## **U.S. IMPORTERS' QUESTIONNAIRE**

## TRUCK AND BUS TIRES FROM CHINA

### This questionnaire must be received by the Commission by February 12, 2016

### See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing and antidumping duty investigations concerning truck and bus tires from China (inv. Nos. 701-TA-556 and 731-TA-1311 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

	irm
Address	
City	State Zip Code
Website	
Has your fir 1, 2013?	rm imported truck and bus tires (as defined on next page) from <u>any country at any time</u> since January
	(Sign the certification below and promptly return <b>only</b> this page of the questionnaire to the Commission)
YES	(Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)
•	estionnaire via the U.S. International Trade Commission <i>Drop Box</i> by clicking on the link: <u>https://dropbox.usitc.gov/oinv/</u> . (PIN: TIRES)

### CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this investigation or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official	Title of Authorized Official	Date	
	Phone:		
Signature		Email address	
	Fax:		

## PART I.—GENERAL INFORMATION

**Background.** This proceeding was instituted in response to a petition filed on January 29, 2016, by United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union ("USW"), Pittsburg, PA. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at

https://www.usitc.gov/investigations/701731/2016/truck\_and\_bus\_tires\_china/preliminary.htm .

<u>Truck and bus tires</u> covered by these investigations are **new** pneumatic tires, of rubber, with a truck or bus size designation. Truck and bus tires covered by these investigations may be tube-type, tubeless, radial, or non-radial, and they may be intended for sale to original equipment manufacturers, fleet owners and operators, or the replacement market.

Subject tires have, at the time of importation, the symbol "DOT" on the sidewall, certifying that the tire conforms to applicable motor vehicle safety standards. Subject tires may also have one of the following suffixes in their tire size designation, which also appear on the sidewall of the tire:

- TR Identifies tires for service on trucks or buses to differentiate them from similarly sized passenger car and light truck tires;
- MH Identifies tires for mobile homes; and
- HC Identifies a 17.5 rim diameter code for use on low platform trailers.

All tires with a "TR," "MH," or "HC" suffix in their size designations are covered by these investigations regardless of their intended use.

In addition, all tires that lack one of the above suffix markings are included in the scope, regardless of their intended use, as long as the tire is of a size that is among the numerical size designations listed in the "Truck-Bus" section of the Tire and Rim Association Year Book, as updated annually, unless the tire falls within one of the specific exclusions set out below.

Truck and bus tires, whether or not mounted on wheels or rims, are included in the scope. However, if a subject tire is imported mounted on a wheel or rim, only the tire is covered by the scope. Subject merchandise includes truck and bus tires produced in the subject country whether mounted on wheels or rims in the subject country or in a third country. Truck and bus tires are covered whether or not they are accompanied by other parts, e.g., a wheel, rim, axle parts, bolts, nuts, etc. Truck and bus tires that enter attached to a vehicle are not covered by the scope.

## Specifically excluded from the scope of these investigations are the following types of tires:

(1) pneumatic tires, of rubber, that are not new, including recycled and retreaded tires; and (2) non-pneumatic tires, such as solid rubber tires.

The subject merchandise is currently imported under Harmonized Tariff Schedule of the United States ("HTSUS") statistical reporting numbers: 4011.20.1015 and 4011.20.5020. Tires meeting the scope description may also be imported under the following HTSUS provisions: 4011.99.4520, 4011.99.4590, 4011.99.8520, 4011.99.8590, 8708.70.4530, 8708.70.6030, and 8708.70.6060. While HTSUS subheadings are provided for convenience and for customs purposes, the written description of the subject merchandise is dispositive.

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**Importer**.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing truck and bus tires (as defined above) into the United States from a foreign manufacturer or through its selling agent.

**<u>Reporting of information</u>**.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

**Confidentiality**.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

*Verification*.-- The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**<u>Release of information</u>**.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics**.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. <u>Establishments covered</u>.--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

"<u>Establishment</u>"--Each facility of a firm involved in the <u>importation</u> of truck and bus tires, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

I-3. **Ownership**.--Is your firm owned, in whole or in part, by any other firm?

No

Yes--List the following information

Firm name	Address	Extent of ownership ( <i>percent</i> )

I-4. **<u>Related importers/exporters</u>**.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing truck and bus tires from China into the United States or that are engaged in exporting truck and bus tires from China to the United States?

🗌 No

Yes--List the following information.

Address	Affiliation

I-5. **<u>Related producers</u>**.--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of truck and bus tires?

No YesList the following inform	nation.
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Firm name	Address	Affiliation

I-6. **Importing operations**.--Please indicate the nature of your firm's importing operations on truck and bus tires. More than one answer may be applicable.

Importer of record	Takes title to the	Consignee of the	Customs broker or
	imported product(s)	imported products(s)	freight forwarder

I-7. <u>**Consignee**</u>.--If your firm is an importer of record of truck and bus tires but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

I-8. **<u>FTZ, TIB, or bonded warehouses</u>**.--Please indicate whether your firm enters truck and bus tires into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports truck and bus tires under the TIB (temporary importation under bond) program.

*"Foreign trade zone"* is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

**"Bonded warehouse"** is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

*"Temporary Importation under Bond ("TIB") program"* is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule of the United States(HTS).

	No	Yes
Foreign trade zones		
Bonded warehouses		
Temporary importation under bond		

I-9. **Third-country trade activities**.--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No Yes–Please specify.

## PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Nathanael Comly (<u>nathanael.comly@usitc.gov</u> or 202-205-3174). **Supply all data requested on a <u>calendar-year</u> basis**.

II-1. <u>Contact information</u>.-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. <u>Changes in operations</u>.--Please indicate whether your firm has experienced any of the following changes in relation to the importation of truck and bus tires since January 1, 2013.

(chea	ck as many as appropriate)	(please describe)
	Office/warehouse openings	
	Office/warehouse closings	
	Relocations	
	Expansions	
	Acquisitions	
	Consolidations	
	Prolonged shutdowns or importation curtailments	
	Revised labor agreements	
	Other ( <i>e.g.,</i> technology)	

II-3. <u>Arranged imports</u>.--Has your firm imported or arranged for the importation of truck and bus tires for delivery on or after **December 31, 2015**?

"Arranged imports" are imports for which your firm has placed an order with a foreign producer for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No Yes–Fill out the table below.

Quantity (in 1,000 tires)				
Period/Source	Jan-Mar 2016	Apr-Jun 2016	Jul-Sept 2016	Oct-Dec 2016
China				
Other sources <sup>1</sup>				
<sup>1</sup> Identify your oth	er sources:			

II-4. **<u>Reasons for importing if producer</u>**.--If your firm also produces truck and bus tires in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

## **Definitions**

*"Imports"* –Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

"Import quantities" -Quantities reported should be net of returns.

*"Import values"*—Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

*"Branded tire"* – A truck or bus tire produced or packaged for sale under the name of the manufacturer of the tire or a brand name owned by the manufacturer.

*"Private label tire"* --A truck or bus tire produced or packaged for sale under the name other than of the manufacturer of the tire or a brand name owned by the manufacturer.

**"U.S. commercial shipments"**— Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

*"Internal consumption"* – Product consumed internally by your firm.

*"Transfers to related firms"* –Shipments made to related domestic firms. Such transactions are valued at fair market value.

*"Related firm"* –A firm that your firm solely or jointly owns, manages, or otherwise controls. Such transactions are valued at fair market value.

*"Export shipments"*— Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" -- Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5. **Imports from China**.–Report your firm's imports and your firm's shipments and inventories of truck and bus tires imported from China by your firm during the specified periods.

## CHINA

	Calendar years		
Item	2013	2014	2015
Beginning-of-period inventories (quantity) (A)			
Imports: <sup>1</sup> Quantity (B)			
Value (C)			
U.S. shipments: Commercial shipments: <sup>2 3</sup> Quantity (D)			
Value (E)			
Internal consumption/ company transfers: Quantity (F) Value <sup>4</sup> (G)			
Export shipments: <sup>5</sup> Quantity (H)			
Value (I)			
End-of-period inventories (quantity) (J)			
Channels of distribution: Commercial U.S. shipments: To OEMs (quantity) (K)			
To aftermarket suppliers ( <i>quantity</i> ) (L)			

<sup>2</sup>: Of the data reported to U.S. commercial shipments in 2015 above, please indicate the share of quantity (\_\_\_\_\_\_ percent) your firm sold as a <u>mounted</u> truck and bus tire.

<sup>3</sup>: Of the data reported to U.S. commercial shipments in 2015 above, please indicate the share of quantity (percent) your firm sold as <u>branded</u> tires (\_\_\_\_\_\_\_\_ percent) and <u>private label</u> tires (\_\_\_\_\_\_\_ percent).

<sup>4</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: \_\_\_\_\_.

<sup>5</sup> Identify your firm's principal export markets: \_\_\_\_\_\_.

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## II-5. Import from China.–Continued

<u>RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES</u>.--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, and H). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years		
Reconciliation	2013	2014	2015
A + B - D - F - H - J = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:			

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for commercial U.S. commercial shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years		
Reconciliation item	2013	2014	2015
K + L - D = zero ("0"), if not revise.	0	0	0

II-6. **2015 shipments of imports from China by intended end use**.--Report your firm's U.S. shipments of imports from China (*i.e.*, commercial shipments, internal consumption, and transfers to related firms) in 2015 by intended end use. If a tire model your firm imports can be used in multiple end use categories, please report your firm's U.S. shipments for that model in the category where is it predominately used in in the market (*i.e.*, no double counting).

## **CHINA 2015**

Quantity ( <i>in 1,000 tires</i> ) and value ( <i>in \$1,000</i> )		
Item	Calendar year 2015	
U.S. shipments:		
Of heavy duty (Class 7 & 8) truck and bus tires		
Steer / All position tires <sup>1</sup>		
Quantity (M)		
Value (N)		
Drive position tires <sup>2</sup>		
Quantity (O)		
Value (P)		
Trailer tires <sup>3</sup>		
Quantity (Q)		
Value (R)		
Of medium duty (Class 6 & below) truck and bus tires		
Steer / All position tires <sup>4</sup>		
Quantity (S)		
Value (T)		
Drive position tires <sup>5</sup>		
Quantity (U)		
Value (V)		
Trailer tires <sup>6</sup>		
Quantity (W)		
Value (X)		
<sup>1</sup> Please list your firm's heavy truck duty steer/all position ti	re models:	
<sup>2</sup> Please list your firm's heavy truck drive position tire model	ls:	
<sup>3</sup> Please list your firm's heavy truck trailer tire models:		
<sup>4</sup> Please list your firm's medium truck duty steer/all position		
<sup>5</sup> Please list your firm's medium truck drive position tire mod		
<sup>6</sup> Please list your firm's medium truck trailer tire models:		

<u>RECONCILIATION</u>.--The data reported for tire end use and market tier (lines M through X) when summed across all columns should equal U.S. shipments in 2015 (lines D and F).

Reconciliation	Calendar year 2015
<b>Quantity:</b> $M + O + Q + S + U + W - D - F = zero ("0"), if not revise.$	0
<b>Value:</b> N + P + R + T + V+ X – E – G= zero ("0"), if not revise.	0

II-7. <u>Imports from all other sources (AOS) combined</u>.–Report your firm's imports and your firm's shipments and inventories of truck and bus tires imported from all other sources (AOS) combined (*e.g.*, all sources except China) by your firm during the specified periods.

# **ALL OTHER SOURCES**

## (list sources: \_\_\_\_\_

Quantity ( <i>in 1,000 tires</i> ), value ( <i>in \$1,000</i> )			
	Calendar years		
Item	2013	2014	2015
Beginning-of-period inventories (quantity) (A)			
Imports: <sup>1</sup> Quantity (B)			
Value (C)			
U.S. shipments: Commercial shipments: <sup>2 3</sup> Quantity (D)			
Value (E)			
Internal consumption/ company transfers: Quantity (F)			
Value <sup>4</sup> (G)			
Export shipments: <sup>5</sup> Quantity (H)			
Value (I)			
End-of-period inventories (quantity) (J)			
Channels of distribution: Commercial U.S. shipments: To OEMs (quantity) (K)			
To aftermarket suppliers (quantity) (L)			

<sup>1</sup> Please identify the foreign producers, if known: \_\_\_\_\_.

<sup>2</sup>: Of the data reported to U.S. commercial shipments in 2015 above, please indicate the share of quantity (\_\_\_\_\_ percent) your firm sold as a <u>mounted</u> truck and bus tire.

<sup>3</sup>: Of the data reported to U.S. commercial shipments in 2015 above, please indicate the share of quantity (percent) your firm sold as <u>branded</u> tires (\_\_\_\_\_\_ percent) and <u>private label</u> tires (\_\_\_\_\_\_ percent).

<sup>4</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: \_\_\_\_\_.

<sup>5</sup> Identify your firm's principal export markets: \_\_\_\_\_\_.

## II-7. Imports from all other sources (AOS) combined.-Continued

<u>RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES</u>.--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, and H). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years		
Reconciliation	2013	2014	2015
A + B - D - F - H - J = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:			

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for commercial U.S. commercial shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years		
Reconciliation item	2013	2014	2015
K + L - D = zero ("0"), if not revise.	0	0	0

II-8. 2015 shipments of imports from all others sources (AOS) combined by intended end use.--Report your firm's U.S. shipments of imports from all other sources (*i.e.*, commercial shipments, internal consumption, and transfers to related firms) in 2015 by intended end use. If a tire model your firm imports can be used in multiple end use categories, please report your firm's U.S. shipments for that model in the category where is it predominately used in in the market (*i.e.*, no double counting).

# **ALL OTHER SOURCES 2015**

Quantity ( <i>in 1,000 tires</i> ) and value ( <i>in \$1,000</i> )		
Item	Calendar year 2015	
U.S. shipments:		
Of <u>heavy duty</u> (Class 7 & 8) truck and bus tires		
Steer / All position tires <sup>1</sup>		
Quantity (M)		
Value (N)		
Drive position tires <sup>2</sup>		
Quantity (O)		
Value (P)		
Trailer tires <sup>3</sup>		
Quantity (Q)		
Value (R)		
Of <u>medium duty</u> (Class 6 & below) truck and bus tires		
Steer / All position tires <sup>4</sup>		
Quantity (S)		
Value (T)		
Drive position tires <sup>5</sup>		
Quantity (U)		
Value (V)		
Trailer tires <sup>6</sup>		
Quantity (W)		
Value (X)		
<sup>1</sup> Please list your firm's heavy truck duty steer/all position ti	re models:	
<sup>2</sup> Please list your firm's heavy truck drive position tire mode		
<sup>3</sup> Please list your firm's heavy truck trailer tire models:		
<sup>4</sup> Please list your firm's medium truck duty steer/all positior		
<sup>5</sup> Please list your firm's medium truck drive position tire mo	dels:	
<sup>6</sup> Please list your firm's medium truck trailer tire models:		

## II-8. **<u>2015 shipments of imports from China by intended end use</u>**.—*Continued*

<u>RECONCILIATION</u>.--The data reported for tire end use and market tier (lines M through X) when summed across all columns should equal U.S. shipments in 2015 (lines D and F).

Reconciliation	Calendar year 2015
Quantity: $M + O + Q + S + U + W - D - F = zero ("0")$ , if not revise.	0
<b>Value:</b> $N + P + R + T + V + X - E - G = zero ("0"), if not revise.$	0

II-9. Other explanations.--If your firm would like to further explain a response to a question in Part II that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

## PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from John Benedetto (202-205-3270, John.Benedetto@usitc.gov) or Michele Breaux (202-205-2781, Michele.Breaux@usitc.gov)..

III-1. <u>Contact information</u>.--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

## PRICE DATA

- III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2013 of the following products your firm imported from China or Canada:
  - <u>Product 1</u>.--Truck and bus tire, tires designated for <u>drive</u> application (excluding all-position/allpurpose tires), size 11R22.5, 16 ply rating, load range of H, speed rating L (75 mph).
  - <u>Product 2</u>.-- Truck and bus tire, tires designated for <u>drive</u> application (excluding allposition/all-purpose tires), size 11R24.5, 16 ply rating, load range of H, speed rating L (75 mph).
  - <u>Product 3</u>.-- Truck and bus tire, tires designated for <u>drive</u> application (excluding allposition/all-purpose tires), size 295/75R22.5, 14 ply rating, load range of G, speed rating L (75 mph).
  - <u>Product 4</u>.-- Truck and bus tire, tires designated for <u>drive</u> application (excluding allposition/all-purpose tires), size 285/75R24.5, 14 ply rating, load range of G, speed rating L (75 mph).

Please note that values should be <u>f.o.b., U.S. point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates). Do not include mounted tires.

During January 2013-December 2015, did your firm import from China and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question III-3.

III-2(a) **Price data**.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm to original equipment manufacturers (OEMs).

# **CHINA – SALES TO OEMs**

#### (Quantity in number of tires, value in dollars) **Product 1** Product 2 Product 3 Product 4 Period of shipment Quantity Value Quantity Value Quantity Value Value Quantity 2013: January-March April-June July-September October-December 2014: January-March April-June July-September October-December 2015: January-March April-June July-September October-December

#### Report data in actual tires and actual dollars (not 1,000s).

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

III-2(b) **Price data**.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm into the aftermarket (e.g., to dealers and service centers for tire replacements)

# **CHINA – SOLD TO AFTERMARKET**

	(Quantity in number of tires, value in dollars)							
	Product 1		Produ	Product 2		Product 3		uct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2013:								
January-March								
April-June								
July-September								
October-								
December								
2014:								
January-March								
April-June								
July-September								
October-								
December								
2015:								
January-March								
April-June								
July-September								
October-								
December								
<sup>1</sup> Not values (i.e. c	rocc caloc val	uos loss all d	iscounts allows	ncos robato	s propoid froig	ht and thay	alua of roturno	d goods)

#### Report data in actual tires and actual dollars (not 1,000s).

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.-**-If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

III-2(c) <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Canada and sold by your firm to original equipment manufacturers (OEMs).

# **CANADA – SALES TO OEMs**

			ntity in number			,,.		
	Prod		Produ		Prod	uct 3	Proc	luct 4
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2013:								
January-March								
April-June								
July-September								
October-								
December								
2014: January-March								
April-June								
July-September								
October-								
December								
2015:								
January-March								
April-June								
July-September								
October- December								

#### Report data in actual tires and actual dollars (not 1,000s).

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.--**If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

III-2(d) **Price data**.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Canada and sold by your firm into the aftermarket (e.g., to dealers and service centers for tire replacements)

## **CANADA – SOLD TO AFTERMARKET**

	(Quantity in number of tires, value in dollars)								
	Prod	Product 1		ict 2	Produ	Product 3		Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value	
2013:									
January-March									
April-June									
July-September									
October-									
December									
2014:									
January-March									
April-June									
July-September									
October-									
December									
2015:									
January-March									
April-June									
July-September									
October-									
December									
<sup>1</sup> Not values (i.e. c	rocc caloc val	بالمجامدة عاليط	iccounte allow	ancos robato	o propoid froig	ht and thay	aluo of roturno	d goods)	

#### Report data in actual tires and actual dollars (not 1,000s).

<sup>1</sup> Net values (*i.e.*, gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.-**-If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

III-2(e) **Pricing data methodology.--**Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

III-3. <u>Price setting</u>.--How does your firm determine the prices that it charges for sales of truck and bus tires (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

III-4. **Discount policy.--** Please indicate and describe your firm's discount policies (*check all that apply*).

	Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
ĺ					

III-5. Pricing terms.--

(a) What are your firm's typical sales terms for truck and bus tires imported from China?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)

(b) On what basis are your firm's prices of imported truck and bus tires from China usually quoted *(check one)*?

Delivered	F.o.b.	If f.o.b., specify point

III-6. <u>Contract versus spot</u>.--Approximately what share of your firm's sales of truck and bus tires imported from China in 2015 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale					
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	<b>Spot sales</b> (for a single delivery)	<b>Tota</b> (shoul sum t 100.0%	d o
Share of 2015 OEM sales	%	%	%	%	0.0	%
Share of 2015 aftermarket sales	%	%	%	%	0.0	%

III-7. <u>Contract provisions</u>.—Please fill out the table regarding your firm's typical sales contracts for truck and bus tires from China (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
	Both			
Meet or release	Yes			
provision	No			
Not applicab	le			

III-8. Lead times.--What is your firm's share of sales of truck and bus tires imported from China from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of truck and bus tires?

Source	Share of 2015 sales	Lead time (average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

## III-9. Shipping information.—

- (a) What is the approximate percentage of the total delivered cost of truck and bus tires imported from China that is accounted for by U.S. inland transportation costs? percent.
- (b) Who generally arranges the transportation to your firm's customers' locations? Your firm Purchaser (check one)
- (c) When your firm sells truck and bus tires imported from China, from where is it shipped? Point of importation Storage facility (check one)
- (d) Indicate the approximate percentage of your firm's sales of truck and bus tires imported from China that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

III-10. Geographical shipments.--In which U.S. geographic market area(s) has your firm sold truck and bus tires imported from subject countries since January 1, 2013 (check all that apply)?

Geographic area	China
Northeast.–CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
Midwest.–IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.–AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.–AR, LA, OK, and TX.	
Mountains.–AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.–CA, OR, and WA.	
<b>Other</b> .–All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

III-11. End uses.--List the end uses of the truck and bus tires that your firm imports from China. For each end-use product, estimate what percentage of the total cost is accounted for by truck and bus tires and other inputs.

		total cost of end use ounted for by	Total
End use product	Truck and bus tires	Other inputs	(should sum to 100.0% across)
For OEMs, a new Heavy Duty (Class 7 or 8) Truck or Bus <sup>1</sup>	%	%	0.0 %
For OEMs, a new Medium Duty (Class 6 or lower) Truck or Bus <sup>1</sup>	%	%	0.0 %
For Aftermarket, an end use consumer replacing a tire <sup>2</sup>	%	%	0.0 %
Other <sup>3</sup>	%	%	0.0 %

<sup>1</sup> This line is asking how much do "truck and bus tires" account for the total cost to produce the class of truck or bus in question for an OEM. The other inputs include everything else that makes up a truck/bus. Include trailer costs in this calculation/analysis if appropriate.

<sup>2</sup> This line is asking how much of what the end use customer pays to a dealer or service center is accounted for by the cost of the "truck or bus tires" when replacing a tire or tires. The other inputs could include the tire mounting service provided by the dealer and/or service center. .

<sup>3</sup> Describe the end use

## III-12. Substitutes.--Can other products be substituted for truck and bus tires?

No

Yes--Please fill out the table.

		End use in which this			ges in the price of this substitute he price for truck and bus tires?
	Substitute	substitute is used	No	Yes	Explanation
1.					
2.					
3.					

III-13. Demand trends.--Indicate how demand within the United States and outside of the United States (if known) for truck and bus tires in the OEM market and the aftermarket has changed since January 1, 2013. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
				OEM ma	rket
Within the United States					
Outside the United States					
				Afterma	rket
Within the United States					
Outside the United States					

III-14. **Product changes.--**Have there been any significant changes in the product range, product mix or marketing of truck and bus tires since January 1, 2013?

No	Yes	If yes, please describe.

#### III-15. Conditions of competition.-

(a) Is the truck and bus tires market subject to business cycles (other than general economywide conditions) and/or other conditions of competition distinctive to truck and bus tires?

Check all that apply.		Please describe.
	Νο	Skip to question III-16.
	Yes-Business cycles (e.g. seasonal business)	
	Yes-Other distinctive conditions of competition	

(b) If yes, have there been any changes in the business cycles or conditions of competition for truck and bus tires since January 1, 2013?

No	Yes	If yes, describe.

III-16. **Supply constraints.--**Has your firm refused, declined, or been unable to supply truck and bus tires since January 1, 2013 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, been unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.

III-17. **<u>Raw materials.--</u>** How have bus and truck tires raw materials prices changed since January 1, 2013?

Overal increase	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for truck and bus tires.

## III-18. EPA Smartway certified.—

(a) Did your firm sell truck and bus tires classified as EPA Smartway certified?

No	Yes	If yes, estimate the proportion of 2015 sales that were EPA Smartway certified ( <i>percent</i> )
		%

(b) Estimate of the additional price of a Bus and Truck Tire with EPA Smartway certification relative to one without. \_\_\_\_\_ to \_\_\_\_\_ percent

#### III -19. Retreading.-

(a) Did your firm sell truck and bus tires with retreading warrantees/guarantees?

No	Yes	If yes, please describe.		
If yes, estim %	If yes, estimate the proportion of 2015 sales with retreading warrantees/guarantees. %			

(b) Estimate of the additional price of a Bus and Truck Tire with retreading warrantees/guarantees relative to one without. \_\_\_\_\_ to \_\_\_\_\_ percent

III -20. **Product categories.**—Is the U.S. truck and bus tires market divided into categories (e.g., Best/Better/Good; Tier 1/Tier 2/Tier 3; Flagship/Secondary/Mass-market)?

No	If no, please provide a description of how, if at all, the U.S. market for
	Bus and Truck tires can be categorized:
Yes	If yes, please describe each category and identify the producers and
	brands that belong in each category in the table below

	Categories	Main distinguishing characteristics	Producers	Brands
1.				
2.				
3.				
4.				
5.				

#### III -21. Branding.-

(a) Does brand influence the price consumers are willing to pay for truck and bus tires?

No	Yes	If yes, please describe.

(b) How competitive are private-label tires with their name-brand counterparts? How do they compare in terms of quality and price?

Very	Somewhat	Not	Explanation and factors
competitive	competitive	competitive	

(c) Does your firm sell private label and branded truck and bus tires with the same specifications at different prices?

No	Yes	If yes, please explain and estimate price differences.	

III-22. <u>Interchangeability</u>.--Is truck and bus tires produced in the United States and in other countries interchangeable (*i.e.*, can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries	
United States			
China			
For any country-pair producing truck and bus tires that is sometimes or never			

interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

III-23. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between truck and bus tires produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries		
United States				
China				
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of truck and bus tires, identify the country-pair and report the				

advantages or disadvantages imparted by such factors:

III-24. <u>Customer identification</u>.--List the names and contact information for your firm's 10 largest U.S. customers for truck and bus tires since January 1, 2013. Indicate the share of the quantity of your firm's total shipments of truck and bus tires that each of these customers accounted for in 2015.

	Customer's name	Contact person	Email	Telephone	City	State	Share of 2015 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

III-25. <u>Other explanations</u>.--If your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

# HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at: <a href="https://www.usitc.gov/investigations/701731/2016/truck">https://www.usitc.gov/investigations/701731/2016/truck</a> and bus tires china/preliminary.htm .

**Please do not attempt to modify the format or permissions of the questionnaire document**. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: TIRES

• E-mail.—E-mail the MS Word questionnaire to <u>nathanael.comly@usitc.gov</u>; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.* 

**If your firm** <u>did not</u> **import this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

**Parties to this proceeding**.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (*see* 19 CFR § 207.7). Service of the questionnaire must be made in paper form.