OHSR RESPONSE TO REQUEST FOR REVIEW OF RESEARCH ACTIVITY INVOLVING HUMAN SUBJECTS

FAX:		Exempt: # : 12934
То:	Moore, Nicole	
	NCI	
	BG 31 RM 10A03	
From	n: Office of Human Subjects Resea	rch (OHSR)
Ele	re of Research Activity: ectronic survey to assess the role of property PS-OC program	rogram components on trainee development and scientific outputs of
Origi	nal Request Received in OHSR on:	5/11/2015
Resp	oonsible NIH Research Investigator(s)	: Nicole Moore, NCI
OHS	SR review of your request dated Fri, N	1ay 8, 2015 has determined that:
	determination of Not Human Subject Involving Coded Private Information on Engagement of Institutions in Human AMENDMENT OF ANY CHANGES	n of human subjects do not apply to above named activity. The OHSR is Research is based on the interpretation of 45 CFR 46 under "Research or Biological Specimens" (OHRP, Revised October 16, 2008) and Guidance man Subjects Research (October 16, 2008). NOTIFY OHSR VIA AN E-MAIL THAT MAY ALTER THIS RESEARCH ACTIVITY.
	•	and has been entered in the OHSR database. PLEASE NOTIFY OHSR THAT MAY ALTER THE EXEMPT STATUS OF THIS RESEARCH
	NOT EXEMPT. OHSR recommends	s IRB review. Please forward your request to the Chair of your IRB, who formation in order to determine whether expedited or full review is
	Confidentiality Agreement	
	Reliance	
	Amendment	
	Other	
		Office Person JE Admin Assist. CB
Note 6/3/	e: /2015: Survey as part of a program ev	raluation only; not research
j	die My Ci	
Jul	ie M. Eiserman	Policy Analyst, OHSRP 6/3/2015
Sig	gnature	Title Date
Dor	nestic/International:	
Doi	mestic	OHOD Has Only
Hur	nan Subjects Data: Yes	OHSR Use Only
	ogic Material: No	□1 □2 □3 □4 □5 □6

OHSRP #12934

REQUEST FORM: OHSRP DETERMINATION FORSURVEYS, INTERVIEW PROCEDURES, PROGRAM EVALUATION, EDUCATIONAL TESTING AND RESEARCH

Date of Request:May 8, 2015
Requestor's name:Nicole M. More e-mail:moorenm@mail.nih.gov
Role:Administrative supportX_InvestigatorOther, explain:
Name of NIH Senior Investigator:Nicole M. Moore
(The investigator <u>must</u> be an NIH employee)
IC _NCI Laboratory/BranchDivision of Cancer Biology
Building & Room NoNCI Shady Grove, Room 6W556 Tel. No240-276-7624 FAX NoN/A
Is the NIH Senior Investigator an NIH employee(FTE)?X_YesNo
Senior Investigator Signature: Mule M. Mour
Supervisor Signature: (Signature of Investigator who will conduct research) (Signature of official for IC, e.g., Lab/Branch Chief)
Supervisor Signature: Vyl
Name of NIH investigator conducting research if not the NIH Senior Investigator: (i.e, junior investigator, contractor investigator, fellow, student) Please provide the name and e-mail of any others who should receive a copy of the OHSRP determination:
What role will the NIH investigator(s) have in this research project? (check all that apply)
X Conduct research activity
Analyze samples/data only
Consultant/advisor to collaborator(s)Author on publication(s)/manuscript(s) pertaining to this research
Other, please describe:
 Title: Early Outcomes Assessment of the Physical Sciences – Oncology Centers Program
3. Describe in lay terms the research activity that will be performed: Electronic survey to assess the role of program components on trainee development

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and scientific outputs of the PS-OC program

REQUEST FORM: OHSRP DETERMINATION FORSURVEYS, INTERVIEW PROCEDURES, PROGRAM EVALUATION, EDUCATIONAL TESTING AND RESEARCH

4. Proposed start date: 9/1/2015
5. Specify the nature of the data: (select all that apply) Interview procedure X_ Survey Educational Testing Educational Research Research on public benefit or service programs Other, describe:
6. What kind of human data (e.g., private information, responses to questionnaires,
test results, recordings) will be collected in your research? Responses to questionnaire
7. Will human data be? (select all that apply) Collected Yes_X_No Received YesNo_X_ Sent YesNo_X_
8. If receiving or sending, list the collaborating investigator(s):
Name Institution/IC Address/e-mail FWA number*
9. Where are the subjects of this research activity located? (Provide a general description or complete the institutional information below) The participants in the survey are from institutions supported by NCI extramural
research grants.
Institution: Contact Name:
Address: Phone:
10. Will NIH investigator(s) have direct contact or intervention with the subjects of the study? (For example, by interviewing, surveying or recording the subjects?) Yes_X_No If yes, what is the age range of subjects involved in the research?
Children aged < 18 years X_ Adults aged <u>></u> 18 years
11. Who will collect the data or information? (a) NIH Investigator

REQUEST FORM: OHSRP DETERMINATION FORSURVEYS, INTERVIEW PROCEDURES, PROGRAM EVALUATION, EDUCATIONAL TESTING AND RESEARCH

	(b) non-NIH Collaborator
	(c)X_ NIH Contractor
	(d) Other, specify
	If b or c, will an Honest Broker or data use agreement be used? Yes No_X_
	If yes, complete and attach the Honest Broker Assurance or data-use agreement to
	this submission; e-mail ohsr nih ddir@od.nih.gov to request a form.
12	. Select the best description that applies to the human data or information:
	X Data or information will not contain any identifiable information, nor can it be linked to individual subjects by you or your collaborators.
	Data or information will be recorded in such a manner that subjects can be identified directly or through identifiers linked to the subjects
13	. Per NIH guidance, are all conflicts of interest by NIH employees (sender or
re	ceiver), if any, resolved?XYesNo**
* ^	Endaralwide Accurance (EWA) is issued by the U.S. Department of Health and Huma

^{*}A Federalwide Assurance (FWA) is issued by the U.S. Department of Health and Human Services (DHHS)/ Office of Human Research Protections (OHRP) to institutions which receive Federal funds/support to conduct human subjects research. To search for the FWA# for domestic or international institutions go to http://ohrp.cit.nih.gov/search/fwasearch.aspx?styp=bsc

^{**}If the answer is "No", note that OHSRP will be unable to make a determination and research <u>may not proceed</u> until all conflicts are resolved. For more information, see the October 2011, <u>A Guide to Preventing Financial and Non-Financial Conflict of Interest in Human Subjects Research at NIH</u>. For assistance review the list of Ethics Coordinators and find the contact for your IC: http://ethics.od.nih.gov/coord.pdf

OMB # 0925-XXXX Expiration Date: XX/XXXX

Physical Sciences - Oncology Centers Program Trainee Survey

Public reporting burden for this collection of information is estimated to range from 10 to 25 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-xxxx). Do not return the completed form to this address.

The National Cancer Institute (NCI) Division of Cancer Biology (DCB) invites you to participate in an online survey pertaining to the Physical Sciences – Oncology Center (PS-OC) Program. Your participation is requested in order to evaluate the training component of the Physical Sciences in Oncology (PSO) Initiative. Your candid responses will be used evaluate to what extent the PS-OC program encouraged collaborative science and the career development of trainees in the field of physical sciences - oncology.

All information obtained will be kept secure, to the extent provided by law. You may start and stop the survey at your convenience. There are no risks to participating in this survey and you understand there are no direct benefits to you for participating in the survey, however it provides us the feedback that will be used to evaluate Phase I of the PS-OC Program.

Thanks in advance for your participation. We greatly appreciate your time and assistance.

 How long have you been a member of the PS-OC program?
□Less than a year
□1 year
□2 years
□3 years
,
2. How have you participated as a member of the PS-OC? Check all that apply.
, , , , , , , , , , , , , , , , , , , ,
☐ Performed research funded by the PS-OC
☐ Participated in PS-OC courses
☐ Attended a PS-OC Annual Meeting
0

□ Attended a PS-OC Site Visit □ Attended PS-OC workshops □ Attended PS-OC boot camps □ Attended PS-OC seminars □ Other: Please describe:
3. Please select your current research title. Check only one.
□Undergraduate student □Graduate student □Postdoc □Medical student □Resident □Other: Please describe:
4. How often do you interact with your PS-OC mentor?
□ One initial meeting only □ Every 6 months □ Every 3 months □ Monthly □ Weekly □ Several times per week □ Daily

5. How would you define your scientific area of expertise BEFORE you were part of the PS-OC program compared to now?

	Your field BEFORE PS-OC	Your field now
Physical Scientist		
Cancer Biologist/Oncologist		
Trans-disciplinary Researcher		
Other: Please Define		

6. There are a variety of different types of scientists involved in the PS-OC program. Please identify the scientific areas for each of the following:

	Your PS-OC mentor's field of training and expertise	The types of scientists you collaborate with currently	The types of scientists you would like to collaborate with in the future
Physical Scientist			
Cancer Biologist/Oncologist			
Trans-disciplinary Researcher			
Other: Please Define			

7. Did the PS-OC program have a positive impact on any of the following?

	Very High Impact		Moderate Impact		No Impact at All
Career development	5	4	3	2	1
Learning new skills	5	4	3	2	1
Gaining a new mentor	5	4	3	2	1
New collaborations with professionals in my field	5	4	3	2	1
New collaborations with professionals in other fields	5	4	3	2	1
Opening access to new equipment/technology	5	4	3	2	1

8. Based on your familiarity with the program and your personal experiences, how well is the young investigator trans-network process achieving the following goals?

	Extremely		Moderately		Not at All
	Well		Well		Well
Increasing collaborations among	5	4	3	2	1
centers in general					
Increasing	5	4	3	2	1
discussions/collaborations					
between young investigators					
Advancing the convergence of	5	4	3	2	1
physical science and oncology in					
cancer research					
Making advances in cancer	5	4	3	2	1
research					

□Yes □No

 \square Maybe/Unsure

Please explain why or why not:

9.	Did you, at any point as a worked in another PS-OC	• •	•	in a student ex	change	or otherwise
□Yes		J				
□No						
	2	nges or other F	PS-OC i	nvestigator's la	b did y	yo u
	3					
	1					
	5+					
9b.	Overall, how useful were	e these exchar	nges?			
		Extremely		Moderately		Not at All
		Useful		Useful		Useful
Usefulr	ness of student exchanges	5	4	3	2	1
10.	Do you plan to conduct rethe future?	esearch in the	field o	f physical scien	ces – c	oncology in

11. Overall, how would you evaluate the overall quality of your PS-OC supported collaborations in the following areas:

	Excellent		Fair		Poor
Scientific Impact	5	4	3	2	1
Productivity	5	4	3	2	1
Rewarding to all parties involved equally	5	4	3	2	1
Communication among collaborators	5	4	3	2	1
Ability to utilize the strengths of different researchers involved	5	4	3	2	1
Enabling you to reach your own research milestones faster	5	4	3	2	1

12. What difficulties, if any, have you experienced during your trans-disciplinary collaborations in the PS-OC program? Please rate the severity of these difficulties on a scale of 1 to 5 where 1 indicates that the issue did not impact the outcome(s) of the collaboration and 5 indicates that the issue severely impacted the collaboration.

			1=n	o imp	act	
	Yes, I		5=sev	ere in	npact	
	experienced	1	2	3	4	5
	this	_				
Members prioritized their personal						
goals before the overall team goal						
Difficulties in sharing data						
The team members discuss issues						
only at a broad level						
Difficulties in sharing supplies, cells,						
tissue or equipment						
Responsibilities, roles, and						
expectations were not clear						
Difficulties in organizing travel						
Team members became competitive with						
one another						
Difficulties in communication across the						
scientific disciplines						
Lack of funds						
Power struggles						
Sharing credit						
The team did not meet regularly						
The team did not establish trust						
There is no reward structure at my						
institution for collaborations						
Trouble identifying additional team						
members to help						
Lack of clear vision or goals						
No agreement on the primary						
spokesperson						

13. Please answer the following questions with the approximate number of investigators (i.e., faculty level researchers).

	0	1-4	5-10	11-15	16+
How many PS-OC investigators within your Center did you work with prior to the start of the PS-OC program?					
How many PS-OC investigators within your Center do you work with now?					
How many of these new collaborations would have started without PS-OC program funding					
How many do you anticipate will continue on after you leave PS-OC?					

14. From your perspective, please evaluate the extent to which the PS-OC program has been successful in the following areas.

						Don't
	Excellent		Fair		Poor	Know
Improving leadership skills in	5	4	3	2	1	DK
heading a trans-disciplinary study						
Mentoring junior faculty in	5	4	3	2	1	DK
leading and participating in a						
trans-disciplinary study						
Increasing the discussion about	5	4	3	2	1	DK
team science and collaborations						
at your institution						
Developing better policies to	5	4	3	2	1	DK
review and reward the team						
science at your institution						

15. From your perspective, please evaluate the extent to which the PS-OC program has been successful in reaching the following program goals.

					_	Don't Know
	Excellent		Fair		Poor	Know
Form trans-disciplinary teams	5	4	3	2	1	DK
focused on establishing physical						
sciences-centric themes in cancer						
research						
Build a collaborative trans-	5	4	3	2	1	DK
discipline research sharing						
network						
Promote collaboration by PS-OC	5	4	3	2	1	DK
researchers across the PS-OC						
network						
Educate trans-disciplinary	5	4	3	2	1	DK
scientists that pursue careers in						
the field of physical sciences in						
oncology						
Promote collaboration by PS-OC	5	4	3	2	1	DK
researchers beyond the PS-OC						
network						
Form new physical sciences in	5	4	3	2	1	DK
oncology programs at universities						
or institutions						
Test dogma-challenging	5	4	3	2	1	DK
hypothesis or cancer initiation						
and progression						
Bring new types of scientists to	5	4	3	2	1	DK
cancer research						
Generate new datasets in cancer	5	4	3	2	1	DK
research						
Generate new knowledge in	5	4	3	2	1	DK
cancer research						

16. Please provide any additional comments that you would like to share about the convergence of physical sciences in oncology or the PS-OC program.

OMB # 0925-XXXX Expiration Date: XX/XXXX

Physical Sciences - Oncology Centers Program Former Trainee Survey

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The National Cancer Institute (NCI) Division of Cancer Biology (DCB) invites you to participate in an online survey pertaining to the Physical Sciences – Oncology Center (PS-OC) Program. Your participation is requested in order to evaluate the training component of the Physical Sciences in Oncology (PSO) Initiative. Your candid responses will be used evaluate to what extent the PS-OC program encouraged collaborative science and the career development of trainees in the field of physical sciences - oncology.

All information obtained will be kept secure, to the extent provided by law. You may start and stop the survey at your convenience. There are no risks to participating in this survey and you understand there are no direct benefits to you for participating in the survey, however it provides us the feedback that will be used to evaluate Phase I of the PS-OC Program.

Thanks in advance for your participation. We greatly appreciate your time and assistance.

1. H	How many years were you an active member of the PS-OC program?
	han a year
\Box 1 year	•
□2 year	rs
□3 year	rs
□4 year	rs
□5 year	rs
2. F	low long ago were you a trainee with PS-OC?
□Less tl	han a year ago
□About	: 1 year ago

6.

□Abou □Abou	t 2 years ago t 3 years ago t 4 years ago t 5 years ago ure
□Unde □Gradu □Postd □Medi □Resid	cal student
□Perfo □Partio □Atten □Atten □Atten □Atten □Atten	How did you previously participate as a member of the PS-OC? Check all that apply. rmed research funded by the PS-OC cipated in PS-OC courses ded a PS-OC Annual Meeting ded a PS-OC Site Visit ded PS-OC workshops ded PS-OC boot camps ded PS-OC seminars r: Please describe:
□ Neve □ One i □ Every □ Every □ Mont □ Week	nitial meeting only 6 months 3 months hly

Did you continue to interact with your PS-OC mentor after leaving PS-OC?

2

\square Yes	
\square No	
	6a. If yes, is that relationship still ongoing today?
	□Yes
	□No

7. How would you define your scientific area of expertise BEFORE you were part of the PS-OC program compared to now?

	Your field BEFORE PS-OC	Your field now
Physical Scientist		
Cancer Biologist/Oncologist		
Trans-disciplinary Researcher		
Other: Please Define		

8. There are a variety of different types of scientists involved in the PS-OC program. Please identify the scientific areas for each of the following:

	Your PS-OC mentor's field of training and expertise	The types of scientists you collaborate with currently	The types of scientists you would like to collaborate with in the future
Physical Scientist			
Cancer Biologist/Oncologist			
Trans-disciplinary Researcher			
Other: Please Define			

9. Did the PS-OC program have a positive impact on any of the following?

	Very High		Moderate		No Impact
	Impact		Impact		at All
Career development	5	4	3	2	1
Learning new skills	5	4	3	2	1
Gaining a new mentor	5	4	3	2	1
New collaborations with	5	4	3	2	1
professionals in my field					
New collaborations with	5	4	3	2	1
professionals in other fields					
Opening access to new	5	4	3	2	1
equipment/technology					

10. Based on your familiarity with the program and your personal experiences, how well did the young investigator trans-network process achieve the following goals?

	Extremely Well		Moderately Well		Not at All Well	Don't Know
Increasing collaborations among centers in general	5	4	3	2	1	DK
Increasing discussions/collaborations between young investigators	5	4	3	2	1	DK
Advancing the convergence of physical science and oncology in cancer research	5	4	3	2	1	DK
Making advances in cancer research	5	4	3	2	1	DK

	Did you, at any point as a trainee, participate in a student exchange or otherwise worked in another PS-OC investigator's lab?
□Yes	worked in another 13 de investigator 3 lab?
□No	

participate in?					
□ 1					
□2					
□3					
□4 □ 5 .					
□5+					
11b. Overall, how useful were	e these excha	nges?			
	Extremely		Moderately		Not at All
	Useful		Useful		Useful
Usefulness of student exchanges	5	4	3	2	1
12. Are you currently conduct oncology?☐Yes☐NoIf yes, please briefly describe the	_	in the fi	eld of physica	l scienc	es –
13. Do you plan to conduct rethe future? ☐Yes ☐No ☐Maybe/Unsure	esearch in the	field of	physical scier	nces — o	ncology in
Please explain why or why not:					
riease explain why or why hot.					
14. Have you maintained any of ☐Yes ☐No	your PS-OC co	ollabora	tions into you	r currei	nt research?
Why or why not?					
15. Have you started any new tr☐Yes☐No	rans-disciplina	ry collal	oorations since	e leavin	g PS-OC?

11a. If yes, How many exchanges or other PS-OC investigator's lab did you

16. Looking back, how would you evaluate the overall quality of your **previous** PS-OC supported collaborations in the following areas:

	Excellent		Fair		Poor
Scientific Impact	5	4	3	2	1
Productivity	5	4	3	2	1
Rewarding to all parties involved equally	5	4	3	2	1
Communication among collaborators	5	4	3	2	1
Ability to utilize the strengths of different researchers involved	5	4	3	2	1
Enabling you to reach your own research milestones faster	5	4	3	2	1

17. How would you evaluate the overall quality of your **current** professional collaborations in the following areas:

	Excellent		Fair		Poor
Scientific Impact	5	4	3	2	1
Productivity	5	4	3	2	1
Rewarding to all parties involved equally	5	4	3	2	1
Communication among collaborators	5	4	3	2	1
Ability to utilize the strengths of different researchers involved	5	4	3	2	1
Enabling you to reach your own research milestones faster	5	4	3	2	1

18. What difficulties, if any, did you experience during your trans-disciplinary collaborations in the PS-OC program? Please rate the severity of these difficulties on a scale of 1 to 5 where 1 indicates that the issue did not impact the outcome(s) of the collaboration and 5 indicates that the issue severely impacted the collaboration.

				1=n	o in	npact	:
	Yes, I	Don't	5=	sev	ere	impa	ict
	experienced	Know	1	2	3	4	5
	this			1	,	7	,
Members prioritized their personal		DK					
goals before the overall team goal							
Difficulties in sharing data		DK					
The team members discuss issues		DK					
only at a broad level		DK					
Difficulties in sharing supplies, cells,		DK					
tissue or equipment		DK					
Responsibilities, roles, and		DK					
expectations were not clear		DK					
Difficulties in organizing travel		DK					
Team members became competitive		DV					
with one another		DK					
Difficulties in communication across the		DI					
scientific disciplines		DK					
Lack of funds		DK					
Power struggles		DK					
Sharing credit		DK					
The team did not meet regularly		DK					
The team did not establish trust		DK					
There is no reward structure at my		DK					
institution for collaborations							
Trouble identifying additional team		DK					
members to help							
Lack of clear vision or goals		DK					
No agreement on the primary		DK					
spokesperson					l		

19. Please answer the following questions with the approximate number of investigators (i.e., faculty level researchers

	0	1-4	5-10	11-15	16+
How many PS-OC investigators					
within your Center did you work					
with prior to the start of the PS-					
OC program?					
How many PS-OC investigators					
from your Center do you work				_	
with now?					
How many of these new					
collaborations would have			ш		
started without PS-OC program					
funding?					
How many do you anticipate will					
continue into the future?	Ш	Ш	Ш	Ш	Ш

20. From your perspective, please evaluate the extent to which the PS-OC program has been successful in the following areas.

	Excellent		Fair		Poor	Don't Know
Improving leadership skills in heading a trans-disciplinary study	5	4	3	2	1	DK
Mentoring junior faculty in leading and participating in a trans-disciplinary study	5	4	3	2	1	DK
Increasing the discussion about team science and collaborations at your institution	5	4	3	2	1	DK
Developing better policies to review and reward the team science at your institution	5	4	3	2	1	DK

20. From your perspective, please evaluate the extent to which the PS-OC program has been successful in reaching the following program goals.

nus seen successiui in re			IIIB brogre			Don't
	Excellent		Fair		Poor	Know
Form trans-disciplinary teams	5	4	3	2	1	DK
focused on establishing physical						
sciences-centric themes in cancer						
research						
Build a collaborative trans-	5	4	3	2	1	DK
discipline research sharing						
network						
Promote collaboration by PS-OC	5	4	3	2	1	DK
researchers across the PS-OC						
network						
Educate trans-disciplinary	5	4	3	2	1	DK
scientists that pursue careers in						
the field of physical sciences in						
oncology						
Promote collaboration by PS-OC	5	4	3	2	1	DK
researchers beyond the PS-OC						
network						
Form new physical sciences in	5	4	3	2	1	DK
oncology programs at universities						
or institutions						
Test dogma-challenging	5	4	3	2	1	DK
hypothesis or cancer initiation						
and progression						
Bring new types of scientists to	5	4	3	2	1	DK
cancer research						
Generate new datasets in cancer	5	4	3	2	1	DK
research						
Generate new knowledge in	5	4	3	2	1	DK
cancer research						

21. Please provide any additional comments that you would like to share about the convergence of physical sciences in oncology or the PS-OC program.

NCI Grantee Survey 5/8/15

OMB # 0925-XXXX Expiration Date: XX/XXXX

NCI Grantee Survey

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Thank you for taking the time to complete this survey for the National Cancer Institute (NCI). We are conducting and evaluation of how specific types of professional program involvement may impact a variety of professional outcomes. Your answers to this survey will help provide valuable information and will help NCI make informed future program decisions.

When completing this survey, please think specifically about your experiences with the PS-OC, ICBP, EDRN, CCNE, TMEN, or MMHCC program, and program components you have used over the last five years.

1. To begin, please indicate your level of involvement with each of the following within your consortium or research network during the last five years.

	Level of Involvement				nt
Program Elements	High		Moderate		None
Annual meetings	5	4	3	2	1
Reading newsletters/emails from the program	5	4	3	2	1
Participating in steering committee meetings	5	4	3	2	1
Receiving a site visit	5	4	3	2	1
Reporting requirements that I track and submit	5	4	3	2	1
Feedback from program officials on progress reports	5	4	3	2	1
Interactions and familiarity with program official(s)	5	4	3	2	1
Participating in working groups	5	4	3	2	1
Attending young investigator meetings	5	4	3	2	1
Participating in network/group activities and projects	5	4	3	2	1
Using/participating in special issue journals or specialized	5	4	3	2	1
workshops					
Using additional resources (data coordinating centers,	5	4	3	2	1
biospecimen resources, data sharing plans)		4	3		1

NCI Grantee Survey 5/8/15

If the investigator selects a "2" or higher on any of the above program elements, they will be asked to answer two questions about that program element. This is demonstrated below for the first program element, *Annual Meetings*:

Annual Meetings

this outcome?

2. How much has your participation in these annual meetings contributed to the following?

	Level of Contribution of Annual Meetings to:				
	High		Moderate		None
Making new professional contacts	5	4	3	2	1
Using new professional collaborations in my research	5	4	3	2	1
Working on translational research	5	4	3	2	1
Making research progress towards grant development	5	4	3	2	1
Receiving grant awards	5	4	3	2	1
Working on innovative cancer research	5	4	3	2	1
Working on impactful cancer research	5	4	3	2	1
Developing new infrastructure for cancer research at my	5	4	3	2	1
institution					
Disseminating my research	5	4	3	2	1

3.	Was there one single outcome that your participation in annual meetings
	contributed to the most? Select only one from the list below.
	Making new professional contacts
	Using new professional collaborations in my research
	Working on translational research
	Making research progress towards grant development
	Receiving grant awards
	Working on innovative cancer research
	Working on impactful cancer research
	Developing new infrastructure for cancer research at my institution
	Disseminating my research
3a.	Can you describe in more detail below how this program element helped lead to

NCI Grantee Survey 5/8/15

Demographics

4.	Please identify your primary affiliation with the Physical Sciences-Oncology Centers Program (select only one).
	Center Principal Investigator (PI)
	Center Senior Scientific Investigator (SI)
	PS-OC Project/Core Investigator (i.e., project/core leader or research investigator)
	PS-OC Trainee
	PS-OC Advocate
	PS-OC Outreach and Dissemination Unit Lead
	PS-OC Education and Training Unit Lead
	PS-OC Administrator
	PS-OC External Advisor
	I am not associated with the PS-OC Program
5.	Which of the following best describes your scientific background/training? Physical Scientist Cancer Biologist/Oncologist Trans-disciplinary Researcher Other: Please explain:
6.	What is your professional title?
	Research Scientist
	Assistant Professor
	Associate Professor
	Full Professor
	Department Chair
	Cancer Center Director
	Dean
	Other: Please explain:

OMB # 0925-XXXX Expiration Date: XX/XXXX

Expert Review Panel Scoring Sheet - Version #3

NOTE: This form is being designed for online entry. The publication titles being reviewed will be embedded directly into the document.

Public reporting burden for this collection of information is estimated to be 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-xxxx). Do not return the completed form to this address.

INSTRUCTIONS

This packet contains (*Total Number*) papers/publications for your review. Please review each of the papers in this packet while considering the following:

- The impact of each researcher's individual paper(s) on the field of cancer research.
- The impact of a researcher's set of papers, taken as a whole, on the field of cancer research.
- The innovation of the approaches taken in the researcher's individual paper(s).
- The innovation of the approaches taken in the researcher's set of papers taken as a whole.

Please read through this entire form before your begin your review. Links to the papers you will be reviewing are included directly in this document.

If you are reviewing more than one set of papers, you will be sent a separate review sheet for each set. Please complete each review sheet separately for each set of papers you are being asked to review.

If you have any questions as you proceed, please contact (*name*) and (*email address*).

The review sheet begins on the next page.

1. How would you rate the impact of each of the following individual papers on the field of cancer research? Please select the number that best represents your response.

	Very High		Moderate	No	
	Impact		Impact	Impact	
Title of Paper 1	5	4	3	2	1
Title of Paper 2	5	4	3	2	1
Title of Paper 3	5	4	3	2	1
Title of Paper 4	5	4	3	2	1
Title of Paper 5	5	4	3	2	1

1a. How would you rate the impact of this set of papers, taken as a whole, on the field of cancer research?

	Very High		Moderate		No
	Impact		Impact		Impact
Set of Papers	5	4	3	2	1

Did you observe any of the following types of impact in this set of papers? (Check all that apply)
☐ Radically changes present understanding of an important existing scientific concept
\square Leads to the creation of a new paradigm or field of science
\square Challenges present understanding in the field
☐ Provides pathways to new frontiers
☐ Challenges conventional wisdom
\square Leads to unexpected insights that enable new techniques or methodologies
\square Redefines the boundaries of the field of science
\square Solves long-standing questions, providing opportunities for moving forward
\square Has high translational or clinical potential
\square Is likely to lead to technology transfer, patents or spin-offs
☐ Other: (please specify:)

3. Regardless of the impact of the research, how would you rate the level of innovation in the approaches taken in each of the individual papers in this packet?

	Extremely Innovative		Moderately Innovative		Not at All Innovative
Title of Paper 1	5	4	3	2	1
Title of Paper 2	5	4	3	2	1
Title of Paper 3	5	4	3	2	1
Title of Paper 4	5	4	3	2	1
Title of Paper 5	5	4	3	2	1

4. Regardless of the impact of the research, how would you rate the level of innovation in the approaches taken in this set of papers as a whole?

	Extremely		Moderately		Not at All
	Innovative		Innovative		Innovative
Set of Papers	5	4	3	2	1

5. Did you observe any of the following types of innovation in this set of papers? (Check all that apply)
New methodology employed
Cutting edge approach to the topic
New combination of approaches used
Creative combination of disciplines and/or materials used
The ideas underlying the research are at odds with prevailing wisdom
The research requires the use of equipment or techniques that have not been proven or are considered extraordinarily difficult
Creative use or improvement of existing techniques
Other: (please specify:)

6. How closely aligned is your personal field of research with the field of research that you reviewed in this packet?

	Extremely Aligned		Moderately Aligned		Not at All Aligned
Set of Papers	5	4	3	2	1

 From:
 Moore, Nicole (NIH/NCI) [E]

 To:
 Eiserman, Julie (NIH/OD) [C]

Subject: Re: Follow Up re: Request for Determination OHSRP# 12934

Date: Wednesday, June 03, 2015 2:54:24 PM

Hi Julie

Yes. The sole purpose of the activity is for a program evaluation. There is no research component.

Best Nicole

On Jun 3, 2015, at 2:38 PM, Eiserman, Julie (NIH/OD) [C] < <u>julie.eiserman@nih.gov</u>> wrote:

Hello Dr. Moore,

I am following up with your regarding your request for a determination. Can you tell me if the sole purpose of your planned activity a program evaluation or a quality assessment or improvement effort for internal use only? Or would you say this activity is also research, which as defined under the DHHS regulations is a systematic investigator to develop or contribute to generalizable knowledge?

If the activity is purely program evaluation or quality assessment or improvement and not research, just keep in mind that you don't need to submit that type of project to us in the future. We will however provide a determination for you today, since you did submit a request to us.

Julie M. Eiserman, MA, CCRP [C]

Health Science Policy Analyst
Office of Human Subjects Research Protections
Office of Intramural Research, Office of the Director
National Institutes of Health
10 Center Drive, Bldg. 10, Suite 2C146
Bethesda, MD 20892-1154

Direct Phone: 301-402-8665

Fax: 301-402-3443

Email: julie.eiserman@nih.gov

OHSRP website: https://federation.nih.gov/ohsr/nih/index.php (NIH login required)

Public site: http://ohsr.od.nih.gov/

OHSR (NIH/DDIR)

From:	Moore, Nicole (NIH/NCI) [E]
Sent:	Monday, May 11, 2015 9:37 AM

To: OHSR (NIH/DDIR)

Subject: Request for OHSRP Determination for Survey

Attachments: IRB Exception 2015_Signed.pdf

Hi,

Attached please find a completed signed OHSRP determination request form for surveys along with the survey questions. These surveys are for evaluation of the Physical Sciences in Oncology Centers Program at NCI.

Please let me know if you have any questions. I look forward to hearing from you.

Best, Nicole

Nicole M. Moore, D.Sc. Program Director Structural Biology and Molecular Applications Branch Division of Cancer Biology National Cancer Institute Tel. 240-276-7624

Cell. 301-325-7534

Email. <u>nicole.moore@nih.gov</u>

PS-OC Web. http://physics.cancer.gov

OHSR (NIH/DDIR)

From: OHSR (NIH/DDIR)

 Sent:
 Friday, May 15, 2015 2:26 PM

 To:
 Moore, Nicole (NIH/NCI) [E]

Subject: Req for Determination Rec'd_OHSRP 12934

Good afternoon Dr. Moore,

This email is to verify that OHSRP has received your Request for Determination and it is currently being processed as **OHSRP #12934.** Please use this number in any future correspondence regarding this study.

Protocol Title: Early Outcomes Assessment of the Physical Sciences- Oncology Centers Program

Thank you.

Sincerely, Chris Brentin OHSRP - National Institutes of Health Bldg 10, Suite 2C146 Bethesda, MD 20892 Office Telephone: 301-402-3444

office relephone. 301-402-34

Office Fax: 301-402-3443

The NIH is committed to maintaining the highest standards for the protection of human subjects.

Please consider the environment before printing this e-mail