

## FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE

### BOLTELESS STEEL SHELVING UNITS PREPACKAGED FOR SALE FROM CHINA

This questionnaire must be received by the Commission by June 17, 2015

*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning boltless steel shelving units prepackaged for sale ("boltless steel shelving") from China (Inv. Nos. 701-TA-523 and 731-TA-1259 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

Name of firm _____	
Address _____	
Website _____	
Has your firm produced or exported boltless steel shelving (as defined on next page) at any time since January 1, 2012?	
<input type="checkbox"/> NO	(Sign the certification below and promptly return <b>only</b> this page of the questionnaire to the Commission)
<input type="checkbox"/> YES	(Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)
Data reported in this questionnaire relate to <b>CHINA</b>	
<b>Return questionnaire via the Commission Drop Box by clicking on the following link:</b> <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: <b>SHLV</b> )	

#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

Name of Authorized Official _____	Title of Authorized Official _____	Date _____
Phone: _____		_____
Signature _____	Fax: _____	Email address _____

**PART I.—GENERAL INFORMATION**

**Background.** This proceeding was instituted in response to petitions filed on August 26, 2014, by Edsal Manufacturing Co., Inc., Chicago, Illinois. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at

[http://www.usitc.gov/investigations/701731/2015/boltless\\_steel\\_shelving\\_units\\_prepackaged\\_sale/financial.htm](http://www.usitc.gov/investigations/701731/2015/boltless_steel_shelving_units_prepackaged_sale/financial.htm)

**Boltless steel shelving units prepackaged for sale.** The scope of these investigations covers boltless steel shelving units prepackaged for sale, with or without decks (“boltless steel shelving”). The term “prepackaged for sale” means that, at a minimum, the steel vertical supports (i.e., uprights and posts) and steel horizontal supports (i.e., beams, braces) necessary to assemble a completed shelving unit (with or without decks) are packaged together for ultimate purchase by the end-user. The scope also includes add-on kits. Add-on kits include, but are not limited to, kits that allow the end-user to add an extension shelving unit onto an existing boltless steel shelving unit such that the extension and the original unit will share common frame elements (e.g., two posts). The term “boltless” refers to steel shelving in which the vertical and horizontal supports forming the frame are assembled primarily without the use of nuts and bolts or screws. The vertical and horizontal support members for boltless steel shelving are assembled by methods such as, but not limited to, fitting a rivet, punched or cut tab or other similar connector on one support into a hole, slot or similar receptacle on another support. The supports lock together to form the frame for the shelving unit, and provide the structural integrity of the shelving unit separate from the inclusion of any decking. The incidental use of nuts and bolts or screws to add accessories, wall anchors, tie-bars or shelf supports does not remove the product from scope. Boltless steel shelving units may also come packaged as partially assembled, such as when two upright supports are welded together with front-to-back supports, or are otherwise connected, to form an end unit for the frame. The boltless steel shelving covered by these investigations may be commonly described as rivet shelving, welded frame shelving, slot and tab shelving, and punched rivet (quasi-rivet) shelving as well as by other trade names. The term “deck” refers to the shelf that sits on or fits into the horizontal supports (beams or braces) to provide the horizontal storage surface of the shelving unit.

The scope includes all boltless steel shelving meeting the description above, regardless of (1) vertical support or post type (including but not limited to open post, closed post and tubing); (2) horizontal support or beam/brace profile (including but not limited to Z-beam, C-beam, L-beam, step beam and cargo rack); (3) number of supports; (4) surface coating (including but not limited to paint, epoxy, powder coating, zinc and other metallic coating); (5) number of levels; (6) weight capacity; (7) shape (including but not limited to rectangular, square, and corner units); (8) decking material (including but not limited to wire decking, particle board, laminated board or no deck at all); or (9) the boltless method by which vertical and horizontal supports connect (including but not limited to keyhole and rivet, slot and tab, welded frame, punched rivet and clip).

***Specifically excluded from the scope are:***

(1) Wall-mounted shelving, defined as shelving that is hung on the wall and does not stand on, or transfer load to, the floor (the addition of a wall bracket or other device to attach otherwise freestanding subject merchandise to a wall does not meet the terms of this exclusion); (2) Wire shelving units, which consist of shelves made from wire that incorporates both a wire deck and wire

horizontal supports (taking the place of the horizontal beams and braces) into a single piece with tubular collars that slide over the posts and onto plastic sleeves snapped on the posts to create the finished shelving unit; (3) Bulk-packed parts or components of boltless steel shelving units; and (4) Made-to-order shelving systems.

Subject boltless steel shelving enters the United States through Harmonized Tariff Schedule of the United States (“HTSUS”) statistical subheadings 9403.20.0018 and 9403.20.0020, but may also enter through HTSUS 9403.10.0040. While HTSUS subheadings are provided for convenience and Customs purposes, the written description of the scope of this investigation is dispositive.

**Reporting of information.**-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

**Confidentiality.**--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Verification.**--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**Release of information.**--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

- I-1. **OMB statistics**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

- I-2. **Establishments covered**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

**Establishment**--Each facility of a firm in China involved in the production or export of boltless steel shelving, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities. Firms operating more than one establishment in China should combine the data for all establishments into a single report.

- I-3. **Related U.S. producers**--Does your firm or any related firm produce, have the capability to produce, or have any plans to produce boltless steel shelving in the United States or other countries?

No       Yes--Please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire.

- I-4. **Related U.S. importers**.--Does your firm or any related firm import or have any plans to import boltless steel shelving into the United States?

No       Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire.

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- I-5. **U.S. importers**.--Please provide the names, street addresses (not P.O. boxes), contacts, telephone numbers, and e-mail addresses of the **FIVE** largest U.S. importers of your firm's boltless steel shelving in 2014.

Importer's name		Contact person	Email	Telephone	Street address (not P.O. box), city, state, and zip code	Share of your firm's 2014 U.S. exports (%)
<b>1</b>					Street Address , City State Zip Code	
<b>2</b>					Street Address , City State Zip Code	
<b>3</b>					Street Address , City State Zip Code	
<b>4</b>					Street Address , City State Zip Code	
<b>5</b>					Street Address , City State Zip Code	

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained Keysha Martinez (202-205-2136, [Keysha.Martinez@usitc.gov](mailto:Keysha.Martinez@usitc.gov)). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

- II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of boltless steel shelving since January 1, 2012.

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
<input type="checkbox"/>	plant openings	
<input type="checkbox"/>	plant closings	
<input type="checkbox"/>	relocations	
<input type="checkbox"/>	expansions	
<input type="checkbox"/>	acquisitions	
<input type="checkbox"/>	consolidations	
<input type="checkbox"/>	prolonged shutdowns or production curtailments	
<input type="checkbox"/>	revised labor agreements	
<input type="checkbox"/>	other (e.g., technology)	

- II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of boltless steel shelving in the future?

No       Yes—Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in the response a specific projection of your firm's capacity to produce boltless steel shelving (in units) for 2015 and 2016.**



- II-4a. **Overall capacity and production.**-- Please report your firm's production of products made on the same equipment and machinery used to produce boltless steel shelving, and the combined production capacity on this shared equipment and machinery.

***"Average production capacity" or "capacity"*** –The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

Item	(Quantity in units)				
	Calendar years			January-March	
	2012	2013	2014	2014	2015
<b>Overall production capacity</b>					
<b>Production of:</b>					
Boltless steel shelving <sup>1</sup>	0	0	0	0	0
Other products <sup>2</sup>					
Total	0	0	0	0	0

<sup>1</sup> Data entered for production of boltless steel shelving will populate here once reported in question II-10.  
<sup>2</sup> Please identify these products:

***"Production"*** --All production in your establishment(s) in China, including production consumed internally within your firm.

- II-4b. **Operating parameters.**--The production capacity (see definition above) reported in II-4a is based on operating \_\_\_\_\_ hours per week, \_\_\_\_\_ weeks per year.

- II-4c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-4a, and explain any changes in reported capacity.

- II-4d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

- II-4e. **Product shifting.**—

- (i). Is your firm able to switch production (capacity) between boltless steel shelving and other products using the same equipment and/or labor?

No       Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products:

- (ii). Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

- II-5. **Share of sales.**--What percentage of your firm's total sales in its most recent fiscal year was represented by sales of boltless steel shelving? \_\_\_\_\_ percent

- II-6. **Firm's estimated share of production in China.**--Please estimate the percentage of total production of boltless steel shelving in China accounted for by your firm's production in 2014. \_\_\_\_\_ percent

- II-7. **Firm's estimated share of country's exports.**--Please estimate the percentage of total exports to the United States of boltless steel shelving from China accounted for by your firm's exports in 2014. \_\_\_\_\_ percent

- II-8. **Inventories in the United States.**--Has your firm, since 2012, maintained any inventories of boltless steel shelving in the United States (not including inventories held by firms identified in questions I-3 and I-4)?

***"Inventories"***--Finished goods inventory, not raw materials or work in progress.

No       Yes--Report the quantity of such end-of-period inventories below.

		<b>Quantity (in units)</b>		
<b>Item</b>		<b>Calendar year</b>		
		<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>Inventory</b>				

- II-9. **Third country trade actions.**--Is the boltless steel shelving exported by your firm subject to antidumping/countervailing duty/safeguard findings, remedies, or proceedings?

No       Yes--List the products(s), countries affected, and the date of such findings/remedies/proceedings.

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- II-10. **Trade data**--Report your firm's production capacity, production, shipments, and inventories related to the production of boltless steel shelving in your establishment(s) in China during the specified periods.

Do not submit data by manufacturing facility if they are in the same country. If your firm has multiple manufacturing establishments within China, you are required to combine data for those establishments within one foreign producer questionnaire response.

Do not submit data on multiple countries combined. The establishments reported here should all be located in China. Multinational companies with production in multiple subject countries should only submit a foreign producer questionnaire response for establishments in China.

**"Shipments"**--Shipments of products produced in your establishment(s) in China. Quantities reported should be net of returns.

**"Home market commercial shipments"**--Shipments, other than internal consumption and transfers to related firms, within China.

**"Home market internal consumption/transfers to related firms"**--Shipments made to related firms in China, including product consumed internally by your firm.

**"Export shipments"**--Shipments to destinations outside China, including shipments to related firms.

**"Inventories"**--Finished goods inventory, not raw materials or work-in-progress.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.*

II-10. **Trade data**--Continued.

Item	Quantity ( <i>in units</i> )						<b>Projections<sup>1</sup></b>		
	Actual experience								
	Calendar year			January-March		Calendar year			
Item	2012	2013	2014	2014	2015	2015	2016		
Average production capacity <sup>2</sup> (A)									
Beginning-of-period inventories (B)									
Production (C)									
Home market shipments:									
Internal consumption/ transfers (D)									
Commercial shipments (E)									
Exports to the United States (F)									
Exports to all other markets <sup>3</sup> (G)									
Total exports (H) <i>(should equal F+G)</i>	0	0	0	0	0	0	0		
Total shipments (I) <i>(should equal D+E+F+G)</i>	0	0	0	0	0	0	0		
End-of-period inventories (J)									

<sup>1</sup> Please explain the basis for your firm's projections.  
<sup>2</sup> The production capacity reported is based on operating \_\_\_\_\_ hours per week, \_\_\_\_\_ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity.  
<sup>3</sup> Identify principal other export markets.

II-10. **Trade data.**—Continued.

**RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.**--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, E, F, and G). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Item	Actual experience					Projections	
	Calendar year			January-March		Calendar year	
	2012	2013	2014	2014	2015	2015	2016
B + C – D – E – F –G – J = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0	0	0	0	0

<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate.

II-11. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[http://www.usitc.gov/investigations/701731/2015/boltless\\_stell\\_shelving\\_units\\_prepackaged\\_sale/final.htm](http://www.usitc.gov/investigations/701731/2015/boltless_stell_shelving_units_prepackaged_sale/final.htm)

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

***Web address:*** <https://dropbox.usitc.gov/oinv/> ***Pin:*** **SHLV**

- **E-mail.**—E-mail your questionnaire to [Keysha.Martinez@usitc.gov](mailto:Keysha.Martinez@usitc.gov); include a scanned copy of the signed certification page (page 1). *Please note that submitting your questionnaire by e-mail may subject your firm’s business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.*

If your firm **did not produce or export this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

**Parties to this proceeding.**—If your firm is a party to this proceeding, you are required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR §207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR §207.7). Service of the questionnaire must be made in paper form.