

**DEPARTMENT OF THE TREASURY  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU  
OFFER IN COMPROMISE**

Of Liability Incurred Under the Federal Alcohol Administration Act, As Amended

*(To be filed in duplicate with the Director, National Revenue Center, Alcohol and Tobacco Tax and Trade Bureau)*

TO: DIRECTOR, NATIONAL REVENUE CENTER 550 MAIN ST STE 8002 CINCINNATI, OH 45202-5215	1. PROPONENT'S NAME AND ADDRESS	2. DATE
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The following offer in compromise is submitted to you by the undersigned:

3. CHARGES OF VIOLATION OF THE FEDERAL ALCOHOL ADMINISTRATION ACT, AS AMENDED, HAVE BEEN MADE AGAINST THE PROPONENT AS FOLLOWS: *(State specifically the nature of the pending charges and the sections of law and regulations alleged to have been violated)*

4. TYPE OF LIABILITY (Check one)

 CIVIL

 CRIMINAL

 BOTH CIVIL AND CRIMINAL

5. DATE OF VIOLATION

6. LOCATION OF VIOLATION

7. THE ALLEGED VIOLATION WAS DUE TO THE FOLLOWING CAUSE OR CAUSES: *(Attach a separate sheet if necessary.)*

8. TO SECURE THE RELEASE FROM THE LIABILITY RESULTING FROM THE VIOLATION OR FAILURE ABOVE SPECIFIED, THE SUM INDICATED IS OFFERED VOLUNTARILY WITH A REQUEST THAT IT BE ACCEPTED IN COMPROMISE OF THAT LIABILITY. *(Make remittance payable to the Alcohol and Tobacco Tax and Trade Bureau.)*

REMITTANCE

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9. THE FOLLOWING FACTS AND REASONS ARE SUBMITTED AS GROUNDS FOR ACCEPTANCE OF THE OFFER. *(If space provided is insufficient, please attach a supplemental affidavit and supporting evidence.)*

It is understood that this offer will be considered and acted upon in due course, and that it does not relieve the proponent from the liability sought to be compromised unless and until the offer is accepted in writing by the Director, National Revenue Center, or a delegated official, and there has been full compliance with the terms of this offer.

It is also understood that deposit of any remittance into the accounts of the United States Government, pending action on this offer in compromise, does not constitute acceptance of the terms of this offer.

In making this offer the proponent expressly understands and agrees that the acceptance of the offer will not in any way affect any liability (civil, forfeiture, or criminal) which he/she may have incurred by reason of violation of Federal laws other than specified in item 3 of this form, or regulations issued pursuant thereto, whether or not connected with the violations herein sought to be compromised.

10. IF OFFER IS MADE BY AGENT, THE REASON MUST BE STATED IN THIS SPACE

Under penalties of perjury, I declare that I have examined this offer, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

11. SIGNATURE OF PROPONENT OR AGENT

12. AGENT'S ADDRESS

**PAPERWORK REDUCTION ACT NOTICE**

This request is in accordance with the Paperwork Reduction Act of 1995. This information collection is used by a person who has incurred liability under the Federal Alcohol Administration Act to tender an offer in compromise in lieu of criminal and/or civil penalties. TTB examines the information to determine whether to accept the offer in compromise. The information is voluntary.

The estimated average burden associated with this collection is 2 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW, Box 12, Washington, DC 20005.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.

**PRIVACY ACT INFORMATION**

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. 552a(e)(3)):

- 1. AUTHORITY.** Solicitation of this information is made pursuant to 27 U.S.C. 207. Disclosure of this information by the proponent is voluntary.
- 2. PURPOSE.** To enable TTB to evaluate and act upon the proponent's proposal for an offer in compromise.
- 3. ROUTINE USES.** The information will be used by TTB to make the determination set forth in paragraph 2. In addition, the information may be disclosed to other Federal, State, foreign, and local law enforcement and regulatory agency personnel to verify information on the form where such a disclosure is not prohibited by law. The information may further be disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public when authorized by statute or regulations.
- 4. EFFECT OF NOT SUPPLYING INFORMATION REQUESTED.** Failure to supply complete information may prevent TTB from making an informed judgement regarding the consideration of the proposed offer.

Disclosure of the individual's social security number is voluntary. Under 27 U.S.C. 207, TTB has the authority to solicit this information. The social security number may be used to verify the individual's identity.