

Supporting Statement A

Maternal, Infant, and Early Childhood Home Visiting (MIECHV) Program Competitive Grant Final Report

OMB Control No. 0906-xxxx

Terms of Clearance: None

A. Justification

1. Circumstances Making the Collection of Information Necessary

On March 23, 2010, the President signed into law the Patient Protection and Affordable Care Act (the Act), Section 2951 of the Act amended Title V of the Social Security Act by adding a new section, 511, which authorized the creation of the Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) The legislative authority of this Act was extended by the Protecting Access to Medicare Act of 2014 (Pub. L.113–93). The Act responds to the diverse needs of children and families in communities at risk and provides an unprecedented opportunity for collaboration and partnership at the federal, state, and community levels to improve health and development outcomes for at risk children through evidence-based home visiting programs.

Under this program, competitive grant funding has been awarded since June 2011 for Competitive Development Grants and Competitive Expansion Grants. Competitive Development Grants were intended to support the efforts of states and jurisdictions with modest evidence-based home visiting programs to expand the depth and scope of these efforts, with the intent to develop the infrastructure and capacity needed to seek a Competitive Expansion Grant in the future. Competitive Expansion Grants were intended to support the efforts of states and jurisdictions that had already made significant progress towards a high quality home visiting program or embedding their home visiting program into a comprehensive, high-quality early childhood system.

Since federal fiscal year 2011, 19 states have been awarded Competitive Development Grants, and 37 states have been awarded Competitive Expansion Grants. These competitive grants are for 2 years of funding (Development Grants) and 2-4 years of funding (Expansion Grants), respectively. Grantees of the competitive grant program will need to complete final reports in order to comply with HRSA reporting requirements. Grantees that were awarded Competitive

Development Grants during federal fiscal year 2011 were eligible for Competitive Expansion Grants in federal fiscal year 2013. For this reason, some grantees have been awarded up to two Competitive Grants to date. Ten grantees have both a Competitive Development Grant and a Competitive Expansion Grant. Additional funds are being made available for Competitive Grants in federal fiscal year 2015. Up to 35 grants are anticipated to be awarded on March 1, 2015, with a project period equal to 2 years and 7 months. Grantees are expected to use 2015 competitive grant funds to provide ongoing support to high-quality evidence-based home visiting programs and for the development and expansion of evidence-based home visiting programs funded, in whole or in part, by the MIECHV program through increased enrollment and retention of families served. After Competitive Grant issuance in 2015, some MIECHV grantees may have up to three competitive grants for which final reports need to be submitted. HRSA is collecting information from MIECHV grantees that have received competitive grant funds as part of the agency's final reporting requirements. The final report will be completed by grantees funded under the Competitive Grant Program and submitted to HRSA within 90 days of the project period end date.

2. Purpose and Use of Information Collection

Submission of a final report is a reporting requirement under the grant award. The final report will provide a snapshot of the program's implementation that can be used to gauge impact on the health and development of service recipients. Each final report will be assessed to measure and quantify the degree to which each grantee was successful in implementing the grant and ensuring yearly program improvement. Data will be extracted from final reports and aggregated, using suitable analytic approaches, to compare, contrast, and identify successes, areas for improvement, and promising practices across the program. These findings will be used to identify the accomplishments of the MIECHV program, support program or grantee improvement, and craft or inform dissemination strategies.

3. Use of Improved Information Technology and Burden Reduction

Final reports will be submitted electronically through HRSA's Electronic HandBooks System (EHB). EHB is the system adopted by HRSA to collect information electronically from grantees.

4. Efforts to Identify Duplication and Use of Similar Information

This program is unique and prescribed by law as noted above. Similar information will not be collected through other means.

5. Impact on Small Businesses or Other Small Entities

No small businesses will be involved in reporting. Reports will be completed by

state, jurisdiction, and non-profit grantees.

6. Consequences of Collecting the Information Less Frequently

Competitive development grants awarded in 2011 and 2012 have a three year project period. Competitive expansion grants awarded in 2012 have a four year and 6 month project period. Competitive expansion grants awarded in 2013 have a 3 year project period. Competitive expansion grants awarded in 2014 and 2015 have a 2 year and 2 year and 7 month project period, respectively. The program requires grantees to submit final reports 90 days after the end of the project period. Less frequent reporting will affect the ability of the agency to assess program implementation, effectiveness, and impact on the health and development of service recipients. There are no legal obstacles to reduce the burden.

7. Special Circumstances Relating to the Guidelines of 5 CFR 1320.5

The request fully complies with the regulation.

8. Comments in Response to the Federal Register Notice/Outside Consultation

Section 8A:

A 60-day Federal Register Notice was published in the *Federal Register* on September 30, 2014 Vol. 79, No.189; pp. 58786. There were no public comments.

Section 8B:

- In FY 2014 the agency consulted with current competitive development and expansion grantees on the availability of data, frequency of collection, the clarity of instructions and record keeping, disclosure, and reporting format, and on the data elements to be recorded, disclosed, or reported. The names, title, telephone numbers, and e-mail addresses of those consulted is attached. There were no problems that could not be resolved during the consultation.

HRSA collaborates with the Administration for Children and Families (ACF) as is required under the legislation and also with a number of other federal agencies within HHS (including the CDC, SAMHSA, and CMS), as well as the Departments of Education and Justice.

Consultations that took place in 2014:

Carole Steele, State Lead, Georgia Department of Human Resources - (404) 656-2631, casteele@dhr.state.ga.us

Susan McKim, State Lead, Alabama Department of Children's Affairs, (334) 353-2709, susan.mckim@dca.alabama.gov

Danette Wong Tomiyasu, State Lead, Hawaii Department of Health,

(808) 586-4122, danette.tomiyasu@doh.hawaii.gov

9. Explanation of any Payment/Gift to Respondents

Respondents will not receive any payments or gifts.

10. Assurance of Confidentiality Provided to Respondents

The Privacy Act does not apply because information collection requirements do not include collection of information on individuals; all information is reported in aggregate form.

11. Justification for Sensitive Questions

There are no questions of a sensitive nature relating to race/ethnicity, sexual behavior and attitudes, religious beliefs of respondents, and other matters that are commonly considered private.

12. Estimates of Annualized Hour and Cost Burden

12A. Estimated Annualized Burden Hours

The annual estimate of burden is as follows:

Form Name	Number of Respondents	Number of Responses per Respondent	Total Responses	Average Burden per Response (in hours)	Total Burden Hours
MIECHV Competitive Grant Final Report—Fiscal Year 2011 and 2012 Development Grantees	19	1	19	25	475
MIECHV Competitive Grant Final Report—Fiscal Year 2011, 2012, 2013, and 2014 Expansion Grantees	37	1	37	25	925
MIECHV Competitive Grant Final Report—Fiscal	35	1	35	25	875

Year 2015 Expansion Grantees					
Total	44*				2275

* The total number of respondents is based on the number of grantees that currently have a Competitive grant award. Some grantees have multiple Competitive grant awards and will have to prepare a Competitive Grant Final Report for each award. Grantees that were awarded Competitive Development Grants during federal fiscal year 2011 were eligible for Competitive Expansion Grants in federal fiscal year 2013. For this reason, some grantees have been awarded up to two Competitive Grants to date. Ten grantees have both a Competitive Development Grant and a Competitive Expansion Grant. Additional funds are being made available for Competitive Grants in federal fiscal year 2015. Up to 35 grants are anticipated to be awarded on March 1, 2015, with a project period equal to 2 years and 7 months.

12B. Estimated Annualized Burden Costs

Type of Respondent	Total Burden Hours	Hourly Wage Rate	Total Respondent Costs	Source
State Home Visiting Managers	1025	\$28.83	\$29,551	http://www.bls.gov/ooh/management/social-and-community-service-managers.htm
Scientific Evaluators	1200	\$37.01	\$44,412	http://www.bls.gov/ooh/life-physical-and-social-science/medical-scientists.htm
Total			\$73,963	

13. Estimates of other Total Annual Cost Burden to Respondents or Recordkeepers/Capital Costs

Other than their time, there is no cost to respondents.

14. Annualized Cost to Federal Government

The agency estimates the average annual cost to the Federal Government for a total of 10 Federal staff at Grade 13 Step 5 (\$39.31 hourly rate) for 40 hours, and 2 Federal staff at grade 14 Step 1 (\$40.99 hourly rate) for 8 hours. The estimated annual total Federal cost is 48 hours and \$16,052.

15. Explanation for Program Changes or Adjustments

This is a new information collection.

16. Plans for Tabulation, Publication, and Project Time Schedule

Data will be extracted from final reports and aggregated, using suitable analytic approaches, to compare, contrast, and identify successes, areas for improvement, and promising practices across the program. These findings will be used to assess the implementation of the MIECHV program, support program or grantee Improvement, and craft or inform dissemination strategies.

17. Reason(s) Display of OMB Expiration Date is Inappropriate

The OMB number and Expiration date will be displayed on every page of every form/instrument.

18. Exceptions to Certification for Paperwork Reduction Act Submissions

There are no exceptions to the certification.