
LOST SALES AND LOST REVENUE SURVEY

CERTAIN IRON MECHANICAL TRANSFER DRIVE COMPONENTS (IMTDCs) FROM CANADA AND CHINA

This survey must be received by the Commission by **November 13, 2015**

See last page for filing instructions.

The information called for in this survey is for use by the United States International Trade Commission in connection with its countervailing duty/antidumping investigations concerning Certain Iron Mechanical Transfer Drive Components (IMTDCs) from Canada and China (Inv. No. 701-TA-550 and 731-TA-1304-1305 (Preliminary)). The information requested in the survey is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)). Further information on this survey can be obtained from Lauren Gamache (202-205-3489, lauren.gamache@usitc.gov).

| | | |
|--|--|-----------------------|
| Name of firm _____ | | |
| Address _____ | | |
| City _____ | State _____ | Zip Code _____ |
| Website _____ | | |
| Has your firm purchased IMTDCs (as defined on next page) at any time since January 1, 2012 ? | | |
| <input type="checkbox"/> NO | (Sign the certification below and promptly return only this page of the survey to the Commission) | |
| <input type="checkbox"/> YES | (Complete all parts of the survey, and return the entire survey to the Commission) | |
| Return survey via the U.S. International Trade Commission Drop Box by clicking on the following link: https://dropbox.usitc.gov/oinv/ . Select "Certain Iron Mechanical Transfer Drive Components from Canada and China" in the drop down menu. (PIN: IMTDC) | | |

CERTIFICATION

I certify that the information herein supplied in this survey is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By submitting this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this survey and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I acknowledge that information submitted in this response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone

Email address

GENERAL INFORMATION

Background.-- This proceeding was instituted in response to petitions filed on October 28, 2015, by TB Wood's Incorporated, Chambersburg, Pennsylvania. Antidumping and countervailing duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes affirmative determinations of subsidization and/or dumping. U.S. producers of IMTDCs have provided the USITC with allegations about sales or revenue that they have lost due to competition from imports of IMTDCs from Canada and China. One or more domestic producer(s) have named your firm in such an allegation.

IMTDCs covered by these investigations are iron mechanical transfer drive components including sheaves (pulleys), bushings, and flywheels, regardless of diameter, width, design, or iron type (e.g., gray, white, or ductile iron), whether or not machined and regardless of the country in which any machining takes place; with or without other non-cast iron attachments or parts; and whether or not entered as a belted drive assembly. When entered as a belted drive assembly, only the iron sheaves (pulleys), bushings, and flywheels are covered merchandise, not the other components in the belted drive assembly (e.g., belt, coupling, shaft).

The products covered by this investigation are iron wheels or cylinders with a center bore hole that may have one or more grooves or teeth in their outer circumference that guide or mesh with a flat or ribbed belt or like device. The products covered by this investigation also include iron cylinders designed to fit into the bore holes of other mechanical transfer drive components to lock them into drive shafts by means of design elements such as teeth, bolts, or screws (i.e., bushings).

Covered mechanical transfer drive components may be blanks or may be machined to final specification after initial casting, forging or like operations that produce blanks. These machining processes may include cutting, punching, notching, boring, threading mitering, or chamfering. Covered mechanical transfer drive components remain subject merchandise regardless of where the blanks are machined or subjected to further processing. Covered mechanical transfer drive components may be imported with non-iron attachments or parts, and remain subject whether or not entered with other mechanical transfer drive components or as part of a mechanical transfer drive assembly.

Iron mechanical transfer drive components are covered regardless of metallurgy, inclusive of white, grey, and ductile iron. For purposes of this investigation, a covered product is of "iron" where the article has a carbon content of 1.5 percent by weight or above, regardless of the presence and amount of additional alloying elements. Non-ferrous mechanical transfer drive components are excluded from the scope.

The merchandise covered by this investigation is currently classifiable under Harmonized Tariff Schedule of the United States ("HTSUS") subheadings 8483.50.6000, 8483.50.9040, 8483.50.9080, and 8483.90.8080. Covered merchandise may also enter under the following HTSUS subheadings: 8431.39.0010 and 8483.50.4000. These HTSUS subheadings are provided for convenience and customs purposes. The written description of the scope of the order is dispositive.

Reporting of information.-- If information is not readily available from your records, provide carefully prepared estimates.

Confidentiality.--The data furnished in response to this survey that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Release of information.--The information provided by your firm in response to this survey, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals. Please also retain a copy of the final document that you submit.

Contact information.--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in this survey. This may or may not be the person whose signature is at the bottom of page 1.

| | |
|-----------|--|
| Name | |
| Title | |
| Email | |
| Telephone | |

PURCHASE INFORMATION

1. **Purchases.**—Report your firm’s total U.S. purchases of IMTDCs. Estimates are acceptable.

| Item | 2012 | 2013 | 2014 |
|---|-----------------------------|------|------|
| Purchases of IMTDCs produced in-- | Quantity (in pieces) | | |
| United States | | | |
| Canada | | | |
| China | | | |
| All other countries:¹ | | | |
| Sources unknown | | | |
| ¹ Please identify these countries: | | | |

2. **Changes in purchasing patterns.**— Please indicate how the shares of your firm’s purchases of IMTDCs from different sources have changed since January 1, 2012.

| Source of purchases | Did not purchase | Decreased | Increased | Constant | Fluctuated | Explanation for trend |
|----------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|-----------------------|
| United States | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Canada | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| China | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| All other countries | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Sources unknown | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

3. **Major purchasing factors.**--Please list, in order of their importance, the main factors your firm considers in deciding from whom to purchase IMTDCs.

| | |
|---|--|
| 1. | |
| 2. | |
| 3. | |
| Please list any other factors that are very important in your purchase decisions: | |

4. **Switching to imports.**—

- (a) Since January 2012, did your firm switch any of its purchases from U.S.-produced IMTDCs to imports of IMTDCs from Canada and China? Respond for each subject country.

| Source | Yes (also respond to part (b)) | No (If “No” for all countries skip to 5.) |
|--------|-----------------------------------|--|
| Canada | <input type="checkbox"/> | <input type="checkbox"/> |
| China | <input type="checkbox"/> | <input type="checkbox"/> |

- (b) If you responded “Yes” to part (a), was price a primary reason for the shift?

| Source | Yes | If Yes, estimate the quantity of purchases that your firm shifted to imports since January 2012 because of price (in pieces) | No | If No, please indicate the reason for the shift |
|--------|--------------------------|--|--------------------------|---|
| Canada | <input type="checkbox"/> | | <input type="checkbox"/> | |
| China | <input type="checkbox"/> | | <input type="checkbox"/> | |

5. **U.S. producers and import competition.**—

(a) Since January 1, 2012, in connection with a sale or offer to sell product to your firm, did U.S. producers reduce their prices of domestically produced IMTDCs in order to compete with lower-priced imports of IMTDCs from subject countries? Respond for each subject country.

| Source | Yes (also respond to question part (b)) | No (If “No” for all countries, skip to 6) | Don’t know |
|--------|---|---|--------------------------|
| Canada | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| China | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

(b) If your firm responded “yes” to any of the above countries, please provide an estimate of the reduction in U.S. producers’ prices, and any additional explanations, such as timing (e.g., months/years), frequency of price reductions, or other market/competitive factors.

| Source | Estimated reduction in U.S. prices (percent) | Additional explanation, include such information as timing (e.g., months/years), frequency of price reductions, or other market/competitive factors |
|--------|--|---|
| Canada | % | |
| China | % | |

6. **Other explanations**--Please provide any additional comments in this box.

OMB INFORMATION

7. **OMB statistics.**--Please report the actual number of hours required and the cost to your firm of completing this survey.

| Hours | Dollars |
|-------|---------|
| | |

The questions in this survey have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this survey is estimated to average 4 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the survey.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this survey. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

HOW TO FILE YOUR SURVEY RESPONSE

Please do not attempt to modify the format or permissions of the survey document.

Please submit the completed survey using one of the methods noted below. If your firm is unable to complete the MS Word survey or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word survey along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

- **Web address:** <https://dropbox.usitc.gov/oinv/>
- **Enter Investigation:** Select “Certain Iron Mechanical Transfer Drive Components from Canada and China” in the drop down menu
- **Pin:** IMTDC

- **E-mail.**—E-mail the MS Word survey to lauren.gamache@usitc.gov; include a scanned copy of the signed certification page (page 1). *Please note that submitting your survey by e-mail may subject your firm’s business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission notifies you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.*

If your firm did not purchase this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.