

DEPARTMENT OF HOMELAND SECURITY  
U.S. Coast Guard  
**DISTRICT FIVE AUXILIARY SAFETY SURVEY**

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The Coast Guard estimates that the average burden for this report is 10 minutes. You may submit any comments concerning the accuracy of this estimate or any suggestions for reducing the burden to: Commandant (CG-WWM-3), U.S. Coast Guard, Washington, D.C. 20593-0001 or Department of Homeland Security Desk Officer, Office of Management and Budget, Office of Information and Regulatory Affairs, Washington, D.C. 20503.

### **Privacy Act Notice**

**Authority:** 33 U.S.C. §1226 authorizes the collection of this information.

**Purpose:** The Coast Guard will use this information to enhance the safety of USCG Auxiliaries operations. This safety survey will be limited to data collection that solicits strictly voluntary opinions and will not collect information which is required or regulated.

**Routine Uses:** The information will be used by and disclosed to Coast Guard personnel and Auxiliaries who need the information to assist in activities related to Coast Guard operations.

**Disclosure:** Furnishing this information is voluntary.

DEPARTMENT OF HOMELAND SECURITY

U.S. Coast Guard District Five

2014 Auxiliary Safety Survey

GERNERAL Auxiliary Audience—Purpose: Measure the Safety Culture.

**Directions:** Please answer the following statements with “Strongly Disagree”, “Disagree”, “Neutral”, “Agree”, “Strongly Agree”. Results are anonymous, and you may answer as few or as many questions as you would like. There will be opportunity for written comments at the end of the survey as well as contact information if you would like to discuss an issue or recommend a change to policy and procedure. The information will be used as a baseline to identify trends that need to be addressed in future training, policy or procedures to enhance “Just Safety Culture”.

**“Just Safety Culture”:**

*A just culture refers to a way of safety thinking that promotes a questioning attitude, is resistant to complacency, is committed to excellence, and fosters both personal accountability and unit self-regulation.*

## **AUXILIARY LEADERSHIP**

Leaders set a good example for following the rules and meet the standards.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

Leaders/supervisors encourage reporting safety concerns.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

When a safety concern is identified, the leadership responds to eliminate or mitigate the hazard.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

Safety conscious decision making is positively recognized by leadership.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

Those who provide my mission tasking effectively apply Operational Risk Management "ORM" principles, and make prudent risk vs gain decisions.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

## **OPERATIONS**

Standards (SOP's, COMDT policies, etc) are clearly defined for the job I do.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

Crew members follow the rules and meet the safety standards.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

I have adequate time to prepare for and brief my missions.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

Adequate numbers of crew are assigned to the asset for the mission.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

Unqualified crew members are frequently assigned to the mission.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

All crew maintain a situational awareness for deteriorating conditions such as weather, illness or fatigue.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

Crews are encouraged to submit and discuss facility maintenance concerns.

\_\_\_ **Strongly Disagree**; \_\_\_ **Disagree**; \_\_\_ **Neutral** \_\_\_ **Agree** \_\_\_ **Strongly Agree**

## **PERSONAL OPINION**

Effective communication exists within my flotilla.

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

There are communications to keep me well-informed of important safety information.

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

My opinions are given consideration.

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

I feel supported and empowered to make operational decisions.

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

Individuals are comfortable reporting safety concerns, unsafe behaviors, or hazardous conditions.

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

I am able to perform my job without distractions or interference.

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

I have not been properly trained to use personal protective equipment (PPE).

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

Conflicts between individuals are impacting performance.

☐ Strongly Disagree; ☐ Disagree; ☐ Neutral ☐ Agree ☐ Strongly Agree

I have had a crew member that routinely presents a safety risk ? ☐yes ☐no.

If yes, check any condition that applies: ☐Hearing ☐Vision ☐Experience ☐Mobility ☐Cognitive Issues  
☐Interpersonal Skills ☐Other

USCG Auxiliary safety culture is effective.

\_\_\_ Strongly Disagree; \_\_\_ Disagree; \_\_\_ Neutral \_\_\_ Agree \_\_\_ Strongly Agree

The USCG perception of Auxiliary completed missions is a high quality standard of performance, proficiency, and professionalism.

\_\_\_ Strongly Disagree; \_\_\_ Disagree; \_\_\_ Neutral \_\_\_ Agree \_\_\_ Strongly Agree

**Safety Posture All Participants:** The next question is a very general question and requires some careful consideration.

If you choose "Increased": Answer Section One

If you choose "Decreased": Answer Section Two

If you choose "Remained the same": Answer Section Three.

Over the past year I believe the mishap potential has: \_\_\_Increased \_\_\_ Decreased \_\_\_Remained the same \_\_\_

Section One:

If you answered "Increased", rate the importance from those most important as "1" to least important "10".

- ☐ Lack of training
- ☐ Fatigue
- ☐ Failure to maintain facility
- ☐ Lack of Team Coordination (TCT) and/or Crew Resource Management (CRM)
- ☐ Lack supporting leadership
- ☐ Failure to adhere to existing policy and procedure
- ☐ Policy and procedure not adequate
- ☐ Lack of safety equipment
- ☐ Failure to maintain safety equipment
- ☐ Other

Section Two:

If you answered "Decreased", to what would you attribute the success? Check all that apply and rate in order of importance from "1" being highest to "10" being lowest.

- ☐ Training
- ☐ Adequate rest
- ☐ Adequate maintenance
- ☐ Good Team Coordination (TCT) and/or Crew Resource Management (CRM)
- ☐ Supportive leadership
- ☐ Adherence to policy and procedure
- ☐ Proper safety equipment
- ☐ Proper maintenance of safety equipment
- ☐ Other



Section Three:

If you answered "Remained the same", how could the operation be improved? Check all that apply and rate the importance from "1" being the highest to "10" being the lowest.

- ☐ Training
- ☐ Duty time
- ☐ Maintenance
- ☐ Leadership
- ☐ Change in policy and procedure
- ☐ Safety equipment
- ☐ Maintenance of safety equipment
- ☐ Crew Good Team Coordination (TCT) and/or Crew Resource Management (CRM)
- ☐ Other

## **DEMOGRAPHIC INFORMATION**

Please enter your:

Region: ☐ 5NR ☐ 5SR ☐ Coastal ☐ River ☐ Lake ☐ Bay

Age: ☐ 17-30 ☐ 31-45 ☐ 46-60 ☐ > 61 yrs

Years of Membership as an Auxiliarist: ☐ <5 ☐ 6-10 ☐ 11-15 ☐ >16 yrs

Activity as a boat crew/coxswain member: ☐ Yes, ☐ No

Activity as a member on air patrols: ☐ Yes ☐ No

**Please write a short answer to the following two questions:**

What safety related area does your Aux unit excel?

If I could change one thing in my aux function to affect safety what would it be?

If you would like to personally discuss, report, or recommend a safety issue, please complete the following with your contact information and someone will get back to you as soon as possible:

Phone:

Email:

FAX:

Messages:

Thank you for your input; your contribution is making a difference!