


<p><b>APPLICATION FOR ROCKFISH QUOTA SHARE (QS)</b></p>	<p>U.S. Dept. of Commerce/ NOAA National Marine Fisheries Service Restricted Access Management P.O. Box 21668 Juneau, AK 99802-1668 (800) 304-4846 toll free (907) 586-7202 in Juneau (907) 586-7354 fax</p>	
---	--	---

Each person who is eligible to participate in the Rockfish Program must submit this application to receive an allocation of Rockfish QS for an eligible License Limitation Program (LLP) groundfish license.

**This application must be submitted annually and received by NMFS  
no later than 1700 hours A.l.t. on January 17, 2012.**

**NOTE:** In addition to this application, the holder of an LLP License with Rockfish QS must annually submit an application for the Rockfish Cooperative Fishery.

<i><b>ELIGIBILITY TYPE</b></i>
<p>Please indicate the type of eligibility to participate for which you are applying:</p> <p><input type="checkbox"/> <b>Rockfish Quota Share in the Catcher/Processor Sector:</b> must hold an LLP license that was previously assigned Rockfish QS that participated in the Rockfish Program fishery in the CP sector during the fishery seasons established in Table 28.</p> <p><input type="checkbox"/> <b>Rockfish Quota Share in the Catcher Vessel Sector:</b> must hold an LLP license previously assigned Rockfish QS that participated in a Rockfish Program Fishery in the CV sector during the fishery seasons established in Table 28.</p> <p><input type="checkbox"/> <b>Rockfish Quota Share in the Entry Level Trawl Fishery:</b> must hold an LLP license that made rockfish legal landings during the fishery seasons established in Table 28 and during the entry level trawl fishery during 2007, 2008, or 2009.</p> <p>Indicate whether the applicant is applying to receive Rockfish QS based on rockfish legal landings during the fishery seasons established in Table 28a or Table 28b to part 679.</p> <p style="text-align: center;">YES <input type="checkbox"/>                      NO <input type="checkbox"/></p> <p><input type="checkbox"/> <b>Exclusion from issuance of QS in the Entry Level Trawl Fishery:</b> by checking this box you are affirming that you wish to be excluded from the Rockfish Program and do not wish to be issued of any Rockfish QS.</p>

<i><b>BLOCK A -- APPLICANT IDENTIFICATION</b></i>	
1. Applicant name	2. NMFS person ID
	3. Taxpayer ID No. (EIN or SSN):
4. Permanent business mailing address	

5. Business telephone number	6. Business fax number	7. Business e-mail address (if available)
<p><b>NOTE: The Applicant must be a U.S. citizen or U.S. corporation, partnership, or other business entity to obtain a catch history or halibut PSC history assignment.</b></p>		
<p>8. Are you a U.S. citizen?</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO      <b>If YES,</b> enter Date of Birth _____</p>		
<p>9. Are you a U.S. corporation, partnership, association or other business Entity?</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO      <b>If YES,</b> enter Date of Incorporation _____</p>		
<p>10. Is the applicant a successor-in-interest to a deceased individual or to a non-individual no longer in existence?</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO      <b>If YES, attach</b> death certificate or evidence of dissolution.</p>		

<p><b>BLOCK B -- AGREEMENT WITH ROCKFISH PROGRAM OFFICIAL RECORD</b></p>
<p><input type="checkbox"/> <b>I AGREE</b> with the Rockfish Program Official Record Summary sent to me by NMFS. If you check this box, you are accepting the Rockfish Program Official Record. If you are an eligible rockfish harvester, proceed to Block G, sign the application, and return the application to NMFS.</p> <p><input type="checkbox"/> <b>I DO NOT AGREE</b> with the Rockfish Program Official Record Summary sent to me by NMFS. I have indicated the areas of disagreement in Block C or D of this form or attached a separate page explaining my disagreement and providing evidence to support my claims.</p> <p><input type="checkbox"/> <b>I DID NOT RECEIVE</b> a Rockfish Program Official Record Summary from NMFS. I believe that I am qualified as an eligible rockfish harvester. I have indicated in Block C or Blocks D and E of this form or attached a separate page explaining the basis for my eligibility as an eligible rockfish harvester or processor.</p> <p><input type="checkbox"/> <b>I DO NOT WISH TO RECEIVE ROCKFISH QS.</b> I hold an LLP license that made rockfish legal landings during the fishery seasons established in Table 28a and during the entry level trawl fishery during 2007, 2008 or 2009. By checking this box and signing in Block G of this application, I hereby forego all rights to Rockfish QS in the Rockfish Program.</p>

**BLOCK C – HARVESTER LEGAL ROCKFISH LANDINGS**

If you are claiming rockfish legal landings associated with an LLP license, enter the following information for each LLP license.

LLP license number			
Name of original qualifying vessel (OQV)	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Other vessels used under authority of this LLP license			
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001

LLP license number			
Name of original qualifying vessel (OQV)	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Other vessels used under authority of this LLP license			
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001
Name of Vessel	ADF&G No.	USCG No.	Date Landings Made in 2000 and 2001

<b>BLOCK D – CATCHER/PROCESSOR LEGAL ROCKFISH LANDINGS</b>			
1. If claiming Rockfish legal landings in the Catcher/Processor Sector associated with an LLP license, that were caught and processed, enter the following information for each LLP license.			
LLP license number			
Name of Vessel	ADF&G No.	USCG No.	
LLP license number			
Name of Vessel	ADF&G No.	USCG No.	
2. Indicate if the applicant is applying to participate in the Rockfish Program based on rockfish legal landings made during the rockfish entry level trawl fishery in 2007, 2008, or 2009.			
YES <input type="checkbox"/>		NO <input type="checkbox"/>	
3. Indicate whether the applicant is applying to receive Rockfish QS based on rockfish legal landings during the fishery seasons established in Table 28a or Table 28b to part 679			
YES <input type="checkbox"/>		NO <input type="checkbox"/>	

<b>BLOCK E – EXCLUSION FOR ROCKFISH PROGRAM FOR LLP LICENSES</b>	
A person who holds an LLP license that made rockfish legal landings during the fishery seasons established in Table 28a to part 679 and during the entry level trawl fishery during 2007, 2008, or 2009 established in Table 28b to part 679 may choose to be excluded from the Rockfish Program and not receive Rockfish QS.	
Indicate whether the applicant is applying for an exclusion from the Rockfish Program and forego Rockfish QS.	
YES <input type="checkbox"/> NO <input type="checkbox"/>	

<b>BLOCK F -- APPLICANT SIGNATURE &amp; CERTIFICATION</b>	
The applicant must sign and date the application certifying that all information is true, correct, and complete to the best of his/her knowledge and belief. If the application is completed by an authorized representative, attach authorization to application.	
<i>Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, the information presented here is true, correct, and complete.</i>	
1. Signature of Applicant (or Authorized Representative)	2. Date Signed
3. Printed Name of Applicant (or Authorized Representative); if representative, attach authorization	

Instructions for  
**APPLICATION FOR ROCKFISH QUOTA SHARE**

**This application must be submitted annually and received by NMFS no later than 1700 Alaska local time (A.l.t.) January 17, 2012.**

**NOTE:** Participant must be a U.S. Citizen or U.S. Corporation, partnership or other business.

A completed Application for Rockfish Quota Share must be received by NMFS no later than 1700 hours, A.l.t., on January 31<sup>st</sup>, 2012, or if sent by U.S. mail, postmarked by that time. For applications delivered by hand delivery or carrier only, the receiving date of signature by NMFS staff is the date the application was received. If the application is submitted by facsimile, the receiving date of the application is the date stamped received by NMFS.

A potentially eligible LLP license holder who wishes to receive rockfish QS to participate in the Rockfish Program as a rockfish eligible harvester must submit a timely and complete application for rockfish QS.

NMFS will assign rockfish legal landings only if a vessel made those landings:

- ◆ Under the authority of a permanent fully transferrable LLP license endorsed for Central GOA groundfish with a trawl gear designation during the season dates for a primary rockfish species as established in Table 28a to this part;
- ◆ Under the authority of an interim LLP license endorsed for Central GOA groundfish with a trawl gear designation during the season dates for that primary rockfish species as established in Table 28a to this part; provided that:
  - NMFS has determined that interim LLP license is ineligible to receive a designation as a permanent LLP license endorsed for Central GOA groundfish with a trawl gear designation; and
  - A permanent fully transferrable LLP license endorsed for Central GOA groundfish with a trawl gear designation was assigned to the vessel that made legal rockfish landings under the authority of an interim LLP license endorsed for Central GOA groundfish prior to December 31, 2003 and was continuously assigned to that vessel through June 14, 2010; or
  - Under the authority of a permanent fully transferrable LLP license endorsed for Central GOA groundfish with a trawl gear designation during the season dates for the entry level trawl fishery in 2007, 2008, or 2009 for a primary rockfish species as established in Table 28b to this part.

Exclusion from Rockfish Program for LLP licenses with rockfish legal landings in the Entry Level Trawl Fishery and from 2000 through 2006 A person who holds an LLP license that made rockfish legal landings during the fishery seasons established in Table 28a to this part and during the entry level trawl fishery during 2007, 2008, or 2009 established in Table 28b to this part may choose to be excluded from the Rockfish Program and not receive Rockfish QS. A person must submit an application for Rockfish QS affirming their exclusion from the Rockfish Program and forgo their Rockfish QS.

**Table 28a to Part 679 –  
Qualifying Season Dates for Central GOA Primary Rockfish Species**

A Rockfish Legal Landing includes...	Year						
	2000	2001	2002	2003	2004	2005	2006
Northern rockfish that were harvested between...	July 4 - 26	July 1 – 23 and Oct 1 – 21	June 30 – July 21	June 29 – July 29	July 4-25	July 5 July 24	July 1-21
and landed by ...	Aug. 2	July 30 and Oct 28, respectively	July 28	Aug 5	Aug 1	July 31	July 28
Pelagic shelf rockfish that were harvested between...	July 4 - 26	July 1- 23 and Oct. 1- 21	June 30 – July 21	June 29 – July 31	July 4-25	July 5-24 Sept. 1, Sept 4, and Sept 8-10	July 1-21 and Oct. 2-8
and landed by ...	Aug. 2	July 30 and Oct. 28, respectively	July 28	Aug 7	Aug 1	July, 31, Sept. 11, and Sept 17 respectively	July 28 and Oct. 15 respectively
Pacific ocean perch that were harvested between...	July 4 - 15	July 1 – 12	June 30 - July 8	June 29 – July 8	July 4-12	July 5-14	July 1-6
and landed by ...	July 22	July 19	July 15	July 15	July 19	July 21	July 13

**Table 28b to Part 679 –  
Qualifying Season Dates for Central GOA Primary Rockfish Species**

A Rockfish Legal Landing includes...	2007	2008	2009
Northern rockfish that were harvested by vessels authorized to fish in the rockfish entry level trawl fishery between ...	Sept.1 – Nov. 8	Sept.1 – Nov. 15	Sept.1 – Nov. 15
and landed by ...	Nov. 15	Nov. 22	Nov. 22
Pelagic shelf rockfish that were harvested by vessels authorized to fish in the rockfish entry level trawl fishery between...	July 4 – July 25	July 5 – July 24	July 1 – July 21
and landed by ...	Aug 1		
Pacific ocean perch that were harvested by vessels authorized to fish in the rockfish entry level trawl fishery between...	July 4 – July 12	July 5 – July 14	July 1 – July 6
and landed by ...	July 19	July 21	July 13

1Type or print legibly in ink; retain a copy of completed application for your records. Submit completed form:

By mail to: **NMFS Alaska Region  
Restricted Access Management (RAM)  
P.O. Box 21668  
Juneau, AK 99802-1668**

Or by fax to: **FAX: (907) 586-7354**

If you need additional information, contact RAM at **(800) 304-4846 (Option 2)** or **(907) 586-7202 (Option 2)**.

**Please allow at least 10 working days for your application to be processed.** Items will be sent by first class mail, unless you provide alternate instructions *and* include a prepaid mailer with appropriate postage or corporate account number for express delivery.

## COMPLETING THE APPLICATION

### ELIGIBILITY TYPE

Please indicate the type of eligibility to participate for which you are applying

### BLOCK A – APPLICANT IDENTIFICATION

- 1-2. Enter Applicant Name and NMFS ID
3. Taxpayer ID Number (EIN or SSN)
4. Permanent Business Mailing Address
- 5-7. Business telephone number, business fax number, and business e-mail address (if available)
8. If a U.S. citizen, enter date of birth.
9. If a U.S. corporation, partnership, association, or other non-individual business entity, enter date of incorporation
10. If the applicant is a successor-in-interest to a deceased individual or to a non-individual no longer in existence, **attach** death certificate or evidence of dissolution to the application.

### BLOCK B -- AGREEMENT WITH ROCKFISH PROGRAM OFFICIAL RECORD (check one)

**You agree** with the Rockfish Program Official Record Summary sent to you by NMFS.

If you check this box, you are accepting the Rockfish Program Official Record.

If you are an eligible rockfish harvester, proceed to Block F, sign the application, and return the application to NMFS.

If you are an eligible rockfish processor, complete Block E, proceed to Block F, sign the application, and return the application to NMFS.

**You do not agree** with the Rockfish Program Official Record Summary sent to you by NMFS, indicate the areas of disagreement in Block C or D of this form or attach a separate page explaining your disagreement and providing evidence to support your claims.

**You did not receive** a Rockfish Program Official Record Summary from NMFS, and believe that you are qualified as an eligible rockfish harvester or processor, indicate in Block C or Blocks D and E of this form or attached a separate page explaining the basis for your eligibility as an eligible rockfish harvester or processor.

**You do not wish to receive rockfish QS.**

By checking this box and signing in Block G of this application, you forego all rights to Rockfish QS in the Rockfish Program.

### **BLOCK C – HARVESTER LEGAL ROCKFISH LANDINGS**

If applicant is claiming Rockfish legal landings associated with an LLP license, enter the following information for each LLP license.

Original qualifying vessel (OQV)

Name of vessel

Vessel Alaska Department of Fish & Game (ADF&G) vessel registration number

U.S. Coast Guard (USCG) documentation number

Date landings made in 2000 and 2001

Other vessels used under this LLP license

Name of vessel

Vessel ADF&G vessel registration number

USCG documentation number

Date landings made in 2000 and 2001

### **BLOCK D – CATCHER/PROCESSOR LEGAL ROCKFISH LANDINGS**

If applicant is claiming Rockfish legal landings in the Catcher/Processor Sector associated with an LLP license, that were caught and processed, enter the following information for each LLP license.

LLP License number

Name of vessel

ADF&G vessel registration number

USCG documentation number

Indicate whether the applicant is applying to receive Rockfish QS based on rockfish legal landings made during the rockfish entry level trawl fishery in 2007, 2008, or 2009.

Indicate whether the applicant is applying to receive Rockfish QS based on rockfish legal landings during the fishery seasons established in Table 28a or Table 28b to part 679.

### **BLOCK E – EXCLUSION FOR ROCKFISH PROGRAM FOR LLP LICENSES**

A person who holds an LLP license that made rockfish legal landings during the fishery seasons established in Table 28a to part 679 and during the entry level trawl fishery during 2007, 2008, or 2009 established in Table 28b to part 679 may choose to be excluded from the Rockfish Program and not receive Rockfish QS.

1. Indicate whether the applicant is applying for an exclusion from the Rockfish Program and forego Rockfish QS
2. Indicate whether the applicant is applying to receive Rockfish QS based on rockfish legal landings during the fishery seasons established in Table 28a or Table 28b to part 679.



## **BLOCK F -- APPLICANT SIGNATURE & CERTIFICATION**

The applicant must print name, sign, and date the application. If completed by an authorized representative, attach authorization.

---

### ***PUBLIC REPORTING BURDEN STATEMENT***

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802-1668.

### ***ADDITIONAL INFORMATION***

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 679 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

---