

A. Introduction

- 1. Title:** Protection System and Remedial Action Scheme Misoperation
- 2. Number:** PRC-004-WECC-1
- 3. Purpose:** Regional Reliability Standard to ensure all transmission and generation Protection System and Remedial Action Scheme (RAS) Misoperations on Transmission Paths and RAS defined in section 4 are analyzed and/or mitigated.

4. Applicability

- 4.1.** Transmission Owners of selected WECC major transmission path facilities and RAS listed in tables titled “Major WECC Transfer Paths in the Bulk Electric System” provided at <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20Paths%204-28-08.pdf> and “Major WECC Remedial Action Schemes (RAS)” provided at <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20RAS%204-28-08.pdf>.
 - 4.2.** Generator Owners that own RAS listed in the Table titled “Major WECC Remedial Action Schemes (RAS)” provided at <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20RAS%204-28-08.pdf>.
 - 4.3.** Transmission Operators that operate major transmission path facilities and RAS listed in Tables titled “Major WECC Transfer Paths in the Bulk Electric System” provided at <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20Paths%204-28-08.pdf> and “Major WECC Remedial Action Schemes (RAS)” provided at <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20RAS%204-28-08.pdf>.
- 5. Effective Date:** On the first day of the second quarter following applicable regulatory approval.

B. Requirements

The requirements below only apply to the major transmission paths facilities and RAS listed in the tables titled “Major WECC Transfer Paths in the Bulk Electric System” and “Major WECC Remedial Action Schemes (RAS).”

- R.1.** System Operators and System Protection personnel of the Transmission Owners and Generator Owners shall analyze all Protection System and RAS operations. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*
 - R1.1.** System Operators shall review all tripping of transmission elements and RAS operations to identify apparent Misoperations within 24 hours.
 - R1.2.** System Protection personnel shall analyze all operations of Protection Systems and RAS within 20 business days for correctness to characterize whether a Misoperation has occurred that may not have been identified by System Operators.
- R.2.** Transmission Owners and Generator Owners shall perform the following actions for each Misoperation of the Protection System or RAS. It is not intended that Requirements R2.1 through R2.4 apply to Protection System and/or RAS actions that appear to be entirely reasonable and correct at the time of occurrence and associated system performance is fully compliant with NERC Reliability Standards. If the Transmission Owner or Generator Owner later finds the Protection System or RAS operation to be incorrect through System Protection personnel analysis, the requirements of R2.1 through R2.4 become applicable at the time the

Transmission Owner or Generator Owner identifies the Misoperation:

- R2.1.** If the Protection System or RAS has a Security-Based Misoperation and two or more Functionally Equivalent Protection Systems (FEPS) or Functionally Equivalent RAS (FERAS) remain in service to ensure Bulk Electric System (BES) reliability, the Transmission Owners or Generator Owners shall remove from service the Protection System or RAS that misoperated within 22 hours following identification of the Misoperation. Repair or replacement of the failed Protection System or RAS is at the Transmission Owners' and Generator Owners' discretion. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*
- R2.2.** If the Protection System or RAS has a Security-Based Misoperation and only one FEPS or FERAS remains in service to ensure BES reliability, the Transmission Owner or Generator Owner shall perform the following. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*
 - R2.2.1.** Following identification of the Protection System or RAS Misoperation, Transmission Owners and Generator Owners shall remove from service within 22 hours for repair or modification the Protection System or RAS that misoperated.
 - R2.2.2.** The Transmission Owner or Generator Owner shall repair or replace any Protection System or RAS that misoperated with a FEPS or FERAS within 20 business days of the date of removal. The Transmission Owner or Generator Owner shall remove the Element from service or disable the RAS if repair or replacement is not completed within 20 business days.
- R2.3.** If the Protection System or RAS has a Security-Based or Dependability-Based Misoperation and a FEPS and FERAS is not in service to ensure BES reliability, Transmission Owners or Generator Owners shall repair and place back in service within 22 hours the Protection System or RAS that misoperated. If this cannot be done, then Transmission Owners and Generator Owners shall perform the following. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*
 - R2.3.1.** When a FEPS is not available, the Transmission Owners shall remove the associated Element from service.
 - R2.3.2.** When FERAS is not available, then
 - 2.3.2.1.** The Generator Owners shall adjust generation to a reliable operating level, or
 - 2.3.2.2.** Transmission Operators shall adjust the SOL and operate the facilities within established limits.
- R2.4.** If the Protection System or RAS has a Dependability-Based Misoperation but has one or more FEPS or FERAS that operated correctly, the associated Element or transmission path may remain in service without removing from service the Protection System or RAS that failed, provided one of the following is performed.
 - R2.4.1.** Transmission Owners or Generator Owners shall repair or replace any Protection System or RAS that misoperated with FEPS and FERAS within 20 business days of the date of the Misoperation identification, or
 - R2.4.2.** Transmission Owners or Generator Owners shall remove from service the associated Element or RAS. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*
- R.3.** Transmission Owners and Generation Owners shall submit Misoperation incident reports to

WECC within 10 business days for the following. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*

- R3.1.** Identification of a Misoperation of a Protection System and/or RAS,
- R3.2.** Completion of repairs or the replacement of Protection System and/or RAS that misoperated.

C. Measures

Each measure below applies directly to the requirement by number.

- M1.** Transmission Owners and Generation Owners shall have evidence that they reported and analyzed all Protection System and RAS operations.
 - M1.1** Transmission Owners and Generation Owners shall have evidence that System Operating personnel reviewed all operations of Protection System and RAS within 24 hours.
 - M1.2** Transmission Owners and Generation Owners shall have evidence that System Protection personnel analyzed all operations of Protection System and RAS for correctness within 20 business days.
- M2.** Transmission Owners and Generation Owners shall have evidence for the following.
 - M2.1** Transmission Owners and Generation Owners shall have evidence that they removed the Protection System or RAS that misoperated from service within 22 hours following identification of the Protection System or RAS Misoperation.
 - M2.2** Transmission Owners and Generation Owners shall have evidence that they removed from service and repaired the Protection System or RAS that misoperated per measurements M2.2.1 through M2.2.2.
 - M2.2.1** Transmission Owners and Generation Owners shall have evidence that they removed the Protection System or RAS that misoperated from service within 22 hours following identification of the Protection System or RAS Misoperation.
 - M2.2.2** Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated within 20 business days or either removed the Element from service or disabled the RAS.
 - M2.3** The Transmission Owners and Generation Owners shall have evidence that they repaired the Protection System or RAS that misoperated within 22 hours following identification of the Protection System or RAS Misoperation.
 - M2.3.1** The Transmission Owner shall have evidence that it removed the associated Element from service.
 - M2.3.2** The Generator Owners and Transmission Operators shall have documentation describing all actions taken that adjusted generation or SOLs and operated facilities within established limits.
 - M2.4** Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated including documentation that describes the actions taken.
 - M2.4.1** Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated within 20 business days of the misoperation identification.

M2.4.2 Transmission Owners and Generation Owners shall have evidence that they removed the associated Element or RAS from service.

M3. Transmission Owners and Generation Owners shall have evidence that they reported the following within 10 business days.

M3.1 Identification of all Protection System and RAS Misoperations and corrective actions taken or planned.

M3.2 Completion of repair or replacement of Protection System and/or RAS that misoperated.

D. Compliance

1. Compliance Monitoring Process

1.1 Compliance Monitoring Responsibility

Compliance Enforcement Authority

1.2 Compliance Monitoring Period

Compliance Enforcement Authority may use one or more of the following methods to assess compliance:

- Misoperation Reports
- Reports submitted quarterly
- Spot check audits conducted anytime with 30 days notice given to prepare
- Periodic audit as scheduled by the Compliance Enforcement Authority
- Investigations
- Other methods as provided for in the Compliance Monitoring Enforcement Program

1.2.1 The Performance-reset Period is one calendar month.

1.3 Data Retention

Reliability Coordinators, Transmission Owners, and Generation Owners shall keep evidence for Measures M1 and M2 for five calendar years plus year to date.

1.4. Additional Compliance Information

None.

2. Violation Severity Levels

R1

Lower	Moderate	High	Severe
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System Operating personnel of the Transmission Owner or Generator Owner did not review the Protection System Operation or RAS operation within 24 hours but did review the Protection System Operation or RAS operation within six business days.	System Operating personnel of the Transmission Owner or Generator Owner did not review the Protection System operation or RAS operation within six business days.	System Protection personnel of the Transmission Owner and Generator Owner did not analyze the Protection System operation or RAS operation within 20 business days but did analyze the Protection System operation or RAS operation within 25 business days.	System Protection personnel of the Transmission Owner or Generator Owner did not analyze the Protection System operation or RAS operation within 25 business days.
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R2.1 and R2.2.1

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not remove from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required within 22 hours but did perform the requirements within 24 hours.	The Transmission Owner and Generator Owner did not remove from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 24 hours but did perform the requirements within 28 hours.	The Transmission Owner and Generator Owner did not perform the removal from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 28 hours but did perform the requirements within 32 hours.	The Transmission Owner and Generator Owner did not perform the removal from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required within 32 hours.

R2.3

Lower	Moderate	High	Severe
The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required within 22 hours but did perform the requirements within 24 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 24 hours but did perform the requirements within 28 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 28 hours but did perform the requirements within 32 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required within 32 hours.

R2.2.2 and R2.4

Lower	Moderate	High	Severe

The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustments to comply with the requirements within 20 business days but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustment to comply with the requirements within 25 business days but did perform the required activities within 28 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustment to comply with the requirements within 28 business days but did perform the required activities within 30 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustments to comply with the requirements within 30 business days.
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R3.1

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 10 business days but did perform the required activities within 15 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 15 business days but did perform the required activities within 20 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 20 business days but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 25 business days.

R3.2

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 10 business days of the completion but did perform the required activities within 15 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 15 business days of the completion but did perform the required activities within 20 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 20 business days of the completion but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 25 business days of the completion.

Version History — Shows Approval History and Summary of Changes in the Action Field

Version	Date	Action	Change Tracking
1	April 16, 2008	Permanent Replacement Standard for PRC-STD-001-1 and PRC-STD-003-1	

1	April 21, 2011	FERC Order issued approving PRC-004-WECC-1 (approval effective June 27, 2011)	
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