# Staffing Supplement Appendices

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## Appendix A - Title 42 BJS Authorizing Legislation DERIVATION

# Title I THE OMNIBUS CRIME CONTROL AND SAFE STREETS ACT OF 1968 (Public Law 90-351)

42 U.S.C. § 3711, et seq.

AN ACT to assist State and local governments in reducing the incidence of crime, to increase the effectiveness, fairness, and coordination of law enforcement and criminal justice systems at all levels of government, and for other purposes.

As Amended By

THE OMNIBUS CRIME CONTROL ACT OF 1970 (Public Law 91-644)

THE CRIME CONTROL ACT OF 1973 (Public Law 93-83)

THE JUVENILE JUSTICE AND DELINQUENCY PREVENTION ACT OF 1974 (Public Law 93-415)

THE PUBLIC SAFETY OFFICERS' BENEFITS ACT OF 1976 (Public Law 94-430)

THE CRIME CONTROL ACT OF 1976 (Public Law 94-503)

THE JUSTICE SYSTEM IMPROVEMENT ACT OF 1979
(Public Law 96-157)

THE JUSTICE ASSISTANCE ACT OF 1984 (Public Law 98-473)

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE ACT OF 1986 (Public Law 99-570-Subtitle K)

THE ANTI-DRUG ABUSE ACT OF 1988
TITLE VI, SUBTITLE C - STATE AND LOCAL NARCOTICS CONTROL
AND JUSTICE ASSISTANCE IMPROVEMENTS
(Public Law 100-690)

THE CRIME CONTROL ACT OF 1990 (Public Law 101-647)

BRADY HANDGUN VIOLENCE PROTECTION ACT (Public Law 103-159)

VIOLENT CRIME CONTROL AND LAW ENFORCEMENT ACT OF 1994 (Public Law 103-322)

NATIONAL CHILD PROTECTION ACT OF 1993, AS AMENDED (Public Law 103-209)

and

CRIME IDENTIFICATION TECHNOLOGY ACT OF 1998 (Public Law 105-251)

### BUREAU OF JUSTICE STATISTICS CHAPTER 46 - SUBCHAPTER III [TITLE I - PART C]

#### 42 USC § 3731 [Sec. 301.] Statement of purpose

It is the purpose of this subchapter [part] to provide for and encourage the collection and analysis of statistical information concerning crime, juvenile delinquency, and the operation of the criminal justice system and related aspects of the civil justice system and to support the development of information and statistical systems at the Federal, State, and local levels to improve the efforts of these levels of government to measure and understand the levels of crime, juvenile delinquency, and the operation of the criminal justice system and related aspects of the civil justice system. The Bureau shall utilize to the maximum extent feasible State governmental organizations and facilities responsible for the collection and analysis of criminal justice data and statistics. In carrying out the provisions of this subchapter [part], the Bureau shall give primary emphasis to the problems of State and local justice systems.

### 42 USC § 3732 [Sec. 302.] Bureau of Justice Statistics

- (a) Establishment. There is established within the Department of Justice, under the general authority of the Attorney General, a Bureau of Justice Statistics (hereinafter referred to in this subchapter [part] as "Bureau").
- (b) Appointment of Director; experience; authority; restrictions. The Bureau shall be headed by a Director appointed by the President, by and with the advice and consent of the Senate. The Director shall have had experience in statistical programs. The Director shall have final authority for all grants, cooperative agreements, and contracts awarded by the Bureau. The Director shall report to the Attorney General through the Assistant Attorney General. The Director shall not engage in any other employment than that of serving as Director; nor shall the Director hold any office in, or act in any capacity for, any organization, agency, or institution with which the Bureau makes any contract or other arrangement under this Act.
- (c) Duties and functions of Bureau. The Bureau is authorized to-
  - (1) make grants to, or enter into cooperative agreements or contracts with public agencies, institutions of higher education, private organizations, or private individuals for purposes related to this subchapter [part]; grants shall be made subject to continuing compliance with standards for gathering justice statistics set forth in rules and regulations promulgated by the Director;
  - (2) collect and analyze information concerning criminal victimization, including crimes against the elderly, and civil disputes;
  - (3) collect and analyze data that will serve as a continuous and comparable national social indication of the prevalence, incidence, rates, extent, distribution, and attributes of crime, juvenile delinquency, civil disputes, and other statistical factors related to crime, civil disputes, and juvenile delinquency, in support of national, State, and local justice policy and decisionmaking;
  - (4) collect and analyze statistical information, concerning the operations of the criminal justice system at the Federal, State, and local levels;

- (5) collect and analyze statistical information concerning the prevalence, incidence, rates, extent, distribution, and attributes of crime, and juvenile delinquency, at the Federal, State, and local levels;
- (6) analyze the correlates of crime, civil disputes and juvenile delinquency, by the use of statistical information, about criminal and civil justice systems at the Federal, State, and local levels, and about the extent, distribution and attributes of crime, and juvenile delinquency, in the Nation and at the Federal, State, and local levels:
- (7) compile, collate, analyze, publish, and disseminate uniform national statistics concerning all aspects of criminal justice and related aspects of civil justice, crime, including crimes against the elderly, juvenile delinquency, criminal offenders, juvenile delinquents, and civil disputes in the various States;
- (8) recommend national standards for justice statistics and for insuring the reliability and validity of justice statistics supplied pursuant to this chapter [title];
- (9) maintain liaison with the judicial branches of the Federal and State Governments in matters relating to justice statistics, and cooperate with the judicial branch in assuring as much uniformity as feasible in statistical systems of the executive and judicial branches;
- (10) provide information to the President, the Congress, the judiciary, State and local governments, and the general public on justice statistics;
- (11) establish or assist in the establishment of a system to provide State and local governments with access to Federal informational resources useful in the planning, implementation, and evaluation of programs under this Act;
- (12) conduct or support research relating to methods of gathering or analyzing justice statistics;
- (13) provide for the development of justice information systems programs and assistance to the States and units of local government relating to collection, analysis, or dissemination of justice statistics;
- (14) develop and maintain a data processing capability to support the collection, aggregation, analysis and dissemination of information on the incidence of crime and the operation of the criminal justice system;
- (15) collect, analyze and disseminate comprehensive Federal justice transaction statistics (including statistics on issues of Federal justice interest such as public fraud and high technology crime) and to provide technical assistance to and work jointly with other Federal agencies to improve the availability and quality of Federal justice data;
- (16) provide for the collection, compilation, analysis, publication and dissemination of information and statistics about the prevalence, incidence, rates, extent, distribution and attributes of drug offenses, drug related offenses and drug dependent offenders and further provide for the establishment of a national

#### Appendix A - Title 42 BJS Authorizing Legislation

clearinghouse to maintain and update a comprehensive and timely data base on all criminal justice aspects of the drug crisis and to disseminate such information;

- (17) provide for the collection, analysis, dissemination and publication of statistics on the condition and progress of drug control activities at the Federal, State and local levels with particular attention to programs and intervention efforts demonstrated to be of value in the overall national anti- drug strategy and to provide for the establishment of a national clearinghouse for the gathering of data generated by Federal, State, and local criminal justice agencies on their drug enforcement activities:
- (18) provide for the development and enhancement of State and local criminal justice information systems, and the standardization of data reporting relating to the collection, analysis or dissemination of data and statistics about drug offenses, drug related offenses, or drug dependent offenders;
- (19) provide for research and improvements in the accuracy, completeness, and inclusiveness of criminal history record information, information systems, arrest warrant, and stolen vehicle record information and information systems and support research concerning the accuracy, completeness, and inclusiveness of other criminal justice record information;
- (20) maintain liaison with State and local governments and governments of other nations concerning justice statistics;
- (21) cooperate in and participate with national and international organizations in the development of uniform justice statistics;
- (22) ensure conformance with security and privacy requirement of section 3789g of this title and identify, analyze, and participate in the development and implementation of privacy, security and information policies which impact on Federal and State criminal justice operations and related statistical activities; and
- (23) exercise the powers and functions set out in subchapter VIII [part H] of this chapter [title].
- (d) Justice statistical collection, analysis, and dissemination. To insure that all justice statistical collection, analysis, and dissemination is carried out in a coordinated manner, the Director is authorized to—
  - (1) utilize, with their consent, the services, equipment, records, personnel, information, and facilities of other Federal, State, local, and private agencies and instrumentalities with or without reimbursement therefor, and to enter into agreements with such agencies and instrumentalities for purposes of data collection and analysis;
  - (2) confer and cooperate with State, municipal, and other local agencies;
  - (3) request such information, data, and reports from any Federal agency as may be required to carry out the purposes of this chapter [title];
  - (4) seek the cooperation of the judicial branch of the Federal Government in

## Appendix A - Title 42 BJS Authorizing Legislation gathering data from criminal justice records; and

- (5) encourage replication, coordination and sharing among justice agencies regarding information systems, information policy, and data.
- (e) Furnishing of information, data, or reports by Federal agencies. Federal agencies requested to furnish information, data, or reports pursuant to subsection (d)(3) of this section shall provide such information to the Bureau as is required to carry out the purposes of this section.
- (f) Consultation with representatives of State and local government and judiciary. In recommending standards for gathering justice statistics under this section, the Director shall consult with representatives of State and local government, including, where appropriate, representatives of the judiciary.

### 42 USC § 3733 [Sec. 303.] Authority for 100 per centum grants

A grant authorized under this subchapter [part] may be up to 100 per centum of the total cost of each project for which such grant is made. The Bureau shall require, whenever feasible as a condition of approval of a grant under this subchapter [part], that the recipient contribute money, facilities, or services to carry out the purposes for which the grant is sought.

#### 42 USC § 3735 [Sec. 304.] Use of data

Data collected by the Bureau shall be used only for statistical or research purposes, and shall be gathered in a manner that precludes their use for law enforcement or any purpose relating to a particular individual other than statistical or research purposes.



## **Annual Probation and Parole** Surveys

OMB No. 1121-0064. Exp. 08/31/2014

Welcome to the 2013 Annual Probation and Parole Surveys.

To begin, please enter the username and password that you received in the mail. If you need this information again or have technical difficulties, please contact the Agency Support Team at 1-888-371-3718.

> Username: Password:

> > Login to Survey



This is the official website for the Bureau of Justice Statistics (BJS) Annual Probation and Parole Surveys. The website is hosted at Westat. You can access the BJS website by clicking on this link: http://bjs.ojp.usdoj.gov





**Annual Parole Survey (CJ-7)** 

Web Survey Instructions | List of Survey Questions | Adobe PDF Version of Blank Survey | Contact Us | Logout

#### **GENERAL INFORMATION**

Please complete the survey before February 28, 2014. If you need assistance or have any questions, please contact us at bis-aps@westat.com or call the Agency Support Team at 1-888-371-3718.

#### Who is covered by this survey?

- INCLUDE all persons sentenced as adults, who were conditionally released to parole supervision, by parole board decision, by mandatory conditional release, through other types of post-custody conditional supervision, or as the result of a sentence to a term of supervised release. (Adults are persons subject to the jurisdiction of an adult court or correctional agency.)
- · INCLUDE adult parolees legally your responsibility but supervised outside your jurisdiction, such as through an interstate compact agreement
- · INCLUDE adult parolees on active supervision, including those who report electronically, or inactive supervision.
- · INCLUDE adult parolees under your jurisdiction, regardless of supervision status or sentence length.
- · INCLUDE absconders who have not been discharged from parole.

#### Who is not covered by this survey?

- EXCLUDE juveniles (persons under the jurisdiction of a juvenile court or corrections agency).
- EXCLUDE interstate compact cases supervised by your jurisdiction for another state.
- EXCLUDE adult parolees supervised by your jurisdiction but legally the responsibility of another jurisdiction.

#### Burden statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0064, Washington, DC 20503.

#### **Upload instructions**

· If you want to upload data rather than completing any portion of the survey, please call the Agency Support Team at 1-888-371-3718 for instructions.



This is the official website for the Bureau of Justice Statistics (BJS) Annual Probation and Parole Surveys. The website is hosted at Westat. You can access the BJS website by clicking on this link: http://bjs.oip.usdoj.gov



#### Appendix B - Sample Screen Shots of ASPP



General Information | List of Survey Questions | Adobe PDF Version of Blank Survey | Contact Us | Logout

#### Web Survey Instructions

#### Answering Questions

- The "List of Survey Questions" screen contains links to all survey questions.
- Navigate through the survey by answering each question and clicking the "Save and Go to Next Question" button, the "Save and Go to Previous Question" button, or the "Save and Go to List of Navigate intogri in savey by a inswering each yeaster and use to the control of t
- If you need assistance or have any questions, please contact us at bis-aps@westat.com or call the Agency Support Team at 1-888-371-3718.
- Please provide a response to each item. Blank items will be interpreted as "unknown" ("DK"). If the answer to a question is "none" or "zero," enter "0" in the space provided.
- · Whenever counts are required, there is a drop down menu that provides several options:
  - · If the answer to a question is an exact count, leave "ACT" (for actual) in the drop-down menu
  - . If the answer to a question is an estimate, select "EST" from the drop-down menu beside the question.
  - · If the answer to a question is "unknown," select "DK" from the drop-down menu and leave the data field blank.
  - If the answer to a question is "not applicable," select "NA" from the drop-down menu and leave the data field blank
- . When questions asking for counts have multiple parts, there will be a running total automatically entered in the total box for your convenience. You may overwrite this automatic total.

#### Saving Your Answers

- After answering each question, it is very important to save your entries; click the "Save and Go to Next Question" button, the "Save and Go to Previous Question" button, or the "Save and Go to List of Survey Questions" button to ensure that you do not lose the data you have entered.
- Do not use the back button on your browser; this will not save your data to the system. If you leave a question screen without clicking the "Save and Go to Next Question" button, the "Save and Go to Previous Question" button, or the "Save and Go to List of Survey Questions" button, any answers you've just entered will be lost.

- · You can stop your work in the survey at any time just be sure to click "Save and Go to Next Question" or the "Save and Go to List of Questions" button before you leave the survey.
- · When you come back, you'll arrive at the "List of Survey Questions" screen, and you'll be able to resume your work from there.

#### What the Icons Mean

• The survey uses two icons on the "List of Survey Questions" screen: Data Saved (🗸), to acknowledge that you have entered data for a question, and Check Your Data (\*) to signal that a question

#### Print a Blank Survey

• To print or save a blank survey, click on the tab labeled "Adobe PDF Version of Blank Survey" at the top of the "List of Survey Questions" screen. The file can be printed or saved for future reference.

#### **Print Your Completed Survey**

- . Once you have completed the survey and before you have submitted it, you can save a .pdf version and/or print a hardcopy of the survey with your answers. This will be formatted to appear like a paper
- Click on the button labeled "Print Completed Survey" on the "End of Survey" screen.
- . This must be done before you click the "Submit Survey" button. This option is not available after you hit the submit button.
- If you need a copy with your answers after you submit the survey, please contact Westat at bis-aps@westat.com or call the Agency Support Team at 1-888-371-3718.

- . After completing the survey, you must click on the "Submit Survey" button on the "End of Survey" screen. When we receive the survey, we will review your responses and contact you with any questions.
- To revise a response after you have clicked "Submit Survey," please contact Westat at bis-aps@westat.com or call the Agency Support Team at 1-888-371-3718.

#### **Privacy Statement**

#### Information Collected and Stored Automatically

If you visit our site to read or download information, we collect and store certain information about the internet address from which you accessed the site. This applies only to the website and not to the survey itself

- The name of the Internet domain (for example, "agencyname.gov" if you connect from a government account) and the IP address (a number that is automatically assigned to your computer when you are using the Internet) from which you access our site.
- The type of browser and operating system used to access our site
- The date and time you access our site.
- . The Internet address of any Web site from which you linked directly to our site.
- . The pages you visit and the information you request.

\*Cookies' are small bits of text that are either used for the duration of a session ("session cookies"), or saved on a user's hard drive in order to identify that user, or information about that user, the next time the user logs on to a Web ste ("persistent cookies"). Westat does not use persistent cookies. We may use session cookies to provide streamlined navigation through the sites. These session cookies are deleted from the component's server soon after your session ends and are not collected or saved.

For site security purposes our computer system, like government systems, employs software programs to monitor network traffic to identify unauthorized attempts to upload or change information, or otherwise cause damage. Anyone using this system expressly consents to such monitoring and is advised that if such monitoring reveals evidence of possible abuse or criminal activity, such evidence may be provided to appropriate law enforcement officials. Unauthorized attempts to upload or change information on this server are strictly prohibited and may be punishelby by law.

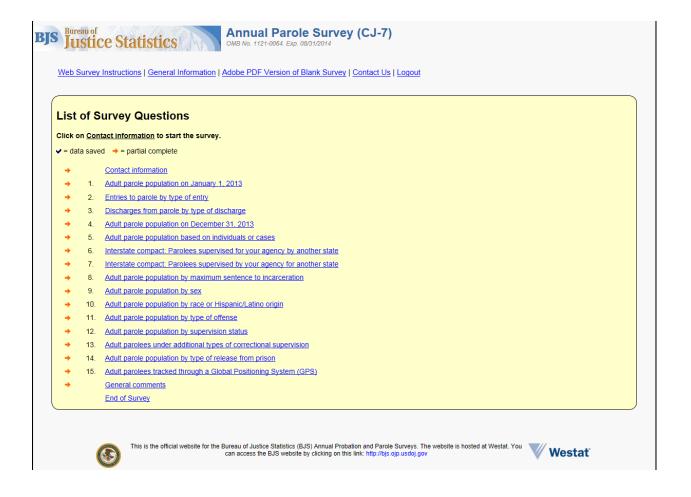
Go to List of Survey Questions

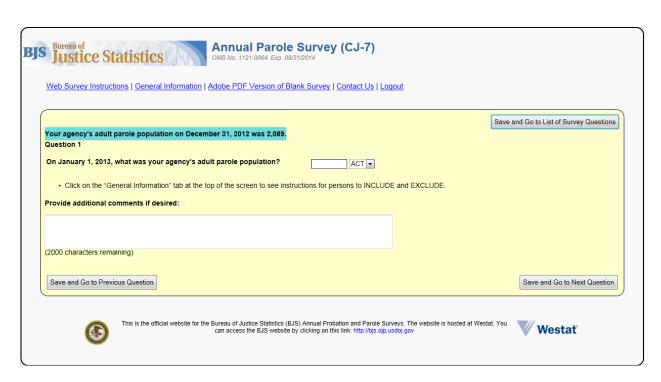


This is the official website for the Bureau of Justice Statistics (BJS) Annual Probation and Parole Surveys. The website is hosted at Westat. You can access the BJS website by clicking on this link: http://bjs.ojp.usdoj.gov

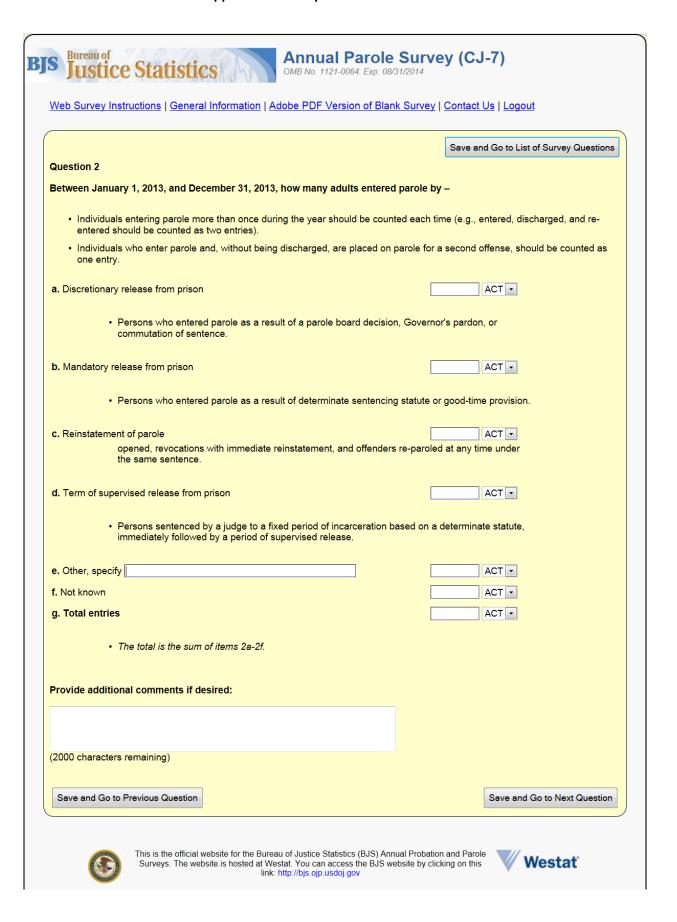


#### Appendix B - Sample Screen Shots of ASPP





#### Appendix B - Sample Screen Shots of ASPP



#### Appendix C1 - Staffing Supplement Pilot Questionnaire - Dual Reporter, Parole Focus

OMB No. XXXX-XXXX: Approval Expires XX/XX/XXXX

**WESTAT** U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS **BJS ASPP RETURN** Appendix C1 - Staffing Supplement Pilot Test **RW 2513** TO (Probation and Parole Dual Reporter - Parole Focus) 1600 Research Boulevard Rockville, MD 20850-3129 ANNUAL SURVEYS OF PROBATION AND PAROLE PLEASE ENTER THE CONTACT INFORMATION FOR THE PERSON FILLING OUT THIS FORM Name Address 1 Address 2 City State Zip code Number Extension Area code Number Area code FAX **TELEPHONE** NUMBER **EMAIL ADDRESS** 

#### **GENERAL INFORMATION**

- If you have any questions, call the Agency Support Team, Westat, at 1-888-371-3718, or email bjs-aps@westat.com.
- Please complete and return both pages of the questionnaire by MONTH/DAY/YEAR, by **mail** to **WESTAT** at the address above, **fax** to **1-888-371-3949**, or **email** to **bjs-aps@westat.com**.
- In responding to these questions, collaboration between probation supervision, human resources, accounting, and other agency personnel familiar with staffing issues may be helpful.

#### **Burden statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. XXXX-XXXX, Washington, DC 20503.

#### **INSTRUCTIONS**

- · Please provide a response to each question. Blanks will be interpreted as "unknown" ("DK").
- If the answer to a question is "none" or "zero," write "0" in the space provided.
- If the answer to a question is "unknown," mark "Don't know" in the box provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- When an exact numeric answer is not available, provide an estimate and mark (X) in the box beside each figure.
   For example 1,000 X.

These questions ask about the staffing at your probation/parole agency, including both full- and part-time staff. The questions ask about number of staff in your agency who work in various positions, such as directors, probation/parole officers and their supervisors, administrative staff, professional service providers, information technology staff, clerical staff, and other types of staff.

- Some respondents to the Annual Survey of Parole report on the populations of more than one agency. If the parole population that you reported in the yearend count (see Question 4 on the annual survey) represents the population for <u>more than one agency</u>, when answering the following questions about staffing that refer to "your agency," please report the <u>total</u> staff that worked in <u>all agencies</u> reflected in the answer to Question 4 on the annual survey.
- Some agencies supervise more than one population type (e.g., adult probationers, adult parolees, juveniles, etc.). If your agency supervises populations in addition to adult parolees, when answering the following questions about staffing, please report the total staff that worked in your agency, regardless of the populations they may serve.

#### 1. As of December 31, 2014, how many full- and part-time staff worked in your probation/parole agency?

- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

Estimate

П

Don't Know

Exclude vacant positions.

a. Full-time payroll staff.....

2.

b. Part-time payroll staff ............

• Exclude private contractors, staff from another government agency, unpaid interns, or community volunteers.

Number of Staff

	of December 31, 2014, how many of the payroll staff (full- and part-time) reported in Quest sitions?	ion 1 worked in th	ne follow	ing
•	Report each person in only one category based on his/her <u>primary</u> function, so that the sum of st equals the total in Question 1.	aff across all cateo	gories in 2	?a-2i
		Full- and Part-time Staff	<u>Estimate</u>	Don't <u>Know</u>
a.	Directors, deputy directors, court administrators, and chief probation/parole officers			
b.	Supervisors of probation/parole officers, agents, surveillance officers, line officers, and institutional officers			
C.	Probation/parole officers, agents, surveillance officers, line officers, and institutional officers (and trainees working with these staff)			
d.	Administrative staff (and their supervisors), such as human resources, finance, and grant-writing staff			
e.	Professional service providers (and their supervisors), such as counselors, psychiatrists, psychologists, social workers, medical staff, and educators			
f.	Researchers (and their supervisors), such as data analysts, research associates, management analysts, and others who perform research activities			
g.	Information technology staff (and their supervisors), such as systems analysts, systems managers, technicians, telecommunications personnel, and others who support IT functions			
h.	Clerical staff (and their supervisors), such as typists, secretaries, records and accounting clerks			
i.	Other types of payroll staff (full- or part-time)			
	(Please specify)			
	TOTAL (Sum of items 2a through 2i should equal Question 1 TOTAL.)			

3.		of December 31, 2014, how many individuals routinely worked full-tim es of arrangements?	e or pa	rt-time fo	r your age	ency within t	he follov	ving
	•	Include staff who work for your agency but who are not on your agency's page 1.	ayroll a	nd who ar	e not inclu	ded in Questi	on 1.	
	•	Report the number of people working in each arrangement, not the number example, if two private contractors account for one FTE, count them as two	of FTE part-tir	positions ne contrac	worked by	y those indivi	duals. Fo	r
						and Part-time adividuals	Estimate	Don't <u>Know</u>
	a.	Private contractors, including those working under contract who are hired at a specific rate of pay and are not considered a permanent employee. D community volunteers	o not in	clude				
	b.	Staff from another government agency, including staff on the payroll of oth agencies (e.g., health department, school district, court) and unpaid intern community volunteers	s. Do n	ot include				
	c.	Community volunteers						
4.	of a	any of the staff/individuals reported in Questions 2 or 3 directly or in any other population type(s) besides your adult parole population?  G	ndirec	tly involv	ed with th	e supervisio	on activi	ties
5.		of December 31, 2014, for what type(s) of populations were your staff ivities? Please mark "Yes" or "No" for <u>each</u> item below.	directly	y or indire	ectly invol	ved with sup	pervision	1
			Yes	No				
	а	Juveniles sentenced to probation in juvenile court						
		Juveniles assigned to after-care (parole)						
		Other (please specify)						
	0.							
6.		of December 31, 2014, what was the total number of individuals super egories?	vised b	y your aç	gency in e	ach of these	•	
			Nun	nber of		Don't		
				<u>/iduals</u>	<u>Estimate</u>			
	a.	Juveniles sentenced to probation in juvenile court						
		Juveniles assigned to after-care (parole)						
		Other (please specify)						

**AGENCY UID** 

These next questions ask about the number of probation/parole officers, their supervisors, and other payroll staff (full- and part-time) reported in Question 1 that had direct or indirect involvement with supervision activities for <u>only probationers</u>, <u>only parolees</u>, or <u>both probationers and parolees</u>. Please remember:

- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

	Exclude private contractors, staff from another government	agonoy, anpan	u interns, or comm	unity volur	nteers.
	s of December 31, 2014, how many of the payroll staff (fu ositions and had direct or indirect involvement with <u>only</u>			estion 1	worke
			Full- and Part-time Staff	Estimate	Don't Know
а	Supervisors of probation/parole officers				
b.	- · · · · · · · · · · · · · · · · · · ·				
C.	A 11 - 12 - 12 - 14 - 14 - 14				
U.	All other types of payroll stall	TOTAL			
A	s of December 31, 2014, how many of the payroll staff (fu ositions and had direct or indirect involvement with <u>only</u>	III- and part-tin	ne) reported in Quille parolees?	estion 1	worke
	<u> </u>	·	Full- and Part-time Staff	<u>Estimate</u>	Don't Know
a.	Supervisors of probation/parole officers				
b.	Probation/parole officers				
C.	All other types of payroll staff				
		TOTAL			
þ	ositions and had direct or indirect involvement with <u>both</u>		Full- and Part-time Staff	Estimate	Don't Know
a.	·				
a. b.	Probation/parole officers				Ш

AGENCY UID

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Page 4

#### Appendix C2 - Staffing Supplement Pilot Questionnaire - Dual Reporter, Probation Focus

OMB No. XXXX-XXXX: Approval Expires XX/XX/XXXX

**WESTAT** U.S. DEPARTMENT OF JUSTICE **BJS ASPP** BUREAU OF JUSTICE STATISTICS **RETURN** Appendix C2 - Staffing Supplement Pilot Test RW 2513 TO (Probation and Parole Dual Reporter – Probation Focus) 1600 Research Boulevard ANNUAL SURVEYS OF PROBATION AND PAROLE Rockville, MD 20850-3129 PLEASE ENTER THE CONTACT INFORMATION FOR THE PERSON FILLING OUT THIS FORM Name Address 1 Address 2 City State Zip code Number Area code Number Area code Extension FΔX **TELEPHONE** NUMBER **EMAIL ADDRESS** 

#### **GENERAL INFORMATION**

- If you have any questions, call the Agency Support Team, Westat, at 1-888-371-3718, or email bjs-aps@westat.com.
- Please complete and return both pages of the questionnaire by MONTH/DAY/YEAR, by **mail** to **WESTAT** at the address above, **fax** to **1-888-371-3949**, or **email** to **bis-aps@westat.com**.
- In responding to these questions, collaboration between probation supervision, human resources, accounting, and other agency personnel familiar with staffing issues may be helpful.

#### **Burden statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. XXXX-XXXX, Washington, DC 20503.

#### **INSTRUCTIONS**

- Please provide a response to each question. Blanks will be interpreted as "unknown" ("DK").
- If the answer to a question is "none" or "zero," write "0" in the space provided.
- If the answer to a question is "unknown," mark "Don't know" in the box provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- When an exact numeric answer is not available, provide an estimate and mark (X) in the box beside each figure.
   For example 1,000 X.

These questions ask about the staffing at your probation/parole agency, including both full- and part-time staff. The questions ask about number of staff in your agency who work in various positions, such as directors, probation/parole officers and their supervisors, administrative staff, professional service providers, information technology staff, clerical staff, and other types of staff.

- Some respondents to the Annual Survey of Probation report on the populations of more than one agency. If the probation population that you reported in the yearend count (see Question 4 on the annual survey) represents the population for more than one agency, when answering the following questions about staffing that refer to "your agency," please report the total staff that worked in all agencies reflected in the answer to Question 4 on the annual survey.
- Some agencies supervise more than one population type (e.g., adult probationers, adult parolees, juveniles, etc.). If your agency supervises populations in addition to adult probationers, when answering the following questions about staffing, please report the total staff that worked in your agency, regardless of the populations they may serve.

#### 1. As of December 31, 2014, how many full- and part-time staff worked in your probation/parole agency?

- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

Estimate

П

Don't Know

Exclude vacant positions.

a. Full-time payroll staff.....

2.

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b. Part-time payroll staff .....

TOTAL

• Exclude private contractors, staff from another government agency, unpaid interns, or community volunteers.

Number of Staff

	of December 31, 2014, how many of the payroll staff (full- and part-time) reported in Quest sitions?	ion 1 worked in th	ne follow	ing
•	Report each person in only one category based on his/her <u>primary</u> function, so that the sum of st equals the total in Question 1.	aff across all cateo	gories in 2	a-2i
		Full- and Part-time Staff	<u>Estimate</u>	Don't <u>Know</u>
a.	Directors, deputy directors, court administrators, and chief probation/parole officers			
b.	Supervisors of probation/parole officers, agents, surveillance officers, line officers, and institutional officers			
C.	Probation/parole officers, agents, surveillance officers, line officers, and institutional officers (and trainees working with these staff)			
d.	Administrative staff (and their supervisors), such as human resources, finance, and grant-writing staff			
e.	Professional service providers (and their supervisors), such as counselors, psychiatrists, psychologists, social workers, medical staff, and educators			
f.	Researchers (and their supervisors), such as data analysts, research associates, management analysts, and others who perform research activities			
g.	Information technology staff (and their supervisors), such as systems analysts, systems managers, technicians, telecommunications personnel, and others who support IT functions			
h.	Clerical staff (and their supervisors), such as typists, secretaries, records and accounting clerks			
i.	Other types of payroll staff (full- or part-time)			
	(Please specify)			
	TOTAL (Sum of items 2a through 2i should equal Question 1 TOTAL.)			

3.		of December 31, 2014, how many individuals routinely worked full-time es of arrangements?	e or pa	rt-time for	your age	ncy within	the follow	ving
	•	Include staff who work for your agency but who are not on your agency's pa	ayroll aı	nd who are	not includ	ded in Ques	stion 1.	
	•	Report the number of people working in each arrangement, not the number example, if two private contractors account for one FTE, count them as two	of FTE	positions ne contract	worked by t staff.	those indiv	viduals. Fo	r
						and Part-time <u>dividuals</u>	Estimate	Don't <u>Know</u>
	a.	Private contractors, including those working under contract who are hired f at a specific rate of pay and are not considered a permanent employee. Do community volunteers	o not in	clude				
	b.	Staff from another government agency, including staff on the payroll of oth agencies (e.g., health department, school district, court) and unpaid interns community volunteers	s. Do n	ot include				
	c.	Community volunteers						
	of a	e any of the staff/individuals reported in Questions 2 or 3 directly or in any other population type(s) besides your adult probation population s	1?					
5.	As acti	of December 31, 2014, for what type(s) of populations were your staff of ivities? Please mark "Yes" or "No" for <u>each</u> item below.	directly	or indire	ctly involv	ved with su	upervisior	1
			Yes	No				
	a.	Juveniles sentenced to probation in juvenile court						
		Juveniles assigned to after-care (parole)						
		Other (please specify)						
6.		of December 31, 2014, what was the total number of individuals super	vised b	y your ag	ency in ea	ach of thes	se	
	cate	egories?						
				nber of		Don't		
			Indiv	<u>riduals</u>	<u>Estimate</u>	Know		
		Juveniles sentenced to probation in juvenile court			. 🗆			
	b.	Juveniles assigned to after-care (parole)						
	C.	Other (please specify)						

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These next questions ask about the number of probation/parole officers, their supervisors, and other payroll staff (full- and part-time) reported in Question 1 that had direct or indirect involvement with supervision activities for <u>only probationers</u>, <u>only parolees</u>, or <u>both probationers and parolees</u>. Please remember:

- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

р	s of December 31, 2014, how many of the payroll staff (full- and part-	-ume) reported in Qi	lestion 1	worke
	ositions and had direct or indirect involvement with <u>only</u> adult or juv	venile probationers?		
		Full- and Part-time Staff	Estimate	Don't Know
а	Supervisors of probation/parole officers			
b				П
	All other types of payroll staff			
	TOTAL			
Α	s of December 31, 2014, how many of the payroll staff (full- and part-	-time) reported in Qu	uestion 1	worke
p	ositions and had direct or indirect involvement with <u>only</u> adult or juv	•		
		Full- and Part-time Staff	<u>Estimate</u>	Don't <u>Know</u>
а	Supervisors of probation/parole officers			
b	Probation/parole officers			
C.	All other types of payroll staff			
	TOTAL			
•	·	venile) <u>probationers</u>		
•		Full- and Part-time <u>Staff</u>	Estimate	Don't <u>Know</u>
a	Supervisors of probation/parole officers	Full- and Part-time <u>Staff</u>	Estimate	
-	Probation/parole officers	Full- and Part-time Staff		Know
а	Probation/parole officers	Full- and Part-time Staff	. 📙	Know

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### Appendix C3 - Staffing Supplement Pilot Questionnaire - Parole Only

OMB No. XXXX-XXXX: Approval Expires XX/XX/XXXX

**WESTAT** U.S. DEPARTMENT OF JUSTICE **BJS ASPP** BUREAU OF JUSTICE STATISTICS **RETURN** Appendix C3 - Staffing Supplement Pilot Test RW 2513 TO (Parole Only Reporter) 1600 Research Boulevard **ANNUAL SURVEYS OF PROBATION AND PAROLE** Rockville, MD 20850-3129 PLEASE ENTER THE CONTACT INFORMATION FOR THE PERSON FILLING OUT THIS FORM Name Address 1 Address 2 City State Zip code Number Area code Number Area code Extension FΔX **TELEPHONE** NUMBER **EMAIL ADDRESS** 

#### **GENERAL INFORMATION**

- If you have any questions, call the Agency Support Team, Westat, at 1-888-371-3718, or email bjs-aps@westat.com.
- Please complete and return both pages of the questionnaire by MONTH/DAY/YEAR, by **mail** to **WESTAT** at the address above, **fax** to **1-888-371-3949**, or **email** to **bis-aps@westat.com**.
- In responding to these questions, collaboration between probation supervision, human resources, accounting, and other agency personnel familiar with staffing issues may be helpful.

#### **Burden statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. XXXX-XXXX, Washington, DC 20503.

#### **INSTRUCTIONS**

- Please provide a response to each question. Blanks will be interpreted as "unknown" ("DK").
- If the answer to a question is "none" or "zero," write "0" in the space provided.
- If the answer to a question is "unknown," mark "Don't know" in the box provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- When an exact numeric answer is not available, provide an estimate and mark (X) in the box beside each figure.
   For example 1,000 X.

These questions ask about the staffing at your parole agency, including both full- and part-time staff. The questions ask about number of staff in your agency who work in various positions, such as directors, probation/parole officers and their supervisors, administrative staff, professional service providers, information technology staff, clerical staff, and other types of staff.

- Some respondents to the Annual Survey of Parole report on the populations of more than one agency. If the parole population that you reported in the yearend count (see Question 4 on the annual survey) represents the population for <u>more than one agency</u>, when answering the following questions about staffing that refer to "your agency," please report the <u>total</u> staff that worked in all agencies reflected in the answer to Question 4 on the annual survey.
- Some agencies supervise more than one population type (e.g., adult probationers, adult parolees, juveniles, etc.). If your agency supervises populations in addition to adult parolees, when answering the following questions about staffing, please report the total staff that worked in your agency, regardless of the populations they may serve.

#### 1. As of December 31, 2014, how many full- and part-time staff worked in your parole agency?

Number of Staff

- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

Estimate

П

П

Don't Know

Exclude vacant positions.

a. Full-time payroll staff.....

2.

b. Part-time payroll staff .....

TOTAL

• Exclude private contractors, staff from another government agency, unpaid interns, or community volunteers.

	s of December 31, 2014, how many of the payroll staff (full- and part-time) reported in Quest esitions?	ion 1 worked in t	he follow	ing
•	Report each person in only one category based on his/her <u>primary</u> function, so that the sum of sequals the total in Question 1.	taff across all cate	gories in 2	2a-2i
		Full- and Part-time Staff	<u>Estimate</u>	Don't <u>Know</u>
a.	Directors, deputy directors, court administrators, and chief probation/parole officers			
b.	Supervisors of probation/parole officers, agents, surveillance officers, line officers, and institutional officers			
C.	Probation/parole officers, agents, surveillance officers, line officers, and institutional officers (and trainees working with these staff)			
d.	Administrative staff (and their supervisors), such as human resources, finance, and grant-writing staff			
e.	Professional service providers (and their supervisors), such as counselors, psychiatrists, psychologists, social workers, medical staff, and educators			
f.	Researchers (and their supervisors), such as data analysts, research associates, management analysts, and others who perform research activities			
g.	Information technology staff (and their supervisors), such as systems analysts, systems managers, technicians, telecommunications personnel, and others who support IT functions			
h.	Clerical staff (and their supervisors), such as typists, secretaries, records and accounting clerks			
i.	Other types of payroll staff (full- or part-time)			
	(Please specify)			
	TOTAL (Sum of items 2a through 2i should equal Question 1 TOTAL.)			

3.	As of December 31, 2014, types of arrangements?	how many individu	als routinely worked fu	ull-time or pa	art-time fo	r your age	ency within t	he follow	ving
	<ul> <li>Include staff who work for</li> </ul>	or your agency but w	ho are not on your agen	cy's payroll a	nd who are	e not includ	ded in Questi	ion 1.	
	Report the number of perexample, if two private contains the second	eople working in each	n arrangement, not the nor one FTE, count them	umber of FTI as two part-ti	E positions me contrac	worked by at staff.	those indivi	duals. Fo	r
							and Part-time dividuals	<u>Estimate</u>	Don't <u>Know</u>
	at a specific rate of pay	and are not conside	under contract who are red a permanent employ	ee. Do not ir	clude				
	agencies (e.g., health d	department, school di	uding staff on the payroll istrict, court) and unpaid	interns. Do n	ot include				
	c. Community volunteers.								
4.	Are any of the staff/indivior any other population ty  Yes	ype(s) besides your CONTINUE)	r adult parole populati		tly involv	ed with th	e supervisio	on activi	ties
5.	As of December 31, 2014, activities? Please mark "Y	for what type(s) of /es" or "No" for <u>eac</u>	populations were your <u>ch</u> item below.	staff directl	y or indire	ctly invol	ved with sup	pervision	ı
				<u>Yes</u>	No				
	a. Adults on probation								
	b. Juveniles sentenced to p				Ē				
	c. Juveniles assigned to af	·							
	d. Other (please specify)								
					_				
6.	As of December 31, 2014, categories?	what was the total	number of individuals	supervised	by your ag	ency in e	ach of these	<b>)</b>	
					nber of viduals	<u>Estimate</u>	Don't <u>Know</u>		
	a. Adults on probation								
	b. Juveniles sentenced to p								
	c. Juveniles assigned to af	•							
	d. Other (please specify)								

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These next questions ask about the number of probation/parole officers, their supervisors, and other payroll staff (full- and part-time) reported in Question 1 that had direct or indirect involvement with supervision activities for <u>only probationers</u>, <u>only parolees</u>, or <u>both probationers and parolees</u>. Please remember:

- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

	•	Exclude vacant positions.				
	•	Exclude private contractors, staff from another government agency, unpair	d interns, or commi	unity volur	nteers.	
7.		of December 31, 2014, how many of the payroll staff (full- and part-tin sitions and had direct or indirect involvement with <u>only</u> adult or juven		estion 1	worked	in the following
			Full- and Part-time Staff	<u>Estimate</u>	Don't <u>Know</u>	
	a.	Supervisors of probation/parole officers				
	b.	Probation/parole officers				
	c.	All other types of payroll staff				
		TOTAL				
8.	As po	of December 31, 2014, how many of the payroll staff (full- and part-tin sitions and had direct or indirect involvement with <u>only</u> adult or juven	ne) reported in Quile parolees?	estion 1	worked	in the following
			Full- and Part-time Staff	<u>Estimate</u>	Don't <u>Know</u>	
	a.	Supervisors of probation/parole officers				
	b.	Probation/parole officers				
	c.	All other types of payroll staff				
		TOTAL				
9.	As po	of December 31, 2014, how many of the payroll staff (full- and part-tin sitions and had direct or indirect involvement with <u>both</u> (adult or juve	ne) reported in Qu nile) <u>probationers</u> Full- and Part-time Staff	estion 1 vand paro	worked blees? Don't Know	in the following
			_			
	a.	Supervisors of probation/parole officers				
	b.	Probation/parole officers				
	C.	All other types of payroll staff				
		TOTAL		. Ц		

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### Appendix C4 - Staffing Supplement Pilot Questionnaire - Probation Only

OMB No. XXXX-XXXX: Approval Expires XX/XX/XXXX

**WESTAT** U.S. DEPARTMENT OF JUSTICE **BJS ASPP BUREAU OF JUSTICE STATISTICS RETURN** Appendix C4 - Staffing Supplement Pilot Test RW 2513 TO (Probation Only Reporter) 1600 Research Boulevard **ANNUAL SURVEYS OF PROBATION AND PAROLE** Rockville, MD 20850-3129 PLEASE ENTER THE CONTACT INFORMATION FOR THE PERSON FILLING OUT THIS FORM Name Address 1 Address 2 City State Zip code Number Area code Number Area code Extension FΔX **TELEPHONE** NUMBER **EMAIL ADDRESS** 

#### **GENERAL INFORMATION**

- If you have any questions, call the Agency Support Team, Westat, at 1-888-371-3718, or email bjs-aps@westat.com.
- Please complete and return both pages of the questionnaire by MONTH/DAY/YEAR, by **mail** to **WESTAT** at the address above, **fax** to **1-888-371-3949**, or **email** to **bis-aps@westat.com**.
- In responding to these questions, collaboration between probation supervision, human resources, accounting, and other agency personnel familiar with staffing issues may be helpful.

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#### **INSTRUCTIONS**

- Please provide a response to each question. Blanks will be interpreted as "unknown" ("DK").
- If the answer to a question is "none" or "zero," write "0" in the space provided.
- If the answer to a question is "unknown," mark "Don't know" in the box provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- When an exact numeric answer is not available, provide an estimate and mark (X) in the box beside each figure.
   For example 1,000 X.

These questions ask about the staffing at your probation agency, including both full- and part-time staff. The questions ask about number of staff in your agency who work in various positions, such as directors, probation/parole officers and their supervisors, administrative staff, professional service providers, information technology staff, clerical staff, and other types of staff.

- Some respondents to the Annual Survey of Probation report on the populations of more than one agency. If the probation
  population that you reported in the yearend count (see Question 4 on the annual survey) represents the population for more
  than one agency, when answering the following questions about staffing that refer to "your agency," please report the total staff
  that worked in all agencies reflected in the answer to Question 4 on the annual survey.
- Some agencies supervise more than one population type (e.g., adult probationers, adult parolees, juveniles, etc.). If your agency supervises populations in addition to adult probationers, when answering the following questions about staffing, please report the total staff that worked in your agency, regardless of the populations they may serve.

#### 1. As of December 31, 2014, how many full- and part-time staff worked in your probation agency?

Number of Staff

- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

Estimate

П

П

Don't Know

Exclude vacant positions.

a. Full-time payroll staff.....

b. Part-time payroll staff ......

2.

TOTAL

• Exclude private contractors, staff from another government agency, unpaid interns, or community volunteers.

	s of December 31, 2014, how many of the payroll staff (full- and part-time) reported in Quest sitions?	ion 1 worked in t	he follow	ing
•	Report each person in only one category based on his/her <u>primary</u> function, so that the sum of s equals the total in Question 1.	taff across all cate	gories in 2	?a-2i
		Full- and Part-time Staff	<u>Estimate</u>	Don't <u>Know</u>
a.	Directors, deputy directors, court administrators, and chief probation/parole officers			
b.	Supervisors of probation/parole officers, agents, surveillance officers, line officers, and institutional officers			
C.	Probation/parole officers, agents, surveillance officers, line officers, and institutional officers (and trainees working with these staff)			
d.	Administrative staff (and their supervisors), such as human resources, finance, and grant-writing staff			
e.	Professional service providers (and their supervisors), such as counselors, psychiatrists, psychologists, social workers, medical staff, and educators			
f.	Researchers (and their supervisors), such as data analysts, research associates, management analysts, and others who perform research activities			
g.	Information technology staff (and their supervisors), such as systems analysts, systems managers, technicians, telecommunications personnel, and others who support IT functions			
h.	Clerical staff (and their supervisors), such as typists, secretaries, records and accounting clerks			
i.	Other types of payroll staff (full- or part-time)			
	(Please specify)			
	TOTAL (Sum of items 2a through 2i should equal Question 1 TOTAL.)			

3.	As of December				now	v ma	any i	ndivi	idual	ls ro	utine	ely w	orked	d full-t	time	or pa	rt-tim	e for	you	ur age	ncy	within	the	follov	ving
	Include staff	who wo	ork f	fo	r yc	our a	igen	cy bu	ut who	o are	not	on yo	our ag	gency's	s pay	roll a	nd wh	o are	not	inclu	ded ir	n Ques	stion	1.	
	Report the n example, if t	umber c wo priva	of p	pec e cc	ople ontr	e wo	rkinç ors a	j in e ccour	each a	arran one	gem FTE	ent, r	not the	e num em as t	ber o	of FTE part-tir	posit	ions ntrac	wor	ked by aff.	thos	se indi	vidua	als. Fo	r
																					ınd Pa dividu	art-time <u>ıals</u>		<u>mate</u>	Don't <u>Know</u>
	a. Private con at a specific community	rate of	pay	ay a	and	are	e not	cons	sidere	ed a p	perm	anen	t emp	oloyee	. Do	not in	clude	-					_ [		
	b. Staff from a agencies (e community	.g., hea	ilth	n de	epa	ırtme	ent, s	schoo	ol dist	trict,	cour	t) and	dunpa	aid inte	erns.	Do n	ot incl	ude					_ (		
	c. Community	volunte	ers	S																			_ [		
4.	Are any of the of any other po	pulatio	on t	ty (C	pe( CON	(s) b NTIN	esid IUE)	des y	our a	adult	t pro						tly inv	/olve	ed w	rith th	e su <sub>l</sub>	pervis	sion	activi	ties
5.	As of December activities? Plea	r 31, 20 se mar	014, k "'	4, f "Y€	or v es"	wha or '	it typ "No'	e(s) ' for	of po	opula item	atior n bel	ns we low.	ere yo	our sta	aff d	irectly	y or in	dire	ctly	invol	ved v	vith s	uper	vision	ı
																<u>Yes</u>	No								
	a. Adults on pa	role																							
	b. Juveniles se																								
	c. Juveniles as			-			-																		
	d. Other (pleas																								
6.	As of Decembe categories?	r 31, 20	014,	4, v	wha	at wa	as th	ne tot	tal nı	umbe	er of	indi	vidua	als sup	pervi	ised k	y you	ır ag	enc	y in e	ach d	of thes	se		
																	nber of /iduals		Fst	imate	Don Kno				
																inai	<u>riadais</u>				<u> </u>	<u>··</u> 1			
	a. Adults on pa																		_		<u> </u>	]			
	b. Juveniles se			•															-			J 1			
	c. Juveniles as																		_	<u></u>		_			
	d. Other (pleas	e specif	ty)	_															_	Ш	L	J			

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These next questions ask about the number of probation/parole officers, their supervisors, and other payroll staff (full- and part-time) reported in Question 1 that had direct or indirect involvement with supervision activities for <u>only probationers</u>, <u>only parolees</u>, or <u>both probationers and parolees</u>. Please remember:

- Report the number of people who comprise the total FTEs in your agency's budget. For example, if two people account for one FTE, count them as two part-time payroll staff.
- Include both full- and part-time staff. Use your agency's definition to differentiate between full- and part-time staff.
- Include all staff on the payroll of your agency. For example, include those whose names or positions are covered in your budget and those covered by collective bargaining agreements with the agency.

Staff probation/parole officers  B. Supervisors of probation/parole officers  C. All other types of probation/parole officers  B. Probation/parole officers  C. All other types of probation/parole officers  B. Probation/parole officers  C. All other types of probation/parole officers  B. Probation/parole officers  C. All other types of probation/parole officers  C. All other types of probation/parole officers  C. All other types of probation/parole officers  B. Probation of probati	•	Exclude vacant positions.			
positions and had direct or indirect involvement with only adult or juvenile probationers?    Full- and Part-time   Staff   Estimate   Know	•	Exclude private contractors, staff from another government agency, unpai	id interns, or comm	unity volur	nteers.
a. Supervisors of probation/parole officers				estion 1	worked in the follo
a. Supervisors of probation/parole officers	ŗ	ositions and had direct or indirect involvement with <u>only</u> adult or juver	nile probationers?		
a. Supervisors of probation/parole officers				Estimata	
b. Probation/parole officers			_		Know
C. All other types of payroll staff	а			. Ц	
As of December 31, 2014, how many of the payroll staff (full- and part-time) reported in Question 1 worked in the forestions and had direct or indirect involvement with only adult or juvenile parolees?    Full- and Part-time   Staff   Estimate   Know	b	. Probation/parole officers			
As of December 31, 2014, how many of the payroll staff (full- and part-time) reported in Question 1 worked in the for positions and had direct or indirect involvement with only adult or juvenile parolees?    Full- and Part-time   Staff   Estimate   Know	C	. All other types of payroll staff			
positions and had direct or indirect involvement with only adult or juvenile parolees?    Full- and Part-time   Stafff   Estimate   Know		TOTAL			
a. Supervisors of probation/parole officers	3. <i>A</i>	s of December 31, 2014, how many of the payroll staff (full- and part-tin	me) reported in Qເ	estion 1	worked in the follo
a. Supervisors of probation/parole officers	ŗ	ositions and had direct or indirect involvement with <u>only</u> adult or juver	nile parolees?		
a. Supervisors of probation/parole officers				Estimate	
b. Probation/parole officers			<del></del>		_
c. All other types of payroll staff	а				
TOTAL  TO	b			. ⊔	
As of December 31, 2014, how many of the payroll staff (full- and part-time) reported in Question 1 worked in the for positions and had direct or indirect involvement with both (adult or juvenile) probationers and parolees?  Full- and Part-time Staff Estimate Know  a. Supervisors of probation/parole officers                b. Probation/parole officers            c. All other types of payroll staff	C				
positions and had direct or indirect involvement with both (adult or juvenile) probationers and parolees?  Full- and Part-time Staff  Estimate Know  a. Supervisors of probation/parole officers		TOTAL			
a. Supervisors of probation/parole officers	). <i>A</i>	s of December 31, 2014, how many of the payroll staff (full- and part-tinositions and had direct or indirect involvement with both (adult or juve	me) reported in Qu nile) probationers	estion 1	worked in the follo plees?
a. Supervisors of probation/parole officers	r	<u></u> (	<u> </u>		
b. Probation/parole officers				<u>Estimate</u>	
b. Probation/parole officers	а	. Supervisors of probation/parole officers			
c. All other types of payroll staff	b				
	c				
		TOTAL		. ⊔	

AGENCY UID 26

#### Appendix D - Pre-notification Letter

#### MM/DD/2014

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«HEAD_FIRST_NAME» «HEAD_LAST_NAME» «HEAD_TITLE_COMMA» «HEAD_TITLE» «ATTN» «ATTN_FIRST_NAME» «ATTN_LAST_NAME» «AGENCY_NAME» «AGENCY_NAME_2» «HEAD_ADDRESS» «HEAD_ADDRESS» «HEAD_ADDRESS_2» «HEAD_CITY», «HEAD_STATE» «HEAD_ZIP_A» «HEAD_ZIP_DASH» «HEAD_ZIP_B»
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Dear «HEAD FIRST NAME» «HEAD LAST NAME»,

The Bureau of Justice Statistics (BJS) is preparing to conduct the «Annual\_Probation\_SurveyParole\_SurveySu», «a\_surveysurveys», continuing the data collection series that started in 1980. Data from «this\_survey\_isthese\_surveys\_are» used to produce statistics about the «probation\_populationparole\_population» throughout the United States including its size, movements onto and off supervision, and outcomes of supervision. This type of information is essential for policy development and criminal justice planning at all levels of government and is not available from any other source. Westat (Rockville, MD) is acting as the data collection agent for «this\_surveythese\_surveys».

This year, BJS and Westat have developed a set of questions that focus on staffing within community corrections agencies (see enclosure). Staffing in community corrections has been identified as a topic of interest to the field and this data collection proposes to close this information gap. Your agency has been selected to take part in a pilot test of this questionnaire.

#### Important dates:

- November 29, 2014: Submit any necessary corrections to the information shown on the enclosed Designation Form by fax to 888-371-3949 or by email to <a href="mailto:bjs-aps@westat.com">bjs-aps@westat.com</a>.
- Mid-December 2014: Your agency will receive the invitation to the 2014 collection and you can begin to
  complete the survey at that time. Please note that some questions will ask about your yearend
  «probation\_populationparole\_population»; you will likely need to wait until January 2015 to answer those
  questions.
- February 28, 2015: Submit your completed <<surveysurveys>>.

If you are unable to submit your «surveysurveys» by the due date or if we can assist you in any way, please call the Westat Agency Support Team toll free at 888-371-3718 or email <a href="mailto:bjs-aps@westat.com">bjs-aps@westat.com</a>.

BJS will release *Probation and Parole in the United States, 2014* in the fall of 2015. Information and reports about the «Annual\_Probation\_SurveyParole\_SurveySu» are available at the Bureau of Justice Statistics website <a href="http://bjs.ojp.usdoj.gov/index.cfm?ty=tp&tid=15">http://bjs.ojp.usdoj.gov/index.cfm?ty=tp&tid=15</a>.

Thank you for your continued support of the BJS statistical programs. If you have any questions or comments about these collections, please contact Laura Maruschak at (202) 598-0802 or Laura.Maruschak@usdoj.gov. We look forward to working with you on the «Annual\_Probation\_SurveyParole\_Survey».

Sincerely,

Daniela Golinelli, Ph.D. Chief, Corrections Unit Bureau of Justice Statistics

# 2014 Annual Surveys of Probation and Parole Staffing Questions (Pilot Test)

BJS and Westat are pilot testing some questions focused on staffing within community corrections agencies. The actual questions will be included at the end of your agency's annual survey.

#### Preview of the topics addressed by the staffing questions:

- The number of **full-time payroll staff** and the number of **part-time payroll staff** that worked in your agency
- The number of full-time and part-time staff in each of the following categories:
  - o Directors, deputy directors, court administrators, and chief probation/parole officers
  - Supervisors of probation/parole officers, agents, surveillance officers, line officers, and institutional officers
  - o Probation/parole officers, agents, surveillance officers, line officers, and institutional officers
  - o Administrative staff, such as human resources, finance, and grant-writing staff
  - Professional service providers, such as counselors, psychiatrists, psychologists, social workers, medical staff, and educators
  - o Researchers, such as data analysts, research associates, and management analysts
  - o Information technology staff, such as systems analysts, and telecommunications personnel
  - o Clerical staff, such as typists, secretaries, records and accounts clerks
  - Other types of payroll staff
- The number of full-time and part-time staff working within the following arrangements:
  - Private contractors
  - Staff from another government agency, including staff on the payroll of other government agencies
  - Community volunteers

If you would like additional information about the pilot test or would like to discuss the questions, please contact the Agency Support Team at 1-888-371-3718 or email bjs-aps@westat.com

#### Appendix E - Survey Invitation Letter

#### MM/DD/2014

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«D_FIRSTNAME» «D_LASTNAME» «D_TITLECOMMA» «D_TITLE» «AGENCYNAME» «AGENCYNAME2» «AGENCYNAME2» «DP_ADDRESS» «DP_ADDRESS_2» «DP_CITY», «DP_STATE» «DP_ZIP_A» «H_ZIPDASH» «DP_ZIP_B»
```

Dear «D FIRSTNAME» «D LASTNAME»,

We would like to inform you of the start of the 2014 <<Annual Probation/Annual Parole/Annual Probation and Parole>> <<Survey(s)>>, part of the Bureau of Justice Statistics' (BJS) core set of correctional statistics since 1980. Data are used by state and local criminal justice stakeholders to understand the nature of the population under correctional authority, compare changes in populations between jurisdictions, track outcomes of those on <<pre><<pre>correctional authority, and justify budgets. There is no other ongoing, comprehensive, nationally-representative survey of the <<pre>correctional parole/p&p>> populationpopulation. BJS has engaged the services of Westat (Rockville, MD) to act as the data collection agent. Although participation is voluntary, your agency's data are needed to produce complete and accurate statistics on the <<pre>probation/parole/p&p>> population in the United States. The Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 USC 3732), authorizes this data collection.

This year, BJS and Westat have developed a set of questions that focus on staffing within community corrections agencies (see enclosure). Staffing in community corrections has been identified as a topic of interest to the field and this data collection proposes to close this information gap. Your agency has been selected to take part in a pilot test of this questionnaire. You may wish to contact others in your agency (e.g., from a human resources office) for assistance in completing the Staffing Supplement.

In order to provide stakeholders with the results of this survey as quickly as possible, BJS needs your completed <<survey(s)>> by **February 28, 2015**. If you are unable to submit your «surveysurveys» by the due date, please notify Westat's Agency Support Team.

The enclosed information sheet provides your agency's unique login information for <<this web survey/these web surveys>>. The website is secure and allows you to save and exit the survey at any time and re-open it later. The website is now open and you can begin entering responses. Please note that some questions will ask about your agency's yearend «probation\_populationparole\_population»; you will likely need to wait until January 2015 to answer those questions. If you are unable to submit your <<survey(s)>> online and you need an alternative format for submission, please contact Westat's Agency Support Team.

For assistance, please call **Westat's Agency Support Team at 1-888-371-3718** or by email at <a href="mailto:bjs-aps@westat.com">bjs-aps@westat.com</a> If you have any general comments about this survey, feel free to contact Laura Maruschak at (202) 598-0802 or Laura.Maruschak@usdoj.gov. Information and reports about the «Annual\_Probation\_SurveyParole\_SurveySu» are available at the BJS's website <a href="http://bjs.ojp.usdoj.gov/index.cfm?ty=tp&tid=15">http://bjs.ojp.usdoj.gov/index.cfm?ty=tp&tid=15</a>.

Thank you for your continued support of BJS's statistical programs.

Sincerely,

Daniela Golinelli, Ph.D. Chief, Corrections Unit Bureau of Justice Statistics



# 2014 << Annual Probation Survey/Annual Parole Survey>>

«AGENCY\_NAME» «AGENCY\_NAME\_COMMA» «AGENCY\_NAME\_2»

Head of Agency: «HEAD\_FIRST\_NAME» «HEAD\_LAST\_NAME»

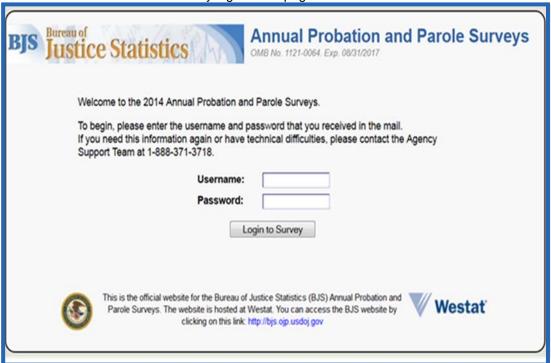
Data Provider: «D\_FIRSTNAME» «D\_LASTNAME»

The username and password below provide you access to the online parole survey at: <a href="https://www.bjs-aps.org">www.bjs-aps.org</a>.

Username: «**UID**»
Password: «**Password**»

Please submit your completed survey by **February 28, 2015.** 

Below is a screenshot of the survey sign-in webpage.



For assistance or more information, contact Westat's **<<Annual Probation Survey/Annual Parole Survey>>** Agency Support Team, Vanessa and Ben, at 1-888-371-3718 or <a href="mailto:bjs-aps@westat.com">bjs-aps@westat.com</a>.

<<UID>>

# 2014 Annual Surveys of Probation and Parole Staffing Questions (Pilot Test)

BJS and Westat are pilot testing some questions focused on staffing within community corrections agencies. The actual questions will be included at the end of your agency's annual survey.

#### Preview of the topics addressed by the staffing questions:

- The number of **full-time payroll staff** and the number of **part-time payroll staff** that worked in your agency
- The number of full-time and part-time staff in each of the following categories:
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  - Supervisors of probation/parole officers, agents, surveillance officers, line officers, and institutional officers
  - o Probation/parole officers, agents, surveillance officers, line officers, and institutional officers
  - o Administrative staff, such as human resources, finance, and grant-writing staff
  - Professional service providers, such as counselors, psychiatrists, psychologists, social workers, medical staff, and educators
  - o Researchers, such as data analysts, research associates, and management analysts
  - o Information technology staff, such as systems analysts, and telecommunications personnel
  - o Clerical staff, such as typists, secretaries, records and accounts clerks
  - Other types of payroll staff
- The number of full-time and part-time staff working within the following arrangements:
  - Private contractors
  - Staff from another government agency, including staff on the payroll of other government agencies
  - Community volunteers

If you would like additional information about the pilot test or would like to discuss the questions, please contact the Agency Support Team at 1-888-371-3718 or email bjs-aps@westat.com