Economic Data Collection (EDC) Form



WEST COAST GROUNDFISH FIRST RECEIVER AND SHOREBASED PROCESSOR 2014

NOAA Fisheries - Northwest Fisheries Science Center

Who is responsible for submitting:

- All owners of a first receiver site license in 2014; or
- All owners and lessees of a shore-based processor (as defined under "processor" at §660.11, subpart C, for purposes of EDC) that received round or headed-and-gutted IFQ species groundfish or whiting from a first receiver in 2014.
- A separate EDC form is required for each processing facility.

Complete all questions. If a question is not applicable, write "NA" in the answer box. The survey will not be considered complete unless there is an answer to every question.

Submit by September 1, 2015.

Paper submission: Completed and signed EDC forms must be mailed and postmarked by, or handdelivered to NMFS no later than September 1, 2015. Mail or deliver to

Economic Data Collection Program (FRAM Division) Northwest Fisheries Science Center 2725 Montlake Boulevard East Seattle, WA 98112

Web form submission: Completed EDC web forms must be submitted electronically and the signature page faxed, or hand-delivered, or mailed and postmarked no later than September 1, 2015. Mail or deliver to address above. Fax to (206) 861-8225.

Retain a copy. Retain a copy of the completed form.

More information: www.nwfsc.noaa.gov/edc.

Questions: Visit the website above or contact Erin Steiner at (866) 791-3726 or <u>NWFSC.EDC@noaa.gov</u>

Public Reporting Burden Statement

Public reporting burden for this collection of information is estimated to take 20 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Erin Steiner, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

Additional Information

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.

I. Contact Information and Facility Characteristics

1. Provide the buyer identification number issued by the state fish and game agencies associated with this entity or facility. Enter "NA" if this is a processing facility that does not have a buyer identification number.

Processor/Buyer IDs		
Washington Department of Fish and Wildlife		
Oregon Department of Fish and Wildlife		
California Department of Fish and Game		

2. Provide the following information about this receiving or processing facility.

Name of Facility		First Receiver Site License Number for 2014	
Business Mailing Address		Business Phone	
Street / PO Box		()	
		Business Fax	
		()	
City	State	Zip Code	Business Email

3. Individual completing this report. If your address, phone, and email are provided above, you do not need to repeat them here, but please provide your name and title.

Name			Tit	le
Business Mailing Address Street / PO Box				Business Phone () Business Fax ()
City	State	Zip Code		Business Email

4. Answer the following questions related to the most recent appraisal of this facility.

What was the year of this facility's last appraisal?	уууу
What was the <i>market value</i> of this facility from the appraisal, rounded to the nearest 100 dollars?	\$
What was the <i>replacement value</i> of the facility from the appraisal, rounded to the nearest 100 dollars?	\$
Did the survey values given above include the value of all processing equipment [*] contained on-site?	Yes No

5. For the remainder of the survey, report values from your 2014 fiscal year. When did this facility's 2014 fiscal year begin?

/	/	/	
mm	dd	уууу	

Please report values from your 2014 fiscal year for the remainder of this survey.

II. Capitalized Expenditures

6. Provide the 2014 **capitalized expenditures** associated with the facility buildings, machinery, and equipment. Round all answers to the nearest 100 dollars.

Capitalized Expenditure Category	Total Capitalized Expenditures
Capitalized expenditures on buildings (exclude land but include building improvements)	\$
Capitalized expenditures on new and used machinery and equipment (include only equipment used to process [*] , transport [†] on-site, or store [‡] fish on-site)	\$

III. Employees and Payroll

^{*} *Processing equipment:* All equipment present at this physical location that is used for preparation or packaging of seafood to render it suitable for human consumption, retail sale, industrial uses or long-term storage, including, but not limited to, cooking, canning, smoking, salting, drying, filleting, freezing, or rendering into meal or oil.

[†] *Transportation equipment:* Equipment such as trucks, forklifts, etc. used to transport seafood within this physical location.

⁺ Storage equipment: Equipment present at this physical location for packaging and freezing of seafood.

Provide the following information about the number of employees and wages. Include full and part-time employees and temporary employees working at this facility. The information is requested separately for production workers and all other employees, which are defined below.

7. Provide the number of **production workers** in the following table. **Production workers** include those workers at this facility (up through and including the line-supervisor level) engaged in fabricating, processing, assembling, inspecting, receiving, packing, warehousing, shipping, maintenance, repair, janitorial, product development, or transporting product on-site. If your fiscal year does not follow the calendar year, use the month that corresponds with your fiscal year.

For the week of your fiscal year that includes	Number of production workers (full time, part time, and temporary)	Total Hours Worked
January 12th	workers	hrs
February 12th	workers	hrs
March 12th	workers	hrs
April 12th	workers	hrs
May 12th	workers	hrs
June 12th	workers	hrs
July 12th	workers	hrs
August 12th	workers	hrs
September 12th	workers	hrs
October 12th	workers	hrs
November 12th	workers	hrs
December 12th	workers	hrs

8. Provide the **number of all other employees** in the following table. **All other employees** includes those involved in supervision above line-supervisor level, sales, advertising, credit, collection, installation, cafeteria, recordkeeping, clerical and routine office functions, guard services, executive, purchasing, finance, and legal. If hours are not tracked for salaried employees, please assume a 40-hour work week. If your fiscal year does not follow the calendar year, use the month that corresponds with your fiscal year.

For the week of your fiscal year that includes	Number of all other employees (full time, part time, and temporary)	Total Hours Worked
March 12th	employees	hrs

- **9.** Provide the total number of **individuals** who worked at this facility during 2014 in production activities and in all other activities.
 - This value should represent the number of individuals who worked at any point during the year, rather than the number of positions.
 - **Include** full-time and part-time workers, contract workers, temporary workers, and workers on paid leave.

Labor Category	Total Number of Individuals
Production workers (see definition in Question 7)	individuals
All other employees (see definition in Question 8)	individuals

10. Provide the total 2014 labor expenses for **production workers** and **all other employees**.

• Include wages, bonuses, benefits, payroll taxes, and unemployment insurance.

Labor Category	Total Expenses
Production workers (see definition in Question 7)	\$
All other employees (see definition in Question 8)	\$

IV. Quota Costs

11. Provide the total **cost** of **quota pounds** and **quota shares** purchased or leased during 2014 in the **West Coast** Groundfish Trawl Catch Share Program. Purchase of quota reflects a permanent transfer, whereas lease implies a temporary transfer.

Purchase or Lease of Quota	Total Costs
Lease of quota pounds	\$
Purchase of quota pounds	\$
Lease of quota shares	\$
Purchase of quota shares	\$

V. Expenses and Depreciation

12. Provide the total **expenses** on **utilities** at your facility in 2014.

Utility Expense Category	Total Expenses
Electricity	\$
Natural gas	\$
Propane gas for transportation and processing	\$
Other gas (not gasoline)	\$
Water	\$
Sewer, waste, and byproduct disposal	\$

13. Provide the total **expenses** on **rental or lease payments** for this facility.

Rental or Lease Payments	Total Expenses
Rental or lease of buildings, job-site trailers, and other structures (including land)	\$
Rental or lease of processing machinery or equipment	\$

14. Provide total **expenses** on **repair and maintenance** on facility buildings, machinery, and equipment (see definitions on bottom of page 3) expensed in 2014?

Total Repair and Maintenance Expenses \$_____

15. What was the total **depreciation** for all capital investments on buildings, new and used machinery and equipment (see definitions of equipment on page 3) taken in 2014?

Total Depreciation \$_____

16. Provide the following information on 2014 **custom processing** of fish you owned that was performed by another processor outside of this facility.

	Total weight of fish supplied to custom processors	Custom Processing Fees Paid
Whiting	lbs	\$
Non-Whiting Groundfish	lbs	\$
Other	lbs	\$

17. Provide the total amount **expensed** during 2014 in each of the categories below for this facility.

Expense Category	Total Expenses
Shoreside monitoring costs	\$
Offloading expenses paid to other facilities	\$
Production supplies (boots, smocks, hairnets, knives, etc.)	\$
Cleaning and custodial supplies	\$
Packing materials	\$
Freight costs for supplies to the facility	\$
Non-fish ingredients (additives)	\$
Off-site product freezing and storage	\$
Insurance payments (property, product, personal liability and fire liability)	\$
Taxes (property and excise)	\$
Licensing fees	\$
Other Specify	\$
Other Specify	\$

18. Provide the following information about the landing origin of groundfish received at this facility in 2014.

- If this information is not available, place an "NA" in the answer box.
- Do not include fish received that you custom processed for others.

Landing Origin	Total <u>Weight</u> of Groundfish Received	Total <u>Cost</u> of Groundfish Received
Whiting		
West Coast (WA, OR, CA)	lbs	\$
Canada	lbs	\$
Other Specify	lbs	\$
Non-whiting groundfish		
West Coast (WA, OR, CA)	lbs	\$
Alaska (excluding pollock)	lbs	\$
Canada	lbs	\$
Other Specify	lbs	\$

- **19. Fish Received.** In the table below provide the weight and cost of fish received in 2014. Please note that there are separate columns for fish that were not paid for and fish that were paid for.
 - Do not include fish received for custom processing.
 - Include fish purchased by you that are custom processed by another processor outside of this facility.
 - Include any post-season adjustments.
 - **LE Trawl Vessels:** fish acquired directly from a vessel registered to a Limited Entry (LE) permit with a trawl endorsement and caught either with trawl or fixed gear (longline or pots).
 - **LE Fixed Gear Vessels:** fish acquired directly from a vessel registered to a LE permit with a fixed gear endorsement. Do not include fish caught with fixed gear using a LE permit with a trawl endorsement.
 - **Other Vessels:** fish acquired directly from a vessel without a limited entry trawl or fixed gear endorsement, including open access fisheries.
 - **Non-Vessel Sources:** includes fish acquired from other entities, including first receivers, processors, wholesale dealers, brokers, aquaculture producers, and transfers from outside this facility.
 - Gross Cost of Fish Paid for includes the value of any taxes paid on behalf of delivering vessels.

	Fish NOT PAID for		Fish PAID for	
Type and Source of Fish	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
Whiting				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Arrowtooth flounder				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Dover sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
English sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Lingcod				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

19.. (Continued)

	Fish NOT PAID for		Fish NOT PAID for Fish PAID for		AID for
Type and Source of Fish	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources	
Pacific sanddab					
LE Trawl Vessels	lbs		lbs	\$	
LE Fixed Gear Vessels	lbs		lbs	\$	
Other Vessels	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	
Petrale sole					
LE Trawl Vessels	lbs		lbs	\$	
LE Fixed Gear Vessels	lbs		lbs	\$	
Other Vessels	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	
Rex sole					
LE Trawl Vessels	lbs		lbs	\$	
LE Fixed Gear Vessels	lbs		lbs	\$	
Other Vessels	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	
Rockfish					
LE Trawl Vessels	lbs		lbs	\$	
LE Fixed Gear Vessels	lbs		lbs	\$	
Other Vessels	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	
Sablefish (black cod)					
LE Trawl Vessels	lbs		lbs	\$	
LE Fixed Gear Vessels	lbs		lbs	\$	
Other Vessels	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	
Thornyheads					
LE Trawl Vessels	lbs		lbs	\$	
LE Fixed Gear Vessels	lbs		lbs	\$	
Other Vessels	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	
Sharks, skates, rays					
LE Trawl Vessels	lbs		lbs	\$	
LE Fixed Gear Vessels	lbs		lbs	\$	
Other Vessels	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	
Coastal pelagic (include		rel)			
Vessel Sources	lbs		lbs	\$	
Non-Vessel Sources	lbs	lbs	lbs	\$	

19.. (Continued)

	Fish NOT PAID for		Fish P A	AID for
Type and Source of Fish	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
Crab				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Echinoderms (include s	ea urchins and sea cu	cumbers)		
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
California Halibut				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Pacific Halibut				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Herring				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Salmon				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Shrimp				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Squid				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Sturgeon				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Tuna				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Other Shellfish				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Other Species (please li	st)			
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
		100	100	
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

VI. Annual Earnings

20. Fish Production. Provide the 2014 value of production FOB plant (after discounts and allowances and excluding freight charges).

- Frozen includes fish that is frozen in the round or fish that is processed in any form (e.g. fillets, H&G) and frozen.
- Unprocessed includes fish sold in the round.
- Include:
 - Products made or acquired during 2014 and held in inventory at the end of the year. Estimate the value on the basis of the average price received for similar products sold during the year.
 - Products shipped to other facilities of your company. Estimate the value on the basis of the average price received for similar products sold during the year.
 - Products made from custom processing performed for you.
 - Any post-season adjustments.

• Do not include:

- Revenue from products produced or acquired in previous years.
- Products you produced as a custom processing service for others.
- Any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the plant.
- 0 Discards.

Type of Fish	Total <u>weight</u> of 2014 fish production (both processed and unprocessed)	Total <u>value</u> of 2014 fish production (both processed and unprocessed)
Whiting		
Surimi	lbs	\$
H&G	lbs	\$
Fillets	lbs	\$
Roe	lbs	\$
Frozen Whole	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Other	lbs	\$
Arrowtooth flounder		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Dover sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
English Sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

Type of Fish	Total <u>weight</u> of 2014 fish production (both processed and unprocessed)	Total <u>value</u> of 2014 fish production (both processed and unprocessed)
Lingcod		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$\$
Other	lbs	\$ \$
Pacific Sanddab	IDS	Φ
Processed Fresh	lbs	\$
Frozen		\$
	lbs	\$ \$
Unprocessed	lbs	
Other Detrole colo	lbs	\$
Petrale sole	11	¢
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Rex sole	11	ф.
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Rockfish		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Sablefish		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Thornyheads		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Sharks, Skates, Rays		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Coastal Pelagic (include sa	rdines and mackerel)	
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

	Total <u>weight</u>	Total <u>value</u>
	of 2014 fish production	of 2014 fish production
Type of Fish	(both processed and	(both processed and
	unprocessed)	unprocessed)
		unprocessed)
Crab		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Echinoderms (include sea ur	chins and sea cucumbers)	
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
California Halibut		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Pacific Halibut		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Herring		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Salmon		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Smoked	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Shrimp		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

20.. (Continued)

	Total <u>weight</u>	Total <u>value</u>
	of 2014 fish production	of 2014 fish production
Type of Fish	(both processed and	(both processed and
	unprocessed)	unprocessed)
		unprocessed)
Squid		
		φ.
Processed Fresh	lbs	\$
Frozen	lbs	\$
FIOZEII	IDS	Φ
Unprocessed	lbs	\$
Other	lbs	\$ \$
Sturgeon	103	
Processed Fresh	lbs	\$
Frozen	lbs	\$ \$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$\$
Tuna	103	φ
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$\$
Other Shellfish	103	φ
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$ \$
Other	lbs	\$
Other Non-Species Specific Pr		Ψ
Fish Meal	lbs	\$
Fish Oil	lbs	\$
Bait	lbs	\$ \$
Other products	lbs	\$ \$
Other Species (please list)	105	Ψ
	lbs	\$
	lbs	\$ \$
	lbs	\$ \$
	1US	Ψ

VII. Other Earnings

21. Provide the revenue received by you for **custom processing** of fish owned by another processor outside of this facility in 2014.

	2014 Custom Processing Revenue
Whiting	\$
Non-Whiting Groundfish	\$
Other	\$

22. Provide the **revenue** received in 2014 for each of the earnings sources listed below.

Earnings Source	2014 Total Revenue
Offloading earnings received from others	\$
Sale of quota pounds	\$
Leasing of quota pounds	\$
Leasing of quota share	\$
Sale of quota share	\$
Insurance settlements	\$

23. Read the following statement, and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this questionnaire and that it is true and complete to the best of my knowledge.	
Signature	Date signed
	mm dd yyyy
Print Name	