

Information and Instructions

Instructions for Schedule for Transfer of Control of a Lessee or a Sublessee

FCC Form 608, Schedule D

Schedule D is a supplementary schedule that is used to provide information regarding the Transfer of Control of a Lessee/Sublessee. A single Schedule D is used for all of the Lease Identifier(s) listed on the Main Form. All filings for Subleases must be filed manually and sent to the appropriate address. The mailing address can be found under the Application Fees and Filing Locations section found on page 8 of the Main Form Instructions.

**Transaction Information**

**Transaction Occurrence**

Item 1a Enter 'Y' if the Transfer of Control has already occurred. Otherwise, enter 'N'.

If the response to Item 1a is 'Y', the response to Item 2 is Voluntary, and the response to Item 3 or Item 4 is 'N', attach an exhibit explaining the circumstances.

Item 1b If the response to Item 1a is 'Y', provide the date the transaction occurred (MM/DD/YYYY).

**Voluntary or Involuntary (Select Only One)**

Item 2 Indicate whether the Transfer of Control is Voluntary or Involuntary as defined in 47 CFR Part 1 or the applicable service rules.

**Pro Forma**

Item 3 Enter 'Y' if this Application/Notification is a *pro forma* Transfer of Control of a Lessee/Sublessee. Otherwise, enter 'N'. If the response to Item 3 is 'Y', also complete Item 4.

A *pro forma* transfer of control of a Lessee or Sublessee is one in which the actual controlling party of the Lessee or Sublessee does not change. For example, a corporate restructuring that changes the chain of ownership to the controlling corporation may give rise to a *pro forma* transfer of control of a Lessee or Sublessee.

**Forbearance Notification**

Item 4 If the response to Item 3 is 'Y', enter 'Y' in Item 4 if this Application/Notification is a post-consummation notification that is being filed under the Commission's forbearance procedures pursuant to Section 1.948(c)(1) of the Commission's Rules. Otherwise, enter 'N'.

For additional information, see Federal Communications Bar Association's Petition for Forbearance from Section 310(d) of the Communications Act Regarding Transfers of Control Involving Telecommunications Carriers Licensed by the Wireless Telecommunications Bureau, *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998). This question should be answered only where the transfer is a *pro forma* Transfer of Control of a Lessee or Sublessee that does not require prior Commission consent

**Type of Transfer**

Item 5 This item indicates how the transfer of control of a lessee/sublessee will be or has been accomplished. Select one of the following: Sale or other assignment of assets; Court Order; Reorganization or Liquidation; Transfer of Stock or Other Ownership Interests; or Other (Voting Trust Agreement, Management Contract, etc.)

If Other is selected, the transferor must attach an exhibit to explain how the transfer is to be accomplished, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.

**Certifications**

By signing the Main Form, FCC Form 608, the Licensee, Lessee, Sublessee, Transferor, and Transferee certify that the applicable statements listed in this schedule are true, complete, correct, and made in good faith.

**Schedule for Transfer of Control of a Lessee or a Sublessee**

**Transaction Information**

**Transaction Occurrence**

1a) Has this Transfer of Control already occurred?	(    ) <b>Yes</b> <b>No</b>
1b) If the response to Item 1a is 'Y', provide the date the transaction occurred (MM/DD/YYYY):	____/____/____

**Voluntary or Involuntary (Select Only One)**

2) The Transfer of Control is:	(    ) Voluntary (    ) Involuntary
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**Pro Forma**

3) Is this application a <i>pro forma</i> Transfer of Control?	(    ) <b>Yes</b> <b>No</b>
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**Forbearance Notification**

4) If <i>pro forma</i> , is this a post-consummation notification that is being filed under the Commission's forbearance procedures pursuant to Section 1.948(c)(1) of the Commission's Rules?	(    ) <b>Yes</b> <b>No</b>
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**Type of Transfer**

5) How will/has the Transfer of Control be/been accomplished? (    ) Sale or other assignment of assets (    ) Court Order (    ) Reorganization or Liquidation (    ) Transfer of Stock or Other Ownership Interests (    ) Other (Voting Trust Agreement, Management Contract, etc.) _____
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