

FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE
CERTAIN NEW PNEUMATIC OFF-THE-ROAD TIRES FROM
INDIA AND SRI LANKA

This questionnaire must be received by the Commission by NOVEMBER 9, 2016
See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping and countervailing duty investigations concerning certain new pneumatic off-the-road tires ("OTR tires") from India and Sri Lanka (Inv. Nos. 701-TA-552-553 and 731-TA-1308 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

Name of firm _____
Address _____
Website _____
Has your firm produced or exported OTR tires (as defined on next page) at any time since January 1, 2013?
<input type="checkbox"/> NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
<input type="checkbox"/> YES (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)
Data reported in this questionnaire relate to (Check one):
<input type="checkbox"/> India <input type="checkbox"/> Sri Lanka
Return questionnaire via the Commission <i>Drop Box</i> by clicking on the following link: https://dropbox.usitc.gov/oinv/ . (PIN: OTRF)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements

_____ Name of Authorized Official	_____ Title of Authorized Official	_____ Date
_____ Signature	Phone: _____	_____ Email address
	Fax: _____	

PART I.—GENERAL INFORMATION

Background. These proceedings were instituted in response to petitions filed on January 8, 2016, by Titan Tire Corporation of Des Moines, Iowa and the United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, AFL-CIO, CLC of Pittsburgh, Pennsylvania. Antidumping and/or countervailing duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to these proceedings are available at https://www.usitc.gov/investigations/701731/2016/certain_new_pneumatic_off_the_road_tires_india_and/final.htm

OTR Tires: Certain off road tires are tires with an off road tire size designation. The tires included in the scope may be either tube-type¹ or tubeless, radial or nonradial, regardless of whether for original equipment manufacturers or the replacement market.

Subject tires may have the following prefix or suffix designation, which appears on the sidewall of the tire:

Prefix designations:

- DH** – Identifies a tire intended for agricultural and logging service which must be mounted on a DH drop center rim.
- VA** – Identifies a tire intended for agricultural and logging service which must be mounted on a VA multipiece rim.
- IF** – Identifies an agricultural tire to operate at 20 percent higher rated load than standard metric tires at the same inflation pressure.
- VF** – Identifies an agricultural tire to operate at 40 percent higher rated load than standard metric tires at the same inflation pressure.

Suffix designations:

- ML** – Mining and logging tires used in intermittent highway service.
- DT** – Tires primarily designed for sand and paver service.
- NHS** – Not for Highway Service.
- TG** – Tractor Grader, off-the-road tire for use on rims having bead seats with nominal +0.188" diameter (not for highway service).
- K** – Compactor tire for use on 5° drop center or semi-drop center rims having bead seats with nominal minus 0.032 diameter.
- IND** – Drive wheel tractor tire used in industrial service.
- SL** – Service limited to agricultural usage.
- FI** – Implement tire for agricultural towed highway service.
- CFO** – Cyclic Field Operation.

¹ While tube-type tires are subject to the scope of these proceedings, tubes and flaps are not subject merchandise and therefore are not covered by the scope of these proceedings, regardless of the manner in which they are sold (e.g., sold with or separately from subject merchandise).

SS – Differentiates tires for off-highway vehicles such as mini and skid-steer loaders from other tires which use similar size designations such as 7.00-15TR and 7.00-15NHS, but may use different rim bead seat configurations.

All tires marked with any of the prefixes or suffixes listed above in their sidewall markings are covered by the scope regardless of their intended use.

In addition, all tires that lack any of the prefixes or suffixes listed above in their sidewall markings are included in the scope, regardless of their intended use, as long as the tire is of a size that is among the numerical size designations listed in the following sections of the Tire and Rim Association Year Book, as updated annually, unless the tire falls within one of the specific exclusions set forth below.

The sections of the Tire and Rim Association Year Book listing numerical size designations of covered OTR tires include:

The table of mining and logging tires included in the section on Truck-Bus tires;

The entire section on Off-the-Road tires;

The entire section on Agricultural tires; and

The following tables in the section on Industrial/ATV/Special Trailer tires:

- Industrial, Mining, Counterbalanced Lift Truck (Smooth Floors Only);
- Industrial and Mining (Other than Smooth Floors);
- Construction Equipment;
- Off-the-Road and Counterbalanced Lift Truck (Smooth Floors Only);
- Aerial Lift and Mobile Crane; and
- Utility Vehicle and Lawn and Garden Tractor.

OTR tires, whether or not mounted on wheels or rims, are included in the scope. However, if a subject tire is imported mounted on a wheel or rim, only the tire is covered by the scope. Subject merchandise includes OTR tires produced in the subject countries whether mounted on wheels or rims in a subject country or in a third country. OTR tires are covered whether or not they are accompanied by other parts, *e.g.*, a wheel, rim, axle parts, bolts, nuts, etc. OTR tires that enter attached to a vehicle are not covered by the scope.

In addition, specifically excluded from the scope are passenger vehicle and light truck tires, racing tires, mobile home tires, motorcycle tires, all-terrain vehicle tires, bicycle tires, on-road or on-highway trailer tires, and truck and bus tires. Such tires generally have in common that the symbol "DOT" must appear on the sidewall, certifying that the tire conforms to applicable motor vehicle safety standards. Such excluded tires may also have the following prefixes and suffixes included as part of the size designation on their sidewalls:

Prefix letter designations:

- AT** – Identifies a tire intended for service on All-Terrain Vehicles;
- P** – Identifies a tire intended primarily for service on passenger cars;
- LT** – Identifies a tire intended primarily for service on light trucks;
- T** – Identifies a tire intended for one-position “temporary use” as a spare only; and
- ST** – Identifies a special tire for trailers in highway service.

Suffix letter designations:

- TR** – Identifies a tire for service on trucks, buses, and other vehicles with rims having specified rim diameter of nominal plus 0.156” or plus 0.250”;
- MH** – Identifies tires for Mobile Homes;
- HC** – Identifies a heavy duty tire designated for use on “HC” 15” tapered rims used on trucks, buses, and other vehicles. This suffix is intended to differentiate among tires for light trucks, and other vehicles or other services, which use a similar designation.
Example: 8R17.5 LT, 8R17.5 HC;
- LT** – Identifies light truck tires for service on trucks, buses, trailers, and multipurpose passenger vehicles used in nominal highway service;
- ST** – Special tires for trailers in highway service; and
- M/C** – Identifies tires and rims for motorcycles.

The following types of tires are also excluded from the scope: Pneumatic tires that are not new, including recycled or retreaded tires and used tires; non-pneumatic tires, including solid rubber tires; aircraft tires; and turf, lawn and garden, and golf tires. Also excluded from the scope are mining and construction tires that have a rim diameter equal to or exceeding 39 inches. Such tires may be distinguished from other tires of similar size by the number of plies that the construction and mining tires contain (minimum of 16) and the weight of such tires (minimum 1500 pounds).

The subject merchandise is currently classifiable under Harmonized Tariff Schedule of the United States (HTSUS) subheadings: 4011.20.1025, 4011.20.1035, 4011.20.5030, 4011.20.5050, 4011.61.0000, 4011.62.0000, 4011.63.0000, 4011.69.0090, 4011.92.0000, 4011.93.4000, 4011.93.8000, 4011.94.4000, 4011.94.8000, 8431.49.9038, 8431.49.9090, 8709.90.0020, and 8716.90.1020. Tires meeting the scope description may also be classifiable under the following HTSUS subheadings: 4011.99.4590, 4011.99.8590, 8424.90.9080, 8431.20.0000, 8431.39.0010, 8431.49.1090, 8431.49.9030, 8432.90.0005, 8432.90.0015, 8432.90.0030, 8432.90.0080, 8433.90.5010, 8503.00.9560, 8708.70.0500, 8708.70.2500, 8708.70.4530, 8716.90.5035 and 8716.90.5056. While HTSUS subheadings are provided for convenience and customs purposes, the written description of the subject merchandise is dispositive.

Reporting of information-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

Confidentiality--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. §1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of

numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

"Establishment"-- Each facility of a firm in India and/or Sri Lanka involved in the production or export of OTR tires, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities. Firms operating more than one establishment in India and/or Sri Lanka should combine the data for all establishments into a single report.

I-3. **Related producers.**--Does your firm or any related firm produce, have the capability to produce, or have any plans to produce OTR tires in the United States or other countries?

No Yes--Please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire.

I-4. **Related U.S. importers.**--Does your firm or any related firm import or have any plans to import OTR tires into the United States?

No Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire.

I-5. **U.S. importers.**--Please provide the names, street addresses (not P.O. boxes), contacts, telephone numbers, and e-mail addresses of the **FIVE** largest U.S. importers of your firm's OTR tires in 2015.

	Importer's name	Contact person	Email	Telephone	Street address (not P.O. box), city, state, and zip code	Share of your firm's 2015 U.S. exports (%)
1					Street Address City ' State Zip Code	
2					Street Address City ' State Zip Code	
3					Street Address City ' State Zip Code	
4					Street Address City ' State Zip Code	
5					Street Address City ' State Zip Code	

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Edward Petronzio (202-205-3176, edward.petronzio@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of OTR tires since January 1, 2013.

<i>(check as many as appropriate)</i>		<i>(If checked, please describe; leave blank if not applicable)</i>
<input type="checkbox"/>	plant openings	
<input type="checkbox"/>	plant closings	
<input type="checkbox"/>	relocations	
<input type="checkbox"/>	expansions	
<input type="checkbox"/>	acquisitions	
<input type="checkbox"/>	consolidations	
<input type="checkbox"/>	prolonged shutdowns or production curtailments	
<input type="checkbox"/>	revised labor agreements	
<input type="checkbox"/>	other (e.g., technology)	

II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of OTR tires in the future?

- No Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in the response a specific projection of your firm's capacity to produce OTR tires (in 1,000 tires) for 2016 and 2017.**

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II-4a. **Production using same machinery.**-- Please report your firm's production of products made on the same equipment and machinery used to produce OTR tires, and the combined production capacity on this shared equipment and machinery.

"Overall production capacity" or "capacity" --The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

"Production" --All production in your establishment(s) in India and/or Sri Lanka, including production consumed internally within your firm.

	Quantity (in 1,000s of tires)				
	Calendar years			January-September	
	2013	2014	2015	2015	2016
Overall production capacity					
Production of:					
OTR tires¹	0	0	0	0	0
Passenger vehicle and light truck (PVLT) tires					
Truck and bus tires					
Other products ²					
Total	0	0	0	0	0
¹ Data entered for production of OTR tires will populate here once reported in question II-7. ² Please identify these products: _____.					

II-4b. **Operating parameters.**--The production capacity reported in II-4a is based on operating ____ hours per week, ____ weeks per year.

II-4c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-4a, and explain any changes in reported capacity.

II-4d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

II-4e. **Product shifting.**—

(i). Is your firm able to switch production (capacity) between OTR tires and other products using the same equipment and/or labor?

No

Yes--(i.e., have produced other products or are able to produce other products). Please identify other actual or potential products:

_____.

(ii). Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

II-5. **Share of sales.**--What percentage of your firm's total sales in its most recent fiscal year was represented by sales of OTR tires? _____ percent.

II-6. **Firm's estimated share of production.**--Please estimate the percentage of total production of OTR tires in the country specified on the certification page accounted for by your firm's production in 2015. _____ percent.

II-7. **Firm's estimated share of country's exports.**--Please estimate the percentage of total exports to the United States of OTR tires from the country specified on the certification page accounted for by your firm's exports in 2015. _____ percent.

II-8. **Inventories in the United States.**--Has your firm, since 2013, maintained any inventories of OTR tires in the United States (not including inventories held by firms identified in question I-3)?

"Inventories"--Finished goods inventory, not raw materials or work in progress.

No Yes--Report the quantity of such end-of-period inventories below.

Quantity (in 1,000s of tires)					
Item	Calendar year			January-September	
	2013	2014	2015	2015	2016
Inventory					

II-9. **Third country trade actions.**--Is the OTR tires exported by your firm subject to antidumping/countervailing duty/safeguard findings, remedies, or proceedings?

No Yes--List the products(s), countries affected, and the date of such findings/remedies/proceedings.

- II-10. **Trade data.**--Report your firm's production capacity, production, shipments, and inventories related to the production of OTR tires in your establishment(s) in India and/or Sri Lanka during the specified periods. Do not include resales of OTR tires that your firm did not produce; those data to the degree they are exported to the United States should only be reported in question II-11.

Do not submit data by manufacturing facility if they are in the same country. If your firm has multiple manufacturing establishments within one country, you are required to combine data for those establishments within one foreign producer questionnaire response.

Do not submit data on multiple countries combined. The establishments reported here should all be located in the country of the firm's address reported on the certification page. Multinational companies with production in multiple subject countries should submit separate foreign producer questionnaire responses for each subject country.

"Average production capacity" or "capacity" --The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" --All production in your establishment(s) in India and/or Sri Lanka, including production consumed internally within your firm.

"Shipments" --Shipments of products produced in your establishment(s) in India and/or Sri Lanka. Quantities reported should be net of returns.

"Home market commercial shipments" --Shipments, other than internal consumption and transfers to related firms, within India and/or Sri Lanka.

"Home market internal consumption/transfers to related firms" --Shipments made to related firms in India and/or Sri Lanka, including product consumed internally by your firm.

"Export shipments" --Shipments to destinations outside of the country indicated on page 1 (India and/or Sri Lanka), including shipments to related firms.

"Inventories" --Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-10. **Trade data.**--Continued.

Quantity (in 1,000s of tires)							
Item	Actual experience					Projections ¹	
	Calendar year			January-September		Calendar year	
	2013	2014	2015	2015	2016	2016	2017
Average production capacity ² (A)							
Beginning-of-period inventories (B)							
Production (C)							
Home market shipments: Internal consumption/ transfers (D)							
Commercial shipments (E)							
Exports to the United States (F) ³							
Exports to all other markets ⁴ (G)							
Total exports (H) (should equal F+G)	0	0	0	0	0	0	0
Total shipments (I) (should equal D+E+F+G)	0	0	0	0	0	0	0
End-of-period inventories (J)							

¹ Please explain the basis for your firm's projections. _____.

² The production capacity reported is based on operating _____ hours per week, _____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity. _____.

³ Please indicate what share of your firm's 2015 exports to the United States relate to already mounted OTR tires. _____ percent.

⁴ Identify principal other export markets. _____.

II-10. **Trade data.**—Continued.

***RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.**--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, E, F, and G). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.*

Item	Actual experience					Projections	
	Calendar year			January-September		Calendar year	
	2013	2014	2015	2015	2016	2016	2017
B + C – D – E – F – G – J = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate.							

II-11. **Exports to the United States not produced by your firm.**--Report your firm's exports to the United States of OTR tires that was produced in India and/or Sri Lanka but not by your firm during the specified periods. Note that data reported here should not be included in the data reported in question II-10.

Quantity (in 1,000s of tires)							
Item	Actual experience					Projections ¹	
	Calendar year			January-September		Calendar year	
	2013	2014	2015	2015	2016	2016	2017
Exports to the United States of OTR tires not produced by your firm ¹							
¹ Please identify the actual producers. _____.							

II-12. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://www.usitc.gov/investigations/701731/2016/certain_new_pneumatic_off_the_road_tires_india_and/final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/> **Pin:** **OTRF**

- **E-mail.**—E-mail your questionnaire to edward.petronzio@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm did not produce or export this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, you are required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (*see* 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (*see* 19 CFR § 207.7). Service of the questionnaire must be made in paper form.