# **U.S. PRODUCERS' QUESTIONNAIRE**

# CERTAIN UNCOATED PAPER FROM AUSTRALIA, BRAZIL, CHINA, INDONESIA, AND PORTUGAL

### This questionnaire must be received by the Commission by November 6, 2015

### See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning certain uncoated paper from Australia, Brazil, China Indonesia, and Portugal (Inv. Nos. 701-TA-528-529 and 731-TA-1264-1268 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Address		
	State Zip Code	
Mahaita		
website		
Has your fir	- firm produced (including sheeting/converting only operations) certain uncoate e) at any time since January 1, 2012?	d paper (as defined on
Has your fir	firm produced (including sheeting/converting only operations) certain uncoate	

### CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name of Authorized Official	Title of Authorized Official	Date
	Phone:	
Signature		Email address
	Fax:	

### PART I.—GENERAL INFORMATION

<u>Background.</u> These proceedings were instituted in response to a petition filed on January 21, 2015, by United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, Pittsburg, PA; Domtar Corporation, Ft. Mill, SC; Finch Paper LLC, Glen Falls, NY; P.H. Glatfelter Company, York, PA; and Packaging Corporation of America, Lake Forest, IL. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to these proceedings are available at: http://www.usitc.gov/investigations/701731/2015/certain\_uncoated\_paper\_australia\_brazil\_china/final.htm

<u>Certain uncoated paper</u> covered by these investigations is uncoated paper in sheet form; weighing at least 40 grams per square meter but not more than 150 grams per square meter; that either is a white paper with a GE brightness level1 of 85 or higher or is a colored paper; whether or not surface-decorated, printed (except as described below), embossed, perforated, or punched; irrespective of the smoothness of the surface; and irrespective of dimensions (Certain Uncoated Paper).

Certain Uncoated Paper includes (a) uncoated free sheet paper that meets this scope definition; (b) uncoated groundwood paper produced from bleached chemi-thermo-mechanical pulp (BCTMP) that meets this scope definition; and (c) any other uncoated paper that meets this scope definition regardless of the type of pulp used to produce the paper.

Specifically excluded from the scope are: (1) paper printed with final content of printed text or graphics and (2) lined paper products, typically school supplies, composed of paper that incorporates straight horizontal and/or vertical lines that would make the paper unsuitable for copying or printing purposes.

Imports of the subject merchandise are provided for under Harmonized Tariff Schedule of the United States (HTSUS) categories 4802.56.1000, 4802.56.2000, 4802.56.3000, 4802.56.4000, 4802.56.6000, 4802.56.7020, 4802.56.7040, 4802.57.1000, 4802.57.2000, 4802.57.3000, and 4802.57.4000. Some imports of subject merchandise may also be classified under 4802.62.1000, 4802.62.2000, 4802.62.3000, 4802.62.5000, 4802.62.6020, 4802.62.6040, 4802.69.1000, 4802.69.2000, 4802.69.3000, 4811.90.8050 and 4811.90.9080.

While HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of the investigation is dispositive.

**<u>Reporting of information</u>**.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

**Confidentiality**.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

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*Verification*.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**<u>Release of information</u>**.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1a. **OMB statistics**.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-1b. <u>TAA information release</u>.--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?



I-2. <u>Establishments covered</u>.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. **Firms operating more than one establishment should combine the data for all establishments into a single report.** 

"<u>Establishment</u>"--Each facility of a firm involved in the <u>production</u> of certain uncoated paper, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered <sup>1</sup>	City, State	Zip (5 digit)	Description	
1				
2				
3				
4				
5				
6				
<sup>1</sup> Additional discussion on establishments consolidated in this questionnaire:				

### **Business Proprietary**

#### U.S. Producers' Questionnaire - Certain Uncoated Paper

### I-3. Petition support.--Does your firm support or oppose the petition?

Country	Support	Oppose	Take no position
Australia			
Brazil			
China			
Indonesia			
Portugal			

- I-4. **Ownership**.--Is your firm owned, in whole or in part, by any other firm?
  - No

Yes--List the following information.

Firm name	Address	Extent of ownership ( <i>percent</i> )

I-5. <u>**Related importers/exporters**</u>.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing certain uncoated paper from Australia, Brazil, China, Indonesia, or Portugal into the United States or that are engaged in exporting certain uncoated paper from Australia, Brazil, China, Indonesia, or Portugal to the United States?

No Yes--List the following information.

Firm name	Address	Affiliation

I-6. **<u>Related producers</u>**.--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of certain uncoated paper?

No

Yes--List the following information.

Firm name	Address	Affiliation

### PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Nathanael Comly** (<u>nathanael.comly@usitc.gov</u>, 202-205-3174). **Supply all data requested on a <u>calendar-year</u> basis**.

II-1. <u>Contact information</u>.-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2a. <u>Changes in operations</u>.--Please indicate whether your firm has experienced any of the following changes in relation to the production of certain uncoated paper since January 1, 2012.

(check as many as appropriate)	(please describe)
plant openings	
plant closings/repurposing	
relocations	
Expansions – overall paper making capacity	
Expansions – sheeter capacity	
acquisitions	
consolidations	
prolonged shutdowns or production curtailments	
revised labor agreements	
other ( <i>e.g.,</i> technology)	

- II-2b. <u>Anticipated changes in operations</u>.--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of certain uncoated paper in the future?
  - No No
- Yes–Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. Include in the response a specific projection of your firm's capacity to produce certain uncoated paper (in short tons) for 2015 and 2016.
- II-3a. Upstream paper making capacity and production.--Please report your firm's production of products on the equipment and machinery (e.g., paper making equipment) used to manufacture the sheeter rolls that can be made into certain uncoated paper, and the combined production capacity on this upstream equipment and machinery in the periods indicated.

*"Overall paper making capacity" or "capacity"* – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Quantity (in short tons)						
	Calendar years			January-September		
Item	2012	2013	2014	2014	2015	
Overall paper making capacity <sup>1</sup>						
Production of:						
Sheeter rolls – which your						
firm will use to produce						
certain uncoated paper						
Sheeter rolls – which your						
firm will sell for others that						
could be used to produce						
certain uncoated paper						
Sheeter rolls over 150 gsm						
Coated paper products						
Thermal paper products						
Web rolls						
Other products <sup>2</sup>						
Total	0	0	0	0	0	
<sup>1</sup> The overall paper making capacit	ty reported is b	ased on operation	ating <u>h</u> t	ours per week,		
weeks per year.						
<sup>2</sup> Please identify the other types of	f paper produc	ts your firm ca	an produce on	the your pape	r making	
machinery:						

"*Production*" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

II-3b. **Production using same (sheeting) machinery.-**-Please report your firm's production of products made on the same equipment and machinery (e.g., sheeting equipment) used to produce certain uncoated paper, and the combined production capacity on this shared equipment and machinery in the periods indicated.

"Overall sheeting capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

*"Production"* – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Quantity (in short tons)					
	Calendar years			January-September	
Item	2012	2013	2014	2014	2015
Overall sheeting capacity <sup>1</sup>					
Production of: Certain uncoated paper <sup>2</sup>	0	0	0	0	C
Sheets over 150 gsm but otherwise matching the definition of certain uncoated paper					
Coated paper					
Other products <sup>3</sup>					
Total	0	0	0	0	C
<sup>1</sup> The overall sheeter capacity rep year. <sup>2</sup> Data entered for production of 6					

# **Product Shifting – Sheeter Machinery**

<sup>2</sup> Data entered for production of certain uncoated paper will populate here once reported in question II-8.

<sup>3</sup> Please identify the paper products your firm can produce on the sheeter equipment: \_\_\_\_\_

- II-3c. **Capacity calculation.--**Please describe the methodology used to calculate overall capacity reported in II-3a and II-3b, and explain any changes in reported capacity.

Paper making equipment (II-3a)	Sheeter equipment (II-3b)

II-3d. **Production constraints**.--Please describe the constraint(s) that set the limit(s) on your firm's capacity.

Sheeter equipment (II-3b)

### II-3e. Product shifting.-

- (i) Is your firm able to switch production (capacity) between certain uncoated paper and other products using the same equipment and/or labor?
  - 🗌 No

Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products: \_\_\_\_\_.

(ii) Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

Paper making equipment (II-3a)	Sheeter equipment (II-3b)

- II-4. <u>**Conversion operations.**</u>—Does your firm primarily convert purchased sheeter rolls to certain uncoated paper (as reflected in questions II-8)?
  - No Yes--Please provide descriptions of the following
  - (a) Source and extent of firm's capital and investment.
  - (b) Quantity and type of inputs sourced in the United States.

### II-4. <u>Conversion operations</u>.—*Continued*

- (c) Describe the nature of your production-related activities in the United States to produce certain uncoated paper.
- (d) Technical expertise involved in U.S. production activity.
- (e) Any other costs and activities in the United States directly leading to the production of certain uncoated paper.
- II-5. **Tolling**.--Since January 1, 2012, has your firm been involved in a toll agreement regarding the production of certain uncoated paper?

**"Toll agreement"**--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No Yes--Please describe the toll arrangement(s) and name the firm(s) involved

If your firm has produced uncoated paper as part of a tolling arrange, please fill out part V at the end of this questionnaire.

#### II-6. Foreign trade zones.--

(a) <u>Firm's FTZ operations</u>.--Does your firm produce certain uncoated paper in and/or admit certain uncoated paper into a foreign trade zone (FTZ)?

**"Foreign trade zone"** is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

🗌 No	YesDescribe the nature of your firms operations in FTZs and
	identify the specific FTZ site(s).

(b) <u>Other firms' FTZ operations</u>.--To your knowledge, do any firms in the United States import certain uncoated paper into a foreign trade zone (FTZ) for use in distribution of certain uncoated paper and/or the production of downstream articles?

No/Don't know	YesIdentify the firms and the FTZs.

II-7. Importer.--Since January 1, 2012, has your firm imported certain uncoated paper?

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

🗌 No

### Yes--COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE

### Definitions

"Average sheeter capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix (data on product mix is requested in question II-3a)).

"*Production*" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

**"U.S. commercial shipments"** –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm.

*"Transfers to related firms"* –Shipments made to related domestic firms. Such transactions are valued at fair market value.

*"Related firm"* –A firm that your firm solely or jointly owns, manages, or otherwise controls. Such transactions are valued at fair market value.

*"Export shipments"* –Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" — Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-8. **Production, shipment and inventory data**.--Report your firm's production capacity, production, shipments, and inventories related to the production of certain uncoated paper in its U.S. establishment(s) during the specified periods.

Quantity ( <i>in short tons</i> ) and value ( <i>in \$1,000</i> )						
		Calendar years	January-September			
Item	2012	2013	2014	2014	2015	
Average sheeter capacity <sup>1</sup> (quantity) (A)						
<b>Beginning-of-period inventories</b> (quantity) (B)						
Production <sup>2</sup> (quantity) Using internally produced rolls (C)						
Using purchases of domestic rolls (D)						
Using purchases of imported rolls <sup>3</sup> (E)						
Total production of uncoated paper(F)	0	0	0	0	C	
U.S. shipments: Commercial shipments: Quantity (G) Value (H)						
Internal consumption: Quantity (I)						
Value <sup>3</sup> (J)						
Transfers to related firms: Quantity (K)						
Value <sup>3</sup> (L)						
Export shipments: <sup>4</sup> Quantity (M)						
Value (N)						
End-of-period inventories (quantity) (O)						

<sup>1</sup> The production capacity reported is based on operating <u>hours</u> hours per week, <u>weeks</u> per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity <u>here</u>.

<sup>2</sup> Of the production reported in 2014, please estimate the share of the reported total that were produced under the each of the specified certification regimes:

\_\_\_\_\_ percent Forest Stewardship Council Certification ("FSC certification").

\_\_\_\_\_ percent Sustainable Forestry Initiative Certification ("SFI certification").

\_\_\_\_\_ percent Programme for the Endorsement of Forest Certification ("PEFC certification").

If this share has changed since 2012, please describe the change and reasons: \_\_\_\_

<sup>3</sup> Identify the source(s) of your firm's imports of and/or purchases of imported rolls:

<sup>4</sup> Internal consumption and transfers to related firms must be valued at fair market value. In the event that your firm uses a different basis for valuing these transactions, please specify that basis (*e.g.*, cost, cost plus, *etc.*) and provide value data using that basis for each of the periods noted above: \_\_\_\_\_.

<sup>5</sup> Identify your firm's principal export markets: \_\_\_\_\_.

# II-8. **Production, shipment and inventory data**.--Continued

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY</u>.--Generally, the data reported for the end-of-period inventories (i.e., line O) should be equal to the beginning-of-period inventories (i.e., line B), plus total production (i.e., line F), less total shipments (i.e., lines G, I, K, and M). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	January-March	
2014	2014	2015
0	0	0
	<b>2014</b> 0	<b>2014 2014</b> 0

<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:

II-9. **<u>Reported change in capacity</u>**.--Based data submitted in question II-8, please explain your firm's reported change in capacity (if any).

	2012 to 2014
Item	short tons
Reported change in capacity	0

Explanation for reported changed in capacity from 2012 to 2014:

# II-10. <u>Channels of distribution</u>.--Report your firm's commercial U.S. shipments of certain uncoated paper by channel of distribution.

Quantity (in short tons)							
	Calendar years			January-September			
Item	2012	2013	2014	2014	2015		
Channels of distribution: Commercial U.S. shipments: To paper merchants/ distributors (short tons) (P)							
To retailers (short tons) (Q)							
To end users ( <i>short tons</i> ) (R)							

		Calendar years	January-March		
Reconciliation	2012	2013	2014	2014	2015
P + Q + R – G = zero ("0"), if not					
revise.	0	0	0	0	0

II-11. <u>Commercial U.S. shipments by brand</u>.--Report your firm's commercial U.S. shipments by type of branding.

**Manufacturer/Mill branded**.--Certain uncoated paper sold with the manufacturer's (e.g., your firm's) own brand name(s).

**Retailer branded (private label)**.--Certain uncoated paper sold under the brand name(s) of entities other than those of the manufacturer (e.g., the purchasers' brand name(s)).

**Unbranded (white box)**.--Certain uncoated paper sold with no brand name.

Quantity ( <i>in short tons</i> ); Value ( <i>\$1,000</i> )						
	Calendar years			January-September		
Item	2012	2013	2014	2014	2015	
Commercial U.S. shipments: Manufacturer/Mill branded Quantity (S)						
Value (T)						
Retailer branded (private label) Quantity (U)						
Value (V)						
Unbranded (white box) Quantity (X)						
Value (Y)						

		Calendar years	January-September		
Reconciliation	2012	2013	2014	2014	2015
<b>Quantity</b> S + U + X – G should equal zero ("0"), revise if not.	0	0	0	0	0
<b>Value</b> T + V + Y – H should equal zero ("0"), revise if not.	0	0	0	0	0

II-12. <u>Commercial U.S. shipments by product type</u>.--Report your firm's commercial U.S. shipments of certain uncoated paper by product type.

Product		
classifications	Term	Definition
Alterations	Plain	Certain uncoated paper that is <b>NOT</b> surface-decorated, printed,
		embossed, perforated, hole-punched, or watermarked.
	Altered	Certain uncoated paper that is surface-decorated, printed,
		embossed, perforated, hole-punched, and/or watermarked.
Coloration	White	Certain uncoated paper with a GE brightness greater than 90
	Tinted/	Certain uncoated paper with a GE brightness less than or equal
	colored	to 90
Dimensions	8.5" x 11"	Certain uncoated paper cut to U.S. standard paper size of 8.5
		inches by 11 inches.
	Other	Certain uncoated paper cut to sizes other than U.S. standard
	dimensions	paper size of 8.5 inches by 11 inches. (includes legal size paper
		and all other sizes of certain uncoated paper).

Quantity ( <i>in short tons</i> ); Value ( <i>\$1,000</i> )						
	Calendar years			January-September		
Item	2012	2013	2014	2014	2015	
Commercial U.S. shipments: Plain, white, 8.5 x 11 inches Quantity (Z)						
Value (AA)						
Plain, white, other dimensions Quantity (AB)						
Value (AC)						
Plain, tinted/colored, 8.5 x 11 inches Quantity (AD)						
Value (AE)						
Plain, tinted/colored, other dimensions Quantity (AF)						
Value (AG)						
Altered, any color, 8.5 x 11 inches Quantity (AH)						
Value (AI)						
Altered, any color, other dimensions Quantity (AJ)						
Value (AL)						

### II-12. Commercial U.S. shipments by product type.—Continued

		Calendar years	January-September		
Reconciliation	2012 2013 2014			2014	2015
<b>Quantity</b> Z + AB + AD + AF + AH + AJ– G should equal zero ("0"), revise if not.	0	0	0	0	0
Value AA + AC + AE + AG + AI + AL – H should equal zero ("0"), revise if not.	0	0	0	0	0

# II-13. <u>Employment data</u>.--Report your firm's employment-related data related to the production of certain uncoated paper and provide any explanation for any trends in these data.

"Production Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations. Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to September periods, calculate similarly and divide by 9.

*"Hours worked"* includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

	Calendar years			January-September	
Item	2012	2013	2014	2014	2015
Average number of PRWs (number)					
Hours worked by PRWs (1,000 hours)					
Wages paid to PRWs (\$1,000)					

### Explanation of trends:

- II-14. **<u>Related firms</u>**.--If your firm reported transfers to related firms in question II-8, please indicate the nature of the relationship between your firm and the related firms (*e.g.*, joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.
- II-15. **Purchases**.--Other than direct imports, has your firm otherwise purchased certain uncoated paper since January 1, 2012?

*"Purchase"* – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

**"Direct import"** –A transaction to buy from a foreign producer where your firm is the importer of record. Direct imports should not be reported here, but rather in a U.S. importers' questionnaire.

No

Yes--Report such purchases below and explain the reasons for your firms' purchases:

(Quantity in short tons)							
	C	alendar years		January-S	eptember		
Item	2012	2013	2014	2014	2015		
Purchases from U.S. importers <sup>1</sup> of certain uncoated paper from— Australia:							
Brazil:							
China							
Indonesia							
Portugal							
All other import sources							
Purchases from domestic producers <sup>2</sup>							
Purchases from other sources <sup>2</sup>							

<sup>1</sup> Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: \_\_\_\_\_.

<sup>2</sup> Please list the name of the producer(s) or U.S. distributor(s) from which your firm purchased this product:

II-16. <u>Other explanations</u>.--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

### PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Charles Yost ((202)-205-3432, charles.yost@usitc.gov).

III-1. <u>Contact information</u>.-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

- III-2. <u>Accounting system</u>.--Briefly describe your firm's financial accounting system.
  - A. When does your firm's fiscal year end (month and day)? \_\_\_\_\_\_
     If your firm's fiscal year changed during the data-collection period, explain below:
  - B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include certain uncoated paper:
    - Does your firm prepare profit/loss statements for certain uncoated paper:
       Yes
       No
    - How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.
      Audited, unaudited, annual reports, 10Ks, 10 Qs,
    - Monthly, \_\_\_\_ quarterly, \_\_\_\_ semi-annually, \_\_\_\_ annually
      Accounting basis: \_\_\_\_ GAAP, \_\_\_\_ cash, \_\_\_\_ tax, or \_\_\_\_ other comprehensive basis of accounting (specify)

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes certain uncoated paper, as well as specific statements and worksheets) used to compile these data.

- III-3. <u>Cost accounting system</u>.--Briefly describe your firm's cost accounting system (*e.g.*, standard cost, job order cost, *etc*.).
- III-4. <u>Allocation basis</u>.--Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

III-5. <u>Other products</u>.--Please list the products your firm produced in the facilities in which your firm produced certain uncoated paper, and provide the share of net sales accounted for by these other products in your firm's most recent fiscal year.

Products	Share of sales
Certain uncoated paper	%
	%
	%
	%
	%

III-6. Does your firm purchase inputs (raw materials, labor, energy, or any services) used in the production of certain uncoated paper from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

Yes--Continue to question III-7.

No--Continue to question III-9.

III-7. Inputs from related suppliers.--Please identify the inputs used in the production of certain uncoated paper that your firm purchases from related suppliers and that are reflected in table III-9. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation" please describe the basis, as recorded in your company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

Input	Related supplier	Share of total COGS
Input valuation as recorded	in the firm's accounting books and recor	ds

III-8. **Inputs purchased from related suppliers.--**Please confirm that the inputs purchased from related suppliers, as identified in III-8, were reported in III-9 (financial results on certain uncoated paper) in a manner consistent with your firm's accounting books and records.

Yes

No--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in table III-9.

III-9. Operations on certain uncoated paper.--Report the revenue and related cost information requested below on the certain uncoated paper operations of your firm's U.S. establishment(s).<sup>1</sup> Do not report resales of certain uncoated papers. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please fill out Part V of this questionnaire.

	Fisca	al years ended-	-	January-Sep	otember
Item			2014	2014	2015
Net sales quantities: <sup>2</sup> Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms					
Total net sales quantities	0	0	0	0	0
Net sales values: <sup>2</sup> Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS): <sup>3</sup> Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
Selling, general, and administrative (SG&A) expenses: Selling expenses					
General and administrative expenses					
Total SG&A expenses	0	0	0	0	0
Operating income (loss)	0	0	0	0	0
Other expenses and income: Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0
Depreciation/amortization included above					

corresponding shipment quantities and values reported in Part II of this questionnaire.

<sup>3</sup> COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

III-10. <u>Financial data reconciliation</u>.--The calculable line items from question III-10 (*i.e.*, total net sales quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

No--If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.

Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (*i.e.*, expenses are positive and incomes or reversals are negative--instances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (*i.e.*, income is positive, expenses or reversals are negative).

If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-11. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific table III-9 line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (*in \$1,000*), as reflected in table III-9; i.e., if an aggregate nonrecurring item has been allocated to table III-9, only the allocated value amount included in table III-9 should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in table III-9. Nonrecurring items may include the additional costs associated with plant shutdowns or other one-time interruption in operations on certain uncoated paper.

	Fiscal years ended		January-September		
	2012	2013	2014	2014	2015
<b>Nonrecurring item:</b> In this column please provide a brief description of each nonrecurring item and indicate the specific line item in table III-9 where the	-		columns please eported in table	•	ount of the
nonrecurring item is classified.	Value (in <i>\$1,000</i> )				
1. , classified as					
2. , classified as					
3. , classified as					
4. , classified as					
5. , classified as					
6. , classified as					
7. , classified as					

- III-12. <u>Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company</u>.--If non-recurring items were reported in table III-12 above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., III-11 information designates where these items are reported in table III-9.
- III-13. <u>Asset values</u>.--Report the <u>total</u> assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of certain uncoated paper. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for certain uncoated paper in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with your firm's cost allocations in the previous question. Provide data as of the end of your firm's three most recently completed fiscal years.

**Note:** Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted.

Total assets should be <u>allocated to the subject products</u> if these assets are also related to other products. Please provide a <u>brief explanation if there are any substantial changes</u> in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value ( <i>in \$1,000</i> )						
Fiscal years ended						
Item	2012 2013 2014					
Total assets, net <sup>1</sup>						
<sup>1</sup> State whether total asset values have been affected by re-purposing						

III-14. **Capital expenditures and research and development expenses**.--Report your firm's capital expenditures and research and development expenses for certain uncoated paper. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

	Fiscal years ended			January-September	
Item	2012	2013	2014	2014	2015
Total capital expenditures					
Total R&D expenses					
<sup>1</sup> Please indicate the nature product.	e, focus, and sig	nificance of you	ir firm's capital	expenditures of	on the subject

III-15. **Data consistency and reconciliation.--**Please indicate whether your firm's financial data for questions III-9, III-13, and III-14 are based on a calendar year or on your firm's fiscal year:

Calendar year	Fiscal year	Specify fiscal year

Please note the quantities and values reported in question III-9 should reconcile with the data reported in question II-8 (including export shipments) as long as they are reported on the same calendar year basis.

Do these data in question III-9 reconcile with data in question II-8?

Yes	No	If no, please explain.

# III-16. Effects of imports on investment.-

(a) Since January 1, 2012, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal?

No Yes--My firm has experienced actual negative effects as follows:

(ch	neck as many as appropriate)	(please describe)
	Cancellation, postponement, or rejection of expansion projects	
	Denial or rejection of investment proposal	
	Reduction in the size of capital investments	
	Return on specific investments negatively impacted	
	Other	

(b) Does your firm's response differ by country?

No	Yes	If yes, indicate which country and why:

# III-17. Effects of imports on growth and development.—

(a) Since January 1, 2012, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal?

No YesMy firm has experienced actual negative effect	fects as follows:
--	-------------------

(ch	eck as many as appropriate)	(please describe)
	Rejection of bank loans	
	Lowering of credit rating	
	Problem related to the issue of stocks or bonds	
	Ability to service debt	
	Other	

(b) Does your firm's response differ by country?

No	Yes	If yes, indicate which country and why:

### III-18. Anticipated effects of imports.-

(a) Does your firm anticipate any negative effects due to imports of certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal?

No	Yes	If yes, my firm anticipates negative effects as follows:

(b) Does your firm's response differ by country?

No	Yes	If yes, indicate which country and why:

III-19. <u>Other explanations</u>.--If your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

### PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250, amelia.preece@usitc.gov).

IV-1. **Contact information**.--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	
Fax	

### PRICE DATA

- IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2012 of the following products produced by your firm.
  - <u>Product 1</u>.-- Uncoated paper, weighing 20 lb. (75 gsm), with dimensions of 8 1/2 x 11 inches, and with GE brightness greater than 90 white and plain (i.e., not altered through processes such as surface-decorating, printing, embossing, perforating, punching, or watermarking)
  - <u>Product 2</u>.-- Uncoated paper, weighing 20 lb. (75 gsm), with dimensions of 8 1/2 x 14 inches, and with GE brightness greater than 90 white and plain (i.e., not altered through processes such as surface-decorating, printing, embossing, perforating, punching, or watermarking)
  - <u>Product 3</u>.-- Uncoated paper, weighing 50-60 lb. (74-89 gsm), with dimensions of 23 x 35 inches, and with GE brightness greater than 96 white and plain (i.e., not altered through processes such as surface-decorating, printing, embossing, perforating, punching, or watermarking) sold with a matching cover.

Please note that values should be <u>delivered to your U.S. customer</u> and should include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates, including those after the sale occurred).

During January 2012-September 2015, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data table as appropriate.
NoSkip to question IV-3.

IV-2-a. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> produced and sold by your firm.

### Report data in actual short tons and actual dollars (not 1,000s).

			tons, value in do			-
	Product 1		Product 2		Product 3	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value
2012:						
January-March						
April-June						
July-September						
October-December						
2013:						
January-March						
April-June						
July-September						
October-December						
2014:						
January-March						
April-June						
July-September						
October-December						
2015:						
January-March						
April-June						
July-September						
<sup>1</sup> Net values ( <i>i.e.</i> , gross s your U.S. customers. <sup>2</sup> Pricing product definit				and the value of	returned goods),	delivered to
NoteIf your firm's product provide a description of your						
Product 1:						
Product 2:						
Product 3:						

**Pricing data methodology.**—Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-2-b. <u>Average brightness of pricing products.</u>—Please list the average brightness for each of the pricing products you reported in tables III-2-a.

Product 1	Product 2	Product 3			
Average brightness (GE level)					

Explanation:

IV-3. <u>Price setting</u>.--How does your firm determine the prices that it charges for sales of certain uncoated paper (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

### IV-4. Discount policy.—

(a) Please indicate and describe your firm's discount/rebate policies regarding certain uncoated paper (*check all that apply*).

	Quantity discounts	Annual total volume discounts	No discount policy	Rebates	Other	Describe
F						

(b) Does your company provide rebates to customers who were not the company that issued the purchase order and to whom you issued the invoice?

No	Yes	If f.o.b., specify point

### IV-4. **Discount policy.**—Continued

(c) In what form(s) are rebates provided to your customers?

Cash	Account/ credit	Other	Describe

- (d) How are the rebates calculated?
- (e) Which/what types of customers receive rebates?
- (f) What was the average rebate granted from invoice price (per short ton) in the specified periods?

Average (dollars per short ton)					
Calendar years					
Item	2012	2013	2014		
Average rebate					

- (g) Where are these rebated accounted for in your books and records?
- (h) How have you accounted for these rebates in the quarterly pricing data in question IV-2 above?

### IV-5. Pricing terms.--

(a) What are your firm's typical sales terms for its U.S.-produced certain uncoated paper?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)

(b) On what basis are your firm's prices of domestic certain uncoated paper usually quoted *(check one)*?

Delivered	F.o.b.	If f.o.b., specify point

IV-6. **Pricing factors.**—Since January 2012, please rate the impact of the following factors on your firm's ability to price certain uncoated paper.

	Rating of t	he factor o	ated paper			
Factor	Sub- stantially lowered prices	Mod- erately lowered prices	No impact on prices	Mod- erately increased prices	Sub- stantially increased prices	Explanation
Long-term decline in demand for paper products						
Competition from substitute products						
Competition among U.S. producers						
Competition from subject imports						
Reductions in U.S. capacity						
U.S. Producers' mill/paper machine closures						
Other:						

IV-7. <u>Contract versus spot</u>.--Approximately what share of your firm's sales of its U.S.-produced certain uncoated paper in 2014 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	<b>Spot sales</b> (for a single delivery)	<b>Tota</b> (shoul sum t 100.0%	ld o
Share of 2014 sales	%	%	%	%	0.0	%

IV-8. <u>Contract provisions</u>.--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced certain uncoated paper (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	# of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
	Both			
Meet or release	Yes			
provision	No			
Not applicab	le			

IV-9. <u>Lead times</u>.--What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced certain uncoated paper?

Source	Share of 2014 sales	Lead time (average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

### IV-10. Shipping information.--

- (a) What is the approximate percentage of the total delivered cost of U.S.-produced certain uncoated paper that is accounted for by U.S. inland transportation costs? \_\_\_\_\_ percent
- (b) Who generally arranges the transportation to your firm's customers' locations? Your firm Purchaser (check one)
- (c) Indicate the approximate percentage of your firm's sales of certain uncoated paper that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

IV-11. <u>Geographical shipments</u>.-- In which U.S. geographic market area(s) has your firm sold its U.S.produced certain uncoated paper since January 1, 2012 (check all that apply)?

Geographic area	√ if applicable
Northeast.–CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
Midwest.–IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.–AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central SouthwestAR, LA, OK, and TX.	
Mountains.–AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.–CA, OR, and WA.	
<b>Other</b> .–All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

IV-12. <u>End uses</u>.--List the end uses (office copier/printers, commercial printers, etc.) of the certain uncoated paper that your firm manufactures.

	End uses for certain uncoated paper
1	
2	
3	

#### **Business Proprietary**

#### U.S. Producers' Questionnaire - Certain Uncoated Paper

IV-13. Substitutes.-- Can other products be substituted for certain uncoated paper?

No

Yes--Please fill out the table.

		End use in which this		Have changes in the price of this substitute affected the price for certain uncoated paper		
	Substitute	substitute is used	No	Yes	Explanation	
1.						
2.						
3.						

IV-14. <u>Demand trends</u>.-- Indicate how demand within the United States and outside of the United States (if known) for certain uncoated paper has changed since January 1, 2012. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States					
Outside the United States					

IV-15. **Product changes.--**Have there been any significant changes in the product range, product mix, or marketing of certain uncoated paper since January 1, 2012?

No	Yes	If yes, please describe and quantify if possible.

#### IV-16. Conditions of competition.--

(a) Is the certain uncoated paper market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to certain uncoated paper? If yes, describe.

Check all that apply.		Please describe.
	Νο	Skip to question IV-16.
	Yes-Business cycles (e.g. seasonal business)	
	Yes-Other distinctive conditions of competition	

(b) If yes, have there been any changes in the business cycles or conditions of competition for certain uncoated paper since January 1, 2012?

No	Yes	If yes, describe.

#### IV-17. Supply constraints.—

a) Has your firm refused, declined, or been unable to supply certain uncoated paper since January 1, 2012 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.

b) Has your firm, since January 1, 2012, denied supply, offered restricted volumes, or offered volumes on long delivery schedules to any customers or potential customers for 20 lb. (75 gsm) plain white 8.5 inches x 11 inches certain uncoated paper?

No	Yes	If yes, please describe.

IV-18. **<u>Raw materials.--</u>**How have certain uncoated paper raw materials prices changed since January 1, 2012?

Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for certain uncoated paper.

IV-19. Interchangeability.--Is certain uncoated paper produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)? If uncoated paper of certain product specifications e.g., stiffness, opacity, and shade is only or primarily available from one source, please explain in the space provided in the bottom of the table.

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country- pair	Australia	Brazil	China	Indonesia	Portugal	Canada	Other countries
United States							
Australia	$\searrow$						
Brazil	$\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{$						
China	$\searrow$						
Indonesia	$\ge$	$\left \right>$	$\mathbf{\mathbf{X}}$	$\searrow$			
Portugal	$\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{$	$\searrow$	$\mathbf{\mathbf{X}}$		$\searrow$		
Canada					$\ge$	$\ge$	
For any coun	try_nair nro	ducing corta	in uncoater	haner that	is sometim	es or never	•

For any country-pair producing certain uncoated paper that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

Differences in product specifications:

III-20. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between certain uncoated paper produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country- pair	Australia	Brazil	China	Indonesia	Portugal	Canada	Other countries
United States							
Australia	$\mathbf{X}$						
Brazil							
China		$\left \right\rangle$					
Indonesia	$\mathbf{X}$	$\left  \right\rangle$	$\left  \right\rangle$	$\searrow$			
Portugal		$\left \right\rangle$	$\left \right\rangle$		$\searrow$		
Canada					$\ge$	$\searrow$	
For any count				-		-	-

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of certain uncoated paper, identify the country-pair and report the advantages or disadvantages imparted by such factors:

IV-21. <u>**Customer identification.**</u>--List the names and contact information for your firm's 10 largest U.S. customers for certain uncoated paper since January 1, 2012. Indicate the share of the quantity of your firm's total shipments of certain uncoated paper that each of these customers accounted for in 2014.

	Customer's name	City	State	Share of 2014 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

## IV-22. Environmental certification. — Do you sell paper with these environmentally certifications?

Type of certification	No	Yes	If yes, share of your sales in 2014
Forest Stewardship Council Certification ("FSC Certification")			%
Sustainable Forestry Initiative Certification ("SFI Certification")			%
Program for the Endorsement of Forest Certification ("PEFC Certification")			%

#### IV-23. Competition from imports

(a) <u>Lost revenue</u>.--Since January 1, 2012: To avoid losing sales to competitors selling certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal, did your firm:

	No	Yes
Reduce prices		
Roll back announced price increases		

(b) <u>Lost sales</u>.--Since January 1, 2012: Did your firm lose sales of certain uncoated paper to imports of this product from Australia, Brazil, China, Indonesia, and Portugal?

No	Yes

(c) The submission of lost sales/lost revenue allegations is to be completed only by NON-PETITIONERS. (Note: petitioners may provide allegations involving quotes made AFTER the filing of the petition.) Please do not resubmit allegations provided previously.

If your firm indicated "yes" to any of the above, your firm can provide the Commission with additional information by downloading and completing the lost sales/lost revenues worksheet at <u>http://usitc.gov/trade\_remedy/question.htm</u>. Note that the Commission may contact the firms named to verify the allegations reported.

Is your firm submitting the lost sales/lost revenues worksheet?

No—Please explain.
Yes—Please complete the worksheet and submit via the Commission dropbox. https://dropbox.usitc.gov/oinv/. (PIN: PAPER)

IV-24. <u>Other explanations</u>.--If your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

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#### PART V.-TOLLING OPERATIONS.

V-1. **<u>Contact information.</u>**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted.

Name	
Title	
Email	
Telephone	
Fax	

V-2. <u>Establishments covered</u>.-- Provide the name, city, state, zip code, and brief description of the operation performed at each establishment covered by this supplemental questionnaire.

Establishments covered <sup>1</sup>	City, State	Zip (5 digit)	Description
1			
2			
3			

V-3. Since January 1, 2012, has your firm been involved in a toll agreement regarding the production of certain uncoated paper?

**"Toll agreement"**--Agreement between two firms whereby the first firm (the "tollee") furnishes the raw materials (<u>sheeter rolls</u>) and the second firm (the "toller") uses the raw materials to produce sheets of uncoated paper that it then returns to the first firm with a charge for processing costs, overhead, etc.

No

Yes--Please complete questions V-4 and V-5.

V-4. **Toll processors: Toll production of uncoated paper.**—For the tolling operations of your U.S. establishment(s), report the information requested below.

		Calendar year	s	January-S	eptember
Item	2012	2013	2014	2014	2015
Average production capacity (quantity)					
Production (quantity)					
Shipments to tollee: <sup>12</sup>				•	
Quantity					
Value					
Average number of PRWs					
Hours worked by PRWs (1,000 hours)					
Wages paid to PRWs (value)					

<sup>2</sup> Less discounts, returns, allowances, and prepaid freight.

V-5. **Tolling operations.**—Report the revenue and related cost information requested below on the tolling of <u>uncoated paper</u> operations of your U.S. establishment(s).<sup>1</sup> Provide data for your three most recently completed fiscal years and the specified interim periods in chronological order from left to right. **Report for all tollees together, identifying the firms below.** 

	Fisc	al years ende	ed	January-Se	eptember		
Item	2012	2013	2014	2013	2014		
		Qua	antity ( <i>short to</i>	cons)			
Tolling operations							
Net quantity tolled							
		Valu	ie or cost (\$1,	000)			
Tolling operations							
Net tolling revenue							
Cost of tolling services							
Raw materials not supplied by tollee							
Direct labor							
Other factory costs							
Total cost of tolling services	0	0	0	0	0		
Gross profit or (loss)	0	0	0	0	0		
Selling, general, and administrative (SG&A	) expenses						
Selling expenses							
General and administrative expenses							
Total SG&A expenses	0	0	0	0	0		
Operating income or (loss)	0	0	0	0	0		
Capital expenditures							
R & D expenditures							
<sup>1</sup> Include only tolling revenue (whether o operations.	domestic or exp	oorts) and cos	ts related to y	our U.S. manu	ufacturing		

V-6. <u>**Tolling operations</u>**.—Report the data requested below, breaking out your tolling operations by name of tollee and tonnage tolled by period.</u>

	Fis	cal years ende	d	January-September		
Item	2012	2013	2014	2014	2015	
	Quantity (short tons)					
Quantity tolled on behalf of Name:						
Name:						
Name:						
Name:						
Name:						
Name:						
Name:						
Name:						
Name:						
All others						
Total quantity tolled	0	0	0	0	0	

	Calendar years			January-March	
Reconciliation	2012	2013	2014	2014	2015
Total quantity tolled here should					
equal to total toll production in IV-4.					
Revise if not returning zero ("0").	0	0	0	0	0

# HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

http://www.usitc.gov/investigations/701731/2015/certain uncoated paper australia brazil china/final.htm

*Please do not attempt to modify the format or permissions of the questionnaire document*. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: PAPER

• E-mail.—E-mail the MS Word questionnaire to nathanael.comly@usitc.gov; include a scanned copy of the signed certification page (page 1). Please note that submitting your questionnaire by e-mail may subject your firm's business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.

If your firm <u>does not</u> produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

**Parties to this proceeding**.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (*see* 19 CFR § 207.7). Service of the questionnaire must be made in paper form.