

**SUPPORTING STATEMENT
CERTIFICATE OF ORIGIN
1651-0016**

- 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

CBP Form 3229, Certificate of Origin, is used by shippers and importers to declare that goods being imported into the United States are produced or manufactured in a U.S. insular possession from materials grown, produced or manufactured in such possession. This form includes a list of the foreign materials in the goods, including their description and value. CBP Form 3229 is used as documentation for goods entitled to enter the U.S. free of duty. This form is authorized by General Note 3(a)(iv) of the Harmonized Tariff Schedule of the United States (19 U.S.C. 1202) and is provided for by 19 CFR Part 7.3. CBP Form 3229 is accessible at http://forms.cbp.gov/pdf/CBP_Form_3229.pdf

- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

CBP Form 3229 helps CBP determine whether or not imported merchandise is exempt from duty under the applicable statutory provisions.

- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g. permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

This information will be required to be submitted through the ACE Document Imaging System as of November 1, 2015.

- 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.**

The information collection is unique and is not duplicated elsewhere.

- 5. If the collection of information impacts small businesses or other small entities describe any methods used to minimize burden.**

This collection of information does not have a significant impact on small businesses or other entities.

6. Describe consequences to Federal program or policy activities if the collection is not conducted or is conducted less frequently.

If this information were not collected, CBP would have no means of verifying the claim for duty free treatment under the applicable statutory provisions.

7. Explain any special circumstances.

This information collection is conducted in a manner consistent with the guidelines in 5 CFR 1320.5(c)(2).

8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB.

Public comments were solicited through two Federal Register notices including a 60-day notice published on October 31, 2014 (Volume 79, Page 64826) on which one comment was received, and a 30-day notice published on January 21, 2015 (Volume 80, Page 2960) on which no comments have been received.

In addition, a Final Rule was published on February 11, 2015 (Volume 80, Page 7537) which removed the CBP Officer signature from Form 3229 because public comments had indicated that it was difficult to obtain this signature. Also this Final Rule reduced the time per response from 22 minutes to 20 minutes which is reflected in this ICR.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

There is no offer of a monetary or material value for this declaration.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

All data submitted and entered into ACE is subject to and protected by the Trade Secrets Act (18 U.S.C. 1905) and is considered confidential, except to the extent as otherwise provided by law. A PIA for the Automated Commercial Environment (ACE) dated July 31, 2015, and a SORN for ACE, dated January 16, 2006 (Vol. 71, Page 3109) will be included in this ICR.

11. Provide additional justification for any questions of a sensitive nature

There are no questions of a personal or sensitive nature on this declaration.

12. Provide estimates of the hour burden of the collection of information.

TOTAL ANNUAL BURDEN HOURS	NO. OF RESPONDENTS	NO. OF RESPONSES PER RESPONDENT	TOTAL RESPONSES	TIME PER RESPONSE
746	113	20	2,260	20 minutes

*The Final Rule that was published on February 11, 2015 allows claimants to keep Form 3229 in their files and only submit it upon request by CBP. It is anticipated that about one percent of the forms completed will actually be submitted to CBP (23 forms). Generally CBP would only request submission if there are any issues with a respondent's eligibility for duty-free treatment.

Public Cost

The estimated cost to the respondents is estimated at \$21,261. This is based on the estimated burden hours (746 multiplied (x) the average hourly rate (\$28.50).

13. Provide an estimate of the total annual cost burden to respondents or recordkeepers resulting from the collection of information.

There are no capital or start-up costs associated with this information collection.

14. Provide estimates of annualized cost to the Federal Government.

The estimated annual cost to the Federal Government associated with the review of these records is \$223.76. This is based on the number of responses (23) that must be reviewed (x) the time to review and process each response (.166 hours) = 4 hours (x) the average hourly rate (\$55.94) = \$223.76.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of this Statement.

The burden hours were decreased from 814 to 746 hours as a result of the removal of the CBP officer's signature in accordance with the Final Rule, published on February 11, 2015 which decreased the time per response from 22 minutes to 20 minutes.

16. For collection of information whose results will be published, outline plans for tabulation, and publication.

This information will not be published for statistical purposes.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

CBP will display the expiration date for OMB approval of this information collection.

18. Explain each exception to the certification statement identified in Item

CBP does not request an exception to the certification of this information collection.

B. Collection of Information Employing Statistical Methods

No statistical methods were employed.