written | 1,297 | 1 | 1,297 | 40 | 51,880 | 60 | \$3,112,800 |
| 116(b)(2) through (5) | Application Narrative - EEI | written | 1,956 | 1 | 1,956 | 40 | 78,240 | 60 | \$4,694,400 |
| 116(b)(6) | Self-scoring documentation | written | 1,627 | 1 | 1,627 | 1.5 | 2,441 | 60 | \$146,430 |
| 116(b)(7)(i)(A) | Technical Reports - RES | Appendix A, C, or D | 1,297 | 1 | 1,297 | 25 | 32,425 | 60 | \$1,945,500 |
| 116(b)(7)(i)(A) | Technical Reports - EEI | Appendix A | 1,956 | 1 | 1,956 | 25 | 48,900 | 60 | \$2,934,000 |
| 116(b)(7)(i)(B) | Energy Audit - EEI (>\$50,000) | written | 587 | 1 | 587 | 16 | 9,392 | 60 | \$563,520 |
| 118 | Insurance (flood, business interruption) | written | 3,253 | 1 | 3,253 | 0.5 | 1,627 | 60 | \$97,590 |
| | Grantee Award Requirements | | | | | | | | |
| 116(b)(5) | Evidence of matching funds | written | 1,898 | 1 | 1,898 | 1 | 1,898 | 60 | \$113,880 |
| 121 (Dept Reg) | Programmatic changes | written | 19 | 1 | 19 | 0.5 | 10 | 60 | \$570 |
| 121 (1951(e)) | Transfers | written | 38 | 1 | 38 | 0.5 | 19 | 60 | \$1,140 |
| 122 (1951(e)) | Disposition instructions for acquired property | written | 19 | 1 | 19 | 0.5 | 10 | 60 | \$570 |
| 126(b) | Power purchase agreement | written | 95 | 1 | 95 | 1.5 | 143 | 60 | \$8,550 |
| FR Notice | Maintenance for SAM reporting | written | 1,898 | 1 | 1,898 | 1 | 1,898 | 60 | \$113,880 |
| Grant agreement | Semiannual Project Performance Report | written | 1,824 | 2 | 3,648 | 1 | 3,648 | 60 | \$218,880 |
| Grant agreement | Final Project Performance Report - RES | written | 533 | 1 | 533 | 2 | 1,066 | 60 | \$63,960 |
| Grant agreement | Final Project Performance Report - EEI | written | 1,365 | 1 | 1,365 | 1 | 1,365 | 60 | \$81,900 |
| Grant agreement | Outcome Project Performance Reports - RES | written | 533 | 1 | 533 | 2 | 1,066 | 60 | \$63,960 |
| Grant agreement | Outcome Project Performance Reports - EEI | written | 1,365 | 1 | 1,365 | 1 | 1,365 | 60 | \$81,900 |

RES and EEI Grants - REAP Interim Rule
7 CFR Part 4280-B

| REPORTING REQUIREMENTS - FORMS APPROVED WITH THIS DOCKET | | | | | | | | | |
|--|---|-----------|-------|---|---------|------|---------|----|--------------|
| 120(b) | Rural Business Cooperative Service Grant Agreement | RD 4280-2 | 1,898 | 1 | 1,898 | 1 | 1,898 | 60 | \$113,880 |
| 116(b)(1)(ii)(A) | Cert. Regarding Drug-Free Workplace Req. (Grants) Alt I - For Grantees Other Than Individuals | AD-1049 | 3,619 | 1 | 3,619 | 0.25 | 905 | 60 | \$54,285 |
| 116(b)(1)(ii)(B) | Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Covered Transactions | AD-1048 | 2,431 | 1 | 2,431 | 0.25 | 608 | 60 | \$36,465 |
| 116(b)(1)(ii)(E) | Certification Regarding Debarment, Suspension & Other Resp. Matters-Primary Covered Trans. | AD-1047 | 3,619 | 1 | 3,619 | 0.25 | 905 | 60 | \$54,285 |
| Average per year | | | | | 51,152 | | 294,388 | | 17,663,299 |
| Total over the three years | | | | | 153,457 | | 883,165 | | \$52,989,898 |

| REPORTING REQUIREMENTS - FORMS APPROVED UNDER OTHER OMB NUMBERS | | | | | | | | | |
|---|---|---------------------|-------|---|-------|------|--------|---|-----|
| 116(b)(1)(i)(A) | Application for Federal Assistance | SF 424 (4040-0004) | 3,619 | 1 | 3,619 | 1 | 3,619 | 0 | \$0 |
| 116(b)(1)(i)(B) | Budget Information - Construction Programs | SF 424C (4040-0008) | 3,619 | 1 | 3,619 | 3 | 10,857 | 0 | \$0 |
| 116(b)(1)(i)(C) | Assurances - Construction Programs | SF 424D (4040-0009) | 3,619 | 1 | 3,619 | 0.25 | 905 | 0 | \$0 |
| 116(b)(1)(i)(D) | Environmental Analysis | RD 1940-20 | 3,619 | 1 | 3,619 | 6 | 21,714 | 0 | \$0 |
| 116(b)(1)(ii)(D) | Disclosure of Lobbying Activities | SF LLL (0348-0046) | 74 | 1 | 74 | 0.16 | 12 | 0 | \$0 |
| 116(b)(1)(ii)(F) | Equal Opportunity Agreement | RD 400-1 | 3,619 | 1 | 3,619 | 0.16 | 579 | 0 | \$0 |
| 116(b)(1)(ii)(G) | Assurance Agreement | RD 400-4 | 3,619 | 1 | 3,619 | 0.25 | 905 | 0 | \$0 |
| 119(e)(8) | Certificate of Contractor's Release | RD 1924-9 | 1,898 | 1 | 1,898 | 0.25 | 475 | 0 | \$0 |
| 119(e)(8) | Release by Claimants | RD 1924-10 | 1,898 | 1 | 1,898 | 0.5 | 949 | 0 | \$0 |
| 119(e) | Construction Contract | RD 1924-6 | 533 | 1 | 533 | 0.25 | 133 | 0 | \$0 |
| 119(e) (via RD1924-6) | Compliance Statement | RD-400-6 | 533 | 1 | 533 | 0.25 | 133 | 0 | \$0 |
| 120(a) | Letter of Intent | RD 1942-46 | 1,898 | 1 | 1,898 | 1.5 | 2,847 | 0 | \$0 |
| 120(a) | Request for Obligation of Funds | RD 1940-1 | 1,898 | 1 | 1,898 | 0.25 | 475 | 0 | \$0 |
| 121 | Outlay Report and Request for Reimbursement for Construction Programs | SF 271 (0348-0002) | 1,898 | 2 | 3,796 | 1 | 3,796 | 0 | \$0 |
| Grant agreement | Federal Financial Report | SF 425 (0348-0061) | 1,898 | 2 | 3,796 | 1.5 | 5,694 | 0 | \$0 |
| Grant agreement | Performance Progress Report | SF PPR (0970-0334) | 74 | 2 | 148 | 0.43 | 64 | 0 | \$0 |

Cost to the Federal Government - Grants

| Activity | Number | # of Hours | Rate | TOTAL |
|---|--------|------------|-------|---------------------|
| Application review | 3,619 | 20 | \$ 42 | \$ 3,039,960 |
| Technical merit review | 3,619 | 4 | \$ 42 | \$ 607,992 |
| Approve and obligate funds | 1,898 | 8 | \$ 42 | \$ 637,728 |
| Servicing actions other than monitoring | 36 | 4 | \$ 42 | \$ 6,048 |
| Planning and Performance | 533 | 16 | \$ 42 | \$ 358,176 |
| Reporting and Grant Disbursement | 1898 | 16 | \$ 42 | \$ 1,275,456 |
| Appeals | 5 | 16 | \$ 42 | \$ 3,360 |
| Administrative Costs | | | | \$ 697,200 |
| ANNUALIZED TOTAL | | | | \$ 6,625,920 |

The reviews are typically completed by GS-11 & 12 State Loan Specialists and GS-13 State Program Directors. The loans are typically approved by the State Director. The GS-8 or 9 State Loan Technician typically does the data entry in the computer system.

| Section of Interim Rule 4280.XXX | Title | Form No. (if Any) | Est. No. of Respondents | Reports Filed Annually | Total Annual Responses (D) x (E) | Est. No. of Man hours Per Response | Est. Total Man-hours (F) x (G) | Wage Class | Total Cost (H) x (I) |
|--|---|--------------------------------------|-------------------------|------------------------|----------------------------------|------------------------------------|--------------------------------|------------|----------------------|
| (A) | (B) | (C) | (D) | (E) | (F) | (G) | (H) | (I) | (J) |
| REPORTING REQUIREMENTS - NO FORMS | | | | | | | | | |
| REPORTING REQUIREMENTS - FORMS APPROVED WITH THIS DOCKET | | | | | | | | | |
| 105 | Appeals | written | 5 | 1 | 5 | 12 | 60 | \$60 | \$3,600 |
| | FR Notice SAM number applications | written | 15 | 1 | 15 | 1 | 15 | \$60 | \$900 |
| 116(b)(1)(v) | DUNS | written | 15 | 1 | 15 | 1 | 15 | \$60 | \$900 |
| 128(b)(1)(i)-(v) | Application Narrative - RES | written | 13 | 1 | 13 | 40 | 520 | \$60 | \$31,200 |
| 128(b)(1)(i)-(v) | Application Narrative - EEI | written | 2 | 1 | 2 | 40 | 80 | \$60 | \$4,800 |
| 128(b)(1)(v) | Technical Reports - RES | Appendix C | 13 | 1 | 13 | 25 | 325 | \$60 | \$19,500 |
| 128(b)(1)(v) | Technical Reports - EEI | Appendix A | 2 | 1 | 2 | 20 | 40 | \$60 | \$2,400 |
| 128(b)(2)(ii) | Personal credit reports | written | 820 | 1 | 820 | 2 | 1,640 | \$60 | \$98,400 |
| 128(b)(2)(iv) | Appraisals | written | 36 | 1 | 36 | 2 | 72 | \$60 | \$4,320 |
| 128(b)(2)(v) | Commercial credit reports | written | 15 | 1 | 15 | 2 | 30 | \$60 | \$1,800 |
| 128(b)(2)(v) | Personal and corporate financial statements of guarantors | written | 835 | 1 | 835 | 2 | 1,670 | \$60 | \$100,200 |
| 128(b)(2)(vii) | Financial information (past, present and future) | Balance sheets and income statements | 15 | 1 | 15 | 20 | 300 | \$60 | \$18,000 |
| 128(b)(1)(viii) | Feasibility study - RES | Appendix D | 13 | 1 | 13 | 20 | 260 | \$60 | \$15,600 |
| 128(b)(1)(ix) | Lender's analysis | written | 820 | 1 | 820 | 1.5 | 1,230 | \$60 | \$73,800 |
| 128(b)(1)(x) | Lender's certification | written | 820 | 1 | 820 | 0.5 | 410 | \$60 | \$24,600 |
| 128(b)(1)(xi) | Proposed or sample loan agreement | written | 820 | 1 | 820 | 2 | 1,640 | \$60 | \$98,400 |
| 149 | Outcome project performance | written | 15 | 1 | 15 | 2 | 30 | \$60 | \$1,800 |
| 137 | Repurchase from holder | written | 3 | 1 | 3 | 2.5 | 8 | \$60 | \$450 |
| 138 | Replacement of document | written | 1 | 1 | 1 | 2 | 2 | \$60 | \$120 |
| 125(b) | Interest rate change | written | 4 | 1 | 4 | 0.5 | 2 | \$60 | \$120 |
| 131(d) | Planning and performing development for lender | written | 15 | 1 | 15 | 12 | 180 | \$60 | \$10,800 |
| 144 | Transfer of lender | written | 11 | 1 | 11 | 1 | 11 | \$60 | \$660 |
| 145 | Changes in borrowers | written | 21 | 1 | 21 | 1 | 21 | \$60 | \$1,260 |
| 146 | Conditions precedent to issuance of guarantee | written | 559 | 1 | 559 | 2 | 1,118 | \$60 | \$67,080 |
| 147 | issuance of loan note guarantee | written | 559 | 1 | 559 | 1 | 559 | \$60 | \$33,540 |
| 148 | Refusal to issue loan note guarantee | written | 3 | 1 | 3 | 3 | 9 | \$60 | \$540 |
| 152(a) | Loan classification | written | 556 | 1 | 556 | 0.5 | 278 | \$60 | \$16,680 |
| 152(a) | Agency and lender conference | written | 36 | 1 | 36 | 1.5 | 54 | \$60 | \$3,240 |
| 152(a) | Quarterly financial reports | written | 559 | 4 | 2236 | 0.5 | 1,118 | \$60 | \$67,080 |
| 152(a) | Annual financial reports | written | 559 | 1 | 559 | 2 | 1,118 | \$60 | \$67,080 |
| FR Notice | Maintenance for SAM reporting | written | 15 | 1 | 15 | 1.5 | 23 | \$60 | \$1,350 |
| 152(a) | Borrower visits | written | 559 | 1 | 559 | 2 | 1,118 | \$60 | \$67,080 |
| 152(b) | Interest rate adjustments | written | 3 | 1 | 3 | 0.5 | 2 | \$60 | \$90 |
| 152(c) | Release of collateral | written | 6 | 1 | 6 | 2 | 12 | \$60 | \$720 |
| 152(d) | Subordination of lien position | written | 6 | 1 | 6 | 2 | 12 | \$60 | \$720 |
| 152(e) | Alterations of loan instruments | written | 3 | 1 | 3 | 1.5 | 5 | \$60 | \$270 |
| 152(f) | Loan transfer and assumption | written | 6 | 1 | 6 | 3.5 | 21 | \$60 | \$1,260 |
| 152(f)(1) | Credit reports | written | 9 | 1 | 9 | 0.5 | 5 | \$60 | \$270 |
| 152(f) | Appraisal reports | written | 16 | 1 | 16 | 1 | 16 | \$60 | \$960 |
| 153 | Substitution of lender | written | 3 | 1 | 3 | 1 | 3 | \$60 | \$180 |
| 154 | Default by borrower | written | 3 | 1 | 3 | 1 | 3 | \$60 | \$180 |
| 154 | Curative actions | written | 3 | 1 | 3 | 1.5 | 5 | \$60 | \$270 |
| 155 | Protective advances | written | 3 | 1 | 3 | 1 | 3 | \$60 | \$180 |
| 156 | Liquidation plan | written | 3 | 1 | 3 | 6 | 18 | \$60 | \$1,080 |
| 156 | Acceleration | written | 3 | 1 | 3 | 0.5 | 2 | \$60 | \$90 |
| 156 | Accounting and reports | written | 36 | 1 | 36 | 0.5 | 18 | \$60 | \$1,080 |
| 160 | Termination of guarantee | written | 56 | 1 | 56 | 0.5 | 28 | \$60 | \$1,680 |
| REPORTING REQUIREMENT - FORMS APPROVED UNDER THIS DOCKET | | | | | | | | | |
| 182(a)(2)(i) | Application for a loan guarantee (>\$600,000) | RD 4279-1 (0570-0017) | 110 | 1 | 110 | 4 | 440 | \$60 | \$26,400 |
| 128(c) | Application for a loan guarantee (≤\$600,000) | 4279-1A (0570-0017) | 710 | 1 | 710 | 3 | 2,130 | \$60 | \$127,800 |
| 143 | Conditional Commitment | RD 4279-3 (0570-0017) | 559 | 1 | 559 | 0.25 | 140 | \$60 | \$8,385 |
| 147(b) | Lender's Agreement | RD 4279-4 | 559 | 1 | 559 | 2 | 1,118 | \$60 | \$67,080 |
| 134 | Assignment Guaranteed Agreement | RD 4279-6 (0570-0017) | 1 | 1 | 1 | 0.33 | 0 | \$60 | \$20 |
| 142(a) | Unconditional Guarantee | RD 4279-14 | 559 | 1 | 559 | 0.5 | 280 | \$60 | \$16,770 |
| 3-year Average Totals | | | | | 12,068 | | 18,213 | | \$1,092,785 |
| Totals for 3 years | | | | | 36,204 | | 54,639 | | 3,278,354 |
| REPORTING REQUIREMENTS - FORMS APPROVED UNDER OTHER OMB NUMBERS | | | | | | | | | |
| 128(b)(2)(ii) | Environmental Analysis | RD 1940-20 | 15 | 1 | 15 | 1 | 15 | \$0 | \$0 |
| 147(a)(3) | Guaranteed Loan Closing Report | RD 1980-19 (0575-0137) | 559 | 1 | 559 | 1 | 559 | \$0 | \$0 |
| 152(f)(4) | Loan Note Guarantee Report of Loss | RD 449-30 (0575-0137) | 559 | 1 | 559 | 25 | 13,975 | \$0 | \$0 |

RES EEI Guaranteed Loans - REAP Interim Rule

| | | | | | | | | | |
|--------|--|---------------------------|-----|---|------|------|-----|-----|-----|
| 152(a) | Guaranteed Loan Status Report | RD 1980-41 (0570-0016) | 559 | 2 | 1118 | 0.33 | 369 | \$0 | \$0 |
| 156 | Lender's Guaranteed Loan Payment to the Agency | RD 1980-43 (0575-0137) | 559 | 1 | 559 | 0.5 | 280 | \$0 | \$0 |
| 154 | Guaranteed Loan Delinquent Status Borrower | RD 1980-44 (0570-0016) | 3 | 6 | 18 | 0.33 | 6 | \$0 | \$0 |

Cost to the Federal Government - Loans

| Activity | Number | # of Hours | Rate | TOTAL |
|---|--------|------------|-------|---------------------|
| Review application and lender's analysis and complete negotiations, site visit and environmental review | 835 | 20 | \$ 42 | \$ 701,400 |
| Review Technical Reports | 15 | 4 | \$ 42 | \$ 2,520 |
| Approve loan and obligate funds | 559 | 8 | \$ 42 | \$ 187,824 |
| Review documents and issue guarantee | 559 | 6 | \$ 42 | \$ 140,868 |
| Replacement of documents | 1 | 3 | \$ 42 | \$ 126 |
| Appeals | 5 | 16 | \$ 42 | \$ 3,360 |
| Financial statement review | 559 | 3 | \$ 42 | \$ 70,434 |
| Servicing actions | 559 | 4 | \$ 42 | \$ 93,912 |
| Borrower/lender visits | 559 | 3 | \$ 42 | \$ 70,434 |
| Outcome project performance | 15 | 1 | \$ 42 | \$ 630 |
| ANNUALIZED TOTAL | | | | \$ 1,271,508 |

The reviews are typically completed by GS-11 & 12 State Loan Specialists and GS-13 State Program Directors. The loans are typically approved by the State Director. The GS-8 or 9 State Loan Technician typically does the data entry in the computer system.

| Program | Number of respondents | Total Annual Responses | Est. Total Man-hours | Wage Class | Total Cost | Number of hours per response |
|--------------------------------------|-----------------------|------------------------|----------------------|------------|---------------------|---------------------------------------|
| RES-EEI Grant | 3619 | 51,152 | 294,388 | \$60 \$ | 17,663,299 | 5.76 |
| RES-EEI Loan | 820 | 12,068 | 18,213 | \$60 \$ | 1,092,785 | 1.51 |
| Total # of unique respondents | 3634 | 63,220 | 312,601 | | \$18,756,084 | 4.94 Average hour per response |