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**U.S. PRODUCERS' QUESTIONNAIRE**

**POLYETHYLENE RETAIL CARRIER BAGS FROM CHINA, INDONESIA, MALAYSIA,  
TAIWAN, THAILAND, AND VIETNAM**

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This questionnaire must be received by the Commission by **December 4, 2015**

*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the countervailing duty and antidumping duty orders concerning polyethylene retail carrier bags ("PRCBs") from China, Indonesia, Malaysia, Taiwan, Thailand, and Vietnam (Inv. Nos. 701-TA-462 and 731-TA-1156-1158 (First Review) and 731-TA-1043-1045 (Second Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

<b>Name of firm</b> _____
<b>Address</b> _____
<b>City</b> _____ <b>State</b> _____ <b>Zip Code</b> _____
<b>Website</b> _____
Has your firm produced PRCBs (as defined on the next page) at any time since January 1, 2009?
<input type="checkbox"/> <b>NO</b> (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
<input type="checkbox"/> <b>YES</b> (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)
<b>Return questionnaire via the Commission Drop Box by clicking on the following link:</b> <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> (PIN: <b>PRCB</b> )

**CERTIFICATION**

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings or reviews conducted by the Commission on the same or similar merchandise.*

*I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

<b>Name of Authorized Official</b>	<b>Title of Authorized Official</b>	<b>Date</b>
<b>Signature</b>	<b>Phone:</b> _____	<b>Email address</b>
	<b>Fax:</b> _____	

**PART I.—GENERAL INFORMATION**

**Background.** On May 4, 2010, the Department of Commerce (“Commerce”) issued a countervailing duty order on imports of PRCBs from Vietnam and antidumping duty orders on imports of PRCBs from Indonesia, Taiwan, and Vietnam. On August 9, 2004, Commerce issued antidumping duty orders on imports of PRCBs from China, Malaysia, and Thailand. Following first five-year reviews by Commerce and the Commission, effective July 7, 2010, Commerce issued a continuation of the antidumping duty orders on imports of PRCBs from China, Malaysia, and Thailand. On April 1, 2015, the Commission instituted reviews pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make affirmative determinations, the orders will remain in place. If either the Commission or Commerce makes negative determinations, Commerce will revoke the orders. Questionnaires and other information pertinent to this proceeding are available at [http://www.usitc.gov/investigations/701731/2015/polyethylene\\_retail\\_carrier\\_bags\\_china\\_indonesia/second\\_review\\_full.htm](http://www.usitc.gov/investigations/701731/2015/polyethylene_retail_carrier_bags_china_indonesia/second_review_full.htm).

**Polyethylene retail carrier bags (“PRCBs”)** covered by these investigations may be referred to as t-shirt sacks, merchandise bags, grocery bags, or checkout bags. The subject merchandise is defined as non-sealable sacks and bags with handles (including drawstrings), without zippers or integral extruded closures, with or without gussets, with or without printing, of polyethylene film having a thickness no greater than 0.035 inches (0.889 mm) and no less than 0.00035 inches (0.00889 mm), and with no length or width shorter than 6 inches (15.24 cm) or longer than 40 inches (101.6 cm). The depth of the bag may be shorter than 6 inches but not longer than 40 inches (101.6 cm).

PRCBs are typically provided without any consumer packaging and free of charge by retail establishments, e.g., grocery, drug, convenience, department, specialty retail, discount stores, and restaurants, to their customers to package and carry their purchased products. The scope of the order excludes (1) polyethylene bags that are not printed with logos or store names and that are closeable with drawstrings made of polyethylene film and (2) polyethylene bags that are packed in consumer packaging with printing that refers to specific end-uses other than packaging and carrying merchandise from retail establishments, e.g., garbage bags, lawn bags, trash-can liners.

As a result of changes to the Harmonized Tariff Schedule of the United States (HTSUS), imports of the subject merchandise are currently classifiable under statistical category 3923.21.0085 of the HTSUS. Furthermore, although the HTSUS subheading is provided for convenience and customs purposes, the written description of the scope of the order is dispositive.

**Reporting of information.**-- If information is not readily available from your records in exactly the form requested, furnish carefully prepared estimates. If your firm is completing more than one questionnaire in connection with this proceeding (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions in the questionnaires.

**Confidentiality.**--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of

numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

***Verification.***--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all of your files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

***Release of information.***--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

**"Establishment"**--Each facility of a firm involved in the production of PRCBs, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments Covered <sup>1</sup>	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
<sup>1</sup> Additional discussion on establishments consolidated in this questionnaire: <hr/>			

I-3. **Position regarding continuation of order.**--Does your firm support or oppose continuation of the countervailing duty and antidumping duty orders currently in place for PRCBs from the following countries?

<b>Country</b>	<b>Support</b>	<b>Oppose</b>	<b>Take no position</b>
China	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Indonesia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Malaysia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taiwan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Thailand	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vietnam	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-4. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No       Yes--List the following information.

<b>Firm name</b>	<b>Address</b>	<b>Extent of ownership (percent)</b>

I-5. **Related SUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing PRCBs from China, Indonesia, Malaysia, Taiwan, Thailand, and/or Vietnam into the United States or that are engaged in exporting PRCBs from China, Indonesia, Malaysia, Taiwan, Thailand, and/or Vietnam to the United States?

No       Yes--List the following information.

<b>Firm name</b>	<b>Address</b>	<b>Affiliation / Extent of ownership (percent)</b>

I-6. **Related NONSUBJECT importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing PRCBs from countries other than China, Indonesia, Malaysia, Taiwan, Thailand, or Vietnam into the United States or that are engaged in exporting PRCBs from countries other than China, Indonesia, Malaysia, Taiwan, Thailand, or Vietnam to the United States?

No             Yes--List the following information.

<b>Firm name and country</b>	<b>Address</b>	<b>Affiliation / Extent of ownership (percent)</b>

I-7. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of PRCBs?

No             Yes--List the following information.

<b>Firm name</b>	<b>Address</b>	<b>Affiliation / Extent of ownership (percent)</b>

I-8. **Business plan.**--In Parts II and IV of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected market conditions for PRCBs?

<b>No</b>	<b>Yes</b>	<b>If yes, please provide the requested documents. If you are not providing the requested documents, please explain why not.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Keysha Martinez (202-205-2136, [Keysha.Martinez@usitc.gov](mailto:Keysha.Martinez@usitc.gov)). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

- II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of PRCBs since January 1, 2009.

<i>Check as many as appropriate.</i>	<i>Please describe.</i>
<input type="checkbox"/> Plant openings	
<input type="checkbox"/> Plant closings	
<input type="checkbox"/> Relocations	
<input type="checkbox"/> Expansions	
<input type="checkbox"/> Acquisitions	
<input type="checkbox"/> Consolidations	
<input type="checkbox"/> Prolonged shutdowns or production curtailments	
<input type="checkbox"/> Revised labor agreements	
<input type="checkbox"/> Other ( <i>e.g.</i> , technology)	

II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of your firm's operations or organization (as noted above) relating to the production of PRCBs in the future?

- No       Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in your response a specific projection of your firm's capacity to produce PRCBs (in 1,000 bags and 1,000 pounds) for 2015 and 2016.**

**For question II-4, if your firm's response differs for particular orders, please indicate and explain the particular effect of revocation of specific orders.**

II-4. **Anticipated changes in operations in the event the order is revoked.**--Would your firm anticipate any changes in the character of your firm's operations or organization (as noted above) relating to the production of PRCBs in the future if the countervailing duty and antidumping duty orders on PRCBs from China, Indonesia, Malaysia, Taiwan, Thailand, and Vietnam were to be revoked?

- No       Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in your response a specific projection of your firm's capacity to produce PRCBs (in 1,000 bags and 1,000 pounds) for 2015 and 2016.**



II-5a. **Production using same machinery.**-- Please report your firm's production of products made on the same equipment and machinery used to produce PRCBs, and the combined production capacity on this shared equipment and machinery in the periods indicated.

**"Overall production capacity" or "capacity"** – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

**"Production"** – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Quantity (in 1,000 bags and 1,000 pounds)								
Item	Calendar year						January-September	
	2009	2010	2011	2012	2013	2014	2014	2015
<b>Overall production capacity</b>								
1,000 bags								
1,000 pounds								
<b>Production of: (1,000 bags)</b>								
PRCBs <sup>1</sup>	0	0	0	0	0	0	0	0
Other products <sup>2</sup>								
Total	0	0	0	0	0	0	0	0
<b>Production of: (1,000 pounds)</b>								
PRCBs <sup>1</sup>	0	0	0	0	0	0	0	0
Other products <sup>2</sup>								
Total	0	0	0	0	0	0	0	0
<sup>1</sup> Data entered for production of PRCBs will populate here once reported in question II-6. <sup>2</sup> Please identify these products: _____.								

II-5b. **Operating parameters.**--The production capacity reported in II-5a is based on operating \_\_\_\_ hours per week, \_\_\_\_ weeks per year.

II-5c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-5a, and explain any changes in reported capacity.

II-5d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

II-5e. **Product shifting.**--Is your firm able to switch production (capacity) between PRCBs and other products using the same equipment and/or labor?

No

Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products: \_\_\_\_\_.

II-5f. **Product shifting factors.**--Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

II-6. **Production, shipment and inventory data.**--Report your firm's production capacity, production, shipments, and inventories related to the production of PRCBs in its U.S. establishment(s) during the specified periods.

**"Average production capacity" or "capacity"** – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

**"Production"** – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

**"U.S. commercial shipments"** –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

**"Internal consumption"** – Product consumed internally by your firm.

**"Transfers to related firms"** –Shipments made to related domestic firms. Such transactions are valued at fair market value.

**"Related firm"** –A firm that your firm solely or jointly owns, manages, or otherwise controls. Such transactions are valued at fair market value.

**"Export shipments"** –Shipments to destinations outside the United States, including shipments to related firms.

**"Inventories"**— Finished goods inventory, not raw materials or work-in-progress.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.*

II-6. **Production, shipment and inventory data.—Continued**

Quantity (in 1,000 bags unless otherwise specified) and value (in \$1,000)								
Item	Calendar year						January-September	
	2009	2010	2011	2012	2013	2014	2014	2015
<b>Average production capacity<sup>1</sup> (quantity)</b>								
1,000 bags (A)								
1,000 pounds								
<b>Beginning-of-period inventories (quantity) (B)</b>								
<b>Production (quantity)</b>								
1,000 bags (C)								
1,000 pounds								
<b>U.S. shipments:</b>								
<b>Commercial shipments:</b>								
quantity (D)								
value (E)								
<b>Internal consumption:<sup>2</sup></b>								
quantity (F)								
value (G)								
<b>Transfers to related firms:<sup>2</sup></b>								
quantity (H)								
value (I)								
<b>Export shipments:<sup>3</sup></b>								
quantity (J)								
value (K)								
<b>End-of-period inventories (quantity) (L)</b>								
<sup>1</sup> The production capacity reported is based on operating _____ hours per week, _____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity (use additional pages as necessary). _____. <sup>2</sup> Internal consumption and transfers to related firms should be valued at fair market value. In the event that your firm uses a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____. <sup>3</sup> Identify your firm's principal export markets: _____.								



II-8. **Employment data.**--Report your firm's employment-related data related to the production of PRCBs and provide any explanation for any trends in these data.

**"Production Related Workers" (PRWs)** includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to September periods, calculate similarly and divide by 9.

**"Hours worked"** includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

**"Wages paid"** --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Item	Calendar year						January-September	
	2009	2010	2011	2012	2013	2014	2014	2015
<b>Employment data:</b>								
Average number of PRWs ( <i>number</i> ) (O)								
Hours worked by PRWs ( <i>1,000 hours</i> ) (P)								
Wages paid to PRWs ( <i>\$1,000</i> ) (Q)								

Explanation of trends:

II-9. **Transfers to related firms.**--If your firm reported transfers to related firms in question II-6, please indicate the nature of the relationship between your firm and the related firms (*e.g.*, joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

II-10. **Purchases.**--Other than direct imports, has your firm otherwise purchased PRCBs since January 1, 2009?

- No                       Yes-- Please indicate the reasons for your firm's purchases (if your firms' reasons differ by source, please elaborate) and report the quantity and value of such purchases below for the specified periods.

**"Purchase"** – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

**"Direct import"** –A transaction to buy from a foreign producer where your firm is the importer of record or consignee.

Reason for purchases:

Quantity (in 1,000 bags)								
Item	Calendar years						January-September	
	2009	2010	2011	2012	2013	2014	2014	2015
<b>Purchases from U.S. importers<sup>1</sup> of PRCBs from—</b>								
China (subject) <sup>2</sup>								
Indonesia								
Malaysia (subject) <sup>2</sup>								
Taiwan								
Thailand (subject) <sup>2</sup>								
Vietnam								
All other sources								
<b>Purchases from domestic producers<sup>3</sup></b>								
<b>Nonsubject Purchases from.—<sup>3</sup></b>								
China								
Malaysia								
Thailand								
All other sources								
Total nonsubject purchases								
Quantity (P)	0	0	0	0	0	0	0	0

<sup>1</sup> Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: \_\_\_\_\_.

<sup>2</sup> Purchases of U.S. imports subject to the antidumping duty orders, which excludes purchases of imports from Hang Lung Manufactory and Nantong Huasheng Plastic Products (China); Bee Lian Plastic Industries (Malaysia); and Thai Plastic Bags Industries Co., Ltd., Winners Pack Co., Ltd., and APEC Film Ltd. (Thailand).

<sup>3</sup> Please list the name of the producer(s) or U.S. distributor(s) from which your firm purchased this product: \_\_\_\_\_.

II-11. **Toll production.**--Since January 1, 2009, has your firm been involved in a toll agreement regarding the production of PRCBs?

**"Toll agreement"**--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No                       Yes-- Please describe the toll arrangement(s) and name the firm(s) involved.

II-12. **Foreign trade zones.**--

(a) **Firm's FTZ operations.**--Does your firm produce PRCBs in and/or admit PRCBs into a foreign trade zone (FTZ)?

**"Foreign trade zone"** is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No                       Yes--Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s).

(b) **Other firms' FTZ operations.**--To your knowledge, do any firms in the United States import PRCBs into a foreign trade zone (FTZ) for use in distribution of PRCBs and/or the production of downstream articles?

No/Don't know                       Yes--Identify the firms and the FTZs.

II-13. **Direct imports.**--Since January 1, 2009, has your firm imported PRCBs?

**"Importer"** – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

No                       Yes--**COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE**

**For questions II-14 and II-15, if your response differs for particular orders, please indicate and explain the particular effect of imposition and/or revocation of specific orders.**

II-14. **Effect of orders.**--Describe the significance of the existing countervailing duty and antidumping duty orders covering imports of PRCBs from China, Indonesia, Malaysia, Taiwan, Thailand, and/or Vietnam in terms of its effect on your firm's production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, and asset values. You may wish to compare your firm's operations before and after the imposition of the order.

II-15. **Likely effect of revocation of orders.**--Would your firm anticipate any changes in its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of PRCBs in the future if the countervailing duty and antidumping duty orders on PRCBs from China, Indonesia, Malaysia, Taiwan, Thailand, and/or Vietnam were to be revoked?

- No       Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation for any trends or projections you may provide.

II-16. **Other explanations:**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.



**PART III.--FINANCIAL INFORMATION**

Address questions on this part of the questionnaire to Charles Yost (202-205-3432, [Charles.Yost@usitc.gov](mailto:Charles.Yost@usitc.gov)).

III-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

III-2. **Accounting system.**--Briefly describe your firm's financial accounting system.

A. When does your firm's fiscal year end (month and day)? \_\_\_\_\_  
 If your firm's fiscal year changed during the data-collection period, explain below:

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B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include PRCBs:

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2. Does your firm prepare profit/loss statements for PRCBs:

Yes       No

3a. What types of financial statements does your firm (or parent company) prepare?

Audited,    unaudited,    annual reports,    10Ks,    10 Qs,

3b. How often did your firm (or parent company) prepare financial statements?

Monthly,    quarterly,    semi-annually,    annually

4. Accounting basis:  GAAP,    cash,    tax, or    other comprehensive basis of accounting (specify) \_\_\_\_\_

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes PRCBs, as well as specific statements and worksheets) used to compile these data.*

III-3. **Cost accounting system.**--Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).

III-4. **Allocation basis.**--Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

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III-5. **Other products.**--Please list the products your firm produces in the facilities in which it produces PRCBs, and provide the share of net sales accounted for by these other products in your firm's most recent fiscal year.

Products	Share of sales
PRCBs	%
	%
	%
	%
	%

III-6a. Does your firm produce the polyethylene used in the manufacture of PRCBs?

No--Continue to question III-6b.       Yes—Provide the data requested for **2014**.

Quantity (1,000 pounds)	
Value (\$1,000)	
Other than reflecting your firm's production of PRCBs, did its production of polyethylene change significantly since January 1, 2009?	

III-6b. Does your firm purchase the polyethylene used in the manufacture of PRCBs from independent suppliers?

No--Continue to question III-6c.       Yes—Provide the data requested for **2014**.

Quantity (1,000 pounds)	
Value (\$1,000)	
Other than reflecting your firm's production of PRCBs, did its purchases of polyethylene change significantly since January 1, 2009?	

III-6c. Does your firm purchase **inputs** (polyethylene and other raw materials, labor, energy, or any services) used in the production of PRCBs from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

Yes--Continue to question III-7.       No--Continue to question III-9a.

III-7. **Inputs from related suppliers.**--Please identify the inputs used in the production of PRCBs that your firm purchases from related suppliers and that are reflected in table III-9a. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation" please describe the basis, as recorded in the company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

Input	Related supplier	Share of total COGS
<b>Input valuation as recorded in the firm's accounting books and records</b>		

III-8. **Inputs from related suppliers at cost.**--Please confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in III-9a (financial results on PRCBs) in a manner consistent with the firm's accounting books and records.

Yes

No--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in table III-9a.

III-9a. **Operations on PRCBs.**--Report the revenue and related cost information requested below on the PRCBs operations of your firm's U.S. establishment(s).<sup>1</sup> Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's six most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact **Charles Yost** (202-205-3432, [Charles.Yost@usitc.gov](mailto:Charles.Yost@usitc.gov)) before completing this section of the questionnaire.

Quantity (in 1,000 bags) and value (in \$1,000)						
Item	Fiscal years ended--					
	2009	2010	2011	2012	2013	2014
<b>Net sales quantities:</b> <sup>2</sup>						
Commercial sales ("CS")						
Internal consumption ("IC")						
Transfers to related firms ("Transfers")						
Total net sales quantities	0	0	0	0	0	0
<b>Net sales values:</b> <sup>2</sup>						
Commercial sales						
Internal consumption						
Transfers to related firms						
Total net sales values	0	0	0	0	0	0
<b>Cost of goods sold (COGS):</b> <sup>3</sup>						
Raw materials						
Direct labor						
Other factory costs						
Total COGS	0	0	0	0	0	0
<b>Gross profit or (loss)</b>	0	0	0	0	0	0
<b>Selling, general, and administrative (SG&amp;A) expenses:</b>						
Selling expenses						
General and administrative expenses						
Total SG&A expenses	0	0	0	0	0	0
<b>Operating income (loss)</b>	0	0	0	0	0	0
<b>Other expenses and income:</b>						
Interest expense						
All other expense items						
All other income items						
<b>Net income or (loss) before income taxes</b>	0	0	0	0	0	0
<b>Depreciation/amortization included above</b>						

<sup>1</sup> Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

<sup>2</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

<sup>3</sup> COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

*Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.*

III-9a. **Operations on PRCBs.**--Continued

<b>Quantity (in 1,000 bags) and value (in \$1,000)</b>		
<b>Item</b>	<b>January-September 2014</b>	<b>January-September 2015</b>
<b>Net sales quantities:</b> <sup>3</sup>		
Commercial sales ("CS")		
Internal consumption ("IC")		
Transfers to related firms ("Transfers")		
Total net sales quantities	0	0
<b>Net sales values:</b> <sup>3</sup>		
Commercial sales		
Internal consumption		
Transfers to related firms		
Total net sales values	0	0
<b>Cost of goods sold (COGS):</b> <sup>4</sup>		
Raw materials		
Direct labor		
Other factory costs		
Total COGS	0	0
<b>Gross profit or (loss)</b>	0	0
<b>Selling, general, and administrative (SG&amp;A) expenses:</b>		
Selling expenses		
General and administrative expenses		
Total SG&A expenses	0	0
<b>Operating income (loss)</b>	0	0
<b>Other expenses and income:</b>		
Interest expense		
All other expense items		
All other income items		
<b>Net income or (loss) before income taxes</b>	0	0
<b>Depreciation/amortization included above</b>		
<sup>1</sup> Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations. <sup>2</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. <sup>3</sup> COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.		

*Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.*

III-9b. **Financial data reconciliation.**--The calculable line items from question III-9a (*i.e.*, total net sales quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

Yes     No--If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.

Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (*i.e.*, expenses are positive and incomes or reversals are negative--instances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (*i.e.*, income is positive, expenses or reversals are negative).

If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-10. **Nonrecurring items (charges and gains) included in the subject product financial results.**--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific table III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (*in \$1,000*), as reflected in table III-9a; *i.e.*, if an aggregate nonrecurring item has been allocated to table III-9a, only the allocated value amount included in table III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in table III-9a.

	Fiscal years ended--						January-September	
	2009	2010	2011	2012	2013	2014	2014	2015
<b>Nonrecurring item:</b> In this column please provide a brief description of each nonrecurring item and indicate the specific line item in table III-9a where the nonrecurring item is classified.	<b>Nonrecurring item:</b> In these columns please report the amount of the relevant nonrecurring item reported in table III-9a.							
	<b>Value (\$1,000)</b>							
1.       , classified as								
2.       , classified as								
3.       , classified as								
4.       , classified as								
5.       , classified as								
6.       , classified as								
7.       , classified as								

**III-11. Please provide a brief description of the cost drivers of the following categories:**

Raw materials (e.g., polyethylene):
Other factory costs:
SG&A expenses:

**III-12. Asset values.**--Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of PRCBs. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for PRCBs in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with your firm's cost allocations in the previous question. Provide data as of the end of your firm's six most recently completed fiscal years.

**Note:** Total assets should reflect net assets after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (in \$1,000)						
Item	Fiscal years ended--					
	2009	2010	2011	2012	2013	2014
<b>Total assets (net)<sup>1</sup></b>						
<sup>1</sup> Describe _____						

III-13. **Capital expenditures and research and development expenses.**--Report your firm's capital expenditures and research and development expenses for PRCBs. Provide data for your firm's six most recently completed fiscal years, and for the specified interim periods.

Value (in \$1,000)								
Item	Fiscal years ended--						January-September	
	2009	2010	2011	2012	2013	2014	2014	2015
Capital expenditures <sup>1</sup>								
Research and development expenses <sup>2</sup>								
<sup>1</sup> Please indicate the nature, focus, and significance of your firm's capital expenditures on PRCBs. _____ _____								
<sup>2</sup> Please indicate the nature, focus, and significance of your firm's research and development expenses on PRCBs. _____ _____								

III-14. **Data consistency and reconciliation.**--Please indicate whether your firm's financial data for questions III-9a, 12, and 13 are based on a calendar year or your firm's fiscal year:

Calendar year	Fiscal year	Specify fiscal year
<input type="checkbox"/>	<input type="checkbox"/>	

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-6 (including export shipments) as long as they are reported on the same calendar year basis.

Do these data in question III-9a reconcile with data in question II-6?

Yes	No	If no, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.



**PART IV.--PRICING AND MARKET FACTORS**

Further information on this part of the questionnaire can be obtained Craig Thomsen (202-205-3226, [Craig.Thomsen@usitc.gov](mailto:Craig.Thomsen@usitc.gov)).

IV-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	
Fax	

**PRICE DATA**

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers of the following products produced by your firm.

**Product 1.**--"T-shirt sack"-style bag with (a) dimensions 8-9" width x 4-6" side x 15-17" length, (b) 11-13 microns film thickness, (c) side gussets, and (d) printed with one or two colors on at least one side (5-30 percent ink coverage for entire bag). Typically, these PRCBs weigh between 4.7 and 7.9 pounds per 1,000 bags.

**Product 2.**--"T-shirt sack"-style bag with (a) dimensions 10-11" width x 6.5-7" side x 17-20" length, (b) 12-15 microns film thickness, (c) side gussets, and (d) printed with one or two colors on at least one side (5-30 percent ink coverage for entire bag). Typically, these PRCBs weigh between 8.1 and 13.2 pounds per 1,000 bags.

**Product 3.**--"T-shirt sack"-style bag with (a) dimensions 11.5-12" width x 6.5-7" side x 20-22" length, (b) 12-15 microns film thickness, (c) side gussets, and (d) printed with one or two colors on at least one side (5-30 percent ink coverage for entire bag). Typically, these PRCBs weigh between 10.2 and 15.3 pounds per 1,000 bags.

**Product 4.**--"T-shirt sack"-style bag with (a) dimensions 15-16" width x 7-9" side x 27-30" length, (b) 15-18 microns film thickness, (c) side gussets, and (d) printed with one or two colors on at least one side (5-30 percent ink coverage for entire bag). Typically, these PRCBs weigh between 21.8 and 33.4 pounds per 1,000 bags.

**Product 5.**--Die-cut-handle-style merchandise bags with (a) dimensions 15-17" width x 3-5" side x 20-25" length, (b) 20-24 microns film thickness, (c) side gussets, and (d) printed with at least two colors on at least one side (5-50 percent ink coverage for entire bag).

**Product 6.**--Die-cut-handle-style merchandise bags with (a) dimensions 15-18" width x 17-19" length, (b) 31-39 microns film thickness, (c) no side gussets, and (d) with or without a bottom gusset of up to 6" (3" plus 3"), and (e) printed with at least two colors on at least one side (5-50 percent ink coverage for entire bag).

IV-2. --Continued

**Product 7.**--Merchandise or carry-out bag with (a) rope drawstring attached, (b) dimensions 15-18" width x 16-20" length (with or without bottom gusset), (c) 30-60 microns film thickness, and (d) print with 1-6 colors (5-100 percent ink coverage for entire bag).

**Product 8.**--Heat-sealed, square-bottomed merchandise or carry-out bag with or without a bottom cardboard insert, having (a) dimensions 11-18" width x 4-8" side x 12-20" length (with or without side gusset, (b) 50-150 microns film thickness, (d) separately applied flat flexible plastic handle, and (e) print with 1-6 colors on up to 5 sides (5-100 percent ink coverage for entire bag).

For your sales of product 8, data that your firm is reporting represent PRCBs that:

Have cardboard inserts:   
Do not have cardboard inserts:

**Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the final net amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).**

(a) During January 2009-September 2015, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	<b>Yes.</b> --Please complete the following pricing data tables as appropriate.
<input type="checkbox"/>	<b>No.</b> --Skip to question IV-3.

IV-2(b). **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> produced and sold by your firm. **Please report quantity data in pounds and value data in actual dollars (not 1,000s).**

Period of shipment	Product 1		Product 2		Product 3	
	Quantity (pounds)	Value (dollars)	Quantity (pounds)	Value (dollars)	Quantity (pounds)	Value (dollars)
<b>2009:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2010:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2011:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2012:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2013:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2014:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2015:</b>						
Jan-March						
April-June						
July-Sept						

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part IV.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

IV-2(b). --Continued

**Please report quantity data in pounds and value data in actual dollars (not 1,000s).**

Period of shipment	Product 4		Product 5		Product 6	
	Quantity (pounds)	Value (dollars)	Quantity (pounds)	Value (dollars)	Quantity (pounds)	Value (dollars)
<b>2009:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2010:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2011:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2012:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2013:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2014:</b>						
Jan-March						
April-June						
July-Sept						
Oct-Dec						
<b>2015:</b>						
Jan-March						
April-June						
July-Sept						

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part IV.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.

Product 4:

Product 5:

Product 6:

IV-2(b). --Continued

**Please report quantity data in pounds and value data in actual dollars (not 1,000s).**

Period of shipment	Product 7		Product 8	
	Quantity ( <i>pounds</i> )	Value ( <i>dollars</i> )	Quantity ( <i>pounds</i> )	Value ( <i>dollars</i> )
<b>2009:</b>				
Jan-March				
April-June				
July-Sept				
Oct-Dec				
<b>2010:</b>				
Jan-March				
April-June				
July-Sept				
Oct-Dec				
<b>2011:</b>				
Jan-March				
April-June				
July-Sept				
Oct-Dec				
<b>2012:</b>				
Jan-March				
April-June				
July-Sept				
Oct-Dec				
<b>2013:</b>				
Jan-March				
April-June				
July-Sept				
Oct-Dec				
<b>2014:</b>				
Jan-March				
April-June				
July-Sept				
Oct-Dec				
<b>2015:</b>				
Jan-March				
April-June				
July-Sept				

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part IV.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.

Product 7:

Product 8:

IV-2(c). **Pricing data methodology.**-- Please describe the method and the kinds of documents/records that were used to compile your price data.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.*

--

IV-3. **Price setting.**--How does your firm determine the prices that it charges for sales of PRCBs (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Auctions	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-5. **Internet sales.**--Please indicate the quantity of your sales that were made via the internet in 2014, and the manner in which those sales were made.

<b>Total quantity of 2014 sales made via internet (in pounds):</b>	<b>pounds</b>
<b>Of these internet sales, what proportion were via:</b>	<b>Share of quantity reported above (percent)</b>
Own website direct sales?	%
Other's website indirect sales?	%
Bidding in online reverse auctions?	%
Other? (describe:        )	%
Total: (should equal 100.0 percent)	0.0 %

<b>How have your sales via the internet changed since January 1, 2009?</b>	<b>No</b>	<b>Yes</b>	<b>Explain</b>
Changes since January 1, 2009	<input type="checkbox"/>	<input type="checkbox"/>	

IV-6. **Pricing terms.**--

(a) What are your firm's typical sales terms for its U.S.-produced PRCBs?

<b>Net 30 days</b>	<b>Net 60 days</b>	<b>2/10 net 30 days</b>	<b>Other</b>	<b>Other (specify)</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your firm's prices of domestic PRCBs usually quoted (*check one*)?

<b>Delivered</b>	<b>F.o.b.</b>	<b>If f.o.b., specify point</b>
<input type="checkbox"/>	<input type="checkbox"/>	

IV-7. **Contract versus spot.**--Approximately what share of your firm's sales of its U.S.-produced PRCBs in 2014 (based on quantity) was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale				Total (should sum to 100.0%)
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	
<b>Share of 2014 sales</b>	%	%	%	%	0.0 %

IV-8. **Contract provisions.**—Please fill out the table regarding your firm's typical sales contracts for U.S.-produced PRCBs (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i># of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



IV-9. **Lead times.**--What is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced PRCBs?

Source	Share of 2014 sales	Lead time (average number of days)
From inventory	%	
Produced to order	%	
<b>Total</b> (should sum to 100.0%)	0.0 %	

IV-10. **Shipping information.**--

- (a) What is the approximate percentage of the total delivered cost of U.S.-produced PRCBs that is accounted for by U.S. inland transportation costs? \_\_\_\_\_ %
- (b) Who generally arranges the transportation to your firm's customers' locations?  
 Your firm     Purchaser (*check one*)
- (c) Indicate the approximate percentage of your firm's sales of PRCBs that are delivered the following distances from your firm's production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
<b>Total</b> (should sum to 100.0%)	0.0 %

IV-11. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold its U.S.-produced PRCBs since January 1, 2009 (check all that apply)?

Geographic area	✓ if applicable
<b>Northeast.</b> --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
<b>Midwest.</b> --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
<b>Southeast.</b> --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
<b>Central Southwest.</b> --AR, LA, OK, and TX.	<input type="checkbox"/>
<b>Mountains.</b> --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
<b>Pacific Coast.</b> --CA, OR, and WA.	<input type="checkbox"/>
<b>Other.</b> --All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

IV-12. **Substitutes**--

(a) Can other products be substituted for PRCBs?

No                       Yes--Please fill out the table.

	Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for PRCBs?		
			No	Yes	Explanation
1.			<input type="checkbox"/>	<input type="checkbox"/>	
2.			<input type="checkbox"/>	<input type="checkbox"/>	
3.			<input type="checkbox"/>	<input type="checkbox"/>	

(b) Have there been any changes in the number or types of products that can be substituted for PRCBs since January 1, 2009? Do you anticipate any future changes?

Changes in substitutes	No	Yes	Explain
Changes since January 1, 2009	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

IV-13. **Availability of supply.**--Has the availability of PRCBs in the U.S. market changed since January 1, 2009? Do you anticipate any future changes?

Availability in the U.S. market	No	Yes	Please explain, noting the countries and reasons for the changes.
<b>Changes since January 1, 2009:</b>			
U.S.-produced product	<input type="checkbox"/>	<input type="checkbox"/>	
Subject imports	<input type="checkbox"/>	<input type="checkbox"/>	
Nonsubject China, Malaysia, or Thailand	<input type="checkbox"/>	<input type="checkbox"/>	
Other nonsubject imports	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Anticipated changes:</b>			
U.S.-produced product	<input type="checkbox"/>	<input type="checkbox"/>	
Subject imports	<input type="checkbox"/>	<input type="checkbox"/>	
Nonsubject China, Malaysia, or Thailand	<input type="checkbox"/>	<input type="checkbox"/>	
Other nonsubject imports	<input type="checkbox"/>	<input type="checkbox"/>	

**IV-14. Demand trends.—**

(a) Has the passage of laws regulating the use and disposal of PRCBs affected demand for PRCBs in the United States since January 1, 2009?

- No  
 Yes--If yes, please estimate the size of the change in demand:  
 Increased  Decreased by \_\_\_\_\_percent

Please report the characteristics of PRCBs covered by these regulations, the location(s), and the relevant time frame: \_\_\_\_\_

(b) Do you expect the passage of additional laws in the United States regulating the use and disposal of PRCBs in the next 1-2 years that would affect future demand for PRCBs?

- No  
 Yes--If yes, please estimate the size of the change in demand:  
 Increase  Decrease by \_\_\_\_\_percent

Please report the characteristics of PRCBs covered by these regulations, the location(s), and the relevant time frame: \_\_\_\_\_

(c) Please indicate how other changes in demand within the United States and outside of the United States (if known) for PRCBs has changed since January 1, 2009, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
<b>Demand since January 1, 2009</b>					
<b>Within the U.S.</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Outside the U.S.</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Anticipated future demand</b>					
<b>Within the U.S.</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Outside the U.S.</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-15. **Product changes.**-- Have there been any significant changes in the product range, product mix, or marketing of PRCBs since January 1, 2009? Do you anticipate any future changes?

<b>Changes in product range, product mix, or marketing</b>	<b>No</b>	<b>Yes</b>	<b>Explain</b>
Changes since January 1, 2009	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	

IV-16. **Conditions of competition.**--

(a) Is the PRCBs market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to PRCBs?

<b>Check all that apply.</b>	<b>Please describe.</b>
<input type="checkbox"/> <b>No</b>	Skip to question IV-17.
<input type="checkbox"/> <b>Yes-Business cycles (e.g. seasonal business)</b>	
<input type="checkbox"/> <b>Yes-Other distinctive conditions of competition</b>	

(b) If yes, have there been any changes in the business cycles or conditions of competition for PRCBs since January 1, 2009?

<b>No</b>	<b>Yes</b>	<b>If yes, describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

IV-17. **Supply constraints.**--Has your firm refused, declined, or been unable to supply PRCBs since January 1, 2009 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

<b>No</b>	<b>Yes</b>	<b>If yes, please describe.</b>
<input type="checkbox"/>	<input type="checkbox"/>	

IV-18. **Raw materials.**-- Indicate how PRCBs raw materials prices have changed since January 1, 2009, and how you expect they will change in the future.

Raw materials prices	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for PRCBs.
Changes since January 1, 2009	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Anticipated changes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-19. **Price comparisons.**--Please compare market prices of PRCBs in U.S. and non-U.S. markets, if known. Provide specific information as to time periods and regions for any price comparisons.

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IV-20. **Market studies.**--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss PRCBs supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including China, Indonesia, Malaysia, Taiwan, Thailand, and Vietnam, and (3) the world as a whole. Of particular interest is such data from 2009 to the present and forecasts for the future.

IV-21. **Export constraints.**--Describe how easily your firm can shift its sales of PRCBs between the U.S. market and alternative country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints that would prevent or retard your firm from shifting PRCBs between the U.S. and alternative country markets within a 12-month period.

--

IV-22. **Barriers to trade.**--Are your firm's exports of PRCBs subject to any tariff or non-tariff barriers to trade in other countries?

No	Yes	If yes, please list the countries and describe any such barriers and any significant changes in such barriers that have occurred since January 1, 2009, or that are expected to occur in the future.
<input type="checkbox"/>	<input type="checkbox"/>	

**IV-23. Interchangeability.**--Are PRCBs produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China (subject)	Indonesia	Malaysia (subject)	Taiwan	Thailand (subject)	Vietnam	Other sources, including Nonsubject producers from China, Malaysia, and/or Thailand (please specify: )
United States							
China (subject)	X						
Indonesia	X	X					
Malaysia (subject)	X	X	X				
Taiwan	X	X	X	X			
Thailand (subject)	X	X	X	X	X		
Vietnam	X	X	X	X	X	X	
For any country-pair producing PRCBs that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:							

IV-24. **Factors other than price.**--Are differences other than price (*e.g.*, quality, availability, transportation network, product range, technical support, *etc.*) between PRCBs produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China (subject)	Indonesia	Malaysia (subject)	Taiwan	Thailand (subject)	Vietnam	Other sources, including Nonsubject producers from China, Malaysia, and/or Thailand (please specify: )
United States							
China (subject)	X						
Indonesia	X	X					
Malaysia (subject)	X	X	X				
Taiwan	X	X	X	X			
Thailand (subject)	X	X	X	X	X		
Vietnam	X	X	X	X	X	X	
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of PRCBs, identify the country-pair and report the advantages or disadvantages imparted by such factors:							

IV-25. **Other explanations.**--If your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.



## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[http://www.usitc.gov/investigations/701731/2015/polyethylene\\_retail\\_carrier\\_bags\\_china\\_indonesia/second\\_review\\_full.htm](http://www.usitc.gov/investigations/701731/2015/polyethylene_retail_carrier_bags_china_indonesia/second_review_full.htm)

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/>      **Pin:** **PRCB**

- **E-mail.**—E-mail the MS Word questionnaire to [Keysha.Martinez@usitc.gov](mailto:Keysha.Martinez@usitc.gov); include a scanned copy of the signed certification page (page 1). *Please note that submitting your questionnaire by e-mail may subject your firm’s business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.*

**If your firm does not produce this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

**Parties to this proceeding.**—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.