

## FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE

### FROZEN WARMWATER SHRIMP FROM BRAZIL, CHINA, INDIA, THAILAND, AND VIETNAM

This questionnaire must be received by the Commission by **December 28, 2016**

*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its reviews of the antidumping duty orders concerning frozen warmwater shrimp from Brazil, China, India, Thailand, and Vietnam (Inv. Nos. 731-TA-1063, 1064, 1066-1068 (Second Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

Name of firm \_\_\_\_\_

Address \_\_\_\_\_

Website \_\_\_\_\_

Has your firm processed or exported frozen warmwater shrimp (as defined on the next page) at any time since January 1, 2013?

**NO** (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)

**YES** (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Data reported in this questionnaire relate to (Check one):

Brazil  China  India  Thailand  Vietnam

Return questionnaire via the Commission **Drop Box** by clicking on the following link:

<https://dropbox.usitc.gov/oinv/>. (PIN: **SHRIMP**)

#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings or reviews conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

\_\_\_\_\_  
Name of Authorized Official

\_\_\_\_\_  
Title of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Phone:

\_\_\_\_\_  
Email address

\_\_\_\_\_  
Fax:

**PART I.--GENERAL INFORMATION**

**Background.**-- On February 1, 2005, the Department of Commerce ("Commerce") issued antidumping duty orders on imports of frozen warmwater shrimp from Brazil, China, India, Thailand, and Vietnam (70 FR 5143–5156). Following the five-year reviews by Commerce and the Commission, effective April 29, 2011, Commerce issued a continuation of the antidumping duty orders on imports of frozen warmwater shrimp from Brazil, China, India, Thailand, and Vietnam (76 FR 23972). The Commission is now conducting second reviews pursuant to section 751(c) of the Act, as amended (19 USC 1675(c)), to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make affirmative determinations, the orders will remain in place. If either the Commission or Commerce makes negative determinations, Commerce will revoke the orders. Questionnaires and other information pertinent to this proceeding are available at [https://www.usitc.gov/investigations/701731/2016/frozen\\_warmwater\\_shrimp\\_brazil\\_china\\_india/second\\_review\\_full.htm](https://www.usitc.gov/investigations/701731/2016/frozen_warmwater_shrimp_brazil_china_india/second_review_full.htm).

**Frozen warmwater shrimp** covered by these investigations include certain frozen warmwater shrimp and prawns whether wild-caught (ocean harvested) or farm-raised (produced by aquaculture), head-on or head-off, shell-on or peeled, tail-on or tail-off,<sup>1</sup> deveined or not deveined, cooked or raw, or otherwise processed in frozen form.

The frozen warmwater shrimp and prawn products included in the *Orders*, regardless of definitions in the Harmonized Tariff Schedule of the United States (HTSUS), are products which are processed from warmwater shrimp and prawns through freezing and which are sold in any count size.

The products described above may be processed from any species of warmwater shrimp and prawns. Warmwater shrimp and prawns are generally classified in, but are not limited to, the *Penaeidae* family. Some examples of the farmed and wild-caught warmwater species include, but are not limited to, whiteleg shrimp (*Penaeus vannamei*), banana prawn (*Penaeus merguensis*), fleshy prawn (*Penaeus chinensis*), giant river prawn (*Macrobrachium rosenbergii*), giant tiger prawn (*Penaeus monodon*), redspotted shrimp (*Penaeus brasiliensis*), southern brown shrimp (*Penaeus subtilis*), southern pink shrimp (*Penaeus notialis*), southern rough shrimp (*Trachypenaeus curvirostris*), southern white shrimp (*Penaeus schmitti*), blue shrimp (*Penaeus stylirostris*), western white shrimp (*Penaeus occidentalis*), and Indian white prawn (*Penaeus indicus*).

Frozen shrimp and prawns that are packed with marinade, spices or sauce are included in the scope of the *Orders*. In addition, food preparations, which are not "prepared meals," that contain more than 20 percent by weight of shrimp or prawn are also included in the scope of the orders. Excluded from the *Orders* are: (1) Breaded shrimp and prawns (HTSUS subheading 1605.20.10.20); (2) shrimp and prawns generally classified in the *Pandalidae* family and commonly referred to as coldwater shrimp, in any state of processing; (3) fresh shrimp and prawns whether shell-on or peeled (HTSUS subheadings 0306.23.00.20 and 0306.23.00.40); (4) shrimp and prawns in prepared meals (HTSUS subheading 1605.20.05.10); (5) dried shrimp and prawns; (6) canned warmwater shrimp and prawns (HTSUS subheading 1605.20.10.40); and (7) certain battered shrimp. Battered shrimp is a shrimp-based product: (1) That is produced from fresh (or thawed-from-frozen) and peeled shrimp; (2) to which a "dusting" layer of rice or wheat flour of at least 95 percent purity has been applied; (3) with the entire surface of the shrimp flesh thoroughly and evenly coated with the flour; (4) with the non-shrimp content of the end product constituting between four and 10 percent of the product's total weight after being dusted, but prior to being frozen; and (5) that is subjected to individually quick frozen ("IQF") freezing immediately after application of the dusting layer. When dusted in accordance with the definition of

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<sup>1</sup> "Tails" in this context means the tail fan, which includes the *telson* and the *uropods*.

dusting above, the battered shrimp product is also coated with a wet viscous layer containing egg and/or milk, and par-fried.

The products covered by the *Orders* are currently classified under the following HTSUS subheadings: 0306.17.00.03, 0306.17.00.06, 0306.17.00.09, 0306.17.00.12, 0306.17.00.15, 0306.17.00.18, 0306.17.00.21, 0306.17.00.24, 0306.17.00.27, 0306.17.00.40, 1605.21.10.30, and 1605.29.10.10. These HTSUS subheadings are provided for convenience and for customs purposes only and are not dispositive, but rather the written description of the scope of the orders is dispositive.

***Processor.***—Any firm or entity engaging in shrimp processing activities such as deheading, grading, machine peeling, deveining, and cooking, but not repackaging, marinating, or skewering.

***Reporting of information.***-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

***Confidentiality.***--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (*see* 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

***Verification.***--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

***Release of information.***--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 30 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**-- Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

**"Establishment"**-- Each facility of a firm in subject country involved in the production or export of frozen warmwater shrimp, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities. Firms operating more than one establishment in Brazil, China, India, Thailand or Vietnam should combine the data for all establishments into a single report.

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I-3. **U.S. importers.**--Please provide the names, contacts, email addresses, and telephone numbers of the **FIVE** largest U.S. importers of your firm's frozen warmwater shrimp in 2015.

No.	Importer's name	Contact person	Email address	Area code and telephone number	Share of your firm's 2015 U.S. exports (%)
1					
2					
3					
4					
5					

I-4. **U.S. or other country production.**--Does your firm or any related firm produce, have the capability to produce, or have any plans to produce frozen warmwater shrimp in the United States or other countries?

No                       Yes--Please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire.

I-5. **U.S. importation.**--Does your firm or any related firm import or have any plans to import frozen warmwater shrimp into the United States?

- No       Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire.

I-6. **Business plan.**--In Parts II and III of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected future market conditions for frozen warmwater shrimp?

- No       Yes--Please provide the requested documents. If you are not providing the requested documents, please explain why not.

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205-2136, [keysha.martinez@usitc.gov](mailto:keysha.martinez@usitc.gov)). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of frozen warmwater shrimp since January 1, 2013.

<i>Check as many as appropriate.</i>	<i>If checked, please describe; leave blank if not applicable.</i>
<input type="checkbox"/> Plant openings	
<input type="checkbox"/> Plant closings	
<input type="checkbox"/> Relocations	
<input type="checkbox"/> Expansions	
<input type="checkbox"/> Acquisitions	
<input type="checkbox"/> Consolidations	
<input type="checkbox"/> Prolonged shutdowns or production curtailments	
<input type="checkbox"/> Revised labor agreements	
<input type="checkbox"/> Other (e.g., technology)	

II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of its operations or organization (as noted in question II-2) relating to the production of frozen warmwater shrimp in the future?

- No             Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in your response a specific projection of your firm's capacity to produce frozen warmwater shrimp (in 1,000 pounds) for 2016 and 2017.**

II-4. **Anticipated changes in operations in the event the order is revoked.**--Would your firm anticipate any changes in the character of its operations or organization (as noted in question II-2) relating to the production of frozen warmwater shrimp in the future if the antidumping duty order on frozen warmwater shrimp from Brazil, China, India, Thailand or Vietnam were to be revoked?

- No             Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in your response a specific projection of your firm's capacity to produce frozen warmwater shrimp (in 1,000 pounds) for 2016 and 2017 if the order is revoked.**



II-5a. **Production using same machinery.**-- Please report your firm's production of products made on the same equipment and machinery used to produce frozen warmwater shrimp, and the combined production capacity on this shared equipment and machinery.

**"Overall production capacity" or "capacity"** --The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

**"Production"** --All production in your establishment(s) in Brazil, China, India, Thailand or Vietnam, including production consumed internally within your firm.

Quantity (in 1,000 pounds)					
Item	Calendar year			January-September	
	2013	2014	2015	2015	2016
<b>Total Block freezing capacity</b>					
<b>Total IQF freezing capacity</b>					
Overall production capacity	0	0	0	0	0
<b>Production of:</b>					
Frozen warmwater shrimp <sup>1</sup>	0	0	0	0	0
Other products <sup>2</sup>					
<b>Total</b>	0	0	0	0	0
<sup>1</sup> Data entered for production of frozen warmwater shrimp will populate here once reported in question II-15. <sup>2</sup> Please identify these products: _____.					

II-5b. **Operating parameters.**--The production capacity reported in II-5a is based on operating \_\_\_\_ hours per week, \_\_\_\_ weeks per year.

II-5c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-5a, and explain any changes in reported capacity.

II-5d. **Production constraints.**--Please list, in order of their importance, the main factors set limit(s) on your firm's production capacity (i.e., freezing capacity, live shrimp supply, storage capacity, et cetera).

1.	
2.	
3.	
4.	
5.	
Please list any other constraints that are very important:	

II-5e. **Product shifting.**

(i). Is your firm able to switch production (capacity) between frozen warmwater shrimp and other products using the same equipment and/or labor?

No

Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products.

(ii). Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

II-6. **Share of sales.**--What percentage of your firm's total sales in its most recent fiscal year was represented by sales of frozen warmwater shrimp? \_\_\_\_ percent.

II-7. **Firm's estimated share of production in country.**--Please estimate the percentage of total production of frozen warmwater shrimp in the country specified on the certification page accounted for by your firm's production in 2015. \_\_\_\_ percent.

II-8. **Firm's estimated share of country's exports.**--Please estimate the percentage of total exports to the United States of frozen warmwater shrimp from the country specified on the certification page accounted for by your firm's exports in 2015. \_\_\_\_ percent.

II-9. **Inventories in the United States.**--Has your firm, since 2013, maintained any inventories of frozen warmwater shrimp in the United States (not including inventories held by firms identified in question I-3)?

*"Inventories"*--Finished goods inventory, not raw materials or work in progress.

No             Yes--Report the quantity of such end-of-period inventories below.

Quantity (in 1,000 pounds)			
Item	Calendar year		
	2013	2014	2015
Inventory			

II-10. **Third country trade actions.**--Is the frozen warmwater shrimp exported by your firm subject to antidumping/countervailing duty/safeguard findings, remedies, or proceedings?

No             Yes--List the products(s), countries affected, and the date of such findings/remedies/proceedings.

II-12. **Other export markets.**--Identify export markets (other than the United States) that your firm has developed or where it has increased its sales of frozen warmwater shrimp since 2013. Please identify and discuss below.

II-13. **Significance of antidumping duty order.**--Describe the significance of the existing antidumping duty order covering imports of frozen warmwater shrimp from the subject country in terms of its effect on your firm's production capacity, production, home market shipments, exports to the United States and other markets, and inventories. You may wish to compare your firm's operations before and after the imposition of the order.

II-14. **Anticipated changes if order revoked.**--Would your firm anticipate any changes in its production capacity, production, home market shipments, exports to the United States and other markets, or inventories relating to the production of frozen warmwater shrimp in the future if the antidumping duty order on frozen warmwater shrimp from Brazil, China, India, Thailand or Vietnam were to be revoked?

No

Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation for any trends or projections you may provide.

- II-15. **Trade data.**--Report your firm's production capacity, production, shipments, and inventories related to the production of frozen warmwater shrimp in your establishment(s) during the specified periods. Do not include resales of frozen warmwater shrimp that your firm did not produce in this question; those data to the degree they are exported to the United States should only be reported in question II-16.

Do not submit data by manufacturing facility if they are in the same country. If your firm has multiple manufacturing establishments within one country, you are required to combine data for those establishments within one foreign producer questionnaire response.

Do not submit data on multiple countries combined. The establishments reported here should all be located in the country of the firm's address reported on the certification page. Multinational companies with production in multiple subject countries should submit separate foreign producer questionnaire responses for each subject country.

**"Average production capacity" or "capacity"** --The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

**"Production"** --All production in your establishment(s) in subject country, including production consumed internally within your firm.

**"Shipments"**--Shipments of products produced in your establishment(s) in subject country. Quantities reported should be net of returns. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment in subject country.

**"Home market commercial shipments"**--Shipments, other than internal consumption and transfers to related firms, within subject country.

**"Home market internal consumption/transfers to related firms"**--Shipments made to related firms in subject country, including product consumed internally by your firm.

**"Export shipments"**--Shipments to destinations outside subject country, including shipments to related firms.

**"Inventories"**--Finished goods inventory, not raw materials or work-in-progress.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.*

II-15. **Trade data.**--Continued.

Quantity (in 1,000 pounds) and Value (in 1,000 dollars)					
Item	Calendar year			January-September	
	2013	2014	2015	2015	2016
<b>Average production capacity</b> <sup>1</sup> (A)					
<b>Beginning-of-period inventories</b> (B)					
<b>Production</b> (quantity).—					
Using wild-caught shrimp (C)					
Using farm-raised shrimp (D)					
Total production (E)	0	0	0	0	0
<b>Home market shipments:</b>					
Internal consumption/ transfers quantity (F)					
value (G)					
Commercial shipments quantity (H)					
value (I)					
<b>Export shipments:</b>					
to the United States: quantity (J)					
value (K)					
to the European Union: <sup>2</sup> quantity (L)					
value (M)					
to Asia: <sup>3</sup> quantity (N)					
value (O)					
to all other markets: <sup>4</sup> quantity (P)					
value (Q)					
<b>Total exports</b> (quantity) (R)	0	0	0	0	0
<b>Total shipments</b> (quantity) (S)	0	0	0	0	0
<b>End-of-period inventories</b> (T)					

<sup>1</sup> The production capacity reported is based on operating \_\_\_\_\_ hours per week, \_\_\_\_\_ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity: \_\_\_\_\_.

<sup>2</sup> Identify your firm's principal *European Union* export markets: \_\_\_\_\_.

<sup>3</sup> Identify your firm's principal *Asian* export markets: \_\_\_\_\_.

<sup>4</sup> Identify your firm's principal *other* export markets: \_\_\_\_\_.

II-15. **Trade data.**—*Continued.*

*RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line T) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., lines C and D), less total shipments (i.e., lines F, H, J, L, N and P). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.*

Item	Calendar year			January- September	
	2013	2014	2015	2015	2016
B + C + D – F – H – J – L – N – P – T = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-16. **Exports to the United States not produced by your firm.**--Report your firm's exports to the United States of frozen warmwater shrimp that was produced in Brazil, China, India, Thailand or Vietnam but not by your firm during the specified periods. Note these data should **not** be included in question II-15.

Quantity (in 1,000 pounds) and Value (in 1,000 dollars)					
Item	Calendar year			January-September	
	2013	2014	2015	2015	2016
<b>Exports of frozen warmwater shrimp to the United States not produced by your firm<sup>1</sup>.</b> —					
<i>Quantity<sup>1</sup></i>					
<i>Value</i>					
<sup>1</sup> List the producer(s). _____.					

II-17. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

**PART III.--MARKET FACTORS**

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250, [amelia.preece@usitc.gov](mailto:amelia.preece@usitc.gov)).

III-1. **Contact information.**-- Please identify the responsible individual and how Commission staff may contact the individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

III-2. **Contract versus spot.**--Approximately what share of your firm's sales of frozen warmwater shrimp to U.S. customers in 2015 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale				Total (should sum to 100.0%)
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	
Share of your 2015 sales	%	%	%	%	0.0 %



III-3. **Contract provisions.**—Please fill out the table regarding your firm’s typical sales contracts with U.S. customers for frozen warmwater shrimp (or check “not applicable” if your firm does not sell on a long-term, short-term and/or annual contract basis).

<b>Typical sales contract provisions</b>	<b>Item</b>	<b>Short-term contracts</b> (multiple deliveries for less than 12 months)	<b>Annual contracts</b> (multiple deliveries for 12 months)	<b>Long-term contracts</b> (multiple deliveries for more than 12 months)
Average contract duration	<i>No. of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III-4. **Lead times.**— What is your firm’s share of sales from inventory and produced to order and what is the typical lead time between a customer’s order and the date of delivery for your firm’s sales of frozen warmwater shrimp?

<b>Source</b>	<b>Share of 2015 sales</b>	<b>Lead time (average number of days)</b>
From inventory	%	
Produced to order	%	
<b>Total</b> (should sum to 100.0%)	0.0 %	

III-5. **Raw materials.**-- Indicate how frozen warmwater shrimp raw materials prices have changed since January 1, 2013, and how you expect they will change in the future.

<b>Raw materials prices</b>	<b>Overall increase</b>	<b>No change</b>	<b>Overall decrease</b>	<b>Fluctuate with no clear trend</b>	<b>Explain, noting how raw material price changes have affected your firm's selling prices for frozen warmwater shrimp.</b>
Changes since January 1 2013	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-6. **Changes in factors affecting supply.**—

(a) Have any changes occurred in any other factors affecting supply (e.g., changes in availability or prices of energy or labor; transportation conditions; production capacity and/or methods of production; technology; export markets; or alternative production opportunities) that affected the availability of Brazil, China, India, Thailand or Vietnam-produced frozen warmwater shrimp in the U.S. market since January 1, 2013?

<b>No</b>	<b>Yes</b>	<b>If yes, please describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

(b) Please describe any natural disasters, diseases, or any other events that affect the availability of subject countries' supply of frozen warmwater shrimp to the U.S. market since January 1, 2013.

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III-7. **Availability of SUBJECT import supply.**--Do you anticipate any changes in terms of the availability of Brazil, China, India, Thailand or Vietnam-produced frozen warmwater shrimp in the U.S. market in the future?

<b>Increase</b>	<b>No change</b>	<b>Decrease</b>	<b>If you anticipate changes in supply, please identify the changes, including the time period and the impact of such changes on shipment volumes and prices.</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-8. **Product shifting.**--Describe how easily your firm can shift its sales of frozen warmwater shrimp between the U.S. market and alternative country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints (including any third-country trade barriers such as tariffs, quotas, or other non-tariff barriers) that would prevent or retard your firm from shifting frozen warmwater shrimp between the U.S. and alternative country markets within a 12-month period.

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III-9. **Product range.**--Is the product range, product mix, or marketing of frozen warmwater shrimp in your firm's home market different from that of frozen warmwater shrimp for export to the United States or to third-country markets?

No	Yes	If yes, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

III-10. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of frozen warmwater shrimp in your firm's home market, for export to the United States, or for export to third-country markets since January 1, 2013? Do you anticipate any future changes?

Changes in product range, product mix, or marketing	No	Yes	Explain
Changes since January 1, 2013	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

III-11. **Substitutes.** --

(a) Can other products be substituted for frozen warmwater shrimp?

No                       Yes--Please fill out the table.

	Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for frozen warmwater shrimp?		
			No	Yes	Explanation
1.			<input type="checkbox"/>	<input type="checkbox"/>	
2.			<input type="checkbox"/>	<input type="checkbox"/>	
3.			<input type="checkbox"/>	<input type="checkbox"/>	

(b) Have there been any changes in the number or types of products that can be substituted for frozen warmwater shrimp since January 1, 2013? Do you anticipate any future changes?

Changes in substitutes	No	Yes	Explain
Changes since January 1, 2013	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

III-12. **Interchangeability.**--Is the frozen warmwater shrimp produced by your firm and sold in its home market interchangeable (*i.e.*, can be used in the same applications) with your firm's frozen warmwater shrimp sold to the United States and/or to third-country markets?

Yes	No	If no, identify the market(s) and any differences in the products.
<input type="checkbox"/>	<input type="checkbox"/>	

III-13. **Demand trends.**--Indicate how demand in the following markets for frozen warmwater shrimp has changed since January 1, 2013, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Your firm's market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
<b>Demand since January 1, 2013</b>					
Home market	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other markets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Anticipated future demand</b>					
Home market	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other markets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Price differences.**--Please compare market prices of frozen warmwater shrimp in your firm's home market, the United States, and third-country markets.

III-15. **International transportation.**--

(a) Who typically arranges international transportation for your firm's exports?

Exporter	Importer
<input type="checkbox"/>	<input type="checkbox"/>

(b) If your firm typically arranges international transportation:

For 2015, report or estimate the average cost to ship typical volumes of frozen warmwater shrimp from the Brazil, China, India, Thailand or Vietnam to the United States.	<b>dollars per pound</b>
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III-16. **Description of home market.**--Describe briefly your firm's home market for frozen warmwater shrimp, including the number of, and competition between, producers.

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III-17. **Import competition.**--Does your firm face competition from imports of frozen warmwater shrimp in your firm's home market?

No	Yes	If yes, please identify the country sources of these imports.
<input type="checkbox"/>	<input type="checkbox"/>	

III-18. **Market studies.**--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss frozen warmwater shrimp supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including Brazil, China, India, Thailand or Vietnam, and (3) the world as a whole. Of particular interest is such data from 2013 to the present and forecasts for the future.

III-19. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

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## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[https://www.usitc.gov/investigations/701731/2016/frozen\\_warmwater\\_shrimp\\_brazil\\_china\\_india/second\\_review\\_full.htm](https://www.usitc.gov/investigations/701731/2016/frozen_warmwater_shrimp_brazil_china_india/second_review_full.htm)

**Please do not attempt to modify the format or permissions of the questionnaire document.** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/>      **Pin:** **SHRIMP**

- **E-mail.**—E-mail the MS Word questionnaire to [keyscha.martinez@usitc.gov](mailto:keyscha.martinez@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm did not produce or export this product,** please fill out page 1, print, sign, and submit a scanned copy to the Commission.

***Parties to this proceeding.***—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.