

**SUPPORTING STATEMENT
ALASKA PACIFIC HALIBUT AND SABLEFISH FISHERIES
INDIVIDUAL FISHING QUOTAS (IFQs)
OMB CONTROL NO. 0648-0272**

This request is for revision and extension of an existing information collection. Two information collections have been removed.

INTRODUCTION

The International Pacific Halibut Commission (IPHC) and National Marine Fisheries Service (NMFS) manage fishing for Pacific halibut (*Hippoglossus stenolepis*) through regulations established under the authority of the Convention between the United States Halibut Fishery of the Northern Pacific Ocean and Bering Sea (Convention) and the [Northern Pacific Halibut Act of 1982](#) (Halibut Act).

The North Pacific Fisheries Management Council (Council), under the authority of the Halibut Act (with respect to Pacific halibut) and the [Magnuson-Stevens Conservation Act](#), 16 U.S.C. 1801 *et seq.*, as amended in 2006 (Magnuson-Stevens Act) (with respect to sablefish), manages the fixed gear Pacific halibut and sablefish Individual Fishing Quota (IFQ) Program. The IFQ Program provides a limited access system for Pacific halibut in Convention waters in and off Alaska and sablefish fisheries in waters of the Exclusive Economic Zone off Alaska. Fishing under the IFQ Program began on March 15, 1995, ending the open-access fisheries that preceded its implementation.

NMFS Alaska Region administers the IFQ Program. Regulations pursuant to the Convention are set forth at [50 CFR Subpart E](#). Regulations implementing the IFQ program are set forth at [50 CFR part 679](#).

NMFS established the IFQ Program to improve the long-term productivity of the sablefish and halibut fisheries by further promoting the conservation and management objectives of the Magnuson-Stevens Act and the Halibut Act while retaining the character and distribution of the fishing fleets as much as possible. The IFQ Program includes several provisions, such as ownership caps and vessel use caps that protect small producers, part-time participants, and entry-level participants that otherwise could be adversely affected by excessive consolidation.

The IFQ Program provides each fisherman an IFQ that can be used any time during the open season to allow each fisherman to set his/her own pace and fishing effort. Fishermen may harvest the IFQ over the entire fishing season, which extends approximately from March through November 15.

An IFQ permit or quota authorizes participation in fixed-gear harvests of Pacific halibut off Alaska and most sablefish fisheries off Alaska. The IFQ quota is not specific to vessels and is issued annually to persons holding fishable Pacific halibut and sablefish quota share (QS). NMFS initially issued QS to persons who owned or leased vessels that made legal commercial fixed-gear landings of Pacific halibut or sablefish during 1988-1990 off Alaska. Currently, QS may only be obtained through transfer.

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

NMFS and the Council developed the IFQ Program to reduce fishing capacity that had increased during years of management as an open-access fishery. The IFQ Program provides coastal Alaska communities a source of revenue, while maintaining the social and economic character of fixed-gear sablefish and halibut fisheries. The IFQ Program provides economic stability for the commercial fixed gear fishery while reducing many of the conservation and management problems commonly associated with open-access fisheries.

2. 1Explain how, by whom, how frequently, and for what purpose the information will be used. 1If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

a. Application for Eligibility to Receive QS/IFQ by Transfer

Quota share represents a harvesting privilege for a person. Annually, NMFS issues IFQ quota to QS holders to harvest specified poundage. The specific amount of IFQ held by a person is determined by the number of QS units held, the total number of QS units issued in a specific regulatory area, and the total pounds of sablefish or halibut allocated for the IFQ fisheries in a particular year.

An applicant must be a United States (U.S.) citizen or U.S. corporation, partnership, or other non-individual business entity to receive QS/IFQ by transfer. Those persons applying to receive QS or IFQ by transfer must submit this application to obtain a Transfer Eligibility Certificate (TEC). A person applies for eligibility only once. To be eligible, persons must have 150 or more days of experience working as part of a harvesting crew in any U.S. commercial fishery. Work in support of harvesting but not directly related to it is not considered harvesting crew work.

An application for eligibility may be submitted to NMFS

By mail to: NMFS Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668

or by delivery NMFS (RAM)
Room 713, Federal Building
709 West 9th Street
Juneau, AK

Fax submittal is not acceptable due to the Notary requirements.

Application for Eligibility to Receive QS/IFQ

Block A – Applicant Information

Name and NMFS Person ID
Taxpayer ID No. (Employer ID No. or SSN)

Business mailing address (indicate whether permanent or temporary)
 Business telephone number, business fax number, and business e-mail address
 If applicant is a U.S. citizen, enter date of birth
 If applicant is a U.S. corporation, partnership, association, or other non-individual business entity,
 enter date of incorporation\
 If a resident of Adak, Alaska, enter date residency began

Block B – Freezer Shares

If this TEC is for an entity that intends to buy or lease only category A QS
 and participant is a corporation, partnership, association, or other non-individual entity,
attach QS holder: Identification of Ownership Interest form.

Block C – Commercial Fishing Experience

Species
 Gear type
 Location
 Begin date and end date of fishing experience
 Number of actual days spent harvesting fish
 Duties performed while directly involved in the harvesting of fish
 Vessel name
 Alaska Department of Fish and Game (ADF&G) vessel registration number or
 U.S. Coast Guard (USCG) documentation number of vessel
 Name of vessel owner and name of operator
 Reference
 Name
 Relationship to applicant
 Business mailing address and business telephone number

Block D – Notary Certification-

Printed name and signature of applicant and date signed
 If authorized representative, **attach** authorization
 Notary Public signature, commission expiration date, and notary stamp or seal

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45. Changed number of respondents from 470 to 22 because this application for eligibility is filed only once. Instead of showing the estimated total number of respondents applying for eligibility, this statement shows the number of respondents per year.

Application for Eligibility to Receive QS/IFQ, Respondent	
Total number of respondents	22
Total annual responses	22
Number of responses per year = 1	
Total Time burden	44 hr
Time per response = 2 hr	
Total personnel cost (\$37/hr x 44)	\$1,628
Total miscellaneous cost (123.20)	\$123
Postage (0.45 x 22 = 9.90)	
Photocopy (0.05 x 3pp x 22 = 3.30)	
Notary (5 x 22 = 110)	

Application for Eligibility to Receive QS/IFQ, Federal Government	
Total annual responses	11
Total Time burden	11 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 11)	\$407
Total miscellaneous costs	0

b. Quota Share (QS) Holder: Identification of Ownership Interest

This form must be submitted annually to NMFS by corporations, partnerships, associations, and other non-individual entities that hold QS. The information requested herein is needed by NMFS to determine compliance with IFQ program requirements, including:

- ◆ Limitations on Use of QS and IFQ.
This information is needed to determine if persons who hold QS have exceeded their allowable use limits under the “individually and collectively” language set out in the IFQ regulations at 50 CFR 679.42(e) and (f); and,
- ◆ Changes in corporations or partnerships.
This information is also needed to determine if a corporation or partnership that holds catcher vessel QS has changed (i.e., the addition of a new member).

An entity must notify NMFS of any change within 15 days of its effective date and must then transfer its QS to a qualified individual.

Proof of vessel ownership must be submitted by non-individual respondents each year. Federal regulations at 50 CFR 679.42(i) and (j) define acceptable proof of ownership as:

- ◆ For a documented vessel, owns a minimum 20–percent interest in the vessel as shown by the U.S. Abstract of Title issued by the USCG that lists the permit holder as an owner and, if necessary to prove the required percentage ownership, other written documentation;
- ◆ For an undocumented vessel, owns a minimum 20–percent interest in the vessel as shown by a State of Alaska vessel license or registration that lists the permit holder as an owner and, if necessary to show the required percentage ownership interest,

An ownership interest application may be submitted to NMFS by mail or delivery. Fax submittal is not acceptable due to the Notary requirements.

QS Holder form: Identification of Ownership Interest

Block A – Identification of QS holder

Name of QS holder

Indicate whether this business is a publicly held corporation

If a corporation, association, partnership, or other non-individual entity
indicate whether still active

If an estate that has been probated, provide date probate was finalized

Block B – Identification of Members, Shareholders, Partners, Joint Venturers, Successors-In-Interest

If ownership consists of separate or additional corporations or partnerships, the individual owners of those entities and the percentage of interest those individuals hold in their respective corporations/partnerships must be listed

Name of owner(s)

Percent of interest held

Indicate whether ownership percentages represent the addition of any new owners since QS was initially issued

Block C – Certification

Signature, printed name, title, and date of signature of respondent

Signature of notary public, commission expiration date, and notary public stamp or seal

Currently, there are 2,723 halibut and/or sablefish QS holders. Of those, 2,611 are individuals

and only 160 are non-individuals. Change number of respondents from 166 to 160. Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45.

1

QS Holder Form: Identification of Ownership Interest, Respondent	
Estimated number of respondents	160
Total annual responses	160
Number of responses per year = 1	
Total Time burden	320 hr
Time per response = 2 hr	
Total personnel cost (\$37/hr x 320)	\$11,840
Total miscellaneous cost	\$888
Postage (0.45 x 160 = 72)	
Photocopy (0.05 x 2pp x 160 = 16)	
Notary (5 x 160 = 800)	

QS Holder Form: Identification of Ownership Interest, Federal Government	
Total annual responses	160
Total Time burden	160 hr
Time per response = 1 hr	
Total personnel cost (\$37/hr x 160)	\$5,920
Total miscellaneous costs	0

c. Application for IFQ/CDQ hired master permit

Non-individual IFQ permit holders must designate a hired master to fish their IFQ or obtain a permit to access their account. To obtain a hired master, non-individual permit holders must own (either directly or indirectly) at least 20 percent of the vessel upon which their hired master will fish the IFQ. A hired master permit is required for harvest of IFQ halibut, IFQ sablefish, or Western Alaska Community Development Quota (CDQ) halibut on behalf of a permit holder. The applicant must complete a separate application for each vessel, each IFQ permit number, and each CDQ permit number.

To demonstrate percent of vessel ownership by IFQ permit holder -- documentation of ownership must be included with this application, except for Category A IFQ permit holders and CDQ permit holders.

- ◆ Proof of vessel ownership by the IFQ permit holder:
 - For USCG documented vessels, a complete copy of the USCG Abstract of Title
 - For an undocumented vessel, a copy of the State of Alaska vessel license or registration
- ◆ If the IFQ permit holder is not the person named on the USCG Abstract of Title or State of Alaska vessel license or registration, documentation establishing indirect ownership such as corporate annual reports, meeting minutes, stock certificates, etc.

The application for a hired master permit may be submitted by mail, fax, or delivery.

Application for IFQ/CDQ Hired Master Permit

Block A – Purpose of application

Indicate if adding or removing hired master

Indicate whether the hired master permit should be mailed directly to the hired master

Indicate permit number(s) and categories to which this action applies

Block B – IFQ/CDQ Permit Holder Information

Name and NMFS person ID

Business mailing address (indicate whether temporary or permanent)

Business telephone number, business fax number, and business e-mail address

Block C – Identification of Vessel upon which IFQ/CDQ Halibut or Sablefish Will be Fished

Vessel name, length overall, ADF&G vessel registration number, and USCG documentation number of vessel

If IFQ permit holder holds an ownership interest of at least 20% in the named vessel, attachments are required

Block D – Hired Master Information

Name and NMFS person ID

Business mailing address (indicate whether temporary or permanent)

Business telephone number, business fax number, and business e-mail address

Block E – Certification of Permit Holder

Signature and printed name of applicant, and date signed

If representative, **attach** authorization

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45.

Changed number of respondents from 750 to current 1,327.

Application for IFQ/CDQ Hired Master Permit, Respondent	
Estimated number of respondents	1,327
Total annual responses	1,327
Number of responses per year = 1	
Total Time burden (663.50)	664 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 664)	\$13,875
Total miscellaneous cost (879.70)	\$880
Postage (0.45 x 1300 = 585)	
Fax (\$6 x 27 = 162)	
Photocopy (0.05 x 2pp x 1327 = 132.70)	

Application for IFQ/CDQ Hired Master, Federal Government	
Total annual responses	1,327
Total Time burden	664 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 664)	\$13,875
Total miscellaneous cost	0

d. Application for IFQ/CDQ Registered Buyer Permit

A Registered Buyer permit authorizes the person identified on the permit to receive and make an IFQ landing by an IFQ permit holder or IFQ hired master permit holder and a CDQ halibut landing by a CDQ permit holder or CDQ hired master permit holder.

A Registered Buyer permit is required of:

- ◆ Any person who receives IFQ halibut, CDQ halibut, or IFQ sablefish from the person(s) who harvested the fish.

- ◆ Any person who harvests IFQ halibut, CDQ halibut, or IFQ sablefish and transfers such fish in a dockside sale, outside of an IFQ regulatory area, or outside the State of Alaska.
- ◆ A vessel operator who submits a Departure Report (see § 679.5(1)(4)).

NOTE: NMFS does not issue a Registered Buyer permit to entities receiving IFQ Pacific halibut, IFQ sablefish, or CDQ Pacific halibut at locations outside Alaska. In such cases, the vessel operator must be a Registered Buyer.

A Registered Buyer permit is issued on a 3 year cycle and is in effect from the first day of the year for which it is issued or from the date of issuance, whichever is later, through the end of the current NMFS 3-year cycle, unless it is revoked, suspended, surrendered, or modified.

An application may be submitted to NMFS by mail, fax, delivery, or online. If the application is submitted to NMFS online using an electronic application available on the NMFS Alaska Region website at <http://alaskafisheries.noaa.gov>, the NMFS Person ID, password, and transfer key take the place of a signature and certify that all information is true, correct, and complete.

Application for Registered Buyer Permit

If requesting a permit renewal, enter Registered Buyer permit number

Block A -- Applicant Identification

- Name and NMFS Person ID of Registered Buyer
- Name of contact person
- Business mailing address (indicate whether permanent or temporary)
- Physical location of facility
- Business telephone number, business fax number, and e-mail address

Block B – Type of Activity

Check all activities that apply

Block C – Signature

- Signature and printed name of applicant or authorized representative and date signed
- If authorized representative, **attach** authorization

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45.
 Changed number of respondents from 445 to current 290.

Application for Registered Buyer Permit, Respondent	
Estimated number of respondents	290
Total annual responses	97
Number of responses per year (good for 3 yr) 290/3 = 97	
Total Time burden (48.50)	49 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 49)	\$1,813
Total miscellaneous cost (57.10)	\$57
Postage (0.45 x 32 = 14.40)	
Fax (\$6 x 5 = 30)	
Online (0.05 x 60 = 3)	
Photocopy (0.05 x 2pp x 97 = 9.70)	

Application for Registered Buyer Permit, Federal Government	
Total annual responses	97
Total Time burden (48.50) Time per response = 30 min	49 hr
Total personnel cost (\$37/hr x 49)	\$1,813
Total miscellaneous cost	0

e. QS/IFQ Designated Beneficiary Form

Quota Share (QS) holders may provide NMFS with the name of a designated beneficiary to receive survivorship transfer privileges in the event of the QS holder’s death. If the QS holder does not leave a surviving spouse, he/she may name an immediate family member to be the beneficiary.

NMFS allows the transfer of IFQ only (lease) of any QS/IFQ transferred to the beneficiary by right of survivorship, for a period of 3 years following the death of the original QS holder. This information is necessary to provide temporary transfer privileges to families of deceased QS holders in the absence of a surviving spouse.

A designated beneficiary form may be submitted to NMFS by mail or delivery. Fax submittal is not acceptable due to the Notary requirements.

QS/IFQ Designated Beneficiary Form

Block A – Identification of QS holder

- Name and NMFS Person ID
- Business mailing address
- Business telephone number, business fax number, and business e-mail address

Block B – Identification of beneficiary

- Name and NMFS person ID
- Business mailing address
- Business telephone number, fax number, and e-mail address+

Block C – Relationship of Beneficiary to QS Holder

- If beneficiary is not the spouse of the QS holder, explain immediate relationship of the beneficiary to the QS holder

Block D – Signature

- Signature and printed name of beneficiary, and date signed
- If authorized representative, **attach** authorization
- Signature, commission expiration date, and stamp or seal of notary public

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45. Changed number of respondents from 500 to current estimate 138.

QS/IFQ Designated Beneficiary Form, Respondent	
Estimated number of respondents	138
Total annual responses	138
Number of responses per year = 1	
Total Time burden	69 hr
Time per response = 30 minutes	
Total personnel cost (\$37/hr x 69)	\$2,553
Total miscellaneous cost (765.90)	\$766
Postage (0.45 x 138 = 62.10)	
Photocopy (0.05 x 2pp x 138 = 13.80)	
Notary (5 x 138 = 690)	

QS/IFQ Designated Beneficiary Form, Federal Government	
Total annual responses	138
Total Time burden	69 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 69)	\$2,553
Total miscellaneous cost	0

f. Application for Transfer of QS/IFQ (includes transfer by sweep-up, see below)

Any person that received Quota Share/Individual Fishing Quota (QS/IFQ) as an Initial Issue or that holds a Transfer Eligibility Certificate (TEC) is eligible to receive QS/IFQ by transfer. An Application for Transfer of QS/IFQ must be approved by NMFS before a person may use IFQ that results from a direct transfer to harvest IFQ halibut or IFQ sablefish. Collectively held QS must be transferred to a qualified individual upon any change in a corporation or partnership.

IFQ resulting from category B, C, or D QS may not be transferred separately from its originating QS, except as provided in 50 CFR 679.41(k).

The IFQ Program does not permit transfer of QS subject to any conditions of repossession or resale to the transferor except by court order, operation of law, or security agreement

The information required by this application is necessary to ensure that QS and IFQ are transferred in compliance with the regulations governing the buying and selling of QS and the leasing of IFQ.

Catcher Vessel CDQ compensation QS. If this is a transfer of Catcher Vessel CDQ compensation QS, there is a **one-time** opportunity at the time of the first transfer to **permanently** designate the catcher vessel category of the QS being transferred. CDQ compensation QS is QS issued as compensation for halibut and sablefish harvest privileges foregone due to the CDQ Program.

Persons issued CDQ compensation QS in a catcher vessel category and in an IFQ regulatory area in which they do not hold QS other than CDQ compensation QS, may use that CDQ compensation QS on any catcher vessel. This exemption from catcher vessel categories ends upon the first transfer of the CDQ compensation QS. CDQ compensation QS being transferred will be permanently assigned to a specific catcher vessel category as designated by the person receiving the transfer.

An application for transfer may be submitted to NMFS by mail or delivery. Fax submittal is not

acceptable due to the Notary requirements.

Application for Transfer of QS and IFQ

Indicate whether transferee has a TEC

Checklist

Use this list to ensure application is complete.

Block A – Identification of Transferor (Seller)

Full name as it appears on QS Holder Summary Report or TEC

NMFS Person ID

Date of birth

Business mailing address (indicate whether permanent or temporary)

Business telephone number, Business fax number, and business e-mail address

Block B – Identification of Transferee (Buyer)

Indicate whether transferee holds a TEC

Name and NMFS Person ID

Date of birth

Business mailing address (indicate whether permanent or temporary)

Business telephone number, business fax number, and business e-mail address

Block C – QS Questions for Transferee

If QS is to be included in a sweep-up, list the QS Group Number on the QS Holder Summary Report into which this new piece should be combined

If this is a transfer of Catcher vessel CDQ compensation QS and the vessel category was never declared, indicate the vessel category in which you would like to have your QS issued

Block D – Identification of QS and IFQ to be Transferred

Complete Block D if QS and IFQ are to be transferred together or to transfer QS only.

Whether Halibut or sablefish

IFQ Regulatory area

Vessel category

Number of QS units to be transferred

Transferor IFQ permit number

Start and end serial numbers of QS to be transferred

Indicate whether all remaining pounds for the current fishing year should be transferred

If NO, number of pounds to be transferred

Block E – Transfer of IFQ only

Complete Block E if requesting transfer of IFQ only (applies only to Category A and surviving Spouse IFQ)

Whether Halibut or sablefish

IFQ regulatory area

Number of units to be transferred

Start and end serial numbers of IFQ to be transferred

Actual number of IFQ pounds

Transferor IFQ permit number

Fishing year

Block F – Required Transferor Supplemental Information

Price per unit of QS

Price per pound of IFQ

Total amount paid for the QS/IFQ, including all fees

Reasons for transferring the QS/IFQ (check all that apply)

If a broker is used for this transaction, enter amount paid in brokerage fees or % of total price

Block G – Required Transferee supplemental information

Indicate whether QS/IFQ being purchased will have a lien attached; **if YES**, name of lien holder

Primary source of financing for this transfer (check one)

How the QS/IFQ was located (check all that apply)

Buyer's relationship to the QS/IFQ Holder (check all that apply)

If agreement exists to return QS/IFQ to Transferor or other person, or a condition placed on resale, explain

Block H – Certification of Transferor

Printed name and signature of Transferor and date signed

If authorized representative, **attach** authorization

Signature, commission expiration date, and stamp of notary

Block I -- Certification of Transferee

Printed name and signature of Transferee and date signed

If authorized representative, **attach** authorization.

Signature, commission expiration date, and stamp of notary

Application for Transfer of QS/IFQ by Sweep-up

This application is for use by a person who wishes to combine (sweep-up) two blocks that are held. Blocked QS may be combined into one block if the total amount of QS being combined is less than or equal to established amounts of QS units per area. To be combined, QS must be in the same vessel category, and the resulting block size must not exceed the sweep-up limits.

An application for transfer may be submitted to NMFS by mail or delivery. Fax submittal is not acceptable due to the Notary requirements.

Application for Transfer of QS/IFQ by Sweep-up

Block A – Applicant information

Applicant name and NMFS Person ID

Date of birth

Business mailing address (indicate whether permanent or temporary)

Business telephone number, business fax number, and business e-mail address

Block B – First Quota Shore Block

Halibut or sablefish

IFQ Regulatory area

Vessel category

Number of QS units to be combined

Start and end serial numbers of QS

Block C – Second Quota share Block

Halibut or sablefish

IFQ Regulatory area

Vessel category

Number of QS units to be combined

Start and end serial numbers of QS

Block F – Certification of QS Holder

Signature and printed name of QS holder and date signed

If authorized representative, attach authorization

Signature, commission expiration date, and stamp of notary public

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45. Changed number of respondents from 603 to current 392.

Application for Transfer of QS and IFQ, Respondent	
Estimated number of respondents	392
Total annual responses	392
Number of responses per year = 1	
Total Time burden	784 hr
Time per response = 2 hr	
Total personnel cost (\$37/hr x 784)	\$29,008
Total miscellaneous cost (2214.80)	\$2,215
Postage (0.45 x 392 = 176.40)	
Photocopy (0.05 x 4 pp x 392 = 78.40)	
Notary (\$5 x 392 = 1960)	

Application for Transfer of QS and IFQ, Federal Government	
Total annual responses	392
Total Time burden (301.50) Time per response = 30 min	196 hr
Total personnel cost (\$37/hr x 196)	\$7,252
Total miscellaneous cost	0

g. Military Transfer Application

The IFQ military transfer is for use by members of the National Guard and military reserves who are mobilized to active duty. This military transfer of annual halibut and sablefish IFQ to other eligible IFQ recipients allows guardsmen and reservists to accrue some economic benefit from their annual IFQ if unable to harvest halibut or sablefish in the event of a military mobilization affecting a QS holder.

A QS holder may apply for a military transfer by submitting an application to the Alaska Region, NMFS. A military transfer will be approved if the QS holder demonstrates that he or she is unable to participate in the IFQ fishery for which he or she holds QS because of a military mobilization or activation to duty status. A QS holder who has received an approved military transfer may transfer the IFQ derived from his or her own QS to an individual eligible to receive IFQ. Any person that received QS/IFQ as an Initial Issuee or that holds a Transfer Eligibility Certificate (TEC) is eligible to receive QS/IFQ by transfer.

To be eligible to receive a temporary military transfer, a QS holder must:

- ◆ Be a member of a branch of the U.S. National Guard or other U.S. military reserve.
- ◆ Possess one or more catcher vessel IFQ permits.
- ◆ Not qualify for a hired master exception under § 679.42(i). Persons who qualify for a hired master exception are ineligible to receive a temporary military transfer.

The original application must be submitted; an application by fax will not be processed.

Military Transfer Application

Block A – Qualifying Questions

Indicate if the Transferor (Military) qualifies for a hired master exception

If YES, the military transfer application will be denied

Indicate if the Transferee (Not Military) holds a Transfer Eligibility Certificate (TEC)

If NO, the transferee (buyer) must apply for a TEC

Block B – Attachments

Use this list to determine necessary attachments

Block C -- Transferor (Seller) Information

Full name as it appears on QS Certificate and/or TEC

NMFS Person ID

Birth date of applicant

Permanent Business Mailing Address: Include street or P.O. Box number, city, state, and zip code

Temporary Business Mailing Address (provide only if you want the transfer documentation sent if some-where other than to the permanent address. Include street or P.O. Box number, city, state, and zip code)

Business telephone number, business fax number (include area codes), and business E-mail address (if any)

Block D -- Transferee (Buyer) Information

Full name as it appears on QS Certificate and/or TEC
NMFS Person ID
Birth date of applicant
Permanent Business Mailing Address: Include street or P.O. Box number, city, state, and zip code
Temporary Business Mailing Address (provide only if you want the transfer documentation sent if some-where other than to the permanent address. Include street or P.O. Box number, city, state, and zip code)
Business telephone number, business fax number, and business E-mail address (if any)

Block E – Identification of IFQ to be Transferred

Indicate whether halibut or Sablefish IFQ
IFQ Regulatory Area
Number of Units
Numbered To and From (Serial Numbers are shown on the QS Certificate)
Actual Number of IFQ Pounds
Transferor IFQ Permit Number
Fishing Year

Block F -- Certification Of Transferor

Printed name and signature of transferor and date signed
If completed by a representative, **attach** authorization
Notary Public Attest, affix Notary Stamp, and provide date commission expires
The Notary Public cannot be the person(s) submitting this application.

Block G - Certification Of Transferee

Printed name and signature of transferor and date signed
If completed by a representative, **attach** authorization
Notary Public Attest, affix Notary Stamp, and provide date commission expires
The Notary Public cannot be the person(s) submitting this application.

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45. Changed number of respondents from 3 to 1, although no transfers were made in this time period.

Military Transfer Application, Respondent	
Estimated number of respondents	1
Total annual responses	1
Number of responses per year = 1	
Total Time burden	2 hr
Time requirement per response = 2 hr	
Total personnel cost (\$37/hr x 2)	\$74
Total miscellaneous cost (5.55)	\$6
Postage 0.45 x 1 = 0.45	
Photocopy (0.05 x 2pp x 1 = 0.10)	
Notary (\$5 x 1 = 5)	

Military Transfer Application, Federal Government	
Total annual responses	1
Number of responses per year = 1	
Total Time burden (0.5)	1 hr
Time requirement per response = 30 min	
Total personnel cost (\$37/hr x 1)	\$37
Total miscellaneous cost	0

1

h. Emergency Medical Transfer Application

QS holders not eligible to hire a Skipper and who (themselves or an immediate family member) have a medical condition preventing them from fishing their catcher vessel IFQ may lease out the IFQ. This provision is intended to allow IFQ to be fished while the QS holder has a short-

term medical condition. For this reason, a written declaration from a medical professional is required, and the number of times a person may use a medical transfer for the same medical condition is limited. In evaluating use of this provision, NMFS considers all transfers of a QS holder's IFQ in the same year for the same medical condition to be one "use" of the provision.

The requirement for an individual IFQ permit holder to be aboard the vessel during fishing operations and to sign the IFQ landing report may be waived. An emergency medical transfer may be approved if the applicant demonstrates that he or she is unable to participate in the IFQ fishery for which he or she holds IFQ:

- ◆ Because of a severe medical condition that precludes participation; or
- ◆ Because of a severe medical condition involving an immediate family member that requires the IFQ holder's full time attendance.

To be eligible to receive an emergency medical transfer, an individual halibut or sablefish QS holder:

- ◆ Must possess one or more catcher vessel IFQ permits.
- ◆ Must not qualify for a hired master exception.

Although small in number, a substantial percentage of persons who have used medical transfers are initial issues of QS not otherwise eligible to use a Hired Master (that is, those who held QS only in 2C or southeast or did not own a suitable vessel).

The original application must be submitted; an application by fax will not be processed.

Emergency Medical Transfer Application

Block A – Qualifying Questions

Indicate if the Transferor (Medical condition) qualifies for a hired master exception

If YES, the medical transfer application will be denied

Indicate if the Transferee (No Medical condition) holds a TEC

If NO, the transferee (buyer) must apply for a TEC

Block B – Attachments

Use this list to determine necessary attachments

Block C -- Transferor Information (Medical Condition)

Name of Transferor

NMFS Person ID

Birth date of applicant

Permanent Business Mailing Address: Include street or P.O. Box number, city, state, and zip code

Temporary Business Mailing Address (provide only if you want the transfer documentation sent if some-where other than to the permanent address. Include street or P.O. Box number, city, state, and zip code)

Business telephone number, business fax number (include area codes), and business E-mail address (if any)

Block D -- Transferee Information (No medical Condition)

Name of Transferee

NMFS Person ID

Birth date of applicant

Permanent Business Mailing Address: Include street or P.O. Box number, city, state, and zip code

Temporary Business Mailing Address (provide only if you want the transfer documentation sent if some-where other than to the permanent address. Include street or P.O. Box number, city, state, and zip code)

Business telephone number, business fax number, and business E-mail address (if any)

Block E – Identification of IFQ to be Transferred

Indicate whether halibut or Sablefish IFQ
 IFQ Regulatory Area
 Number of Units
 Numbered To and From (Serial Numbers are shown on the QS Certificate)
 Actual Number of IFQ Pounds
 Transferor IFQ Permit Number
 Fishing Year

Block F – Transferor Supplemental Information

Price per pound, including fees
 Total amount paid for the IFQ, including fees

Block G – Transferee Supplemental Information

Primary source of financing for this transfer
 Indicate how IFQ was located
 Transferee's relationship to the IFQ Holder

Block H – Medical Declaration

Name of Treating Medical Professional
 Business Telephone Number
 Permanent Business Mailing Address
 Type of Medical Professional
 Description of the medical condition affecting the applicant or applicant's family member
 (**attach** documentation of the medical condition and a description of the care required)
 Signature of Treating Medical Professional and date signed

Block I – Certification Of Transferor

Printed name and signature of transferor and date signed
 If completed by a representative, **attach** authorization
 Notary Public Attest, affix Notary Stamp, and provide date commission expires
 The Notary Public cannot be the person(s) submitting this application.

Block J - Certification Of Transferee

Printed name and signature of transferor and date signed
 If completed by a representative, **attach** authorization
 Notary Public Attest, affix Notary Stamp, and provide date commission expires
 The Notary Public cannot be the person(s) submitting this application.

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45. Changed number of respondents from 70 to current 94.

Emergency Medical Transfer Application, Respondent	
Estimated number of respondents	94
Total annual responses	94
Number of responses per year = 1	
Total Time burden	188 hr
Time requirement per response = 2 hr	
Total personnel cost (\$37/hr x 188)	\$6,956
Total miscellaneous cost (521.70)	\$522
Postage (0.45 x 94 = 42.30)	
Photocopy (0.05 x 2pp x 94 = 9.40)	
Notary (\$5 x 94 = \$470)	

Emergency Medical Transfer Application, Federal Government	
Total annual responses	94
Number of responses per year = 1	
Total Time burden	47 hr
Time requirement per response = 30 min	
Total personnel cost (\$37/hr x 47)	\$1,739
Total miscellaneous cost	0

i. Appeals [REMOVED, no longer applicable]

The Alaska Office of Administrative Appeals is now part of the National Appeals Office (NAO), a division within NMFS Office of Management and Budget. NAO operates out of NOAA's headquarters in Silver Spring, MD and no longer maintains an office in NMFS's Alaska Regional office. NAO is the successor to the Office of Administrative Appeals, Alaska Region, and is charged with processing appeals that were filed with the Office of Administrative Appeals, Alaska Region. The Alaska Region Administrative Appeals website is provided at <http://www.alaskafisheries.noaa.gov/appeals/default.htm>

The appeals process in this program was set up for appealing denial of quota share; quota share is now available only by transfer and appeals are no longer valid.

j. Application for replacement of certificates, permits, or licenses

This form is used to request a replacement for a certificate, permit, or license that was previously issued by NMFS and that subsequently was lost, destroyed, or stolen.

An application for replacement may be submitted to NMFS by mail, delivery, or fax.

Application for replacement of certificates, permits, or licenses

Block A – Identification of Applicant

- Name and NMFS Person ID
- Date of birth, if an individual; Date of incorporation, if a corporation, association, partnership, or other non-individual entity
- Business mailing address (indicate whether temporary or permanent)
- Business telephone number, business fax number, and business e-mail address

Block B – Replacement Request

Indicate certificate, permit, or license to be replaced (check only items that apply)

Block C – Reason for replacement Request

Check one; if checked “other” describe

Block D – Certification of Applicant

- Signature and printed name of applicant and date signed
- If authorized representative, **attach** authorization

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45. Changed number of respondents from 350 to current 25.

Application for Replacement of Certificates, Permits, or Licenses, Respondent	
Estimated number of respondents	25
Total annual responses	25
Number of responses per year = 1	
Total Time burden (12.50)	13 hr
Time per response = 30 min	
Total personnel cost (\$37/hr x 13)	\$481
Total miscellaneous cost (13.75)	\$14
Postage (0.45 x 25 = 11.25)	
Photocopy (0.05 x 2pp x 25 = 2.50)	

Application for Replacement of Certificates, Permits, or Licenses, Federal Government	
Total annual responses	25
Total Time burden (12.50) Time per response = 30 min	13 hr
Total personnel cost (\$37/hr x 13)	\$481
Total miscellaneous cost	0

k. Registered Buyer landings report

A Registered Buyer permit is required for any person who receives IFQ halibut or sablefish or CDQ halibut from the person that harvested the fish. The permit is also required of any person who harvests IFQ halibut or sablefish or CDQ halibut and transfers such fish in a dockside sale, outside of an IFQ regulatory area, or outside of the State of Alaska. Permits are non-transferable, issued annually, on request, and at no cost. Many Registered Buyers hold more than one permit.

Registered Buyers must report IFQ halibut/sablefish landings and CDQ halibut landings to NMFS electronically using eLandings or online eFISH (with permission, a backup paper submission system is available). Real-time accounting of individual harvests contributes significantly to accurate and timely management of each permit holder's IFQ accounts and supports inseason transfers.

The Registered Buyer and the IFQ permit holder, IFQ hired master permit holder, or CDQ hired master permit holder must sign the groundfish IFQ landing receipt within six hours after all IFQ halibut, CDQ halibut, and IFQ sablefish are offloaded from a harvesting vessel and prior to shipment or transfer of the fish from the landing site.

The Registered Buyer must sign and submit a completed landing report within six hours after all IFQ halibut, CDQ halibut, and IFQ sablefish are offloaded from a specific vessel and prior to shipment or transfer of fish from the landing site. The NMFS ID and password are the equivalent of a signature on eFISH.

This report may be submitted online through eFISH at <https://alaskafisheries.noaa.gov/webapps/efish/login> or through eLandings at <https://elandings.alaska.gov/>.

Registered Buyer landing report

- UserID and password of person assigned for that system
- Landing date (mm/dd/yyyy)
- Location (port code) of the landing
- Permit number of the IFQ permit holder, and any IFQ hired master permit holder, or CDQ hired master permit holder harvesting the fish and permit number of Registered Buyer receiving the IFQ halibut, IFQ sablefish, or CDQ halibut.
- Delivery information as reported by the IFQ permit holder, IFQ hired master permit holder, or CDQ hired master permit holder
 - Harvesting vessel's ADF&G vessel registration number
 - Gear code of gear used to harvest IFQ halibut, IFQ sablefish, or CDQ halibut
 - ADF&G fish ticket number(s) for the landing (after the initial eLandings report is submitted, eLandings assigns an ADF&G fish ticket number to the landing report)
 - ADF&G statistical area of harvest

If ADF&G statistical area is bisected by a line dividing two IFQ regulatory areas, provide the IFQ regulatory area of harvest

Initial accurate scale weight(s) (to the nearest pound) made at the time of offloading for IFQ halibut, IFQ sablefish, or CDQ halibut. Includes sold and retained (where retained includes fish intended for personal use, fish weighed and reloaded for delivery to another processor, and fish landed but rejected at the dock by the Registered Buyer).

Species codes, delivery condition code, and disposition code for each ADF&G statistical area of harvest

NOTE: Accurate weight of IFQ sablefish processed product obtained before the offload may be substituted for the initial accurate scale weight at time of offload, if the vessel operator is a Registered Buyer reporting an IFQ sablefish landing

Indicate whether initial accurate scale weight is given with or without ice and slime.

Fish which have been washed prior to weighing or which have been offloaded from refrigerated salt water are not eligible for a 2% deduction for ice and slime and must be reported as fish weights without ice and slime. The 2% deduction is made by NMFS, not the submitter

Indicate whether IFQ halibut is incidental catch concurrent with legal landing of salmon or concurrent with legal landing of lingcod harvested using dinglebar gear

Signatures for IFQ halibut, CDQ halibut, or IFQ sablefish deliveries

Manual landing report

If the Registered Buyer is unable to submit commercial fishery landings of IFQ crab, IFQ halibut, CDQ halibut, or IFQ sablefish due to hardware, software, or Internet failure for a period longer than the required reporting time, or a change must be made to information already submitted, the Registered Buyer must complete an IFQ manual landing report. Manual landing instructions must be obtained from NOAA Office for Law Enforcement (OLE), Juneau, AK, at 800-304-4846 (Select Option 1).

The Registered Buyer must fax the IFQ manual landing report to 907-586-7313.

The Registered Buyer must retain paper copies of IFQ manual landing reports and make them available upon request of NMFS observers and authorized officers.

The Registered Buyer must complete all questions on the manual report, even if only one item has changed. The following additional information is required: whether the report is original or revised, name, telephone number, and fax number of individual submitting the manual landing report.

Manual landing report

Whether manual landing report is original or revised

Date, time, and location (lat and long if at sea) of the IFQ landing

Name of the IFQ hired master and Registered Buyer

Halibut IFQ/CDQ permit number, Sablefish IFQ/CDQ Permit No., and Registered Buyer permit number

Harvesting vessel's name, ADF&G vessel registration number, and gear code

ADF&G statistical area of harvest

For each ADF&G statistical area

Whether ice and slime is present

Whether halibut is incidental

Alaska State fish ticket number(s)

Species code and product code,

Product weight sold (lb), and

Product weight retained (lb)

Registered Buyer signature, fax number, and contact number

IFQ/CDQ hired master's signature

NOAA Enforcement signature

Changed personnel cost from \$25/hr to \$37/hr. Changed postage from 0.44 to 0.45. Changed number of respondents from 476 to current 290.

Registered Buyer Landing Report, Respondent	
Estimated number of Registered Buyers	290
Total annual responses	5,715
IERS = 4532	
NMFS Web = 26	
Manual = 157	
Total Time burden (801.50)	802 hr
Time per response	
Online = 8 min x 5,658 = 754.4	
Manual = 18 min x 157 = 47.10	
Total personnel cost (\$37/hr x 802)	\$29,574
Total miscellaneous cost (1232.75)	\$1,233
Online = 0.05 x 5658 = 282.90	
Fax = \$6 x 157 = 942	
Photocopy fax = 0.05 x 157 = 7.85	

Registered Buyer Landing Report, Federal Government	
Total annual responses	5,715
Total Time burden (571.50)	572 hr
Time for each manual report = 6 min	
Total personnel cost (\$37/hr x 572)	\$21,164
Total miscellaneous cost	0

I. Inactive QS Letter [Removed; one time only]

Inactive quota share (QS) and the associated annual individual fishing quota (IFQ) would be revoked unless the QS holder notifies NMFS in writing that the QS holder either chooses to continue to retain the inactive QS or appeal the determination.

m. IFQ Administrative Waiver

A waiver is completed by OLE, Juneau to document a request for an administrative waiver from one of the following requirements. The waiver is granted at the discretion of the clearing officer:

- ◆ Six-hour Prior of Notice of Landing
Issued to a vessel to land fish before the required 6 hours
- ◆ 12-hour IFQ Landing Window
Issued to a vessel that lands fish after hours: after 1800 and before 0600
- ◆ Electronic Landing Report requirement.
Issued due to eLandings failure
- ◆ IFQ hired master onboard requirement.
Issued for the IFQ hired master to not be on board in extreme personal emergencies

OLE receives a request for an administrative waiver by telephone at a NMFS-provided toll-free number (or, in rare cases, by marine radio) from participants in IFQ fisheries. No form exists for

this waiver.

IFQ Administrative Waiver

Toll-free telephone call' form completed by OLE

- Date and time of waiver
- Vessel name and ADF&G vessel registration number
- All IFQ permit numbers
- Prior Notice confirmation number (if applicable)
- Registered Buyer name and permit number (if applicable)
- Requirement being waived.

Changed personnel cost from \$25/hr to \$37/hr. Changed number of respondents from 800 to 400, based on current numbers.

IFQ Administrative Waiver, Respondent	
Estimated number of respondents	400
Total annual responses	400
Number of responses per year	
Total Time burden	40 hr
Time per response = 6 min	
Total personnel cost (\$37/hr x 40)	\$1,480
Total miscellaneous cost	0

IFQ Administrative Waiver, Federal Government	
Total annual responses	400
Total Time burden	
Time per response = 6 min	40 hr
Total personnel cost (\$37/hr x 40)	\$1,480
Total miscellaneous cost	0

n. Prior Notice of Landing (PNOL)

The operator of any vessel making an IFQ landing must submit a PNOL to OLE, Juneau, AK, no fewer than 3 hours before landing IFQ halibut, CDQ halibut, or IFQ sablefish, unless permission to commence an IFQ landing within 3 hours of notification is granted by a clearing officer.

PNOLs are called into the Data Techs from IFQ permittees. When the system is up and operating, the required information that is provided by the fisher is typed directly into the system and the system generates a PNOL confirmation number. The Data Tech provides that confirmation number to the fisher.

When the system is not up and operating, the Data Tech takes the required information from the fisher and enters it onto a manual PNOL. They give the fisher a manual confirmation number (which is just the next number on the list). When the system comes back up, the data tech enters the information that was previously provided by the fisher into the system.

The PNOL alerts the IPHC monitoring personnel and OLE personnel to legal landings. In addition, the submittal of a PNOL

- ◆ allows monitoring personnel to query the IFQ data center to determine if the permit holder has enough IFQ pounds available in the account to cover the amount being landed, and

- ◆ to afford the opportunity to observe the offload.

Data on gear type are necessary to ensure compliance with the PNOL requirement, because some reporting exemptions are gear-based.

- ◆ Halibut. An IFQ landing of halibut of 500 lb or less of IFQ weight and concurrent with a legal landing of salmon harvested using hand troll gear or power troll gear is exempt from the PNOL
- ◆ Lingcod. An IFQ landing of halibut of 500 lb or less of IFQ weight and concurrent with a legal landing of lingcod harvested using dinglebar gear is exempt from the PNOL.

IPHC uses gear type data to assist with harvest monitoring. NMFS and the Council use gear information to project bycatch rates for non-IFQ fish in the IFQ fishery and support analyses for seasonal apportionments and other allocation proposals.

The operator of any vessel wishing to make an IFQ landing before the date and time (A.l.t.) reported in the PNOL or later than 2 hours after the date and time (A.l.t.) reported in the PNOL must submit a new PNOL. No form exists for this item.

Prior notice of landing (PNOL)

Toll-free telephone call to OLE

Vessel name and ADF&G vessel registration number

Landing information

Port of landing and port code (Tables 14a and 14b to CFR part 679)

Exact location of landing within the port

(dock name, harbor name, facility name, or geographical coordinates)

Date and time that the landing will take place

Species and estimated weight (lb) of IFQ halibut, CDQ halibut or IFQ sablefish to be landed

IFQ regulatory area(s) in which IFQ halibut, CDQ halibut, or IFQ sablefish were harvested

IFQ permit number(s) used to land the IFQ halibut, CDQ halibut, or IFQ sablefish

IFQ regulatory area(s) in which the IFQ halibut or IFQ sablefish were harvested;

IFQ or CDQ permit number(s) that will be used to land the IFQ halibut or IFQ sablefish

Gear type reported by the hired master

Changed personnel costs from \$25/hr to \$37/hr. Changed number of respondents from 2977 to 100.

Prior notice of landing, Respondent	
Estimated number of respondents	100
Total annual responses	400
Number of responses per year = 4	
Total Time burden	80 hr
Time per response = 12 min	
Total personnel cost (\$37/hr x 80)	\$2,960
Total miscellaneous cost	0

Prior notice of landing, Federal Government	
Total annual responses	400
Total Time burden	80 hr
Time per response = 12 min	
Total personnel cost (\$37/hr x80)	\$2,960
Total miscellaneous cost	0

o. IFQ Departure Report

The IFQ Departure Report is submitted only after completion of all IFQ fishing and prior to departing the waters of the EEZ adjacent to the jurisdictional waters of the State of Alaska, the territorial sea of the State of Alaska, or the internal waters of the State of Alaska. If intending to make an IFQ landing at any location other than in an IFQ regulatory area or in the State of Alaska, the vessel operator must submit an IFQ Departure Report to OLE by toll-free telephone. A vessel operator submitting an IFQ Departure Report

- ◆ for CR crab must have a Registered Crab Receiver permit.
- ◆ for IFQ halibut, CDQ halibut, or IFQ sablefish must have a Registered Buyer permit and must submit IFQ landing reports for all IFQ halibut, CDQ halibut, and IFQ sablefish on board at the same time and place as the first landing of any IFQ halibut, CDQ halibut, or IFQ sablefish.

A vessel operator who intends to make an IFQ landing at a location different from the location named on the IFQ Departure report must submit a revised report naming the new location at least 12 hours in advance of the offload. Revisions must be submitted by telephone, to OLE, Juneau, AK (800-304-4846 or 907-586-7163) between the hours of 0600 hours, Alaska local time (A.l.t.), and 2400 hours, A.l.t.

IFQ Departure report

- Intended date, time (Alaska local time), and location of landing
- Vessel name and ADF&G vessel registration number
- Halibut IFQ, halibut CDQ, sablefish IFQ, and CR crab permit numbers of IFQ and CDQ permit holders on board
- Vessel operator's name
- Registered Buyer permit or Registered Crab Receiver permit number
- Area of harvest.
 - If IFQ or CDQ halibut, provide halibut regulatory areas (Figure 15 to 50 CFR part 679)
 - If IFQ sablefish, provide sablefish regulatory areas (Figure 14 to 50 CFR part 679)
 - If CR crab, provide CR fishery code (Table 1 to part 680)
- Estimated total weight as appropriate of IFQ halibut, CDQ halibut, IFQ sablefish, or CR crab on board (lb/kg/mt)

Changed personnel costs from \$25/hr to \$37/hr. Changed number of respondents from 75 to 25.

IFQ Departure Report, Respondent	
Estimated number of respondents	25
Total annual responses	25
Number of responses = 1	
Total Time burden (6.25)	6 hr
Time per response = 15 min	
Total personnel cost (\$37/hr x 6)	\$222
Total miscellaneous cost	0

IFQ Departure Report, Federal Government	
Total annual responses	25
Total Time burden (6.25) Time per response = 15 min	6 hr
Total personnel cost (\$37/hr x 6)	\$222
Total miscellaneous cost	0

p. Transshipment Authorization

No person may transship processed IFQ halibut, CDQ halibut, IFQ sablefish, or CR crab between vessels without authorization by a local clearing officer. Authorization from a local clearing officer must be obtained for each instance of transshipment at least 24 hours before the transshipment is intended to commence.

The authorization is requested from OLE by telephone at 800-304-4846. The request must be made at least 24 hr before the transshipment is intended to commence.

To obtain a Transshipment Authorization, the vessel operator must provide the following information to the clearing officer.

Transshipment authorization

- Time and date of transshipment
- Location of transshipment
- Name and ADF&G vessel registration number of vessel offloading transshipment
- Name of vessel receiving the transshipment
- Product destination
- Species code and product type code
- Total product weight
- Time (A.l.t.) and date of request
- Name, telephone number, fax number for person making the request

Changed personnel costs from \$35/hr to \$37/hr. Changed number of respondents from 20 to 1.

Transshipment Authorization, Respondent	
Estimated number of respondents	1
Total annual responses	1
Number of responses per year = 1	
Total Time burden (0/2) Time per response = 12 min	1 hr
Total personnel cost (\$37/hr x 4)	\$37
Total miscellaneous cost	0

Transshipment Authorization, Federal Government	
Total annual responses	1
Total Time burden (0.2) Time per response = 12 min	1 hr
Total personnel cost (\$37/hr x 1)	\$37
Total miscellaneous cost	0

q. Dockside sales receipt

The purpose of reporting the amount of IFQ fish involved in a dockside sale is to provide OLE with the ability to monitor and inspect the shipment of IFQ fish to determine whether there was proper accounting for all IFQ fish landed.

A person holding a valid IFQ permit, or IFQ hired master permit, and a Registered Buyer permit may conduct a dockside sale of IFQ halibut or IFQ sablefish with a person who has not been issued a Registered Buyer permit after all IFQ halibut and IFQ sablefish have been landed and reported.

A person holding a valid halibut CDQ hired master permit and Registered Buyer permit may conduct a dockside sale of CDQ halibut with a person who has not been issued a Registered Buyer permit after all CDQ halibut have been landed and reported.

A Registered Buyer conducting dockside sales must issue a receipt to each individual receiving IFQ halibut, CDQ halibut, or IFQ sablefish in lieu of a product transfer report (see OMB Control No. 0648-0213).

Dockside sales receipt

- Date of sale
- Registered buyer permit number
- Weight by product of the IFQ halibut, CDQ halibut, or sablefish IFQ transferred

Changed personnel costs from \$25/hr to \$37/hr. Changed number of respondents from 250 to 200.

Dockside Sales Receipt, Respondent	
Estimated number of respondents	200
Total annual responses	1,000
umber of responses per year = 5	
Total Time burden	100 hr
Time per response = 6 min	
Total personnel cost (\$37/hr x 50)	\$1,850
Total miscellaneous cost	0

Dockside Sales Receipt, Federal Government	
Total annual responses	0
Total Time burden	0
Total personnel cost	0
Total miscellaneous cost	0

1It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information. NOAA Fisheries will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to [Section 515 of Public Law 106-554](#).

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

Forms and applications are “fillable” on the computer screen at the NMFS Alaska Region Home Page at www.alaskafisheries.noaa.gov, except for those forms completed by OLE officers via the telephone. These forms and applications may be completed on the computer screen by the participant, downloaded, printed, and faxed to NMFS.

4. Describe efforts to identify duplication.

None of the information collected as part of this information collection duplicates other collections. This information collection is part of a specialized and technical program that is not like any other.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

NMFS has defined all IFQ halibut vessels as small businesses, for the purpose of this analysis. This collection of information does not impose a significant impact on small entities

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

Without the specified reporting scheme described in this Support Statement, the IFQ Program would be unable to proceed.

The lack of adequate information to manage the IFQ program would result in the fishery management decision-making process being less objective, more political, and potentially less equitable. This would decrease the credibility of the fishery management process and result in an unnecessarily costly and ineffective management system. The cost of making decisions based on inadequate information would adversely affect the viability of the IFQ fishing industry.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

Not Applicable

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

A Federal Register notice was published August 15, 2014 (79 FR 48123) to solicit public comments on the information collection. No comments were received.

In addition, a questionnaire was sent to selected permit holders to solicit comments. Twenty-seven surveys were sent 12/9/2014. AKR did not receive any responses. Ten additional surveys were sent to a new list of permit holders on 12/30/2014. One email address was returned for bad address. AKR received one response, which is summarized below. Of the fifteen forms listed, the respondent uses three.

RESPONSES	
Application for IFQ/CDQ Registered Buyer Permit	
1. Are the data requested easily available?	YES
2. Is this process easy to understand and to apply for?	YES
3. We estimate it takes 30 minutes for your office personnel to complete this application. Is this time accurate and reasonable?	YES
4. We estimate that personnel costs to complete and submit the application are \$37/hour. Is this cost accurate and reasonable?	YES
5. Does someone other than your office personnel complete the application?	NO
6. We estimate that miscellaneous costs include \$0.05 to submit online, \$7 to mail or fax the application, and \$0.10 to copy the application and attachments. Do you agree?	YES
7. Do you agree with the frequency of collection, which is annual?	No comment
8. Do you believe that this application has practical utility?	No comment
9. Do you have suggestions on ways to enhance the quality and clarity of the information to be collected?	NO
10. Do you have suggestions on ways to minimize the burden of completing this application?	NO
11. Please provide any additional comments on any aspect of the IFQ Program.	No comment

Registered Buyer Landing Report Halibut & Sablefish IFQ/CDQ	
1. Are the data requested easily available?	YES
2. Are the requirements for this report easy to understand?	YES
3. We estimate it takes 8 minutes online and 18 minutes paper for your office personnel to complete this report. Is this time accurate and reasonable?	YES
4. We estimate that personnel costs to complete and submit the report are \$37/hour. Is this cost accurate and reasonable? If NO, explain.	YES
5. Does someone other than your office personnel complete the report?	NO
6. We estimate that miscellaneous costs include \$0.05 to submit online, \$6 to fax the report, and \$0.05 to copy the report. Do you agree? COMMENT There is the time required to submit report but then there is a cost to the time required to wait for NMFS officer to respond and the data clerks to sign and fax back that can take up to six hours. OH! Also sometimes need to get a permit holder signature and that can take days.	NO Response: These costs in the question are for recordkeeping/reporting , not labor. Labor costs are acknowledged.
7. Do you agree with the frequency of collection, which is as needed?	YES
8. Do you believe that this report has practical utility?	YES
9. Do you have suggestions on ways to enhance the quality and clarity of the information to be collected?	NO
10. Do you have suggestions on ways to minimize the burden of completing this report?	NO
11. Please provide any additional comments on any aspect of the IFQ Program.	No comment

Prior Notice of Landing (PNOL)	
1. Are the data requested easily available?	YES
2. Are the requirements for this report easy to understand?	YES
3. We estimate it takes 12 minutes by telephone for your office personnel to complete this report. Is this time accurate and reasonable?	YES
4. We estimate that personnel costs to complete and submit the report are \$37/hour. Is this cost accurate and reasonable?	YES
5. Does someone other than your office personnel complete the report?	NO
6. We estimate that miscellaneous costs are minimal to call in the report. Do you agree?	YES
7. Do you agree with the frequency of collection, which is as needed?	YES
8. Do you believe that this report has practical utility?	YES
9. Do you have suggestions on ways to enhance the quality and clarity of the information to be collected?	NO
10. Do you have suggestions on ways to minimize the burden of completing this report?	NO
11. Please provide any additional comments on any aspect of the IFQ Program.	No comment

RESPONSE = DO NOT USE
Application for IFQ/CDQ Hired Master Permit
Application for Replacement of Certificates or Permits
Application for Eligibility to Receive QS/IFQ
Application for Transfer of QS/IFQ
QS/IFQ Beneficiary Designation Form
Application for Transfer of QS/IFQ by Self Sweep-Up
Application for Temporary Military Transfer of IFQ
Application for Emergency Medical Transfer of IFQ
Quota Share Holder: Identification of Ownership Interest
Administrative Waiver
IFQ Departure Report
Dockside Sales Receipt

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payment or gift will be provided under this program.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

The information collected is confidential under section 303(d) of the Magnuson-Stevens Act (16 U.S.C. 1801 *et seq.*); and also under [NOAA Administrative Order \(AO\) 216-100](#), which sets forth procedures to protect confidentiality of fishery statistics. Assurance of confidentiality under these authorities is stated on all forms.

A Privacy Act System of Records Notice, COMMERCE/NOAA System-19, Permits and Registrations for United States Federally Regulated Fisheries, was published in the Federal

Register on April 17, 2008 (73 FR 20914).

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

This information collection does not involve information of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

Estimated total respondents: 1639, down from 1,686. Estimated total responses: 9,797, down from 24,881. Estimated total burden hours: 3,162, down from 8,870. Estimated total personnel costs: \$115,144, down from \$ 212,771. Personnel labor costs are estimated to the average wage equivalent to a GS-9 employee in Alaska, including COLA, at \$37 per hour.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).

Estimated total miscellaneous costs: \$6,704, down from \$13,264.

14. Provide estimates of annualized cost to the Federal government.

Estimated total responses: 8,786, down from 23,631. Estimated total burden hours: 1,909 hr, down from 4,300 hr. Estimated total personnel costs: \$ 59,940, down from \$ 108,096. Personnel labor costs are estimated to the average wage equivalent to a GS-9 employee in Alaska, including COLA, at \$37 per hour.

15. Explain the reasons for any program changes or adjustments.

Adjustments are necessary as follows due to differences in numbers of respondents and in miscellaneous costs:

Application for Eligibility to receive QS/IFQ (TEC)

- a decrease of 448 respondents and responses, 22 instead of 470
- a decrease of 896 hr burden, 44 instead of 940 hr
- a decrease of \$21,872 personnel costs, \$1,628 instead of \$23,500
- a decrease of \$2,504 miscellaneous costs, \$123 instead of \$2,627

QS Holder Form: Identification of Ownership Interest

- a decrease of 6 respondents and responses, 160 instead of 166
- a decrease of 12 hr burden, 320 instead of 332 hr
- an increase of \$3,540 personnel costs, \$11,840 instead of \$8,300
- a decrease of \$32 miscellaneous costs, \$888 instead of \$920

Application for Hired Master Permit

- an increase of 577 respondents and responses, 1,327 instead of 750
- an increase of 289 hr burden, 664 instead of 375 hr

an increase of \$4,500 personnel costs, \$13,875 instead of \$9,375
a decrease of \$365 miscellaneous costs, \$880 instead of \$1,245

Application for Registered Buyer Permit

a decrease of 155 respondents, 290 instead of 445
a decrease of 348 responses, 97 instead of 445
a decrease of 174 hr burden, 49 instead of 223 hr
a decrease of \$3,762 personnel costs, \$1,813 instead of \$5,575
a decrease of \$317 miscellaneous costs, \$57 instead of \$374

Application for Transfer of QS/IFQ

a decrease of 211 respondents and responses, 392 instead of 603
a decrease of 422 hr burden, 784 instead of 1,206 hr
a decrease of \$1,142 personnel costs, \$29,008 instead of \$30,150
a decrease of \$1,186 miscellaneous costs, \$2,215 instead of \$3,401

Application for Military Transfer

a decrease of 2 respondents and responses, 1 instead of 3
a decrease of 4 hr burden, 2 instead of 6 hr
a decrease of \$76 personnel costs, \$74 instead of \$150
a decrease of \$11 miscellaneous costs, \$6 instead of \$17

Application for Emergency Medical Transfer

an increase of 24 respondents and responses, 94 instead of 70
an increase of 48 hr burden, 188 instead of 140 hr
an increase of \$3,456 personnel costs, \$6,956 instead of \$3,500
an increase of \$134 miscellaneous costs, \$522 instead of \$388

Application for Replacement of certificates, permits, or licenses

A decrease of 325 respondents and responses, 25 instead of 350
a decrease of 162 hr burden, 13 instead of 175 hr
a decrease of \$3,894 personnel costs, \$481 instead of \$4,375
an increase of \$134 miscellaneous costs, \$14 instead of \$189

QS/IFQ Designated Beneficiary Form

a decrease of 362 respondents and responses, 138 instead of 500
a decrease of 181 hr burden, 69 instead of 250 hr
a decrease of \$3,697 personnel costs, \$2,553 instead of \$6,250
a decrease of \$2,004 miscellaneous costs, \$766 instead of \$2,770

Registered Buyer Landing Report

a decrease of 186 respondents, 290 instead of 476
a decrease of 1,623 responses, 5,715 instead of 7,338
a decrease of 1,399 hr burden, 802 instead of 2,201 hr
a decrease of \$25,451 personnel costs, \$29,574 instead of \$55,025
a decrease of \$33 miscellaneous costs, \$1,233 instead of \$1,267

IFQ Administrative Waiver

a decrease of 400 respondents and responses, 400 instead of 800
a decrease of 40 hr burden, 40 instead of 80 hr

an increase of \$520 personnel costs, \$1,480 instead of \$2,000

Prior Notice of Landing

a decrease of 2,877 respondents, 100 instead of 2,977
a decrease of 11,508 responses, 400 instead of 11,908
a decrease of 2,302 hr burden, 80 instead of 2,382 hr
a decrease of \$56,590 personnel costs, \$2,960 instead of \$59,550

Departure Report

a decrease of 50 respondents and responses, 25 instead of 75
a decrease of 13 hr burden, 6 instead of 19 hr
a decrease of \$253 personnel costs, \$222 instead of \$475

Transshipment Authorization

a decrease of 19 respondents and responses, 1 instead of 20
a decrease of 3 hr burden, 1 instead of 4 hr
a decrease of \$63 personnel costs, \$37 instead of \$100

Dockside Sales Receipt

a decrease of 50 respondents, 200 instead of 250
a decrease of 250 responses, 1,000 instead of 1,250
a decrease of 25 hr burden, 100 instead of 125 hr
a decrease of \$1,275 personnel costs, \$1,850 instead of \$3,125

Program Changes

Appeals [Removed]

a decrease of 1 respondent and response, 0 instead of 1
a decrease of 4 hours, 0 instead of 4
a decrease of \$100 personnel costs, \$0 instead of \$100
a decrease of \$1 miscellaneous costs, \$0 instead of \$1

Letter to Retain Inactive QS [Removed]

a decrease of 132 respondents and responses, 0 instead of 132
a decrease of 33 hr burden, 0 instead of 33 hr
a decrease of \$1,221 personnel costs, 0 instead of \$1,221
a decrease of \$65 miscellaneous costs, 0 instead of \$65

16. For collections whose results will be published, outline the plans for tabulation and publication.

The information collected will not be published.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

The OMB control number and the expiration date are not found on the Administrative Waiver, PNOL, Departure Report, Transshipment Authorization, and Dockside Sales Receipt which are

submitted by telephone.

18. Explain each exception to the certification statement.

Not Applicable.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection does not employ statistical methods.