


Attachment E – Data Collection Instrument: Online version

Screen 1

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Centers for Disease Control and Prevention
Office for State, Tribal, Local and Territorial Support

Form approved
OMB No. 0920-0879
Expiration date: 03/31/2018

Preventive Health and Health Services Block Grant Assessment

Thank you for participating in the Centers for Disease Control and Prevention's (CDC's) assessment of the Preventive Health and Health Services (PHHS) Block Grant. Your participation will help CDC gather important information regarding results and improvements achieved by the PHHS Block Grant from July 1, 2016, through June 30, 2017.

Completing the questionnaire is voluntary and will take approximately 60 minutes.

Instructions

To advance through the questionnaire, please use the Forward (>>) and Back (<<) buttons located in the lower-right corner of each page. Please note that you do not have to

To advance through the questionnaire, please use the Forward (>>) and Back (<<) buttons located in the lower-right corner of each page. Please note that you do not have to complete the questionnaire in one sitting. The data you enter are automatically saved as you progress to each new section, therefore you may stop and return at a later time if needed. **Your response to the questionnaire is due on 10/31/2017.**

Throughout the questionnaire, key terms appear in *underlined, italicized* font. If you hover over a key term with your mouse/pointer, the term's definition will appear in a text box.

Technical Support

For technical support on completing and submitting the questionnaire, please contact Maggie Carlin (mcarlin@astho.org / 571-318-5410[®]).

For other questions about this questionnaire, please contact Garry Lowry (GLowry@cdc.gov / 404-498-0361[®]) or Karen Mumford (KMumford@cdc.gov / 404-498-0365[®]).

Use of the Findings from the Assessment

The findings from this assessment will be used to inform CDC of the outputs and cross-cutting outcomes of the PHS Block Grant and refine existing measures and/or inform the development of future measures for assessment purposes. No personally identifiable information will be collected. Responses will be kept secure and results will be reported only in aggregate form. Findings will be shared with various stakeholders, including grantees, and might be included in articles and reports that will be made available publicly.

(GLowry@cdc.gov / 404-498-0361) or Karen Mumford (KMumford@cdc.gov / 404-498-0365).

Use of the Findings from the Assessment

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CDC estimates the average public reporting burden for this collection of information as 60 minutes per response, including the time for reviewing instructions, searching existing data/information sources, gathering and maintaining the data/information needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing burden to CDC/ATSDR Information Collection Review Office, 1600 Clifton Road NE, MS D-74, Atlanta, Georgia 30333; ATTN: PRA (0920-0879).



I. Respondent Information

1. Select your PHHS Block Grant-funded jurisdiction from the drop-down menu.



II. Public Health Infrastructure

Public health infrastructure includes the organizational capacity (i.e., the systems, workforce, partnerships, and resources) that enables health departments to perform their core functions and provide essential services. Improvements to infrastructure may occur within the grantee health department, either department-wide or within a specific program, or across the grantee jurisdiction's public health system.

This section includes questions related to measure 1.1 and measure 1.2, which are focused on two aspects of public health infrastructure respectively: 1) information systems capacity improvement, and 2) quality improvement.



Information Systems Capacity Improved – Measure 1.1

Please answer the following questions related to information systems that were newly developed, improved, and/or maintained through *PHHS Block Grant-funded support* during the *12-month reporting period*.

2. Have any *local organizations* or *other government agencies* in your jurisdiction used PHHS Block Grant funds to support development, improvement, and/or maintenance of one or more information systems?

Yes

No

Not sure



Screen 4, continued

2. Have any local organizations or other government agencies in your jurisdiction used PHHS Block Grant funds to support development, improvement, and/or maintenance of one or more information systems?

Yes

No

Not sure

2a. [OPTIONAL] How many local organizations or other government agencies in your jurisdiction used PHHS Block Grant funds to support development, improvement, and/or maintenance of one or more information systems?

Number of local organizations:

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3. Have any **health departments** in your jurisdiction used PHHS Block Grant funds to support development, improvement, and/or maintenance of one or more information systems?

Yes

No



3a. What is the **total number** of information systems that were newly developed, improved, and/or maintained?

Number:



3b. What is the name/title of the newly developed, improved, and/or maintained information system?

System #1



Example System Name

3b.1. What system type was newly developed, improved, and/or maintained?

Laboratory data system

Surveillance system

Vital events database (e.g., birth, death, fetal death)

Registry (e.g., cancer registry)

Performance management system

Financial management system

Human capital management system (e.g., human resources, personnel)

Health information exchange (HIE)

Screen 8, continued

Health information exchange (HIE)

Electronic health record (EHR) system

Other information system

3b.2. What **type of health department** developed, improved, and/or maintained this information system? Select all that apply.

Grantee health department

Local health department (LHDs)

Tribal health department (THDs)

3b.3. How were PHHS Block Grant funds used to support information system capacity? Select all that apply.

Initiated development of a new system or module

Screen 8, continued

Local health department (LHDs)

Tribal health department (THDs)

3b.3. How were PHHS Block Grant funds used to support information system capacity? Select all that apply.

Initiated development of a new system or module

Maintained an existing system or module

Enhanced or expanded an existing system or module

Sustained or restored a system or module

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4. How many unique local health departments (LHDs) used PHHS Block Grant funds to support development, improvement, and/or maintenance of one or more information systems?

Number of LHDs:



5. How many unique tribal health departments (LHDs) used PHHS Block Grant funds to support development, improvement, and/or maintenance of one or more information systems?

Number of THDs:



Quality Improved – Measure 1.2

Please answer the following questions related to improvements in the *efficiency and/or effectiveness* of operations, programs, or services that were achieved through *PHHS Block Grant-funded support* during the *12-month reporting period*.



Screen 12

6. Have any local organizations or other government agencies in your jurisdiction used PHHS Block Grant funds to support a quality improvement effort focused on the efficiency and/or effectiveness of an operation, program or service?

Yes

No

Not sure

6a. [OPTIONAL] How many local organizations or other government agencies used PHHS Block Grant funds to support a quality improvement effort focused on the efficiency and/or effectiveness of an operation, program, or service?

Number of local organizations:

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7. Have any **health departments** in your jurisdiction used PHHS Block Grant funds to support a quality improvement effort focused on the efficiency and/or effectiveness of an operation, program, or service?

Yes

No

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7a. What **type of health department** in your jurisdiction used PHHS Block Grant funds to support a quality improvement effort focused on the efficiency and/or effectiveness of an operation, program, or service? Select all that apply.

Grantee health department

Local health department

Tribal health department



7b. What is the **total number** of operations, programs, or services for which an efficiency and/or effectiveness improvement was **achieved** by your (grantee) health department using PHHS Block grant-funded support?

Number:



7c. What is the **name/title of the operation, program, or service** for which efficiency and/or effectiveness was improved?

Operation, program, or service #1



Example Program Name

7c.1. What **type of improvement** was achieved? Select all that apply.

Efficiency improvement:

Time saved

Reduced number of steps

Costs saved

Costs avoided

Revenue generated due to billable service

Other (please specify):

Screen 17, continued

Effectiveness improvement:

Increased staff satisfaction

Organizational design improvements

Quality enhancements of operations, programs, or services

Other (please specify):

7c.2. How were PHHS Block Grant funds used to support the improvement(s)? Select all that apply.

Initiated a new effort to improve efficiency and/or effectiveness

Maintained an ongoing effort to improve efficiency and/or effectiveness (i.e., efficiency and/or effectiveness effort was ongoing from previous reporting period, but improvement was achieved during this reporting period)

Enhanced or expanded an existing effort to improve efficiency and/or effectiveness

Sustained or restored an effort to improve efficiency and/or effectiveness

Screen 17, continued

Quality enhancements or operations, programs, or services

Other (please specify):

7c.2. How were PHHS Block Grant funds used to support the improvement(s)? Select all that apply.

Initiated a new effort to improve efficiency and/or effectiveness

Maintained an ongoing effort to improve efficiency and/or effectiveness (i.e., efficiency and/or effectiveness effort was ongoing from previous reporting period, but improvement was achieved during this reporting period)

Enhanced or expanded an existing effort to improve efficiency and/or effectiveness

Sustained or restored an effort to improve efficiency and/or effectiveness

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7d. How many **local** health departments (LHDs) used PHHS Block Grant funds to support a quality improvement effort focused on the efficiency and/or effectiveness of an operation, program, or service?

Number of LHDs:



7d.1. What is the **total number** of operations, programs, or services for which an efficiency and/or effectiveness improvement was **achieved** by a local health department in your jurisdiction using PHHS Block grant-funded support?

Number:



7d.2. What is the **name/title of the operation, program, or service** for which efficiency and/or effectiveness was improved?

Operation, program, or service #1



Example Program Name

7d.2a. What **type of improvement** was achieved? Select all that apply.

Efficiency improvement:

Time saved

Reduced number of steps

Costs saved

Costs avoided

Revenue generated due to billable service

Other (please specify):

Screen 21, continued

Effectiveness improvement:

Increased staff satisfaction

Organizational design improvements

Quality enhancements of operations, programs, or services

Other (please specify):

7d.2b. How were PHHS Block Grant funds used to support the improvement(s)? Select all that apply.

Initiated a new effort to improve efficiency and/or effectiveness

Maintained an ongoing effort to improve efficiency and/or effectiveness (i.e., efficiency and/or effectiveness effort was ongoing from previous reporting period, but improvement was achieved during this reporting period)

Enhanced or expanded an existing effort to improve efficiency and/or effectiveness

Screen 21, continued

Other (please specify):

7d.2b. How were PHHS Block Grant funds used to support the improvement(s)? Select all that apply.

Initiated a new effort to improve efficiency and/or effectiveness

Maintained an ongoing effort to improve efficiency and/or effectiveness (i.e., efficiency and/or effectiveness effort was ongoing from previous reporting period, but improvement was achieved during this reporting period)

Enhanced or expanded an existing effort to improve efficiency and/or effectiveness

Sustained or restored an effort to improve efficiency and/or effectiveness



7e. How many **tribal** health departments (THDs) used PHHS Block Grant funds to support a quality improvement effort focused on the efficiency and/or effectiveness of an operation, program, or service?

Number of THDs:



7e.1. What is the **total number** of operations, programs, or services for which an efficiency and/or effectiveness improvement was **achieved** by a tribal health department in your jurisdiction using PHHS Block grant-funded support?

Number:



7e.2. What is the name/title of the operation, program, or service for which efficiency and/or effectiveness was improved?

Operation, program, or service #1



Example Program Name

7e.2a. What **type of improvement** was achieved? Select all that apply.

Efficiency improvement:

Time saved

Reduced number of steps

Costs saved

Costs avoided

Revenue generated due to billable service

Other (please specify):

Effectiveness improvement:

Increased staff satisfaction

Organizational design improvements

Quality enhancements of operations, programs, or services

Other (please specify):

7e.2b. How were PHHS Block Grant funds used to support the improvement(s)? Select all that apply.

Initiated a new effort to improve efficiency and/or effectiveness

Maintained an ongoing effort to improve efficiency and/or effectiveness (i.e., efficiency and/or effectiveness effort was ongoing from previous reporting period, but improvement was achieved during this reporting period)

Enhanced or expanded an existing effort to improve efficiency and/or effectiveness

Screen 25, continued

Other (please specify):

7e.2b. How were PHHS Block Grant funds used to support the improvement(s)? Select all that apply.

Initiated a new effort to improve efficiency and/or effectiveness

Maintained an ongoing effort to improve efficiency and/or effectiveness (i.e., efficiency and/or effectiveness effort was ongoing from previous reporting period, but improvement was achieved during this reporting period)

Enhanced or expanded an existing effort to improve efficiency and/or effectiveness

Sustained or restored an effort to improve efficiency and/or effectiveness



7f. Was an established **quality improvement method** (e.g., Plan-Do-Study-Act, Lean/Six Sigma) used by a **health department** to achieve any of the improvements in the efficiency and/or effectiveness of an operation, program, or service you have reported on?

Yes

No

Not sure

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Please provide the following information for **one example** of an improvement in the efficiency and/or effectiveness of an operation, program, or service for which a deliberate and defined quality improvement method was used by a **health department**:

7f.1. Name/title of the operation, program, or service:

7f.2. Specific issue being addressed through quality improvement:

7f.3. Quality improvement method used:

7f.4. Brief description of the efficiency and/or effectiveness achieved:



Screen 27, continued

7f.2. Specific issue being addressed through quality improvement:

7f.3. Quality improvement method used:

7f.4. Brief description of the efficiency and/or effectiveness achieved:



To help us learn more about public health infrastructure improvements achieved through [PHHS Block Grant-funded support](#) during the [12-month reporting period](#), please answer the following questions focused on national standards and accreditation.

8. How did **your health department** use PHHS Block Grant funds to address national standards or conduct accreditation-related activities as established by the [Public Health Accreditation Board \(PHAB\)](#)? Select all that apply.

- PHHS Block Grant funds were not used in this way
- Paid for PHAB fees
- Hired staff to support accreditation-related activities (e.g., performance improvement manager, accreditation coordinator)
- Worked to meet and/or maintain performance against the standards (including prerequisites, key plans, and processes described through the standards)
- Provided support to local health department(s) to pay for PHAB fees**
- Provided support to local health department(s) to hire staff to support accreditation-related activities (e.g., performance improvement manager, accreditation coordinator)**

Screen 28, continued

Provided support to local health department(s) to hire staff to support accreditation-related activities (e.g., performance improvement manager, accreditation coordinator)

Provided support to local health department(s) to meet and/or maintain performance against the standards

Provided support to tribal health department(s) to pay for PHAB fees

Provided support to tribal health department(s) to hire staff to support accreditation-related activities (e.g., performance improvement manager, accreditation coordinator)

Provided support to tribal health department(s) to meet and/or maintain performance against the standards

Other (please specify):



III. Emerging Needs

Emerging needs are public health issues that are beginning to present themselves as problems within the grantee's jurisdiction. They can affect the jurisdiction as a whole or specific areas within the jurisdiction, such as counties, tribes, and cities.

This section includes questions related to [measure 2.1](#), which is focused on all types of emerging public health needs.



Emerging Public Health Needs Addressed – Measure 2.1

Please answer the following questions related to emerging public health needs that were addressed through PHHS Block Grant-funded support during the 12-month reporting period.

9. Have any local organizations or other government agencies in your jurisdiction used PHHS Block Grant funds to support an effort to address an emerging public health need?

Yes

No

Not sure



10. Have any **health departments** in your jurisdiction used PHHS Block Grant funds to support an effort to address an emerging public need?

Yes

No

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10a. What is the **total number** of emerging public health needs that were addressed by **health departments** in your jurisdiction through PHHS Block Grant-funded support?

Number of emerging public health needs:



10b. What is the name/title of the emerging public health need that was addressed?

Emerging public health need #1



Example Need

10b.1. How would you characterize this emerging public health need? Select all that apply.

Newly developing

Newly prioritized

10b.2. How was this emerging public health need identified? Select all that apply.

Conducted, monitored, or updated a jurisdiction health assessment (e.g., state health assessment)

Conducted a topic- or program-specific assessment (e.g., tobacco assessment, environmental health assessment)

Identified via surveillance systems or other data sources

Prioritized within a strategic plan

Screen 34, continued

Prioritized within a strategic plan

Declared as an emergency within your jurisdiction

Governor (or other political leader) established as a priority

Legislature established as a priority

Tribal government/elected official established as a priority

Other (please specify):

10b.3. What was the size of the population potentially affected (target population) by this emerging need?

Number:

10b.4. How were PHHS Block Grant funds used to support the effort to address this emerging public health need? Select all that apply.

Screen 34, continued

Number:

10b.4. How were PHHS Block Grant funds used to support the effort to address this emerging public health need? Select all that apply.

Initiated a new effort to address the emerging public health need

Maintained an existing effort to address the emerging public health need

Enhanced or expanded an existing effort to address the emerging public health need

Sustained or restored an effort to address the emerging public health need



IV. Evidence-Based Public Health

Evidence-based public health practice involves implementing effective interventions. It includes both building and using evidence (i.e., data and information) to define public health needs, describe the effectiveness of interventions, and describe effective implementation of interventions.

This section includes questions related to [measure 3.1](#), which is focused on a key aspect of evidence-based public health practice—implementing evidence-based public health interventions.



Evidence-Based Public Health Interventions Implemented – Measure 3.1

Please answer the following questions related to *public health interventions* that were implemented (i.e., delivered for the first time, ongoing, or completed) through *PHHS Block Grant-funded support* during the *12-month reporting period*.

11. Have any *local organizations* or *other government agencies* in your jurisdiction used PHHS Block Grant funds to support implementation of public health interventions?

Yes

No

Not sure



Screen 36, continued

11. Have any *local organizations* or *other government agencies* in your jurisdiction used PHHS Block Grant funds to support implementation of public health interventions?

Yes

No

Not sure

11a. [OPTIONAL] How many *local organizations* or *other government agencies* used PHHS Block Grant funds to support implementation of public health interventions?

Number of local organizations:



12. Have any **health departments** in your jurisdiction used PHHS Block Grant funds to support implementation of public health interventions?

Yes

No

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12a. What is the **total number** of public health interventions that were implemented by **health departments** in your jurisdiction through PHHS Block Grant-funded support?

Number of public health interventions:



12b. What are the **name(s)/title(s)** of the **public health interventions** that were implemented?

Public health intervention #1



Example Intervention

12b.1. What type of health department(s) implemented this public health intervention? Select all that apply.

Grantee health department

Local health department

Tribal health department

12b.2. What level of evidence supports this public health intervention? Select only one.

Rigorous evidence: (Rating category 4) – Formal, comprehensive, and systematic review of all relevant literature (i.e., published intervention evaluations or studies that have evidence of effectiveness, feasibility, reach, sustainability, and transferability). Examples of sources of evidence qualifying as **rigorous** include *The Guide to Community Preventive Services*, the US Preventive Services Task Force, and systematic reviews published in peer-reviewed journals.

Strong evidence: (Rating category 3) – An informal, non-comprehensive, non-systematic review of some but not all relevant literature (i.e., multiple published evaluations or studies that have evidence of effectiveness, feasibility, reach, sustainability, and transferability). Examples of sources of evidence qualifying as **strong** include non-systematic reviews published by the federal government and non-systematic reviews published in peer-reviewed journals.

Screen 40, continued

Strong evidence: (Rating category 3) – An informal, non-comprehensive, non-systematic review of some but not all relevant literature (i.e., multiple published evaluations or studies that have evidence of effectiveness, feasibility, reach, sustainability, and transferability). Examples of sources of evidence qualifying as **strong** include non-systematic reviews published by the federal government and non-systematic reviews published in peer-reviewed journals.

Moderate evidence: (Rating category 2) – At least one published evaluation or study, with peer review, that has evidence of effectiveness, feasibility, reach, sustainability, and transferability. Examples of sources of evidence qualifying as **moderate** include journal articles of individual studies, published intervention research, and published pilot studies.

Weak evidence: (Rating category 1) – At least one unpublished evaluation or study without peer review, that has evidence of effectiveness, feasibility, reach, sustainability, and transferability. Examples of sources of evidence qualifying as **weak** include unpublished intervention research, pilot studies, case studies, and field-based summaries.

No evidence: No evaluation or study either peer reviewed or non-peer reviewed, that has evidence of effectiveness, feasibility, reach, sustainability, and transferability. New and/or innovative interventions would most likely have **no** evidence established.

Not sure

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Example Intervention

12b.2a. Was the public health intervention untested, new, and/or *innovative*?

Yes

No

Not sure

12b.2b. Were data or information collected for the purpose of determining the intervention's effectiveness at achieving intended outcomes?

Yes

No

Not sure

Screen 41, continued

12b.2b. Were data or information collected for the purpose of determining the intervention's effectiveness at achieving intended outcomes?

Yes

No

Not sure

12c. What Healthy People 2020 health topic area(s) was this public health intervention implemented to address? Select one primary health topic area and, if applicable, one secondary health topic area.

Primary

Screen 41, continued

Secondary

12d. How were PHHS Block Grant funds used to support implementation of this public health intervention? Select all that apply.

Initiated a new (i.e., previously not funded and/or implemented) public health intervention

Maintained implementation of an existing public health intervention

Enhanced or expanded an existing public health intervention

Sustained or restored a public health intervention



To help us learn more about evidence-based public health practice implemented through *PHHS Block Grant-funded support* during the *12-month reporting period* please answer the following questions focused on 1) building the evidence base for public health, and 2) making evidence-based decisions.

13. How did **your health department** use PHHS Block Grant funds to support *building the evidence base for public health*?
Select all that apply.

- PHHS Block Grant funds were not used in this way
- Conducted, monitored, or updated a jurisdiction health assessment (e.g., state health assessment)
- Conducted a topic- or program-specific assessment (e.g., tobacco assessment, environmental health assessment)
- Analyzed or monitored surveillance or other types of data
- Provided support to local health department(s)** to conduct, monitor, or update a community health assessment
- Provided support to local health department(s)** to conduct a topic- or program-specific assessment (e.g., tobacco assessment, environmental health assessment)

Screen 42, continued

Provided support to local health department(s) to conduct a topic- or program-specific assessment (e.g., tobacco assessment, environmental health assessment)

Provided support to local health department(s) to analyze or monitor surveillance or other types of data

Provided support to tribal health department(s) to conduct, monitor, or update a tribal health assessment

Provided support to tribal health department(s) to conduct, monitor, or update a community health assessment

Provided support to tribal health department(s) to conduct a topic- or program-specific assessment (e.g., tobacco assessment, environmental health assessment)

Provided support to tribal health department(s) to analyze or monitor surveillance or other types of data

Other (please specify):



14. How did **your health department** use PHHS Block Grant funds to support *evidence-based decision making*? Select all that apply.

- PHHS Block Grant funds were not used in this way
- Developed or updated a jurisdiction's health improvement plan (e.g., state health improvement plan) based on a jurisdiction health assessment (e.g., state health assessment)
- Developed or updated a community health improvement plan based on a community health assessment
- Developed or updated a topic- or program-specific action plan
- Provided support to local health department(s)** for developing or updating a community health improvement plan
- Provided support to local health department(s)** for developing or updating a topic- or program-specific action plan
- Provided support to tribal health department(s)** to develop or update a tribal health improvement plan based on a tribal health assessment
- Provided support to tribal health department(s)** for developing or updating a community health improvement plan

Screen 43, continued

Developed or updated a topic- or program-specific action plan

Provided support to local health department(s) for developing or updating a community health improvement plan

Provided support to local health department(s) for developing or updating a topic- or program-specific action plan


Provided support to tribal health department(s) to develop or update a tribal health improvement plan based on a tribal health assessment

Provided support to tribal health department(s) for developing or updating a community health improvement plan

Provided support to tribal health department(s) for developing or updating a topic- or program-specific action plan

Other (please specify):



If you are finished reporting your data, please click the SUBMIT button to complete the questionnaire. You will not be able to return to the questionnaire after submitting your responses. To revise a response after submission, please contact Maggie Carlin (mcarlin@astho.org / 571-318-5410 .

