# U.S. PRODUCERS' QUESTIONNAIRE CERTAIN UNCOATED PAPER FROM AUSTRALIA, BRAZIL, CHINA, INDONESIA, AND PORTUGAL 

## This questionnaire must be received by the Commission by November 6, 2015

## See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning certain uncoated paper from Australia, Brazil, China Indonesia, and Portugal (Inv. Nos. 701-TA-528-529 and 731-TA-1264-1268 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm
Address $\qquad$
City
State $\qquad$ Zip Code $\qquad$
Website
Has your firm produced (including sheeting/converting only operations) certain uncoated paper (as defined on next page) at any time since January 1, 2012?
$\square$ NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
$\square$ YES (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)
Return questionnaire via the U.S. International Trade Commission Drop Box by clicking on the following link: https://dropbox.usitc.gov/oinv/. (PIN: PAPER)

## CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name of Authorized Official
Title of Authorized Official
Phone: $\qquad$

## Signature

## Date

## PART I.-GENERAL INFORMATION

Background. These proceedings were instituted in response to a petition filed on January 21, 2015, by United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, Pittsburg, PA; Domtar Corporation, Ft. Mill, SC; Finch Paper LLC, Glen Falls, NY; P.H. Glatfelter Company, York, PA; and Packaging Corporation of America, Lake Forest, IL. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to these proceedings are available at:
http://www.usitc.gov/investigations/701731/2015/certain uncoated paper australia brazil china/final.htm
Certain uncoated paper covered by these investigations is uncoated paper in sheet form; weighing at least 40 grams per square meter but not more than 150 grams per square meter; that either is a white paper with a GE brightness level1 of 85 or higher or is a colored paper; whether or not surfacedecorated, printed (except as described below), embossed, perforated, or punched; irrespective of the smoothness of the surface; and irrespective of dimensions (Certain Uncoated Paper).

Certain Uncoated Paper includes (a) uncoated free sheet paper that meets this scope definition; (b) uncoated groundwood paper produced from bleached chemi-thermo-mechanical pulp (BCTMP) that meets this scope definition; and (c) any other uncoated paper that meets this scope definition regardless of the type of pulp used to produce the paper.

Specifically excluded from the scope are: (1) paper printed with final content of printed text or graphics and (2) lined paper products, typically school supplies, composed of paper that incorporates straight horizontal and/or vertical lines that would make the paper unsuitable for copying or printing purposes.

Imports of the subject merchandise are provided for under Harmonized Tariff Schedule of the United States (HTSUS) categories 4802.56.1000, 4802.56.2000, 4802.56.3000, 4802.56.4000, 4802.56.6000, 4802.56.7020, 4802.56.7040, 4802.57.1000, 4802.57.2000, 4802.57.3000, and 4802.57.4000. Some imports of subject merchandise may also be classified under 4802.62.1000, 4802.62.2000, 4802.62.3000, 4802.62.5000, 4802.62.6020, 4802.62.6040, 4802.69.1000, 4802.69.2000, 4802.69.3000, 4811.90.8050 and 4811.90.9080.

While HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of the investigation is dispositive.

Reporting of information.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1a. OMB statistics.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

| Hours | Dollars |
| :---: | :---: |
|  |  |

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.
l-1b. TAA information release.--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?
$\square$ Yes No

I-2. Establishments covered.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. Firms operating more than one establishment should combine the data for all establishments into a single report.
"Establishment"--Each facility of a firm involved in the production of certain uncoated paper, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

| Establishments <br> covered |  |  |  |
| :---: | :---: | :---: | :---: |
| 1 | City, State | Zip (5 digit) | Description |
| 2 |  |  |  |
| 3 |  |  |  |
| 4 |  |  |  |
| 5 |  |  |  |
| 6 |  |  |  |
| ${ }^{1}$ Additional discussion on establishments consolidated in this questionnaire:. |  |  |  |

I-3. Petition support.--Does your firm support or oppose the petition?

| Country | Support | Oppose | Take no position |
| :---: | :---: | :---: | :---: |
| Australia | $\square$ | $\square$ | $\square$ |
| Brazil | $\square$ | $\square$ | $\square$ |
| China | $\square$ | $\square$ | $\square$ |
| Indonesia | $\square$ | $\square$ | $\square$ |
| Portugal | $\square$ | $\square$ | $\square$ |

I-4. Ownership.--Is your firm owned, in whole or in part, by any other firm?NoYes--List the following information.

|  |  | Extent of <br> ownership <br> (percent) |
| :--- | :--- | :--- |
| Firm name | Address |  |
|  |  |  |
|  |  |  |

I-5. Related importers/exporters.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing certain uncoated paper from Australia, Brazil, China, Indonesia, or Portugal into the United States or that are engaged in exporting certain uncoated paper from Australia, Brazil, China, Indonesia, or Portugal to the United States?
$\square$ No $\quad \square$ Yes--List the following information.

| Firm name | Address | Affiliation |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |

I-6. Related producers.--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of certain uncoated paper?
$\square$ No $\quad \square$ Yes--List the following information.

| Firm name | Address | Affiliation |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |

## PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Nathanael Comly (nathanael.comly@usitc.gov, 202-205-3174). Supply all data requested on a calendar-year basis.

II-1. Contact information.-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

| Name |  |
| :--- | :--- |
| Title |  |
| Email |  |
| Telephone |  |
| Fax |  |

II-2a. Changes in operations.--Please indicate whether your firm has experienced any of the following changes in relation to the production of certain uncoated paper since January 1, 2012.

| (check as many as appropriate) |  | (please describe) |
| :---: | :---: | :---: |
| $\square$ | plant openings |  |
| $\square$ | plant closings/repurposing |  |
| $\square$ | relocations |  |
|  | Expansions - overall paper making capacity |  |
| $\square$ | Expansions - sheeter capacity |  |
| $\square$ | acquisitions |  |
| $\square$ | consolidations |  |
|  | prolonged shutdowns or production curtailments |  |
|  | revised labor agreements |  |
| $\square$ | other (e.g., technology) |  |

II-2b. Anticipated changes in operations.--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of certain uncoated paper in the future?
$\square$ No
$\square$ Yes-Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. Include in the response a specific projection of your firm's capacity to produce certain uncoated paper (in short tons) for 2015 and 2016.
$\square$

II-3a. Upstream paper making capacity and production.--Please report your firm's production of products on the equipment and machinery (e.g., paper making equipment) used to manufacture the sheeter rolls that can be made into certain uncoated paper, and the combined production capacity on this upstream equipment and machinery in the periods indicated.
"Overall paper making capacity" or "capacity" - The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).
"Production" - All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

| Quantity (in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Overall paper making capacity ${ }^{1}$ |  |  |  |  |  |
| Production of: <br> Sheeter rolls - which your firm will use to produce certain uncoated paper |  |  |  |  |  |
| Sheeter rolls - which your firm will sell for others that could be used to produce certain uncoated paper |  |  |  |  |  |
| Sheeter rolls over 150 gsm |  |  |  |  |  |
| Coated paper products |  |  |  |  |  |
| Thermal paper products |  |  |  |  |  |
| Web rolls |  |  |  |  |  |
| Other products ${ }^{2}$ |  |  |  |  |  |
| Total | 0 | 0 | 0 | 0 | 0 |
| ${ }^{1}$ The overall paper making capacity reported is based on operating $\qquad$ hours per week, $\qquad$ weeks per year. <br> ${ }^{2}$ Please identify the other types of paper products your firm can produce on the your paper making machinery: $\qquad$ . |  |  |  |  |  |

II-3b. Production using same (sheeting) machinery.--Please report your firm's production of products made on the same equipment and machinery (e.g., sheeting equipment) used to produce certain uncoated paper, and the combined production capacity on this shared equipment and machinery in the periods indicated.
"Overall sheeting capacity" or "capacity" - The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).
"Production" - All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Product Shifting - Sheeter Machinery

| Quantity (in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Overall sheeting capacity ${ }^{1}$ |  |  |  |  |  |
| Production of: Certain uncoated paper ${ }^{2}$ | 0 | 0 | 0 | 0 | 0 |
| Sheets over 150 gsm but otherwise matching the definition of certain uncoated paper |  |  |  |  |  |
| Coated paper |  |  |  |  |  |
| Other products ${ }^{3}$ |  |  |  |  |  |
| Total | 0 | 0 | 0 | 0 | 0 |
| ${ }^{1}$ The overall sheeter capacity reported is based on operating $\qquad$ hours per week, $\qquad$ weeks per year. <br> ${ }^{2}$ Data entered for production of certain uncoated paper will populate here once reported in question II-8. <br> ${ }^{3}$ Please identify the paper products your firm can produce on the sheeter equipment: $\qquad$ . |  |  |  |  |  |

II-3c. Capacity calculation.--Please describe the methodology used to calculate overall capacity reported in II-3a and II-3b, and explain any changes in reported capacity.

| Paper making equipment (II-3a) | Sheeter equipment (II-3b) |
| :---: | :---: |
|  |  |

II-3d. Production constraints.--Please describe the constraint(s) that set the limit(s) on your firm's capacity.

| Paper making equipment (II-3a) | Sheeter equipment (II-3b) |
| :---: | :---: |
|  |  |

## II-3e. Product shifting.-

(i) Is your firm able to switch production (capacity) between certain uncoated paper and other products using the same equipment and/or labor?
$\square$ No $\square$ Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products: $\qquad$ _.
(ii) Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

| Paper making equipment (II-3a) | Sheeter equipment (II-3b) |
| :--- | :--- |
|  |  |

II-4. Conversion operations. - Does your firm primarily convert purchased sheeter rolls to certain uncoated paper (as reflected in questions II-8)?
$\square$ No $\quad \square$ Yes--Please provide descriptions of the following
(a) Source and extent of firm's capital and investment.
$\qquad$
$\qquad$
(b) Quantity and type of inputs sourced in the United States.
$\qquad$
$\qquad$
U.S. Producers' Questionnaire - Certain Uncoated Paper

II-4. Conversion operations. -Continued
(c) Describe the nature of your production-related activities in the United States to produce certain uncoated paper.
$\qquad$
$\qquad$
(d) Technical expertise involved in U.S. production activity.
(e) Any other costs and activities in the United States directly leading to the production of certain uncoated paper.

II-5. Tolling.--Since January 1, 2012, has your firm been involved in a toll agreement regarding the production of certain uncoated paper?
"Toll agreement"--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.No Yes--Please describe the toll arrangement(s) and name the firm(s) involved
$\square$

If your firm has produced uncoated paper as part of a tolling arrange, please fill out part $V$ at the end of this questionnaire.

II-6. Foreign trade zones.--
(a) Firm's FTZ operations.--Does your firm produce certain uncoated paper in and/or admit certain uncoated paper into a foreign trade zone (FTZ)?
"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.
No $\square$ Yes--Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s).
$\square$
(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import certain uncoated paper into a foreign trade zone (FTZ) for use in distribution of certain uncoated paper and/or the production of downstream articles?
$\square$ No/Don't know
$\square$ Yes--Identify the firms and the FTZs.

II-7. Importer.--Since January 1, 2012, has your firm imported certain uncoated paper?
"Importer" - The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

Yes--COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE

## Definitions

"Average sheeter capacity" or "capacity" - The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix (data on product mix is requested in question II-3a)).
"Production" - All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.
"U.S. commercial shipments" -Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.
"Internal consumption" - Product consumed internally by your firm.
"Transfers to related firms" -Shipments made to related domestic firms. Such transactions are valued at fair market value.
"Related firm" -A firm that your firm solely or jointly owns, manages, or otherwise controls. Such transactions are valued at fair market value.
"Export shipments" -Shipments to destinations outside the United States, including shipments to related firms.
"Inventories" - Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-8. Production, shipment and inventory data.--Report your firm's production capacity, production, shipments, and inventories related to the production of certain uncoated paper in its U.S. establishment(s) during the specified periods.

| Quantity (in short tons) and value (in \$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Average sheeter capacity ${ }^{1}$ (quantity) (A) |  |  |  |  |  |
| Beginning-of-period inventories (quantity)(B) |  |  |  |  |  |
| Production ${ }^{2}$ (quantity).-- <br> Using internally produced rolls (C) |  |  |  |  |  |
| Using purchases of domestic rolls (D) |  |  |  |  |  |
| Using purchases of imported rolls ${ }^{3}(\mathrm{E})$ |  |  |  |  |  |
| Total production of uncoated paper(F) | 0 | 0 | 0 | 0 | 0 |
| U.S. shipments: <br> Commercial shipments: <br> Quantity (G) |  |  |  |  |  |
| Value (H) |  |  |  |  |  |
| Internal consumption: Quantity (I) |  |  |  |  |  |
| $V_{\text {alue }}{ }^{3}$ (J) |  |  |  |  |  |
| Transfers to related firms: Quantity (K) |  |  |  |  |  |
| Value ${ }^{3}$ (L) |  |  |  |  |  |
| Export shipments: ${ }^{4}$ Quantity (M) |  |  |  |  |  |
| Value (N) |  |  |  |  |  |
| End-of-period inventories (quantity) ( O ) |  |  |  |  |  |
| ${ }^{1}$ The production capacity reported is based on operating $\qquad$ hours per week, $\qquad$ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity $\qquad$ <br> ${ }^{2}$ Of the production reported in 2014, please estimate the share of the reported total that were produced under the each of the specified certification regimes: $\qquad$ percent Forest Stewardship Council Certification ("FSC certification"). $\qquad$ percent Sustainable Forestry Initiative Certification ("SFI certification"). $\qquad$ percent Programme for the Endorsement of Forest Certification ("PEFC certification"). <br> If this share has changed since 2012, please describe the change and reasons: $\qquad$ <br> ${ }^{3}$ Identify the source(s) of your firm's imports of and/or purchases of imported rolls: $\qquad$ <br> ${ }^{4}$ Internal consumption and transfers to related firms must be valued at fair market value. In the event that your firm uses a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: $\qquad$ <br> ${ }^{5}$ Identify your firm's principal export markets: $\qquad$ . |  |  |  |  |  |

## II-8. Production, shipment and inventory data.--Continued

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line O) should be equal to the beginning-of-period inventories (i.e., line B), plus total production (i.e., line F), less total shipments (i.e., lines G, I, K, and M). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| Reconciliation | Calendar years |  |  | January-March |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| $B+F-G-I-K-M-O=$ should equal zero ("0") or provide an explanation. ${ }^{1}$ | 0 | 0 | 0 | 0 | 0 |
| ${ }^{1}$ Explanation if the calculated fields above are returning values other than zero (i.e., " 0 ") but are nonetheless accurate: |  |  |  |  |  |

II-9. $\quad$ Reported change in capacity.--Based data submitted in question II-8, please explain your firm's reported change in capacity (if any).

| Item | 2012 to 2014 |
| :--- | :---: |
|  | short tons |
|  |  |

Explanation for reported changed in capacity from 2012 to 2014:
$\square$

II-10. Channels of distribution.--Report your firm's commercial U.S. shipments of certain uncoated paper by channel of distribution.

| Quantity (in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Channels of distribution: Commercial U.S. shipments: <br> To paper merchants/ distributors (short tons) (P) |  |  |  |  |  |
| To retailers (short tons) (Q) |  |  |  |  |  |
| To end users (short tons) (R) |  |  |  |  |  |


| Reconciliation | Calendar years |  |  | January-March |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| P + Q + R - G = zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |

II-11. Commercial U.S. shipments by brand.--Report your firm's commercial U.S. shipments by type of branding.

Manufacturer/Mill branded.--Certain uncoated paper sold with the manufacturer's (e.g., your firm's) own brand name(s).

Retailer branded (private label).--Certain uncoated paper sold under the brand name(s) of entities other than those of the manufacturer (e.g., the purchasers' brand name(s)).

Unbranded (white box).--Certain uncoated paper sold with no brand name.

| Quantity (in short tons); Value (\$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Calendar years |  |  | January-September |  |
| Item | 2012 | 2013 | 2014 | 2014 | 2015 |
| Commercial U.S. shipments: Manufacturer/Mill branded Quantity (S) |  |  |  |  |  |
| Value ( T ) |  |  |  |  |  |
| Retailer branded (private label) Quantity (U) |  |  |  |  |  |
| Value (V) |  |  |  |  |  |
| Unbranded (white box) Quantity (X) |  |  |  |  |  |
| Value (Y) |  |  |  |  |  |


| Reconciliation | Calendar years |  |  | January-September |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Quantity.-- S + U + X - G should equal zero ("0"), revise if not. | 0 | 0 | 0 | 0 | 0 |
| Value.-- $\mathrm{T}+\mathrm{V}+\mathrm{Y}-\mathrm{H}$ should equal zero ("0"), revise if not. | 0 | 0 | 0 | 0 | 0 |

II-12. Commercial U.S. shipments by product type.--Report your firm's commercial U.S. shipments of certain uncoated paper by product type.

| Product classifications | Term | Definition |
| :---: | :---: | :---: |
| Alterations | Plain | Certain uncoated paper that is NOT surface-decorated, printed, embossed, perforated, hole-punched, or watermarked. |
|  | Altered | Certain uncoated paper that is surface-decorated, printed, embossed, perforated, hole-punched, and/or watermarked. |
| Coloration | White | Certain uncoated paper with a GE brightness greater than 90 |
|  | Tinted/ colored | Certain uncoated paper with a GE brightness less than or equal to 90 |
| Dimensions | 8.5" x 11" | Certain uncoated paper cut to U.S. standard paper size of 8.5 inches by 11 inches. |
|  | Other dimensions | Certain uncoated paper cut to sizes other than U.S. standard paper size of 8.5 inches by 11 inches. (includes legal size paper and all other sizes of certain uncoated paper). |


| Quantity (in short tons); Value (\$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Commercial U.S. shipments: Plain, white, $8.5 \times 11$ inches Quantity (Z) |  |  |  |  |  |
| Value (AA) |  |  |  |  |  |
| Plain, white, other dimensions Quantity (AB) |  |  |  |  |  |
| Value (AC) |  |  |  |  |  |
| Plain, tinted/colored, $8.5 \times 11$ inches Quantity (AD) |  |  |  |  |  |
| Value (AE) |  |  |  |  |  |
| Plain, tinted/colored, other dimensions <br> Quantity (AF) |  |  |  |  |  |
| Value (AG) |  |  |  |  |  |
| Altered, any color, $8.5 \times 11$ inches Quantity (AH) |  |  |  |  |  |
| Value (AI) |  |  |  |  |  |
| Altered, any color, other dimensions Quantity (AJ) |  |  |  |  |  |
| Value (AL) |  |  |  |  |  |

II-12. Commercial U.S. shipments by product type.-Continued

| Reconciliation | Calendar years |  |  | January-September |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Quantity.-- $\mathrm{Z}+\mathrm{AB}+\mathrm{AD}+\mathrm{AF}+\mathrm{AH}+$ $A J-G$ should equal zero ("0"), revise if not. | 0 | 0 | 0 | 0 | 0 |
| Value.-- $A A+A C+A E+A G+A I+A L$ - $H$ should equal zero (" 0 "), revise if not. | 0 | 0 | 0 | 0 | 0 |

II-13. Employment data.--Report your firm's employment-related data related to the production of certain uncoated paper and provide any explanation for any trends in these data.
"Production Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations. Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to September periods, calculate similarly and divide by 9.
"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.
"Wages paid" -Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

| Item | Calendar years |  |  | January-September |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | $\mathbf{2 0 1 2}$ | $\mathbf{2 0 1 3}$ | $\mathbf{2 0 1 4}$ | $\mathbf{2 0 1 4}$ | $\mathbf{2 0 1 5}$ |
| Average number of PRWs <br> (number) |  |  |  |  |  |
| Hours worked by PRWs <br> (1,000 hours) |  |  |  |  |  |
| Wages paid to PRWs (\$1,000) |  |  |  |  |  |

Explanation of trends:
$\square$

II-14. Related firms.--If your firm reported transfers to related firms in question II-8, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a nonmarket formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.
$\square$

II-15. Purchases.--Other than direct imports, has your firm otherwise purchased certain uncoated paper since January 1, 2012?
"Purchase" - A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.
"Direct import" -A transaction to buy from a foreign producer where your firm is the importer of record. Direct imports should not be reported here, but rather in a U.S. importers' questionnaire.
$\square$ No $\quad \square$ Yes--Report such purchases below and explain the reasons for your firms' purchases:


| (Quantity in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Purchases from U.S. importers ${ }^{1}$ of certain uncoated paper fromAustralia: |  |  |  |  |  |
| Brazil: |  |  |  |  |  |
| China |  |  |  |  |  |
| Indonesia |  |  |  |  |  |
| Portugal |  |  |  |  |  |
| All other import sources |  |  |  |  |  |
| Purchases from domestic producers ${ }^{2}$ |  |  |  |  |  |
| Purchases from other sources ${ }^{2}$ |  |  |  |  |  |
| ${ }^{1}$ Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: $\qquad$ <br> ${ }^{2}$ Please list the name of the producer(s) or U.S. distributor(s) from which your firm purchased this product: $\qquad$ - |  |  |  |  |  |

U.S. Producers' Questionnaire - Certain Uncoated Paper

II-16. Other explanations.--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

## PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Charles Yost ((202)-205-3432, charles.yost@usitc.gov).

III-1. Contact information.-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

| Name |  |
| :--- | :--- |
| Title |  |
| Email |  |
| Telephone |  |
| Fax |  |

III-2. Accounting system.--Briefly describe your firm's financial accounting system.
A. When does your firm's fiscal year end (month and day)? $\qquad$
If your firm's fiscal year changed during the data-collection period, explain below:
B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include certain uncoated paper:
2. Does your firm prepare profit/loss statements for certain uncoated paper:
$\square$
3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below. $\square$ Audited, $\square$ unaudited, $\square$ annual reports, $\square$ 10Ks, $\square 10$ Qs,
$\square$ Monthly, $\square$ quarterly, $\square$ semi-annually, $\square$ annually Monthly, $\square$ quarterly, $\square$ semi-annually, $\square$ annually
4. Accounting basis: $\square$ GAAP, $\square$ cash, $\square$ tax, or $\square$ other comprehensive basis of accounting (specify) $\qquad$

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes certain uncoated paper, as well as specific statements and worksheets) used to compile these data.

III-3. Cost accounting system.--Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).

III-4. Allocation basis.--Briefly describe your firm's allocation basis, if any, for COGS, SG\&A, and interest expense and other income and expenses.
$\square$
III-5. Other products.--Please list the products your firm produced in the facilities in which your firm produced certain uncoated paper, and provide the share of net sales accounted for by these other products in your firm's most recent fiscal year.

| Products | Share of sales |
| :--- | :---: |
| Certain uncoated paper | $\%$ |
|  | $\%$ |
|  | $\%$ |
|  | $\%$ |
|  | $\%$ |

III-6. Does your firm purchase inputs (raw materials, labor, energy, or any services) used in the production of certain uncoated paper from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?
$\square$ Yes--Continue to question III-7. $\quad \square$ No--Continue to question III-9.
III-7. Inputs from related suppliers.--Please identify the inputs used in the production of certain uncoated paper that your firm purchases from related suppliers and that are reflected in table III-9. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation" please describe the basis, as recorded in your company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

| Input | Related supplier | Share of total COGS |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |
| Input valuation as recorded in the firm's accounting books and records |  |  |

U.S. Producers' Questionnaire - Certain Uncoated Paper

III-8. Inputs purchased from related suppliers.--Please confirm that the inputs purchased from related suppliers, as identified in III-8, were reported in III-9 (financial results on certain uncoated paper) in a manner consistent with your firm's accounting books and records.
$\square$ Yes
$\square$ No--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in table III-9.

III-9. Operations on certain uncoated paper.--Report the revenue and related cost information requested below on the certain uncoated paper operations of your firm's U.S. establishment(s). ${ }^{1}$ Do not report resales of certain uncoated papers. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please fill out Part V of this questionnaire.

| Quantity (in short tons) and value (in \$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Fiscal years ended-- |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Net sales quantities: ${ }^{2}$ Commercial sales ("CS") |  |  |  |  |  |
| Internal consumption ("IC") |  |  |  |  |  |
| Transfers to related firms |  |  |  |  |  |
| Total net sales quantities | 0 | 0 | 0 | 0 | 0 |
| Net sales values: ${ }^{2}$ Commercial sales |  |  |  |  |  |
| Internal consumption |  |  |  |  |  |
| Transfers to related firms |  |  |  |  |  |
| Total net sales values | 0 | 0 | 0 | 0 | 0 |
| Cost of goods sold (COGS): ${ }^{3}$ Raw materials |  |  |  |  |  |
| Direct labor |  |  |  |  |  |
| Other factory costs |  |  |  |  |  |
| Total COGS | 0 | 0 | 0 | 0 | 0 |
| Gross profit or (loss) | 0 | 0 | 0 | 0 | 0 |
| Selling, general, and administrative (SG\&A) expenses: Selling expenses |  |  |  |  |  |
| General and administrative expenses |  |  |  |  |  |
| Total SG\&A expenses | 0 | 0 | 0 | 0 | 0 |
| Operating income (loss) | 0 | 0 | 0 | 0 | 0 |
| Other expenses and income: Interest expense |  |  |  |  |  |
| All other expense items |  |  |  |  |  |
| All other income items |  |  |  |  |  |
| Net income or (loss) before income taxes | 0 | 0 | 0 | 0 | 0 |
| Depreciation/amortization included above |  |  |  |  |  |
| ${ }^{1}$ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations. <br> ${ }^{2}$ Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. <br> ${ }^{3}$ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers. |  |  |  |  |  |

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

III-10. Financial data reconciliation.--The calculable line items from question III-10 (i.e., total net sales quantities and values, total COGS, gross profit (or loss), total SG\&A, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?No--If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.

Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negative--instances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative).

If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-11. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific table III-9 line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in \$1,000), as reflected in table III-9; i.e., if an aggregate nonrecurring item has been allocated to table III-9, only the allocated value amount included in table III-9 should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in table III-9. Nonrecurring items may include the additional costs associated with plant shutdowns or other one-time interruption in operations on certain uncoated paper.

|  | Fiscal years ended-- |  |  | January-September |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Nonrecurring item: In this column please provide a brief description of each nonrecurring item and indicate the specific line item in table III-9 where the nonrecurring item is classified. | Nonrecurring item: In these columns please report the amount of the relevant nonrecurring item reported in table III-9. <br> Value (in $\$ 1,000$ ) |  |  |  |  |
| 1. , classified as |  |  |  |  |  |
| 2. , classified as |  |  |  |  |  |
| 3. , classified as |  |  |  |  |  |
| 4. , classified as |  |  |  |  |  |
| $5 . \quad$, classified as |  |  |  |  |  |
| 6. , classified as |  |  |  |  |  |
| 7. , classified as |  |  |  |  |  |

III-12. Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.--If non-recurring items were reported in table III-12 above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., III-11 information designates where these items are reported in table III-9.
$\square$

III-13. Asset values.--Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of certain uncoated paper. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for certain uncoated paper in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with your firm's cost allocations in the previous question. Provide data as of the end of your firm's three most recently completed fiscal years.

Note: Total assets should reflect net assets after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

| Value (in \$1,000) |  |  |  |
| :--- | :---: | :---: | :---: |
| Item | Fiscal years ended-- |  |  |
|  | $\mathbf{2 0 1 2}$ | $\mathbf{2 0 1 3}$ | $\mathbf{2 0 1 4}$ |
|  |  |  |  |
| 1 State whether total asset values have been affected by re-purposing <br> Also, provide a brief explanation of there are any substantial changes |  |  |  |

III-14. Capital expenditures and research and development expenses.--Report your firm's capital expenditures and research and development expenses for certain uncoated paper. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

| Value (in \$1,000) |  |  |  |  |  |
| :--- | :---: | :---: | :---: | ---: | ---: |
| Item | Fiscal years ended-- |  |  | January-September |  |
|  | 2012 | 2013 | $\mathbf{2 0 1 4}$ | $\mathbf{2 0 1 4}$ | $\mathbf{2 0 1 5}$ |
| Total capital expenditures |  |  |  |  |  |
| Total R\&D expenses |  |  |  |  |  |

${ }^{1}$ Please indicate the nature, focus, and significance of your firm's capital expenditures on the subject product. $\qquad$

III-15. Data consistency and reconciliation.--Please indicate whether your firm's financial data for questions III-9, III-13, and III-14 are based on a calendar year or on your firm's fiscal year:

| Calendar year | Fiscal year | Specify fiscal year |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

Please note the quantities and values reported in question III-9 should reconcile with the data reported in question II-8 (including export shipments) as long as they are reported on the same calendar year basis.

Do these data in question III-9 reconcile with data in question II-8?

| Yes | No | If no, please explain. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

## III-16. Effects of imports on investment.-

(a) Since January 1, 2012, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal?No $\square$ Yes--My firm has experienced actual negative effects as follows:

| (check as many as appropriate) |  | (please describe) |
| :--- | :--- | :--- |
| $\square$ | Cancellation, postponement, <br> or rejection of expansion <br> projects |  |
| $\square$ | Denial or rejection of <br> investment proposal |  |
| $\square$ | Reduction in the size of <br> capital investments |  |
| $\square$ | Return on specific <br> investments negatively <br> impacted |  |
| $\square$ | Other |  |

(b) Does your firm's response differ by country?

| No | Yes | If yes, indicate which country and why: |
| :--- | :--- | :--- |
| $\square$ | $\square$ |  |
|  |  |  |

## III-17. Effects of imports on growth and development.-

(a) Since January 1, 2012, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal?Yes--My firm has experienced actual negative effects as follows:

| (check as many as appropriate) |  | (please describe) |
| :--- | :--- | :--- |
| $\square$ | Rejection of bank loans |  |
| $\square$ | Lowering of credit rating |  |
| $\square$ | Problem related to the issue <br> of stocks or bonds |  |
| $\square$ | Ability to service debt |  |
| $\square$ | Other |  |

(b) Does your firm's response differ by country?

| No | Yes | If yes, indicate which country and why: |
| :--- | :--- | :--- |
| $\square$ | $\square$ |  |

## III-18. Anticipated effects of imports. -

(a) Does your firm anticipate any negative effects due to imports of certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal?

| No | Yes | If yes, my firm anticipates negative effects as follows: |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

(b) Does your firm's response differ by country?

| No | Yes | If yes, indicate which country and why: |
| :--- | :--- | :--- |
| $\square$ | $\square$ |  |
|  |  |  |

III-19. Other explanations.--If your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.
$\square$

## PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-2053250, amelia.preece@usitc.gov).

IV-1. Contact information.--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

| Name |  |
| :--- | :--- |
| Title |  |
| Email |  |
| Telephone |  |
| Fax |  |

## PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2012 of the following products produced by your firm.

Product 1.-- Uncoated paper, weighing 20 lb . 75 gsm ), with dimensions of $81 / 2 \times 11$ inches, and with GE brightness greater than 90 white and plain (i.e., not altered through processes such as surface-decorating, printing, embossing, perforating, punching, or watermarking)

Product 2.-- Uncoated paper, weighing 20 lb . ( 75 gsm ), with dimensions of $81 / 2 \times 14$ inches, and with GE brightness greater than 90 white and plain (i.e., not altered through processes such as surface-decorating, printing, embossing, perforating, punching, or watermarking)

Product 3.-- Uncoated paper, weighing 50-60 lb. (74-89 gsm), with dimensions of $23 \times 35$ inches, and with GE brightness greater than 96 white and plain (i.e., not altered through processes such as surface-decorating, printing, embossing, perforating, punching, or watermarking) sold with a matching cover.

Please note that values should be delivered to your U.S. customer and should include U.S.-inland transportation costs. Values should reflect the final net amount paid to your firm (i.e., should be net of all deductions for discounts or rebates, including those after the sale occurred).

During January 2012-September 2015, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

| $\square$ | Yes.--Please complete the following pricing data table as appropriate. |
| :--- | :--- |
| $\square$ | No.--Skip to question IV-3. |

IV-2-a. Price data.--Report below the quarterly price data ${ }^{1}$ for pricing products ${ }^{2}$ produced and sold by your firm.

## Report data in actual short tons and actual dollars (not 1,000s).

| (Quantity in short tons, value in dollars) |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Period of shipment | Product 1 |  | Product 2 |  | Product 3 |  |
|  | Quantity | Value | Quantity | Value | Quantity | Value |
| 2012: January-March |  |  |  |  |  |  |
| April-June |  |  |  |  |  |  |
| July-September |  |  |  |  |  |  |
| October-December |  |  |  |  |  |  |
| 2013: January-March |  |  |  |  |  |  |
| April-June |  |  |  |  |  |  |
| July-September |  |  |  |  |  |  |
| October-December |  |  |  |  |  |  |
| 2014: <br> January-March |  |  |  |  |  |  |
| April-June |  |  |  |  |  |  |
| July-September |  |  |  |  |  |  |
| October-December |  |  |  |  |  |  |
| 2015: <br> January-March |  |  |  |  |  |  |
| April-June |  |  |  |  |  |  |
| ${ }^{1}$ Net values (i.e., gross sales values less all discounts, allowances, rebates, and the value of returned goods), delivered to your U.S. customers. <br> ${ }^{2}$ Pricing product definitions are provided on the first page of Part IV. <br> Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data. <br> Product 1: <br> Product 2: <br> Product 3: |  |  |  |  |  |  |

Pricing data methodology.-Please describe the method and the kinds of documents/records that were used to compile your price data.
$\square$

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-2-b. Average brightness of pricing products.-Please list the average brightness for each of the pricing products you reported in tables III-2-a.

| Product 1 | Product 2 | Product 3 |
| :--- | :--- | :--- |
| Average brightness (GE level) |  |  |
|  |  |  |

Explanation:
$\square$

IV-3. Price setting.--How does your firm determine the prices that it charges for sales of certain uncoated paper (check all that apply)? If your firm issues price lists, please submit sample pages of a recent list.

| Transaction <br> by <br> transaction | Contracts | Set <br> price <br> lists | Other |  |
| :---: | :---: | :---: | :---: | :--- |
| $\square$ | $\square$ | $\square$ | $\square$ |  |
|  | $\square$ |  |  |  |

## IV-4. Discount policy.-

(a) Please indicate and describe your firm's discount/rebate policies regarding certain uncoated paper (check all that apply).

|  | Annual <br> total <br> Qolume <br> Quantity <br> discounts | No <br> discount <br> policy | Rebates | Other |  |
| :---: | :---: | :---: | :---: | :---: | :--- |
| $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |
| $\square$ | $\square$ | $\square$ |  |  |  |

(b) Does your company provide rebates to customers who were not the company that issued the purchase order and to whom you issued the invoice?

| No | Yes | If f.o.b., specify point |
| :---: | :---: | :---: |
| $\square$ | $\square$ |  |

U.S. Producers' Questionnaire - Certain Uncoated Paper

## IV-4. Discount policy.-Continued

(c) In what form(s) are rebates provided to your customers?

| Cash | Account/ <br> credit | Other | Describe |
| :---: | :---: | :---: | :--- |
| $\square$ | $\square$ | $\square$ |  |

(d) How are the rebates calculated?

|  |
| :--- |

(e) Which/what types of customers receive rebates?
$\square$
(f) What was the average rebate granted from invoice price (per short ton) in the specified periods?

| Average (dollars per short ton) |  |  |  |
| :--- | :---: | :---: | :---: |
| Item | Calendar years |  |  |
|  | 2012 | 2013 | 2014 |
|  |  |  |  |

(g) Where are these rebated accounted for in your books and records?


(h) How have you accounted for these rebates in the quarterly pricing data in question IV-2 above?
$\square$

IV-5. Pricing terms.--
(a) What are your firm's typical sales terms for its U.S.-produced certain uncoated paper?

| Net 30 <br> days | Net 60 <br> days | 2/10 net <br> 30 days | Other | Other (specify) |
| :---: | :---: | :---: | :---: | :---: |
| $\square$ | $\square$ | $\square$ | $\square$ |  |

(b) On what basis are your firm's prices of domestic certain uncoated paper usually quoted (check one)?

| Delivered | F.o.b. | If f.o.b., specify point |
| :---: | :---: | :---: |
| $\square$ | $\square$ |  |

IV-6. Pricing factors.-Since January 2012, please rate the impact of the following factors on your firm's ability to price certain uncoated paper.

| Factor | Rating of the factor on prices certain uncoated paper |  |  |  |  | Explanation |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Substantially lowered prices | Moderately lowered prices | No impact on prices | Moderately increased prices | Substantially increased prices |  |
| Long-term decline in demand for paper products | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Competition from substitute products | $\square$ | $\square$ | $\square$ | $\square$ $\square$ | $\square$ |  |
| Competition among U.S. producers | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Competition from subject imports | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Reductions in U.S. capacity | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |
| U.S. Producers' mill/paper machine closures | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Other: | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |

IV-7. Contract versus spot.--Approximately what share of your firm's sales of its U.S.-produced certain uncoated paper in 2014 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

|  | Type of sale |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | Long-term <br> contracts <br> (multiple <br> deliveries for <br> more than 12 <br> months) | Annual <br> contracts <br> (multiple <br> deliveries for 12 <br> months) | Short-term <br> contracts <br> (multiple <br> deliveries for <br> less than 12 <br> months) | Spot sales <br> (for a single <br> delivery) | Total <br> (should <br> sum to <br> $100.0 \%)$ |
|  | $\%$ | $\%$ | $\%$ | $\%$ | 0.0 |

IV-8. Contract provisions.--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced certain uncoated paper (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

| Typical sales <br> contract provisions | Item | Short-term contracts <br> (multiple deliveries <br> for less than 12 <br> months) | Annual contracts <br> (multiple <br> deliveries for 12 <br> months) | Long-term contracts <br> (multiple deliveries for <br> more than 12 months) |
| :---: | :---: | :---: | :---: | :---: |
| Average contract <br> duration | \# of days |  | 365 |  |
| Price renegotiation <br> (during contract <br> period) | Yes | No | $\square$ | $\square$ |
| Fixed quantity <br> and/or price | $\square$ | $\square$ | $\square$ | $\square$ |
|  | Price | $\square$ | $\square$ | $\square$ |

IV-9. Lead times.--What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced certain uncoated paper?

| Source | Lead time <br> Share of 2014 <br> sales | average <br> number of <br> days) |
| :--- | ---: | :---: |
| From inventory | $\%$ |  |
| Produced to order | $\%$ |  |
| Total (should sum to 100.0\%) | $0.0 \%$ |  |

## IV-10. Shipping information.--

(a) What is the approximate percentage of the total delivered cost of U.S.-produced certain uncoated paper that is accounted for by U.S. inland transportation costs? $\qquad$ percent
(b) Who generally arranges the transportation to your firm's customers' locations?Your firm $\square$ Purchaser (check one)
(c) Indicate the approximate percentage of your firm's sales of certain uncoated paper that are delivered the following distances from its production facility.

| Distance from production facility | Share |
| :--- | :---: |
| Within 100 miles | $\%$ |
| 101 to 1,000 miles | $\%$ |
| Over 1,000 miles | $\%$ |
| Total (should sum to $100.0 \%)$ |  |

U.S. Producers' Questionnaire - Certain Uncoated Paper

IV-11. Geographical shipments.-- In which U.S. geographic market area(s) has your firm sold its U.S.produced certain uncoated paper since January 1, 2012 (check all that apply)?

| Geographic area | $\boldsymbol{v}$ if applicable |
| :--- | :---: |
| Northeast.-CT, ME, MA, NH, NJ, NY, PA, RI, and VT. | $\square$ |
| Midwest.-IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI. | $\square$ |
| Southeast.-AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV. | $\square$ |
| Central Southwest.-AR, LA, OK, and TX. | $\square$ |
| Mountains.-AZ, CO, ID, MT, NV, NM, UT, and WY. | $\square$ |
| Pacific Coast.-CA, OR, and WA. | $\square$ |
| Other.-All other markets in the United States not previously listed, <br> including AK, HI, PR, and VI. | $\square$ |

IV-12. End uses.--List the end uses (office copier/printers, commercial printers, etc.) of the certain uncoated paper that your firm manufactures.

|  | End uses for certain uncoated paper |
| :--- | :--- |
| 1 |  |
| 2 |  |
| 3 |  |

IV-13. Substitutes.-- Can other products be substituted for certain uncoated paper?
$\square$
No
$\square$ Yes--Please fill out the table.

| Substitute |  | End use in which this substitute is used | Have changes in the price of this substitute affected the price for certain uncoated paper? |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | No | Yes | Explanation |
| 1. |  |  |  | $\square$ | $\square$ |  |
| 2. |  |  |  | $\square$ |  |
| 3. |  |  |  | $\square$ |  |

IV-14. Demand trends.-- Indicate how demand within the United States and outside of the United States (if known) for certain uncoated paper has changed since January 1, 2012. Explain any trends and describe the principal factors that have affected these changes in demand.

| Market | Overall <br> increase | No <br> change | Overall <br> decrease | Fluctuate <br> with no <br> clear trend | Explanation and factors |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Within <br> the United <br> States | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Outside <br> the United <br> States | $\square$ | $\square$ | $\square$ | $\square$ |  |

U.S. Producers' Questionnaire - Certain Uncoated Paper

IV-15. Product changes.--Have there been any significant changes in the product range, product mix, or marketing of certain uncoated paper since January 1, 2012?

| No | Yes | If yes, please describe and quantify if possible. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

## IV-16. Conditions of competition.--

(a) Is the certain uncoated paper market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to certain uncoated paper? If yes, describe.

| Check all that apply. | Please describe. |
| :---: | :--- |
| $\square \quad$ No | Skip to question IV-16. |
| $\square \quad$Yes-Business cycles (e.g. <br> seasonal business) |  |
| $\square$ | Yes-Other distinctive <br> conditions of competition |
| $\square$ |  |

(b) If yes, have there been any changes in the business cycles or conditions of competition for certain uncoated paper since January 1, 2012?

| No | Yes | If yes, describe. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

## IV-17. Supply constraints.-

a) Has your firm refused, declined, or been unable to supply certain uncoated paper since January 1, 2012 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

| No | Yes | If yes, please describe. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

b) Has your firm, since January 1, 2012, denied supply, offered restricted volumes, or offered volumes on long delivery schedules to any customers or potential customers for 20 lb . ( 75 gsm ) plain white 8.5 inches $\times 11$ inches certain uncoated paper?

| No | Yes | If yes, please describe. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

IV-18. Raw materials.--How have certain uncoated paper raw materials prices changed since January 1, 2012?

| Overall <br> increase | No <br> change | Oluctuate <br> Overall <br> decrease | Explain, noting how raw material price changes <br> clear trend | have affected your firm's selling prices for certain <br> uncoated paper. |
| :---: | :---: | :---: | :---: | :---: |
| $\square$ | $\square$ | $\square$ | $\square$ |  |

IV-19. Interchangeability.--Is certain uncoated paper produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)? If uncoated paper of certain product specifications e.g., stiffness, opacity, and shade is only or primarily available from one source, please explain in the space provided in the bottom of the table.

Please indicate $\mathrm{A}, \mathrm{F}, \mathrm{S}, \mathrm{N}$, or O in the table below:
$A=$ the products from a specified country-pair are always interchangeable
$\mathrm{F}=$ the products are frequently interchangeable
$S=$ the products are sometimes interchangeable
$\mathrm{N}=$ the products are never interchangeable
$0=$ no familiarity with products from a specified country-pair

| Country- <br> pair | Australia | Brazil | China | Indonesia | Portugal | Canada | Other <br> countries |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| United <br> States |  |  |  |  |  |  |  |
| Australia |  |  |  |  |  |  |  |
| Brazil |  |  |  |  |  |  |  |
| China |  |  |  |  |  |  |  |
| Indonesia |  |  |  |  |  |  |  |
| Portugal |  |  |  |  |  |  |  |
| Canada |  |  |  |  |  |  |  |

For any country-pair producing certain uncoated paper that is sometimes or never interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

Differences in product specifications:

III-20. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between certain uncoated paper produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate $A, F, S, N$, or 0 in the table below:
$A=$ such differences are always significant
$\mathrm{F}=$ such differences are frequently significant
$\mathrm{S}=$ such differences are sometimes significant
$\mathrm{N}=$ such differences are never significant
$0=n o$ familiarity with products from a specified country-pair

| Country- <br> pair | Australia | Brazil | China | Indonesia | Portugal | Canada |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Countries |  |  |  |  |  |  |$|$

For any country-pair for which factors other than price always or frequently are a significant factor in your firm's sales of certain uncoated paper, identify the country-pair and report the advantages or disadvantages imparted by such factors:

IV-21. Customer identification.--List the names and contact information for your firm's 10 largest U.S. customers for certain uncoated paper since January 1, 2012. Indicate the share of the quantity of your firm's total shipments of certain uncoated paper that each of these customers accounted for in 2014.

| Customer's name |  | City | State | Share of 2014 sales (\%) |
| :--- | :--- | :--- | :--- | :--- |
| 1 |  |  |  |  |
| 2 |  |  |  |  |
| 3 |  |  |  |  |
| 4 |  |  |  |  |
| 5 |  |  |  |  |
| 6 |  |  |  |  |
| 7 |  |  |  |  |
| 8 |  |  |  |  |
| 9 |  |  |  |  |
| 10 |  |  |  |  |

IV-22. Environmental certification.-Do you sell paper with these environmentally certifications?

| Type of certification No YesIf yes, share of your <br> sales in 2014 |  |  |  |
| :--- | :---: | :---: | :---: |
| Forest Stewardship Council Certification <br> ("FSC Certification") | $\square$ | $\square$ |  |
| Sustainable Forestry Initiative Certification <br> ("SFI Certification") | $\square$ | $\square$ | \% |
| Program for the Endorsement of Forest <br> Certification ("PEFC Certification") | $\square$ | $\square$ | $\square$ |

## IV-23. Competition from imports

(a) Lost revenue.--Since January 1, 2012: To avoid losing sales to competitors selling certain uncoated paper from Australia, Brazil, China, Indonesia, and Portugal, did your firm:

|  | No | Yes |
| :--- | :---: | :---: |
| Reduce prices | $\square$ | $\square$ |
| Roll back announced price increases | $\square$ | $\square$ |

(b) Lost sales.--Since January 1, 2012: Did your firm lose sales of certain uncoated paper to imports of this product from Australia, Brazil, China, Indonesia, and Portugal?

| No | Yes |
| :---: | :---: |
| $\square$ | $\square$ |

(c) The submission of lost sales/lost revenue allegations is to be completed only by NONPETITIONERS. (Note: petitioners may provide allegations involving quotes made AFTER the filing of the petition.) Please do not resubmit allegations provided previously.

If your firm indicated "yes" to any of the above, your firm can provide the Commission with additional information by downloading and completing the lost sales/lost revenues worksheet at http://usitc.gov/trade remedy/question.htm. Note that the Commission may contact the firms named to verify the allegations reported.

Is your firm submitting the lost sales/lost revenues worksheet?

| $\square$ | No—Please explain. |
| :---: | :--- |
| $\square$ | Yes—Please complete the worksheet and submit via the Commission dropbox. <br> https://dropbox.usitc.gov/oinv/. (PIN: PAPER) |

IV-24. Other explanations.--If your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.
$\square$

## PART V.-TOLLING OPERATIONS.

V-1. Contact information.--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted.

| Name |  |
| :--- | :--- |
| Title |  |
| Email |  |
| Telephone |  |
| Fax |  |

V-2. Establishments covered.-- Provide the name, city, state, zip code, and brief description of the operation performed at each establishment covered by this supplemental questionnaire.

| Establishments <br> covered $^{1}$ | City, State | Zip (5 digit) | Description |
| :---: | :---: | :---: | :---: |
| 1 |  |  |  |
| 2 |  |  |  |
| 3 |  |  |  |

V-3. Since January 1, 2012, has your firm been involved in a toll agreement regarding the production of certain uncoated paper?
"Toll agreement"--Agreement between two firms whereby the first firm (the "tollee") furnishes the raw materials (sheeter rolls) and the second firm (the "toller") uses the raw materials to produce sheets of uncoated paper that it then returns to the first firm with a charge for processing costs, overhead, etc.
$\square$ No $\quad \square$ Yes--Please complete questions V-4 and V-5.

V-4. Toll processors: Toll production of uncoated paper.-For the tolling operations of your U.S. establishment(s), report the information requested below.

| Quantity (in short tons) and value (i.e., fee for services) (in \$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-September |  |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
| Average production capacity (quantity) |  |  |  |  |  |
| Production (quantity) |  |  |  |  |  |
| Shipments to tollee: ${ }^{12}$ |  |  |  |  |  |
| Quantity |  |  |  |  |  |
| Value |  |  |  |  |  |
| Average number of PRWs |  |  |  |  |  |
| Hours worked by PRWs (1,000 hours) |  |  |  |  |  |
| Wages paid to PRWs (value) |  |  |  |  |  |
| ${ }^{1}$ Report your firm's shipments/net sales of uncoated paper which it converted under a toll agreement with another firm. Quantity refers to the amount of uncoated paper converted, and value refers to your firm's fee for its services. <br> ${ }^{2}$ Less discounts, returns, allowances, and prepaid freight. |  |  |  |  |  |

U.S. Producers' Questionnaire - Certain Uncoated Paper

V-5. Tolling operations.-Report the revenue and related cost information requested below on the tolling of uncoated paper operations of your U.S. establishment(s). ${ }^{1}$ Provide data for your three most recently completed fiscal years and the specified interim periods in chronological order from left to right. Report for all tollees together, identifying the firms below.

| Item | Fiscal years ended-- |  |  | January-September |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2012 | 2013 | 2014 | 2013 | 2014 |
| Quantity (short tons) |  |  |  |  |  |
| Tolling operations Net quantity tolled |  |  |  |  |  |
| Value or cost (\$1,000) |  |  |  |  |  |
| Tolling operations Net tolling revenue |  |  |  |  |  |
| Cost of tolling services <br> Raw materials not supplied by tollee |  |  |  |  |  |
| Direct labor |  |  |  |  |  |
| Other factory costs |  |  |  |  |  |
| Total cost of tolling services | 0 | 0 | 0 | 0 | 0 |
| Gross profit or (loss) | 0 | 0 | 0 | 0 | 0 |
| Selling, general, and administrative (SG\&A) expenses |  |  |  |  |  |
| Selling expenses |  |  |  |  |  |
| General and administrative expenses |  |  |  |  |  |
| Total SG\&A expenses | 0 | 0 | 0 | 0 | 0 |
| Operating income or (loss) | 0 | 0 | 0 | 0 | 0 |
| Capital expenditures |  |  |  |  |  |
|  |  |  |  |  |  |
| ${ }^{1}$ Include only tolling revenue (whether domestic or exports) and costs related to your U.S. manufacturing operations. |  |  |  |  |  |

V-6. Tolling operations.-Report the data requested below, breaking out your tolling operations by name of tollee and tonnage tolled by period.

| Item | Fiscal years ended-- |  |  | January-September |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2012 | 2013 | 2014 | 2014 | 2015 |
|  | Quantity (short tons) |  |  |  |  |
| Quantity tolled on behalf of.-Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| Name: |  |  |  |  |  |
| All others |  |  |  |  |  |
| Total quantity tolled | 0 | 0 | 0 | 0 | 0 |


| Reconciliation | Calendar years |  |  | January-March |  |
| :--- | ---: | :---: | :---: | ---: | ---: |
|  | $\mathbf{2 0 1 2}$ | $\mathbf{2 0 1 3}$ | $\mathbf{2 0 1 4}$ | $\mathbf{2 0 1 4}$ | $\mathbf{2 0 1 5}$ |
| Total quantity tolled here should <br> equal to total toll production in IV-4. <br> Revise if not returning zero ("0"). |  |  |  |  |  |

## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:
http://www.usitc.gov/investigations/701731/2015/certain uncoated paper australia brazil china/final.htm
Please do not attempt to modify the format or permissions of the questionnaire
document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- Upload via Secure Drop Box.-Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: PAPER

- E-mail.-E-mail the MS Word questionnaire to nathanael.comly@usitc.gov; include a scanned copy of the signed certification page (page 1). Please note that submitting your questionnaire by e-mail may subject your firm's business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.-If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.

